# IMPACT OF EARLY CHILDHOOD CARIES ON ORAL HEALTH-RELATED QUALITY OF LIFE AMONG 5-YEAR-OLD CHILDREN IN MANDALAY, MYANMAR



A Dissertation Submitted in Partial Fulfillment of the Requirements for the Degree of Doctor of Philosophy in Dental Public Health

Department of Community Dentistry

FACULTY OF DENTISTRY

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# ผลกระทบของโรคฟันผุในเด็กปฐมวัยต่อคุณภาพชีวิตที่สัมพันธ์กับโรคในช่องปากของเด็กอายุ 5 ปี ในเมือง มัณฑะเลย์ ประเทศพม่า



วิทยานิพนธ์นี้เป็นส่วนหนึ่งของการศึกษาตามหลักสูตรปริญญาวิทยาศาสตรดุษฎีบัณฑิต สาขาวิชาทันตสาธารณสุข ภาควิชาทันตกรรมชุมชน คณะทันตแพทยศาสตร์ จุฬาลงกรณ์มหาวิทยาลัย ปีการศึกษา 2564 ลิขสิทธิ์ของจุฬาลงกรณ์มหาวิทยาลัย

	RELATED QUALITY OF LIFE AMONG 5-YEAR-OLD CHILDREN IN
	MANDALAY, MYANMAR
Ву	Mr. Saw Nay Min
Field of Study	Dental Public Health
Thesis Advisor	Assistant Professor Palinee Detsomboonrut, D.D.S., M.Sc, Ph.D.
Thesis Co Advisor Assistant Professor Duangporn Duangthip, D.D.S., M.Sc,	
	Associate Professor Gao, Sherry Shiqian, D.D.S., M.Sc, Ph.D.
Accepted by	y the FACULTY OF DENTISTRY, Chulalongkorn University in Partial
Fulfillment of the Rec	quirement for the Doctor of Philosophy
	Dean of the FACULTY OF DENTISTRY
(Pro	ofessor Pornchai Jansisyanont, D.D.S., M.S., Ph.D.)
DISSERTATION COMMI	TTEE
	Chairman
(Ass	sociate Professor Varangkanar Jirarattanasopha, D.D.S., M.Sc,
Ph.[	0.)
	Thesis Advisor
(Ass	sistant Professor Palinee Detsomboonrut, D.D.S., M.Sc, Ph.D.)
	Thesis Co-Advisor
(Ass	sistant Professor Duangporn Duangthip, D.D.S., M.Sc, Ph.D.)
	Thesis Co-Advisor
(Ass	sociate Professor Gao, Sherry Shiqian, D.D.S., M.Sc, Ph.D.)
	Examiner
	sociate Professor Pagaporn Pantuwadee Pisarnturakit, D.D.S.,
M.S	c., Dr.P.H)
	Examiner
(Pro	ofessor Sudaduang Krisdapong, D.D.S., M.Phil/Ph.D.)
	Examiner
	PAPORN URWANNACHOTIMA, D.D.S., M.Ed., Ph.D.)

IMPACT OF EARLY CHILDHOOD CARIES ON ORAL HEALTH-

Thesis Title

ชอว์ เน มิน : ผลกระทบของโรคฟันผุในเด็กปฐมวัยต่อคุณภาพชีวิตที่สัมพันธ์กับโรคในช่องปากของเด็กอายุ 5 ปี ในเมือง มัณฑะเลย์ ประเทศพม่า . ( IMPACT OF EARLY CHILDHOOD CARIES ON ORAL HEALTH-RELATED QUALITY OF LIFE AMONG 5-YEAR-OLD CHILDREN IN MANDALAY, MYANMAR) อ.ที่ปรึกษาหลัก : ผศ. ทพญ.ดร.พลินี เดชสมบูรณ์รัตน์, อ.ที่ปรึกษาร่วม : ผศ. ทพญ. ดร.ดวงพร ดวงทิพย์,Assoc. Prof.Gao, Sherry ShiqianD.D.S., M.Sc, Ph.D.

•	ว <i>ัตถุประสงค์:</i> การศึกษานี้มีวัตถุประสงค์เ	พื่อพัฒนาการป รับเปลี่ยนข้ามวัฒนธรรมและ คุณสมบัติการวัดทางจิตวิทยาของมาตรวัดผลลัพธ์ทางสุ
ขภาพช่องป	ากในเด็กอายุ 5 ปี และประ	เมินผลกระทบของโรคฟันผุในเด็กปฐมวัยต่อคุณ ภาพชีวิตในเด็กอายุ 5
ปีในเมือง มัณฑะเ	ลย์โดยใช้แบบสอบถามมาตรวัดผลลัพธ์ท	างสุขภาพช่องปากในเด็กอายุ 5 ปี <i>วัสดุและวิธีการ:</i> มาตรวัดผลลัพธ์ทางสุขภาพช่องปากในเด็กอายุ
5 ปีได้รับการแ	ปลจากต้นฉบับภาษาอังกฤษเป็นภา	ษาพม่าและแปลย้อนกลับ และทดสอบความเที่ยงตรงตามเนื้อหา ความสอดคล้องภายใน
การวัดความเที่ย	มงด้วยวิธีทดสอบซ้ำ ความเที่ยงตรงตา	ามโครงสร้างและความตรงเชิงจำแนกในเด็กอายุ 5 ปีและผู้ปกครองในการศึกษาระยะแรก
การศึกษาภาคตัด	ขวางได้ดำเนินการโดยใช้แบบสอบถามด้ว	วยตนเองเพื่อประเมินผลกระทบของโรคฟันผุในเด็กปฐมวัยและปัจจัยที่เกี่ยวข้องที่สัมพันธ์กับคุณภา
พชีวิตในมิติสุขภา	พช่องปากของเด็กในการศึกษาระยะที่สถ	อง ผลการศึกษา พบว่า เด็กและผู้ปกครองจำนวน 509 คู่ใน 7 เขตในเมืองมัณฑะเลย์ ประเทศพม่า
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ปี ทั้งฉบับส	ำหรับเด็กและสำหรับผู้ปกด	ครอง และได้รับการตรวจทางคลินิกเพื่อประเมินค่าเฉลี่ย ผุ อุด ถอน
การศึกษานี้วิเครา	ะห์ข้อมูลด้วยการวิเคราะห์ไคสแควร์และ	การวิเคราะห์การถดถอยโลจิสติก <i>ผลการศึกษา</i> : แบบสอบถามได้รับการประเมินความเพี่ยงและควา
มตรงใน	เด็กจำนวน 173	3 คน สำหรับการศึกษาระยะแรก พบว่า
การตรวจสอบค่า	เส้มประสิทธิ์แอลฟาของครอนบาชในกา	ารวัดความสอดคล้องภายในมีค่าเท่ากับ 0.82 ในฉบับเด็ก และ เท่ากับ 0.79 ในฉบับผู้ปกครอง
ค่าสหสัมพันธ์ม	าายในชั้นในการวัดความเที่ ยงด้วยวิจิ	ธีทดสอบซ้ำ มีค่าเท่ากับ 0.90 และ 0.89 สำหรับฉบับเด็กและผู้ปกครองตามลำดับ
คะแนนมาตรวัดผ	เลลัพธ์ทางสุขภาพช่องปากในเด็กอายุ 5	ร ปี ทั้งฉบับเด็กและ ฉบับผู้ปกครองมีความสัมพันธ์อย่างมีนัยสำคัญทางสถิติกับข้อคำถามโดยรวม
ยกเว้นข้อ	) คำถาม "ผลกระทบ	ด้านสุขภาพ ทั่วไป ของเด็ก "ในส่วนของผู้ปกครอง
นอกจากนี้แบบส	อบถามฉบับ ภาษาพม่ามีความสามารถใน	มการจำนแกเด็กที่มีฟันผุและ เด็กที่ปราศจากฟันผุ่ได้ (p < 0.001) ผลการศึกษาในระยะ สอง พบว่า
ร้อยละ 64.	4 ของเด็กมีผลกระทบต่อคุ	ณภาพชีวิตในมิติสุขภาพช่องปากในเด็กอายุ 5 ปี และร้อยละ 67.8
ของผู้ปกค	รองรายงานว่าเด็กมีผล	กระทบ ต่อคุณ ภาพ ชีวิตในมิติสุขภาพ ช่องปากเช่นเดียวกัน
		ตรวัดผลลัพธ์ทางสุขภาพช่องปากในเด็กอายุ 5 ปี มีค่าเท่ากับ 1.8 (2.2) และ 2.6 (3.2)
		ลำดับ ผลการวิเคราะห์การถดถอยโลจิสติก พบว่า เด็กที่มีค่าเฉลี่ยผุ อุด
		ขภาพช่องปากต่ำกว่าทั้งที่รายงานโดยเด็ก(OR: 1.23 (95% CI1.16 - 1.31, p
		CI 1.17 - 1.33, p < 0.001) นอกจากนี้คะแนนอนามัยในช่องปาก (OR: 2.12, 95% CI 1.40 -
	1 T- 1	ริ่มแปรงฟัน (O R : 1.61, 95% C I 1.03 - 2.51, p =
	1001	งปากอย่างมีนัยสำคัญทางสถิติสำหรับฉบับเด็ก ผลการศึกษานี้สอดคล้องกับผลในฉบับผู้ปก ครอง
		6 Cl 1.35 - 3.21, p = 0.001)อายุที่เริ่มแปรงฟัน (OR: 1.89, 95% Cl 1.21 - 2.98, p
		งฟันต่อสัปดาห์ (OR: 1.98, 95% CI1.00 - 3.92), p <
		งปากในเด็ก <i>สรุปผล:</i> การศึกษานี้แสดงหลักฐานว่า มาตรวัดผลลัพธ์ทางสุขภาพช่องปากในเด็กอายุ 5
		โดยเด็กและผู้ปกครองมีความเที่ยงและความตรงที่ดี
	· ·	สุขภาพช่องปากในเกอายุ 5 ปีในประชากรที่ใช้ภาษาพม่าได้ การศึกษาในระยะสอง
	·	ด็กปฐมวัยกับคุณภาพชีวิตในมิติสุขภาพช่องปากสำหรับเด็กอายุ 5 ปี
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เป็นปัจจั	ยที่สัมพันธ์กับคุณ ภาพ	ชีวิตในมิติสุขภาพ ช่องปากทั้งฉบับเด็กและผู้ปกครอง
สาขาวิชา	ทันตสาธารณสุข	ลายมือชื่อนิสิต
ปีการศึกษา	2564	ลายมือชื่อ อ.ที่ปรึกษาหลัก
		ลายมือชื่อ อ.ที่ปรึกษาร่วม
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# # 6278301132: MAJOR DENTAL PUBLIC HEALTH

KEYWORD: Early Childhood Caries, Scale of Oral Health Outcomes for 5-year-old Children (SOHO-5), Oral Health Related

Quality of Life, Validation, Psychometric Properties

Saw Nay Min: IMPACT OF EARLY CHILDHOOD CARIES ON ORAL HEALTH-RELATED QUALITY OF LIFE AMONG 5-YEAR-OLD CHILDREN IN MANDALAY, MYANMAR. Advisor: Asst. Prof. Palinee Detsomboonrut, D.D.S., M.Sc, Ph.D. Co-advisor: Asst. Prof. Duangporn Duangthip, D.D.S., M.Sc, Ph.D.,Assoc. Prof. Gao, Sherry Shiqian, D.D.S., M.Sc, Ph.D.

Purpose: The study aimed to develop the cross-cultural adaptation and psychometric properties of the Myanmar Version of SOHO-5 and assess the impact of ECC on oral health quality of life among 5-year-old children in Mandalay using the Myanmar version of the SOHO-5 questionnaire. Materials and methods: The Myanmar SOHO-5 version was conducted with the forward-backward translation method and investigated the content validity, internal consistency, test-retest reliability, construct validity, and discriminant validity on 5-year-old children and their parents in phase I. A cross-sectional study was conducted using a self-administered questionnaire to investigate the impact of ECC and relative factors on the oral health related quality of life of children in phase II. A total of 509 child-parent pairs in seven districts of Mandalay city, Myanmar were recruited to collect data related to the child's demographic, oral health behavior, and children's OHRQoL using parental and child versions of SOHO-5 questionnaire. Clinical examinations were performed to determine the dmfs caries. Chi-squared analysis and multiple logistic regression were used to analyze the data. Results: In Phase I, the questionnaires were tested on 173 five years old children and their parents for reliability and validity. Cronbach's alpha coefficient values for internal consistency were 0.82 for the children's report and 0.79 for the parental report. The ICCs were 0.90 and 0.89 for the total scores of the children and parental versions in the test-retest reliability analysis. The total SOHO-5 scores for both reports were significantly associated with the global rating questions except for the 'impact on children's general health' question in the parental report. Furthermore, the Myanmar version discriminated between the children with and without caries experiences (p < 0.001). The result in phase II showed that 64.4 % of children reported an impact on OHRQoL (SOHO-5 score > 0), and 67.8% of the parent reported an impact on their children's OHRQoL. The mean (standard deviation) total score of the SOHO-5 was 1.8 (2.2) and 2.6 (3.2), for child self-report and parental version, respectively. In multivariate logistic regression analysis, children with a higher dmft score had a significantly higher chance of having a poorer OHRQoL (OR: 1.23 (95% CI 1.16 - 1.31, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001) for the children's report and (OR: 1.24, 95% CI 1.17 - 1.33, p < 0.001parent's report. Moreover, the debris score (OR: 2.12, 95% CI 1.39 - 3.23, p < 0.001) and the starting age for tooth brushing (OR: 1.61, 95% CI 1.03 - 2.51, p = 0.037) were the significant factors affecting children's OHRQoL based on children's report. Similarly in parent's report, the debris score (OR: 2.08, 95% CI 1.35 - 3.21, p = 0.001), starting age for tooth brushing (OR: 1.89, 95% CI 1.21 - 9.001), starting age for tooth brushing (OR: 1.89, 95% CI 1.21 - 9.001). 2.98, p = 0.006) and brushing day per week (OR: 1.98, 95% CI 1.00 - 3.92), p < 0.049) had a greater probability of exerting an impact on children's OHRQoL. Conclusion: This study provides evidence that the Myanmar SOHO-5 version's children and parental reports have good reliability and validity and can be used to assess the OHRQoL of 5-year-old children in a Burmese-speaking population. In phase II, there was a significant relationship between ECC and OHRQoL of 5-year-old children in terms of the perceptions of both children and their parents. Furthermore, the study showed that the children's OHRQoL was significantly associated with caries experiences, oral hygiene status, and starting age for tooth brushing in both reports, and brushing days per week in the parent report.

Field of Study:	Dental Public Health	Student's Signature
Academic Year:	2021	Advisor's Signature
		Co-advisor's Signature
		Co-advisor's Signature

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ี จุฬาลงกรณ์มหาวิทยาลัย Chulalongkorn University

Saw Nay Min

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#### CHAPTER I

#### INTRODUCTION

## 1.1 Background and rationale

Although dental caries can be treated and prevented, it is still a one of the most frequent chronic oral disease across the globe (Sharma, Puranik, & K, 2015). In recent years, despite dental caries prevalence in children have declined in the western countries, the caries in preschool children remains a problem in both developed and developing countries (Çolak, Dülgergil, Dalli, & Hamidi, 2013).

According to the American Academy of Pediatric Dentistry, early childhood caries (ECC) is the primary caries occurring before the age of 71 months, which exists in one or more decayed (non-cavitated or cavitated lesions), missing due to caries or filled tooth surfaces in any primary tooth (Hui Bin, Zhang, & Zhou, 2017). ECC is a multifactorial and it involves interaction between socioeconomic, microbiological factors and behavioral characteristics (H. Wong, C. McGrath, N. King, & E. Lo, 2011).

The prevalence of early childhood caries (ECC) is high in the middle and low-income-countries especially among socioeconomically disadvantaged people (Phantumvanit et al., 2018). ECC can begin in early in life and progress rapidly to cavitated lesions (Sirinan Mabangkhru, Duangthip, Hung, Phonghanyudh, & Jirarattanasopha, 2020) and 90% of ECC in developing countries is untreated due to accessibility and financial problems (Contreras, Toro, Elías-Boneta, & Encarnación-Burgos, 2017).

The common consequences of ECC are pain, abscesses and systemic infection (Li, Zhi, Zhou, Qiu, & Lin, 2015). If ECC is left untreated, the oral health condition of children become worsens and leading to more complex treatment with increase treatment cost (Zafar, Harnekar, & Siddiqi, 2009). Some children have destruction at the anterior region due to caries and which are reducing chewing efficiency and developing parafunctional habits— such as tongue interposition and affecting aesthetics with negative psychological impacts (Bönecker, Abanto, Tello, & Oliveira, 2012). Children with caries of primary teeth in their earlier life are high risk of additional caries developments in their primary and permanent dentition (Zafar et al., 2009). Early loss of molars is likely to become future teeth crowding and treatment for this problem is often financially out of reach for their parents.

The impacts of ECC are not limited to oral health only (Li et al., 2015) and it can also have negatively impact on the quality of life of the children (Naidu, Nunn, & Donnelly-Swift, 2016). The negative impacts of caries on lives of children consists of functional factors, such as impairment of chewing and speaking abilities, daily life activities, such as preschool absenteeism, decline school performance, psychological issues, such as disturb in sleeping, and factors related to social interaction such as smiling and refraining from speaking (Bönecker et al., 2012). ECC can also result the delay physical development of children especially in height and weight due to decrease in appetite which is caused by dental pain (Martins-Júnior et al., 2013). Children can suffer psychological trauma such as poor self-esteem due to taunting by peers, sibling and even family members (Zafar et al., 2009).

Assessing the clinical parameters of oral health condition cannot only reflect the full impact of oral disease and disorders on affected individuals (Kramer et al., 2013). Therefore, apart from clinical parameters, evaluating impacts on oral health related quality of life has been widely used in patient-based assessment tools (Jiang, Wong, Chu, Dai, & Lo, 2019). Oral health-related quality of life (OHRQoL) measures is subjective assessment based on information provided from patients about their oral health status and the impacts of their oral health condition on the quality of their daily life (Gomes et al., 2014).

Many studies reported the impact of early childhood caries on the oral health related quality of life of children and their family including social-psychological and economic consequences (S. Mabangkhru, Duangthip, Chu, Phonghanyudh, & Jirarattanasopha, 2020). Oral or dental pain and difficulty in pronouncing words have been the most common reported items for the preschool children in several worldwide studies. These studies have presented that the frequency scores have varied depend on the severity of dental caries experience among the study population, with Argentina at 29.1%, Brazil from 4.6% to 79.7%, Australia at 38.5%, China at 39.4%, Trinidad at 10%, the United States at 51% and Uganda at 36.5% (Pesaressi, Villena, & Frencken, 2020).

Currently, there are several oral health-related quality of life (OHRQoL) questionnaires and the development of OHRQoL measures for young children is challenging. There are six OHRQoL instruments for preschool children and most of them are proxy-report in which parents or caregivers act as respondent. Assessing the OHRQoL of children through only the parents or caregivers might have incomplete knowledge about the children's oral health status due to their working condition or social life and time duration children staying at daycare centers (Matheus França Perazzo et al., 2020).

Based on the evidence that 4-6 years old children can report reliably on some domains such as pain or dysfunction of their oral health and quality of life. Now, the scale of oral health outcomes for 5-year-old children (SOHO-5) is newly developed to measure the OHRQoL of preschool children like early childhood oral health impact scale (ECOHIS) index (Rachmawati, Pratiwi, & Maharani, 2017). The difference between ECOHIS and SOHO-5 is that OHRQoL information of preschool children depends only on parents or caregiver in ECOHIS index, and SOHO-5 is intended to measure the OHRQoL in children through both selves and parental reports instead of parents alone (Rachmawati et al., 2017). Moreover, parental and child reports of SOHO-5 can measure the different realities and evaluate the child's OHRQoL from both perspectives (Matheus França Perazzo et al., 2020).

Myanmar is one of the developing countries where people's oral health awareness is still low (Thwin, Zaitsu, Ueno, & Kawaguchi, 2016a). Caries prevalence in pre-school children is high, and most of the decayed teeth are left untreated due to limited availability of restorative treatment and human resources. According to the first National Oral Health survey in 2017, mean dmft of 6 year-old-school children was 5.7 and the prevalence of untreated caries was 84.1% (Thwin, 2019). The caries prevalence of school children in Myanmar has not been significantly decreased within these years compared to previous studies.

Moreover, there is no information about the effect of ECC on OHRQoL among preschool children in Myanmar at present. Such knowledge on the impact of ECC on OHRQoL of preschool children can contributes to improve the quality of care and guide the preventive protocols (Scarpelli et al., 2013). Furthermore, it can support the implementation of public policies aimed at minimizing social inequalities and prioritizing the oral health care for younger children to improve their oral health related quality of life.

The aims of the study were to develop the cross-cultural adaptation and psychometric properties of Myanmar Version of SOHO-5 and assess the impact of ECC on oral health quality of life among 5-year-old children in Mandalay using the Myanmar version of SOHO-5 questionnaire.

## 1.2 Research Questions

The research question of this study was: How much is it to find out the oral health related quality of life in 5-year-old children in Mandalay?

# 1.3 Research Hypothesis

#### **Null** hypothesis

Oral health status, sociodemographic factors and oral health behavior of the children have no significant impact on the oral health related quality of life of children.

#### Alternative hypothesis

Oral health related quality of life of children is affected not only by oral health status but also by other demographic and oral health behavior of the children.

# 1.4 Research Objectives

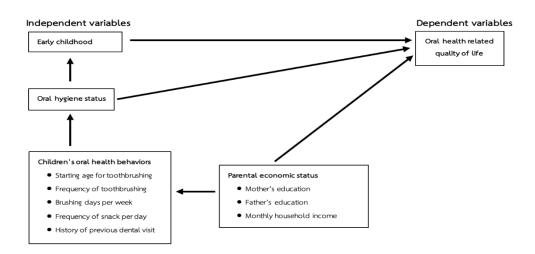
#### Primary Objective

- To assess the oral health related quality of life of 5-year-old Myanmar children using SOHO-5 Myanmar version questionnaire
- To investigate the impact of early childhood caries, children's oral health behavior and sociodemographic factors on the oral health related quality of life of the children

# Secondary Objective

- To cross-culturally adapt the SOHO-5 for the Myanmar population and investigate its validity and reliability

# 1.5 Research Conceptual Framework



#### 1.6 Benefit of the study

Despite the ECC is preventable, it is still a public health problem due to its multifactorial origin. Many studies showed that socioeconomic factors are significantly associated with the caries development and its effects on the oral health quality of life of children in Myanmar. The magnitude of this effect in Myanmar children remains still unclear.

Quality of life has important implications for health research and practice. Assessing the impact of oral health on the life quality of children and their family can improve communication between patients, parents and the dental team (Gomes et al., 2014). The findings highlighted the needs to develop oral health promotion strategies that support parents and caregivers. Moreover, it can provide essential information in assessing the treatment needs of individuals and populations, as well as making clinical decisions and evaluating the interventional strategies, services and public health programs (Alsumait et al., 2015).

This research will identify certain risk factors of early childhood caries in Myanmar 5-year-old children and provide the basic information for the dental caries status of children. Hence, from this study, knowledge about prevalence and associated factors of ECC will be used to develop targeted preventive interventions for dental caries control and reduction of its consequences (Prakash, Subramaniam, Durgesh, & Konde, 2012) (Prakash et al., 2012). Moreover, the participated children in this study will be selected through the schools of different districts and the factors from wide sociodemographic background and their gender will represent the children of this study area.

If SOHO-5 Myanmar version has acceptable reliability and validity, the researchers can apply this to assess the oral health related quality of life of children in other study areas of the country.

## 1.7 Operational Definition

Oral health related quality of life (OHRQoL) is defined as an individual's assessment of how the following aspects affect his or well-being-being: functional factors, psychological factors, social factors and experience of pain or discomfort in relation to orofacial concerns (Mascarenhas et al., 2020).

ECC refers to early childhood caries and defined as 'the presence of one or more decayed, missing due to caries or filled tooth surfaces in any primary teeth in children under-age of 6 years of age (American Academy of Pediatric Dentistry).

SOHO-5 refers to scale of oral health outcomes for 5-year-old children (SOHO-5). It was developed to assess the oral health related quality of life of young children through both self-report and parental or caregiver reports. It comprises of child version and parental or caregiver version. Both versions have seven items and meaning of items are similar but there is difference in response scale: 5-point Likert response scale in parental or caregiver version and 3-point Likert response scale in child version.

Cross cultural adaptation is the adaptation of existing measures primarily developed in a different cultural setting. Two steps should be clearly distinguished in the cross-cultural development of an instrument of health status to be used in another culture: (1) translation in standard language plus adjustment of cultural words, idioms and context, possibly involving the complete transformation of some items in order to capture the same concept and (2) the validation of the transformed instrument (Guillemin, 1995).

Psychometric properties refer to the validity and reliability of the measurement tool. Before being able to state that a questionnaire has excellent psychometric properties, meaning a scale is both reliable and valid, it must be evaluated extensively.

Validity is the ability of a measurement method to measure what it is intended to measure.

Reliability is the ability of the measurement method of being repeatable, i.e. inter-observer and intra observer reliability.

Construct validity refers to the evaluation of a survey variable assuming that there are well-developed theories or hypotheses about the relationships of that variable to others being measured in the study. Convergent and discriminant validities are two fundamental aspects of construct validity. To establish validity, it is important to show not only that the instrument is associated with measures of the same concept but also that it is not associated with measures of concepts that are different.

Convergent validity refers to how closely the new scale is related to other variables and other measures of the same construct.

Discriminant validity refers the degree to which a test or measure diverges from (i.e., does not correlate with) another measures whose underlying construct is conceptually unrelated to it.

Five years old Myanmar children refer to the 5 years old children population in Mandalay city.

#### **CHAPTER II**

#### LITERATURE REVIEW

#### 2.1 Distribution of Dental Caries

#### 2.1.1 Global caries status

Although assessment of dental caries for frequency and distribution are complicated due to different diagnostic criteria used in studies but the prevalence and severity of caries in permanent teeth shows decrease trend at many developed countries over recent years (Selwitz, Ismail, & Pitts, 2007). Nowadays, the distribution of dental caries has shown more complex due to economic development, rapid changes in lifestyle and increase sugar consumption rate at low and middle-income countries. Socioeconomic differences are more important factor for caries prevalence among other differences between countries such as ethnicity, sex and eating habits (Pitts et al., 2017).

The global caries trend showed continuing declination for High and Middle-Income- Countries but declination pattern has been reduced in Middle and Low-Income-Countries (MLIC) at 2000 due to globalization and increase sugar consumption (Alsuraim & Han, 2020).

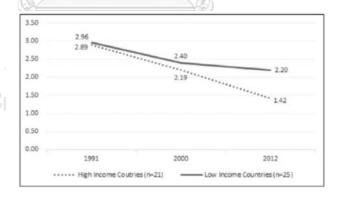


Figure 1. Dental caries trend in High vs Middle and Low-Income-Countries

During last fifty years, many people around the world have benefitted through newly developed and implemented strategies to reduce the burden of dental caries (J. E. Frencken et al., 2017). Among global population, 2.4 billion people or 35% of population had untreated carious lesions and most prevalent condition in 2010 whereas untreated caries in primary teeth was the 10<sup>th</sup> most prevalent conditions affecting the 621 million children or 9% of the global population (Marcenes et al., 2013).

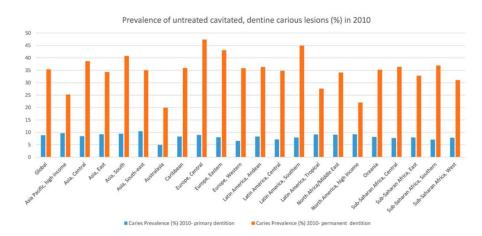


Figure 2. Prevalence of untreated cavitated, dentine carious lesions (%), by region in 2010 in primary and permanent dentition

The Global Burden of Diseases (2017) study reported that 2.3 billion people had untreated caries in permanent teeth as well as 532 million children had untreated caries in primary teeth among total 3.5 billion of oral diseases in 2017. The prevalence of untreated caries for primary teeth reached highest at age 5 years of children while the highest prevalence for permanent was at age 20 to 24 years of adult. (Bernabe et al., 2020). According to information collected by WHO Collaborating Center for Community Oral Health Program and Research, the population of 5-6-year-old children have significantly affected by burden of dental caries in all WHO regions (WHO, 2019).



Figure 3. Percentages of children aged 5 and 6 years affected by dental caries in 2017-2018

Twelve years old children at South East Asia and Americas have been more effected by dental caries among WHO regions such as Western Pacific (WPRO), Americas (AMRO), Europe (EURO), South East Asia (SEARO),

Africa (AFRO) and Eastern Mediterranean (EMRO) where as children with lowest DMFT are seen in Africa and Western Pacific regions (Mascarenhas, Okunseri, & Dye, 2020).

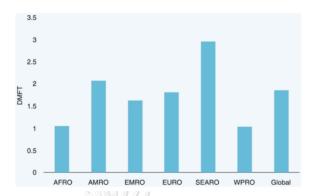


Figure 4. Mean DMFT among 12-year-olds among WHO regions

From 1990 (31407 cases per 100,000) to 2017 (30129 cases per 100,000), prevalent cases of untreated dental caries have been decreased only 4% in the world. Nowadays, Caries risk population were increase in all low and middle-income countries due to their increase sugar consumption without appropriate fluoride exposure and socioeconomic factors (Lagerweij & Van Loveren, 2015). Moreover, many millions of poor people in developing countries cannot afford the basic dental care and primary oral health services accessibility in most countries is often low due to unequal distribution of oral health professionals and a lack of appropriate health facilities.

Thus, the global data showed that the burden of untreated caries in both dentition has not relatively changed within these 30 years ago and still be a public health problem for many Low and Middle-Income-Countries due to a rise in prevalence (Peres et al., 2019).

#### 2.1.2 Oral health status in Myanmar

Myanmar, one of Southeast Asia countries, composed of seven states, seven regions and one union territory (Nay Pyi Taw). The states - Chin, Kachin, Kayah, Kayin, Mon, Rakhine, and Shan - cover mainly the upland areas and are largely populated by national races/ethnic communities. The regions - Ayeyarwady, Bago, Magway, Mandalay, Sagaing, Tanintharyi, and Yangon - are situated mainly on the plains with a population of predominantly Bamar origin.



Figure 5. States/regions - Myanmar

Approximately 30% of 51.4 million population lives in urban while the remaining 70% are living in rural area according to 2014 Myanmar Population Census. Over one hundred languages are used among total 135 ethnic groups and life expectancy is 64.7 years in Myanmar. Approximately 4% of total government expenditure have been allocated for health expenditure (UNFPA, 2015).

There are two public universities for dental institution such as University of Dental Medicine (Yangon) and University of Dental Medicine (Mandalay) and no private dental university in Myanmar. Army dentists participated in serving basic oral health care to a community, especially in remote areas (Aung, Maung, Zaitsu, & Kawaguchi, 2019).

In Myanmar, about 1200 dentists of total 4875 are serving as government dentists (200 dentists are in teaching while 1000 dentists are in public health or hospitals) and the rest are the private sector (Thwin, 2019). In 2016, 503 trained dental nurses are serving and there is no dental therapist or dental hygienist training programs in Myanmar. At 2014, the estimated dentist-population ratio (1:16,000) is higher than recommended ratio (1:7500) of WHO in Myanmar (Saw et al., 2019).

Dental caries and periodontal diseases are most prevalent oral diseases in Myanmar population. The periodontal diseases were prevalent in adult and prevalence of bleeding on probing is about 72.6 % in 35-44 and 76.8% 60-74 age groups.

The prevalence rate of oral cancer and precancerous lesion has shown rising trend because tobacco chewing is widely spread among young people. Other oral health problems in Myanmar are cleft lip and palate, dental fluorosis and dental trauma. According to the "Prevention and control of birth defects in South- East Asia

region: Strategic framework (2013-2017)", cleft lip and palate is the 3rd most common defects at birth in Myanmar (Thwin, 2019).

In National Oral Health survey (2017), first survey of Myanmar, mean dmft of 6-year-old-school children was 5.7 (prevalence of untreated caries was 84.1%) while mean DMFT of 12 years old were 0.80 with 34.8% untreated caries prevalence and 1.13 with 40.7 % at 15 years old. The untreated caries prevalence of 35-44 and 60-74 age groups were 41% (DMFT=2.96) and 49.6% (DMFT=11.44). Bourgeois and et. al., (2014) showed similar result in which the mean dmft of 6-7 school children in Myanmar was 4.34 (SD=3.36) (Bourgeois & Llodra, 2014). In Path finder survey 2006, the caries prevalence of 5 years age was 81.7 % with mean dmft 5.21 whereas the prevalence of 12 years age was 51.9% with mean DMFT 1.38 (Aung et al., 2019).

#### 2.2 Determinant of Dental Caries

Dental caries is a complex chronic disease and is developed by interacting the fermentable sugar with micro-bacteria in biofilm aggregating on tooth surfaces while remineralization process is not enough to balance the continuous cycles of demineralization (Mascarenhas et al., 2020). Diet and oral hygiene habits are determinant factors for dental caries formation at individual level, it is influenced by many other factors such as socio-economic disparity, oral health knowledge, their behavior and accessibility to health services at community level (Manton, 2018).

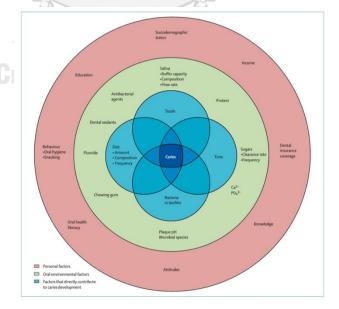


Figure 6. Illustration of the factors involved in caries development

#### 2.2.1 Biological factors

There are four important process in dental caries (1) the interaction of protective and deleterious factors in saliva and plaque (2) the balance between the cariogenic and non-cariogenic microbial population within saliva and in particular plaque, and (3) the physicochemical characteristics of enamel, dentine and cementum that make the dental hydroxyapatite more or less vulnerable to acidogenic challenge.

The biological factors in saliva and plaque such as salivary flow rate, buffering capacity, antimicrobial activity, micro-organism aggregation and clearance from the oral cavity, immune surveillance and cariogenic bacteria levels are key factors in demineralization and remineralization process (Hicks, Garcia-Godoy, & Flaitz, 2003).

The physicochemical properties of the mineral comprising the tooth surface and subsurface modulate the development, arrestment and remineralization of dental caries. Post-eruption maturation of enamel surfaces and exposed root surface is important in order for more susceptible mineral phases to be modified by incorporation of soluble fluoride from the plaque into dental hydroxyapatite (Hicks, Garcia-Godoy, & Flaitz, 2004).

## 2.2.2 Social determinant of health

Oral health is affected by a wide range of social determinants, which WHO defines as the circumstances in which people are born, grow up, live, work and age. Social gradient is characteristics of oral health which refers to inequalities among social positions in health condition, influenced by socio-economic and political situation. Mostly people with high socio-economic status have better oral health and huge disease burden can be seen in people at lower socio-economic situation or bottom of the gradient (Sheiham et al., 2011).

Inequalities in oral health are universally recognized as a major problem (CSDH, 2008). The utmost oral health inequalities are found in excluded society or marginalized people such as prisoners, homeless people, emigrants and disable people. A study at High-income-countries (England, Wales and Northern Ireland) indicated that homeless people have more untreated carious lesions, suffering toothache and severe tooth loss than general population as shown in figure (Peres et al., 2019).

Low socio-economic status can be assumed as marker for high risk of dental caries and it is significantly associated with prevalence of untreated caries experiences. A study conducted by Costa and colleagues presented that the increase of a unit of SES level was related with a rise in 10.35 unit in DMFT, p=0.05 (Costa, Martins, Pinto, Vasconcelos, & Abreu, 2018). Steele and his colleagues reported that There were significant

association between income and number of the remaining teeth for older groups, up to 4.5 teeth (95% CI, 2.2 to 6.8) between richest and poorest (Steele et al., 2015).

Moreover, worldwide studies have showed that socially disadvantaged people not only have poorer oral health but also exhibit different patterns of oral health service utilization (Hosseinpoor, Itani, & Petersen, 2012). Increasing household income, advancing age, higher education level of the head of the household, and having complementary insurance coverage (OR = 1.72) had positive relationships with the increased utilization of dental services (Nouraei Motlagh et al., 2019).

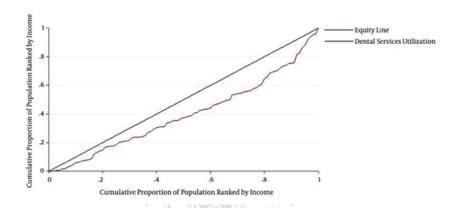


Figure 7. Concentration curve of the utilization of dental services

Although social determinants have been known as very important factors to improve oral health, the effectiveness of strategies to tackle these determinants shows low impacts. Upward trending of dental caries and other NCDs particularly in low-middle-income countries due to increase free sugar consumption and lifestyle (Peres et al., 2019) can't be solved by focusing on dental professional alone and radical approach such as common risk factor approach now needed to address this global health challenge.

#### 2.3 Impact of Dental Caries

Although dental caries is preventable, it is still a one of the most common diseases in children and people are at risk to the disease through their whole life. Moreover, it is the main causative factor for pain in oral cavity and tooth loss (Selwitz et al., 2007).

Dental caries is closely linked to socioeconomic status especially affecting the poorer and marginalized groups in society and widely influenced by vast health related social determinants. Dental caries has significant effects, causing pain, reduced quality of life, decreased productivity in work and moreover, the treatment costs can be considerable for both the individual and the health-care system (Peres et al., 2019).

#### 2.3.1 Economic burden of dental caries

The economic burden of a disease is identified to know the topmost amount of the available resources that could be saved when the partial or whole extent of the disease was removed. Policy makers can criticize the importance of the particular disease in public health dentistry by analyzing the magnitude of the financial or social impacts of that disease on community or different population groups (Listl, Galloway, Mossey, & Marcenes, 2015).

One of the main worldwide public health problems, dental caries has greater effects on social and economic development of the people with several hour loss in their works and education in all over the world (Petersen, Bourgeois, Ogawa, Estupinan-Day, & Ndiaye, 2005). Worldwide in 2015, economic burden of dental diseases totals US\$544.41 billion accounted for US\$356.80 billion in direct costs. When comparing estimation for 2010 (direct cost: US\$298 of total US\$442 billion), the direct costs estimation showed 21% increase in indirect costs between 2010 and 2015 (Righolt, Jevdjevic, Marcenes, & Listl, 2018).

The estimated indirect costs (negative effects on status of employment and work productivity) due to major dental diseases were in 144.25 billion in which 25.14 billion (17%) was attributable to untreated caries in permanent teeth and 2.09 billion (1%) for deciduous teeth (Righolt et al., 2018). The highest productivity loss proportion due to untreated caries in permanent teeth was found in North Africa and Middle East, South Asia and some African countries (Listl et al., 2015).

For low-income-countries in which the prevalence of untreated caries is more than 90% of all carious conditions, and the restoration approach for dental caries is not feasible. The estimated total financial cost for restoration of all caries in children of country with low income is US\$861 million. This cumulative cost significantly beyond the financial resources for health care in most of the developing nations (Kathmandu, 2002).

Restorative strategies place a considerable economic burden on industrialized countries, as oral health care expenditure is 5-10% of public health spending (Kassebaum et al., 2015). Due to limited resources in many countries, private dental services play a main role in dental health care and out of pocket expenses percentage is high. High out-of-pocket in payments for dental services is a significant burden on million peoples of low and middle-income countries (Bernabé, Masood, & Vujicic, 2017).

Table 1. Caries treatment needs in children	of low, middle and high-income countries
---	--

Country	Dmft (N)	Untreated caries % for dmft	DMFT (N)	Untreated caries % for DMFT
Low-income African countries	3.1 (7)	95	2.0 (14)	87
Low-income Asian countries	4.1 (5)	94	2.4 (19)	90
Middle income Countries	4.3 (16)	84	3.4 (33)	71
High income counties	1.6 (5)	1.6	2.3 (9)	22

Strategy targeted mainly on treatment approach on is not economically sustainable, socially desirable and to prevent a very large proportion of oral diseases, community-based prevention generally is cost-saving compared with a treatment-focused approach (Tomar & Cohen, 2010). Preventive programs for dental caries management have been shown in significant savings of dental expenditure (Petersen et al., 2005)(Sheiham et al., 2011). Global improvements in oral health may achieve significant economic benefits, not only in reduction of oral health care expenditure but also improving productivity in labor market (Jin et al., 2016).

## 2.4 Oral Health Quality of Life (OHQoL)

Oral health quality of life is defined as "an individual's assessment of how the following aspects affect his or well-being-being: functional factors, psychological factors, social factors and experiences of pain or discomfort in relation to orofacial concerns" (Mascarenhas et al., 2020). Although not a life-threatening condition, dental caries can cause pain as well as problems related to sleeping, eating, socialization and self-esteem (Ramos-Jorge, Ramos-Jorge, de Paiva, Marques, & Pordeus, 2015).



Figure 8. Determinants factors related to Oral Health Related Quality of Life

Dental caries is important oral disease affecting negative impact on the quality of life of school-age children (Krisdapong, Prasertsom, Rattanarangsima, & Sheiham, 2013). The consequences of this impact include pain, decreased appetite, difficulty chewing, difficulty eating and drinking hot or cold beverages, weight loss, difficult in sleeping and poor academic performances (Ramos-Jorge et al., 2015).

To measure disease burden, Disability Adjusted Life Years (DALYs) or Year Life with Disability YLDs (DALYs for oral condition) is commonly used and can be interpreted as year lost from health life due to either premature death or disabilities. (Kassebaum et al., 2017). In 2017, the total number of YLDs for all-age due to untreated caries for deciduous teeth was 0.1 million (95% UI, 0.06 to 0.3 million) while 1.6 million all-ages YLDs (95% UI, 0.7 to 3.1 million) was reported for permanent teeth (Bernabe et al., 2020).

Dental caries is the most common cause of toothache but it may affect the quality of life via other aspects such as dissatisfaction with decayed or missing teeth and decrease in productivity (Clementino et al., 2015). There are many studies showing that negative impacts on OHRQoL due to oral diseases in populations across the world(Hernández, Díaz, & Vilchis, 2015). The study conducted in Thai 12 and 15-year-school children for the impact on quality of life due to caries showed that caries prevalence was 58.8% with mean DMFT 1.6 (n=1063) for 12 years old and 68.6% with mean DMFT 2.4 (n=811) for 15 years old school children. Eating was the most commonly affected among the daily performance. The Condition Specific (CS) impacts attributed to dental caries was 47% of 12 and 40% of 15 years old (Krisdapong et al., 2013).

In the study of Pakistan adult population, 87% of study population had one or more carious tooth and difficult in eating and sleeping were the top reported impacts. It showed that having caries increased chances for having higher impacts on OHRQoL. Linearly for every added carious tooth, there was 1.38 increases in OIDP score after adjusting for clinical presence of previously filled and missing teeth as shown in figure below (Shahzad et al., 2020).

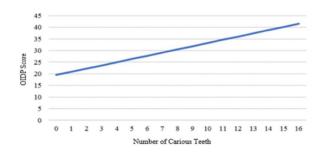


Figure 9. Graphical representation of linear association of caries and OIDP scores

Between 1990 and 2017, the percentage change for deciduous teeth in the number of prevalent cases was 1.3% and in YLDs was 0.2% respectively. The comparing changes rate from 1990 to 2017 was 35.9% for prevalence and 32% for all ages YLDs in permanent teeth. Between 1990 to 2017, it was found that the lower the World Bank income group, the higher the increase in number of prevalent cases and all age YLDs for permanent teeth (Bernabe et al., 2020).

Recently, children's OHRQoL including its social, functional and psychological aspects, has drawn more and more attention. The study conducted in China showed that effect of early childhood caries on child function domain was the highest ECOHIS score of 0.9 and child social interaction domain was the lowest score of 0.2 among 3-4 years old school children. Furthermore, approximately half of the parents reported that their children were affected by at least one item of ECOHIS in this study and caries status of children were significantly correlated with ECOHIS scores (Li et al., 2015).

A study among Brazilian preschool children presented that the negative impacts of ECC on the child section of the ECOHIS were more prevalent (36.8%) than the family section (31.4%) and the impact scores on OHRQoL was significantly associated with the child dental caries status (prevalence ratio = 2.18). Pain and discomfort due to caries are the most common factors which affect negatively on the quality of life among children and untreated condition leads to disfigurement, acute and chronic infections, changing in eating and sleeping, as well as high treatment costs, and reduced school performance due to diminished ability to learn (Çolak et al., 2013).

Moreover, a study conducted among Hong Kong preschool children reported that 36.9% of all participants (n=434) had caries experiences with mean dmft score of 1.7 (3.2) and the prevalence of negative consequences of untreated caries was 3.3%. The mean ECOHIS scores for child section was 3.8 (4.3) while the mean score for family section was 2.0 (2.6). 'Difficult pronouncing any words' (51.2%) and 'difficult eating some foods' (44%) were the most frequent reported items and for parental distress, 'been upset and felt guilty' were 46.5% and 41.1% accordingly. The study showed that school children with high dmft had a significantly increase chance of poor OHRQoL (OR=1.2, 95% CI 1.07 to 1.35) (Duangthip, Gao, Chen, Lo, & Chu, 2020).

There was a very few studies about the oral health related quality of life study in Myanmar and a study among Myanmar dwelling middle aged and older adults in Magway region presented that 57% of 633 participants

had impaired OHRQoL and 'self-conscious (55.9%) and discomfort when eating food (46.3%)' are most common problems in OHIP-14 measures (Htun & Peltzer, 2019).

#### 2.5 Oral Health Related Quality of Life measures for Children

The assessment for impact of children's oral health status on their OHRQoL is complex, and measurements of this assessment have only recently been developed.

#### 2.5.1 Child Perception Questionnaire (CPQ)

Child Perceptions Questionnaire (CPQ) is one of the first measurement instrument for OHRQoL in children. Parent's Perceptions Questionnaire (P-CPQ) and a Family Impact Scale (FIS) are added in CPQ to evaluate the OHRQoL information from the different perspectives.

There are two sorts of CPQ which are CPQ for children from 11 to 14 years of age and CPQ for children aged 8 to 10 years. Both two versions are used to evaluate the impact of oral conditions in children at emotional, social and functional level. They are composed of four domains such as oral symptoms (n=6), emotional well-being (n=9), functional limitations (n=9), and social well-being (n=13) including 37 items in CPQ 11-14 and 29 items in CPQ 8-10. The questions aim to measure the frequency of events related to oral condition during the previous three months in CPQ 11-14 and four week period in CPQ 8-10 (Jokovic, Locker, & Guyatt, 2006).

# 2.5.2 Child Oral Impacts on Daily Performances (C-OIDP)

The C-OIDP index is a short, easy to use questionnaire which was developed in English and validated among 11-12-year-old Thai children (Gherunpong, Tsakos, & Sheiham, 2004). This index aims to measure the impacts of oral health problems on daily activities commonly performed by children such as eating, speaking, relaxing, emotion, cleaning teeth, and smiling, studying, and social contact. The 0-3 scale is used to measure for each of these eight daily activities and the total score can be calculated by summing the scores for all activities, divided by the maximum score =72 and multiplying by 100. Therefore, the index score ranges between 0-100. The C-OIDP has two modes such as interviewer-administered and self-administered with same questionnaire.

#### 2.5.3 The Child Oral Health Impact Profile (COHIP)

The COHIP has five domains such as oral health, socio-emotional well-being, functional well-being, school performance and self-image and consists of 34 questions. This instrument composed of positive and negative questions and aims to measure self-reported OHRQoL in children 8-15 years of age. Responses were

recorded as 'never' = 0, 'almost never' = 1, 'sometimes' = 2, 'fairly often' = 3, and 'almost all of the time' = 4.

Twenty eight negatively-worded items were scored reversely (Broder & Wilson-Genderson, 2007).

#### 2.5.4 Scale of Oral Health Outcomes (SOHO)

This index aims to measure oral health related quality of life in very young-aged children, and it is the first self-reported OHRQoL measure among 5-year-old children. Children and parents were asked about difficulties related to eating, drinking, playing, speaking, smiling (because teeth hurt), smiling (because of the way teeth look), and sleeping.

Table 2. Properties of cross-cultural adaptations of SOHO-5 Questionnaire in different languages

Country	N	Cronbach's A	Test-retest (ICC)
Brazil	193	0.9 C / 0.77 P	0.92 C / 0.98 P
Bengali	272	0.79 C / 0.87 P	0.85 C
China	249	0.71 C / 0.82 P	0.85 C / 0.46 P
Dominican	69	0.85 C	NR
Indonesia	161	0.89 C / 0.86 P	0.94 C / 0.81 P
Persian	160	0.82 C / 0.67 P	0.8 P

The questions are worded in simple, and the answer consisted of 3 options (no; a little; a lot) facilitated by a prompt/explanation card with relevant faces. The total score varies from 0 to 14 for children and from 0 to 28 for the parents (Tsakos et al., 2012).

The SOHO-5 measure was translated into six different languages and it was proven to be valid, reliable, reproducible, and responsive to change in cross-cultural adaptations.

#### 2.5.5. The Early Childhood Oral Health Impact Scale (ECOHIS)

ECOHIS index was designed to measure OHRQoL of children for preschool age and younger. It depends on parental ratings of the 13 items consists of two main parts: the child impact section (CIS) and the family impact section (FIS). There are four domains in CIS such as child symptoms (1 item), child psychology (2 items), child functions (4 items) and child self-image and social interaction (2 items). The family impact section has two domains: family function (2 items) and parental distress (2 items). Each question aims to measure the frequency

of an oral health-related problem and is scored as never (score 0), hardly ever (score 1), occasionally (score 2), often (score 3), very often (score 4), don't know (score 5) (Pahel, Rozier, & Slade, 2007).

#### 2.5.6. Pediatric oral health related quality of life (POQL)

The POQL is a valid and reliable measure of oral health-related quality of life for use in preschool, school-age and pre-teen children. The POQL has four dimensions – Physical Functioning, Role Functioning, Social Functioning and Emotional Functioning. The POQL had similar items with other measures of OHQL in children, particularly items about Physical and Role Functioning. However, 60% of items in POQL index focus on socio-emotional impacts and the social items focus more on concerns about appearance while the social items of other measures focus more on interactions with others, such as feeling shy or not talking to others (Huntington et al., 2011).

Table 3. Oral health related quality of life measures for children

Name	Questions	Dimensions
Child Oral	25 or 37/5	Two versions; for children 8-10 years of age (CPQ 8-10) and for children 11-14 years of
Health Quality	point Likert	age (CPQ 11-14). Functional limitations, oral symptoms and emotional and social well-
of Life (CPQ)	scale	being for (CPQ) and Parental-Caregiver's Perceptions Questionnaire (PPQ). Family Impact
		Section (FIS) – impact on the family
Child OIDP	8/3 point	Age 11 and above, impact on eight daily performances - eating, speaking, smiling,
	Likert scale	cleaning teeth, emotional stability, relaxing, doing schoolwork and social contact
Child OHIP	34/5 point	Age 8+, negative and positive impacts in five domains – oral health, social/emotional
	Likert scale	well-being, functional well-being, school environment, and self-image
ECOHIS	13/5 point	Parents/caregivers complete questions on behalf of children aged 3, six domains -
	Likert scale	symptoms, psychology, function, social interaction/self-image, parental distress and family function
SOHO-5	7/3 point	Age 5, difficulties with eating, speaking, drinking, smiling, playing and sleeping due to
	scale	oral problems
POQL	10*2/5 point	Preschool: parent report on child PRC only
	Likert scale	School age/preteen (8+): PRC and child self-report (CSR)

# 2.6 Guideline for cross-cultural adaptation process and psychometric properties

Cross-cultural research can be conducted to explore the same question in several cultures or measure differences across cultures. For either goal, researchers need the same questionnaire in different languages. If the questionnaire is available in another language, researchers should adapt a questionnaire with documented

validity rather than create a new one because the cross-cultural adaptation (CCA) is faster and is assumed to produce equivalent measure.

There are many different methods for the translation and cross-cultural adaptation process. Among those, the forward- and backward-translation design is the most commonly used technique for cross-cultural research. However, there is still no clear international consensus on the optimal approach to performing transcultural adaptation. Guidelines for the Process of Cross-Cultural Adaptation of Self-Report Measures are based on a review of cross-cultural adaptation in the medical, sociological, and psychological literature. This review led to the description of a thorough adaptation process designed to maximize the attainment of semantic, idiomatic, experiential, and conceptual equivalence between the source and target questionnaires. The steps according to the guideline for the process of cross-cultural adaptation consists of initial translation, synthesis of initial translations, back translation, evaluation of an expert committee, and the pretesting process of the prefinal version in subjects from the target setting Table 4.

Table 4. Guideline for the process of the cross-cultural adaptation of self-reported measure

Step	Description		Rating scheme
	Two or more translators should independently translate the	+	Translation performed at least two independent
	original questionnaire. The translator should preferably be		translators
Translation	native speakers to target language.	?	Doubtful translation procedure
Transacion			Translation performed by only one translator
		0	No information about translation
	d 0		
	The translators should synthesis the multiple translations to	ียา <del>เ</del> ล ย	Performed synthesis
	procedure a consensus of the translations.	?	Doubtful design
Synthesis	GHULALONGKORN UNIV	/ E0 S	No information about synthesis or translation
			performed by only one translator
	Translators, blinded to the original questionnaire should	+	Back translation performed by at least two
	translate the consensus translation back into original language		independent translators
		?	Doubtful back translation procedure
Back translation		-	Back translation performed by only one translator
			No information about back translation
		0	
	The expert committee should consolidate all the versions of	+	Clearly reported the existence of an expert
Expert	the questionnaire and develop what would be considered the		committee
committee	prefinal version of the questionnaire for testing	?	Doubtful design
review		0	No information about the expert committee
	The prefinal questionnaire undergoes pilot testing with numbers	+	Performed pretesting
Pretesting	of the target population.	?	Doubtful design
rietesting		0	No information

In developing the cross-cultural adapted questionnaire, the adaptation and validation of a questionnaire are two different steps. Adaptation specifies that the underlying concept and hypotheses of the adapted questionnaire are those of the original questionnaire. In theory, an adapted questionnaire should have the same properties as the original, so if the properties of the original are poor, the adapted questionnaire will also have poor properties. In any case, the adapted questionnaire should always be validated by means of proper statistical tools. The COSMIN group provided recommendations and a checklist to verify a proper validation Table 5. The COSMIN criteria for good measurement properties provide a quality rating based on the results reported in the validation studies regarding the psychometric property of an outcome measure, making it advantageous compared with other tools due to its independent quality rating scores for each property.

Table 5. Criteria for good measurement properties (COSMIN)

Properties	Rating	Quality criteria
Structural validity	?	CTT:  CFA: CFI or TLI or comparable measure > 0.95 OR RMSEA  <0.06 OR SRMR < 0.08  IRT/Rasch:  No violation of uni-dimensionality: CFI or TLI or comparable measure > 0.95 OR RMSEA < 0.06 OR SRMR < 0.08  AND  no violation of local independence: residual correlations among the items after controlling for the dominant factor < 0.20 OR Q3's < 0.37  AND  no violation of monotonicity: adequate looking graphs OR item scalability > 0.30  AND  adequate model fit:  IRT: X2 > 0.01  Rasch: infit and outfit mean squares ≥ 0.5 and ≤ 1.5 OR Z-standardized values > -2 and <2  CTT: Not all information for '+' reported IRT/Rasch: Model fit not reported  Criteria for '+' not met
	+	At least low evidence for sufficient structural validity AND Cronbach's alpha ≥ 0.70 for each unidimensional scale or subscale
Internal consistency	?	Criteria for "At least low evidence for sufficient structural validity" not met  At least low evidence for sufficient structural validity AND Cronbach's alpha < 0.70 for each unidimensional scale subscale
Reliability	+ ?	ICC or weighted Kappa ≥ 0.70 ICC or weighted Kappa not reported
netiability		ICC or weighted Kappa < 0.70
	+	SDC or LoA < MIC
Measurement error	?	MIC not defined  SDC or LoA > MIC

	+	The result is in accordance with the hypothesis					
Hypothesis testing	?	No hypothesis defined (by the review team)					
for construct validity	-	The result is not in accordance with the hypothesis					
	+	No important differences found between group factors (such as age, gender, language) in multiple group factor analysis OR no important DIF for group factors (McFadden's R2 < 0.02)					
Cross-cultural validity	?	No multiple group factor analysis OR DIF analysis performed					
	=	Important differences between group factors OR DIF was found					
	+	Correlation with gold standard < 0.70 OR AUC $\geq$ 0.70					
Criterion validity	?	Not all information for '+' reported					
	-	Correlation with gold standard < 0.70 OR AUC < 0.70					
	+	The result is in accordance with the hypothesis OR AUC $\geq$ 0.70					
Responsiveness	?	No hypothesis defined (by the review team)					
	_	The result is not in accordance with the hypothesis OR AUC < 0.70					

Table 6 showed the validity and reliability of the SOHO-5 measure in a different version. The psychometric properties of the SOHO-5 in all studies were satisfactory and provided strong support for its reliability and validity. Only the Spanish SOHO-5 version conducted confirmatory factor analysis (CFA) to test the fit of the data in structural validity process. All the studies assessed the internal consistency determined by Cronbach's alpha ranging from 0.71–0.90, which indicated sufficient internal consistency. For the test-retest reliability, the ICC ranged from 0.46–0.98 on both reports. Most of the studies presented an adequate ICC or weighted kappa values (> 0.70) for test-retest reliability with the appropriate test-retest period (1–2 weeks) under similar test conditions; however, one study of the Spanish version did not report this parameter. In the assessment of measurement error, only the Bengali study reported the difference in SOHO-5 scores exceeding the respective standard error of measurement (SEM = 1.04). The studies reported the total SOHO-5 scores compared with several criteria, such as subjective clinical indicators, satisfaction, self-reported caries, treatment need, general health, or proxy oral health to assess the construct validity. These were significantly correlated with Spearman's coefficient (r) ranging from 0.27–0.68 (p<0.001). Moreover, all versions discriminated the different stages of caries severity among children (p<0.001). However, none of the studies tested the cross-cultural validity and responsiveness of the measures.

Table 6. Assessment of the measurement properties of the studies using the criteria for the good psychometric properties

Language (Country)	Structural validity	Internal consistency (Cronbach's alpha)	Reliability (Test-retest)	Measure ment Error	Hypothesis Testing for Construct validity	Cross- cultural validity	Respo nsiven ess
<b>Bengali</b> Bangladesh	NR	+ 0.79 (Child) 0.87 (Parent)	+ Weighted Kappa = 0.85 (Child)	SEM = 1.04	+ Significant association between SOHO-5 scores and subjective clinical oral health indicators for both versions Discriminant validity between the caries groups and dental sepsis groups (p<0.001)	NR	NR
Brazilian Portuguese Brazil	NR	+ 0.77 (Child) 0.90 (Parent)	+ ICC = 0.92 (Child) ICC = 0.98 (Parent)	NR	+ Spearman's correlation coefficient of the child's SOHO-5 scores with satisfaction r=0.51, self-reported caries r=0.53 Spearman's correlation coefficient of the parent's SOHO-5 scores with proxy oral health r=0.68, atisfaction r=0.68, treatment need r=0.51, child's general health r=0.60 Discriminant validity between caries experiences groups (p<0.001)	NR	NR
<b>Chinese</b> China	NR	+ 0.71 (Child) 0.82 (Parent)	+ ICC = 0.85 (Child) - ICC = 0.46 (Parent)	NR	+ Speaman's correlation coefficient of the child's SOHO-5 scores with satisfaction r=-0.35, self-reported caries r=0.37 Speaman's correlation coefficient of the parent's SOHO-5 scores with proxy oral health r=-0.57, satisfaction r=-0.48, treatment need r=0.27, child's general health r=0.51 Discriminant validity between caries experiences groups (p<0.001)	NR	NR
Indonesian Indonesia	NR	+ 0.89 (Child) 0.86 (Parent)	+ ICC = 0.94 (Child) ICC = 0.81 (Parent)	NR	+ Spearman's correlation coefficient of the child's SOHO-5 scores with self-reported caries r=0.31 Spearman's correlation coefficient of the parent's SOHO-5 scores with proxy oral health r=0.24, satisfaction r=0.27, treatment need r=0.22 Discriminant validity between caries experiences groups (p<0.001)	NR	NR
<b>Persian</b> Iran	NR	+ 0.82 (Child) - 0.67 (Parent)	ICC = 0.80 (Parent)	ณ์มห <sub>NR</sub> KORN	+ Spearman's correlation coefficient of the parent's SOHO-5 scores with proxy oral health r=0.5, satisfaction r=0.4, Discriminant validity between current toothache, toothache experiences, and reported caries (p<0.001)	NR	NR
<b>Spanish</b> Dominican Republic	+ CFI=1.000>0. 95 TLI=1.000>0. 95 RMSEA=0.000	+ 0.85 (Child)	NR	NR	Discriminant validity between moderate and extensive caries lesions (ROC=0.82, p<0.001)	NR	NR
<b>Burmese</b> Myanmar	NR	+ 0.82 (Child) 0.79 (Parent)	+ ICC = 0.90 (Child) ICC = 0.89 (Parent)	NR	+ Speamman's correlation coefficient of the child's SOHO-5 scores with satisfaction r=0.71, self-reported caries r=0.62, Speamman's correlation coefficient of the parent's SOHO-5 scores with proxy oral health r=0.77, satisfaction r=0.76, treatment need r=0.75 Discriminant validity between caries experiences groups (p<0.001)	NR	NR

<sup>\*</sup>Statistically significant (p<0.05),

<sup>&</sup>lt;sup>a</sup>CC: Intraclass correlation coefficient, <sup>b</sup>CFA: Confirmatory factor analysis, <sup>c</sup>ROC: Receiver Operating Curve,

Global rating questionnaire: Satisfaction and self-reported caries questionnaires for child

 $Proxy-rated\ or al\ health,\ satisfaction,\ treatment\ need\ and\ impact\ on\ general\ health\ question naire\ for\ parent$ 

 $Subjective\ or al\ health\ variables:\ Current\ too thache,\ too thache\ lifetime\ experience,\ satisfaction\ with\ teeth,\ presence\ of\ too\ th\ cavities$ 

# 2.7 Measurement of Dental Caries

Measuring a disease is the basic step for planning, monitoring for control of this disease actions and assessment of oral health prevention program. Only effective measurement tool can perform the high-quality information of dental caries assessment in epidemiologic surveys and this accurate information is important for problem solving in this disease condition (Castro, Vianna, & Mendes, 2018). Several methods are used to measure dental caries in the population such as DMF index, NYVAD System, the Significant Caries Index (SIC), Caries Activity Index, International Caries Detection and Assessment Systems (ICDAS) and Caries Spectrum Assessment and Treatment (CAST) etc.

# 2.6.1 Decayed, Missing, and Filled (DMF) index

The most widely used index is the decayed, missing, and filled (DMF) assessment described by Klein and Palmer in 1937 (Castro et al., 2018). This traditional worldwide index is used to evaluate caries prevalence in epidemiologic studies but not in clinical practice. It is number of affected teeth per individual evaluated at either the tooth (DMFT) or tooth surface (DMFS). The DMFT records the caries experiences for both current and past caries. The DMFT scores per person can range from 0 to 28 and 0 to 128 of DMFS without including third molar.

The variation of this index for primary dentition is def index and can be evaluated as the total number of teeth that are decayed (d), indicated for extraction (e), or filled (f). The deft index scores for primary teeth ranging from 0 to 20 and 0 to 88 in defs index in children. (Mascarenhas et al., 2020).

Among most recent caries detection systems, the three systems such as ICDAS, NAYVD criteria and CAST have gone through clinical and histologic validation (Mascarenhas et al., 2020).

# 2.6.2 International caries detection and assessment system (ICDAS)

It was designed to detect six stages of the carious process, ranging from the early clinically visible changes in enamel caused by carious demineralization to extensive cavitation. ICDAS was divided into sections covering coronal caries (pits and fissures, mesial-distal, and buccal-lingual), root caries, and caries associated with restorations and sealants (CARS). The 'D' in ICDAS stands for detection of dental caries by (i) stage of the carious process; (ii) topography (pit-and-fissure or smooth surfaces); (iii) anatomy (crowns versus roots); and (iv) restoration or sealant status. The 'A' in ICDAS stands for assessment of the caries process by stage (non-cavitated or cavitated) and activity (active or arrested) (Ismail et al., 2007).

The detection of dental caries on coronal tooth surfaces is a two-stage process. The first decision is to classify each tooth surface on whether it is sound, sealed, restored, crowned, or missing.

The second decision that should be made for each tooth surface is the classification of the carious status on an ordinal scale.

### 2.6.3 NYVAD system

The NYVAD classification is a visual-tactile caries classification system developed to enable the detection of the activity and severity of caries lesions with special focus on low-caries populations. This classification reflects the entire continuum of caries, ranging from clinically sound surfaces through non-cavitated and micro-cavitated caries lesions in enamel, to frank cavitation into the dentin. Lesion activity at each severity stage is discriminated by differences in surface topography and lesion texture. The reliability of the Nyvad criteria is high to excellent when used by trained examiners in the primary and permanent dentitions. Lesion activity assessment performed successfully as a screening tool to identify individuals with a poor caries prognosis. Because of their predictive validity, the Nyvad criteria are superior to other current caries lesion descriptors for the detection of changes in the lesion activity status over time (Nyvad & Baelum, 2018).

# 2.6.4 Caries Assessment Spectrum and Treatment (CAST)

The CAST system aims to detect whole caries spectrum, from sound tooth to enamel or dentine carious lesions, carious lesions with pulpal involvement and tooth loss due to caries as well as the protective measures such as sealant and restorations for dental caries management. The CAST instrument has the similar criteria list of WHO caries assessment and therefore, the epidemiological survey of CAST system assessment can be compared to other which have used WHO assessment criteria.

Furthermore, the CAST instrument use one code for enamel, two codes for dentine carious lesions and the presence of sealants. It also has a code for carious lesions with pulpal involvement and one code for abscess/fistulae. By adding the pufa/ PUFA index in CAST can provide comprehensive information on the caries status in a population, help for implementing plans to improve it (Jo E Frencken, de Souza, van der Sanden, Bronkhorst, & Leal, 2013).

# 2.7 Strategic oral health care services in Myanmar

The Oral Health Unit of the Department of Health delivers basic oral health care services in all states and regions via oral health care sections. Ministry of Health and Sport (MOHS) and World Health Organization (WHO) had jointly implemented the primary oral health care services in1999. Later in, "Campaign for Tooth Brushing after Lunch" is started at primary schools of selected townships (Aung et al., 2019).

The planning and implementations for healthcare services has been reinforced by government at 2016. A National Health Plan (2017 – 2021) was launched, aims to deliver basic essential packages of health services throughout whole country by 2020 (Saw et al., 2019). Recently, the Oral Health Unit operated various oral health care activities and programs for all population to reduce oral disease burden, and to promote public oral health status such as: (i) "Early Childhood Caries Prevention Program" at preschool children with delivering health education and correct toothbrushing training to caregivers (ii) "School based oral healthcare activities" (iii) "Maternal Oral Health Education Programs" to improve overall maternal and child health, (iv) "Feasible Effective and Affordable Fluoride Program" to improve oral health for the all population (Aung et al., 2019).

As public oral health services are limited, many people are commonly depending on the private sector or charity clinic for their oral health care and people paying out of pocket expenditure for these services. The study of Bernabé et al. presented that the percentage of the household expenditure spent on dental care was 1.5% in Myanmar (Bernabé et al., 2017), but this study might be underestimated the impact of payments for dental care service because most of the patients can't affords the costs of dental services for their necessary treatments.

# 2.8 Challenges or limitations

Recently Myanmar government tried to implement "Universal Health Coverage (UHC)" in the National Health Plan (2017-2021) but oral health priority on most national agenda is low (Aung et al., 2019). Moreover, high prevalence of untreated caries in permanent teeth (40-50%), severe periodontitis in adults and untreated caries in deciduous teeth (85%) still remained a challenge.

Oral health information system needs to upgrade for data collection and surveillance on disease prevalence and trend (Aung et al., 2019). Evaluation process is almost nil in the oral health promotion activities in Myanmar, so the effective or efficient of current activities to improve oral health is uncertain(Thwin, 2019).

Another issue of dental public health concern in Myanmar is large oral health inequalities. It needs to address oral health inequalities between urban and rural areas and reduce barrier to access oral health care services in remote areas (geographic barriers) and financial problem (Thwin, 2019).

Myanmar has faced shortage of human resources for health due to discrepancy between supply and demand for health professionals and staffs. According to World Health Report in 2006, Myanmar is one of the 57 crisis countries encountering shortages for health workforce. Serving at the public health sector is unattractive to health professionals due to long working hours, unfavorable working environment, heavy burden of workload and low remuneration (Saw et al., 2019).

The above data shows that the caries controlling has not been get a satisfactory change and it might be due to increase sugar consumption, lifestyle changes and needs to effective oral health prevention program (Thwin, 2019). Moreover, almost all of carious primary teeth were noticed as 'untreated teeth' and related with higher severity in communities with low socioeconomic status and leads to negative impacts on physical development, children's learning capacity, and lead to high treatment costs in the future (Aung et al., 2019).



# CHAPTER III

### RESEARCH METHODOLOGY

This study was conducted among 5-year-old children in the Mandalay region. Mandalay is the second-largest city in Myanmar and has a population of 1,225,553 according to the 2014 census. There are seven sub-districts in this region and a total of 270 primary schools in this area.

In Myanmar, the official entry age for primary education is 5 years, thus all children aged 5 years who can access education enter formal school. According to Administrative Data of the Department of Education Research, Planning and Training (Ministry of Education), the school enrolment rate of five-year-old children was 93 % (97.4% in males and 88.5% in females) in the 2014-2015 academic year (UNICEF, 2018).

The total out-of-school rate for primary school age (age 5-9) children was 12.3% in Myanmar. There was no significant difference in the primary school enrolment rate among the states and regions except in Rakhine state where only 65.1% of primary-school-age children were in school compared to 85% on average across the country.

There were two parts conducted to this study; phase one was to cross-culturally adapt SOHO-5 for the Myanmar population and test the psychometric properties of the SOHO-5 Myanmar Version. The phase 2 was to assess the impact of early childhood caries on oral health and quality of life among 5-year-old children in Mandalay, using the Myanmar version of the SOHO-5 questionnaire.

# 3.1 Phase 1: Develop the cross-cultural adaptation and psychometric properties of the Myanmar Version of SOHO-5

After obtaining approval by the Chulalongkorn University Ethics Committee (HREC-DCU 2021-047) and the Research and Ethics Committee, Myanmar (ERC-F4-2021), the study was implemented as follows:

In this study, the newly developed questionnaire in Myanmar version was used for assessing oral health quality of life of children. The scale construction process composed two steps: 1) test cross-cultural adaptation; 2) test psychometric properties according to their instructions, these steps were carried out.

The SOHO-5 consists of a child self-report and a parental report for the child's oral health history.

Both versions contain 7 items. For child report, the items are 'difficult in eating, drinking, speaking, playing,

sleeping, avoid smiling due to pain and smiling due to appearance' with response of 3-point scale (no = 0, a little = 1 and a lot = 2). The items in parental report include 'difficulty in eating, playing, speaking, sleeping, avoid smiling due to pain, avoid smiling due to appearance and affected self-confidence' with response of 5-point scale (n = 0, a little = 1, moderate = 2, a lot = 3, and a great deal = 4). The SOHO-5 scores for both versions are calculated as the sum of response codes and a higher score denotes a greater negative degree of oral impact on the children's quality of life.

### 3.1.1 Cross-cultural adaptation

The original SOHO-5 English version was first translated into Burmese, the major language spoken in Myanmar, by two bilingual independent professionals according to the guidelines. These Myanmar versions were discussed to develop into a draft. Then, this draft was translated back into English by another two Myanmar dentists who were masked from the original wording of the SOHO-5. The cross-cultural equivalence of the back-translated version and the original version was verified by an expert panel consisting of one pediatric dentist, one language professional, and one public health researcher who had bilingual capability. The consensus version was developed after the revision was carried out.

The pilot study of the consensus version in the Myanmar language was tested in 20 children aged 5 years old and caregivers to examine the comprehensibility of the Myanmar SOHO-5 version. Based on the feedback, the final Myanmar SOHO-5 version was revised by the expert panel so that the questionnaire was suitable for school children and their parents.

# 3.1.2 Psychometric properties

A cross-sectional study was performed in Mandalay city to test the psychometric characteristics of the Myanmar version of the SOHO-5 questionnaire.

The study population comprised 5-year-old children and their parents living in the subdistricts of Mandalay. Five-year-old children from kindergarten schools and their parents were invited to participate in this study. An invitation letter with the purpose of the study was sent to the parents.

Children who were aged five years, Burmese speaking, and whose parents can understand Burmese were recruited for this study. Children with developmental delay, disabilities, and who were uncooperative or refused oral examination were excluded.

Sample size calculation was performed according to the internal consistency test (Cronbach's alpha statistics). In this study, we were interested to estimate the value. For a question with seven items (k), by setting the expected value of Cronbach's alpha (H0) as 0.80 with a 4.9% precision value and type I error as 0.05. The sample size calculation was performed using the computer software Microsoft Excel and a formula recommended by a previous study (Bonett, 2002).

$$n = \{2k / (k-1)\} (z_{\alpha/2} + z_{\beta})^2 / \ln(\delta)^2 + 2,$$

Where  $z\alpha/2$  and  $z\beta$  are points on the standard normal distribution exceeded with probability  $\alpha$  /2 and  $\beta$ , respectively. Replace  $z\alpha/2$  with  $z\alpha$  for a one-tailed test.  $\delta$  = (1-c)/ (1-  $\rho$ k), and  $\rho$ k denotes coefficient alpha based on a scale having k parts and is the expected value obtained from expert opinion or prior research. The minimum sample size was 155 and a total of 173 individuals with a 10% dropout completing the study was sufficient.

. Test for validity

A. Content Validity

In examining the accuracy of the content of the proposed measuring tools, a copy of the newly developed Myanmar version of the questionnaire was sent to three experts in the related field. All experts were asked to assess the relevance and adequacy of this questionnaire. The grading system was as follows

1 for relatively valid item

0 for not sure

-1 for relatively irrelevant item

The obtained scores from each item were calculated to demonstrate the validity of each item by using the following formula:

$$IC = \frac{\Sigma R}{N}$$

Where.

IC = Item correlation

 $\Sigma R$  = Total scores of that item

N = Number of experts

The items that obtain IC< 0.5 were modified or discarded.

# B. Construct validity

Construct validity refers to the evaluation of a survey variable assuming that there are well-developed theories or hypotheses about the relationships of that variable to others being measured in the study. Construct validity tests whether a hypothesized association between a survey measure and a measure of the same concept (convergent validity) or a different concept (discriminant validity) is confirmed. In this study, the construct validity of the measure was assessed by investigating the relationship between dental caries status (discriminant validity).

To determine the convergent validity of the Myanmar SOHO-5 version, the children and the parents were asked to answer the two global health-rating questions (subjective self-reported health measures) added at the end of the scale. Thus, this validity was tested by assessing the correlation between the SOHO-5 scores of parents and children and additional global rating questionnaires.

The additional global rating questions were added to the children's and parental questionnaires. For the children's questionnaires, it consists about their satisfaction with oral health ('How happy are you with your teeth? very happy = 0, a little happy = 1, and not happy = 2') and the presence of dental cavities ('Do you have any holes in your teeth? No = 0, Yes = 1'). For the parental questionnaires, the following ratings included proxyrated oral health ('How would you rate your child's dental health?; excellent = 0, very good = 1, good = 2, fair = 3, poor = 4'), satisfaction with child's oral health ('How happy are you with your child's dental health?; very happy = 0 to very unhappy = 4'), the child's overall well-being ('Do you think the overall well-being of your child is affected by the conditions of their teeth?; not at all = 0 to a great deal = 4'), and the child's perceived dental treatment needs ('Do you think your child needs any dental treatment because of the state (holes in teeth or pain) of his/her teeth?; no = 0, Yes = 1').

Discriminative validity is considered a subcategory of the construct validity (Asunta, Viholainen, Ahonen, & Rintala, 2019) and refers to the principle that the indicators for different constructs should not be so highly correlated across constructs as to lead one to conclude that the constructs overlap. Thus, the validity of the scale was tested by comparing the extent to which SOHO-5 scores discriminated between children with and without caries experience. Therefore, children with caries experience should have a higher SOHO-5 score (indicating a poorer oral health-related quality of life) than children without caries experience. Caries experience with the children was recorded using the dmft index. The dmft scores were assumed as a moderate-to-high correlation with SOHO-5 scores.

# II. Test for reliability

The tool's reliability testing must be performed for the consistency of questionnaires. Reliability is the overall consistency of a measure, describing the extent to which a measure is stable when repeated under consistent conditions. In this study, the reliability of the measure was tested by both internal consistency and test-retest reliability.

Internal consistency is a measure of the extent to which items in a questionnaire (sub)scale are correlated (homogeneous), thus measuring the same concept which is an important measurement property for questionnaires that intend to measure a single underlying concept (construct) by using multiple items (Terwee et al., 2007). The internal consistency of this measure was assessed by Cronbach's alpha coefficient, inter-item, and item-total correlations.

Cronbach's alpha is considered an adequate measure of internal consistency. A low Cronbach's alpha indicates a lack of correlation between the items in a scale, which makes summarizing the items unjustified. A very high Cronbach's alpha indicates high correlations among the items in the scale, i.e., redundancy of one or more items. Mostly, a criterion of 0.70-0.90 is proposed as a measure of good internal consistency.

Test-retest reliability refers to the relative stability of the assessment over time, assessing the degree to which the measurement tool scores are consistent from one test administration to the next. The intraclass correlation coefficient ICC is the most suitable and most commonly used reliability parameter for continuous measures. Often 0.70 is recommended as a minimum standard for reliability in a sample size of at least 50 patients. For test-retest reliability measurements, children will receive an additional questionnaire within 1-2 weeks of the first administration.

# 3.1.3 Data collection

A total of 173 children and their parents from nine kindergarten schools in seven districts were recruited. The SOHO-5 questionnaire for child report was completed by conducting face-to-face interviews individually with each child in the classroom. Three interviewers conducted the interviewing before the oral health examination. Each child was asked to answer the two additional global rating questions for assessing construct validity.

The SOHO-5 questionnaire for the parental report was conducted by three trained interviewers before the child's oral examination in school. The parents were asked four additional global rating questions to assess

the construct validity. Duplicate interviews were performed on 50 child-parent pairs 1-2 weeks after the first interviews by the same interviewers.

### Children's Oral examination:

A single examiner who had previously calibrated examined the oral health status of the participants. An oral examination was performed while the child was in a seated position on a chair under natural light in the classroom. The dental caries status of the children was assessed according to World Health Organization criteria (WHO, 2013) using a penlight, disposable dental mirror, and WHO-CPI probe. Caries' experience in primary teeth was recorded in a modified oral health assessment form using the dmft index. Oral hygiene status was assessed at selected index teeth (primary incisor and second primary molar) with the modified Simplified Oral Hygiene Index (OHI-S).

### 3.1.4 Data analysis

The SPSS analytical software was used for data analysis. The distribution of the SOHO-5 scores of both children's and parents' reports did not follow the normal distribution. Thus, the spearman rank correlation was used to assess the association between SOHO-5 scores and the responses of additional global rating questions for criterion validity. The normality test also found that the distribution of the responses of SOHO-5 scores in both caries and caries-free groups did not follow a normal distribution. The discriminant validity of the SOHO-5 Myanmar version was assessed by the Mann-Whitney U test comparing the SOHO-5 scores of children with and without dental caries experiences.

Participants for the content validation study were experts recruited from the departments of the University of Dental Medicine (Mdy), University of Dental Medicine (Ygn), Myanmar, and University of Chulalongkorn University (Thailand). The item correlation (IC) for content validity was calculated by using the formula. The reliability of the SOHO-5 Myanmar version was assessed by both internal consistency and test-retest reliability. The internal consistency was assessed by Cronbach's alpha coefficient, item-total correlation coefficients, and Cronbach's alpha if the item deleted for each item. The intra-class correlation coefficient (ICC) was used to assess the test-retest reliability by measuring the level of agreement of the answers between first and duplicate questionnaires. The statistical significance of p=0.05 was set for all analytical tests.

# 3.2 Phase 2: Evaluate the impact of ECC on oral health quality of life of Myanmar preschool children

### 3.2.1 Research design

The cross-sectional study was conducted to assess the impacts of early childhood caries (ECC) on oral health related quality of life of 5 years old Myanmar children.

# 3.2.2 Research setting

The site of the study was located in the subdistricts of Mandalay city, Mandalay region. Mandalay is the middle part of Myanmar and the second-largest city which consists of seven subdistricts. Mandalay region has a population of 1,225,553 people and the majority of the population is Burmese. The Burmese language is the official language mainly used for communication among people. Table 7 described the distribution of the 5 years old children in seven districts of Mandalay city according to the Myanmar Information Management Unit (MIMU), 2019.

# 3.2.3 Population and sample selection

The study population comprised of 5 years old children and their parents living in this Mandalay city. Due to the COVID-19 pandemic and the political situation in Myanmar, public health strategies to stop the virus from spreading such as social distancing, homestays, and the closure of schools were established by the government. Therefore, we chose the convenience sampling method instead of the stratified sampling method for the recruitment of the participants in this study. The recruitment procedure was based on the distribution of the 5 years old children population in seven districts of Mandalay city. The purpose and procedures of the study were explained to the parents of the preschool children and invited them to participate in this study. Written parental consent was asked for before implementing the study.

### Inclusion criteria

Samples were children aged 5 years old who live in this study area with the following criteria: (1) children who were aged five years, Burmese speaking (2) caregiver being capable to understand Burmese (3) children with informed consent.

# **Exclusion Criteria**

Children were excluded if (1) children with developmental delay and disabilities (2) they are uncooperative or refused the oral examination.

Table 7. Distribution of 5 years old children in districts of Mandalay (MIMU, 2019)

Townships	Pyi Gyi Tagon	Pathein Gyi	Maha Aung Myay	Chan Mya Tharzi	Chanaye Tharzan	Aung Myay Tharzan	Amarapura	Total
No of schools	7	73	23	13	33	34	64	247
5 years  Male  Female  Total	1384 2775 <b>2775</b> (13%)	1810 1560 <b>3370</b> (15.9%)	928 850 1778 (8.4%)	1595 1458 <b>3053</b> (14.4%)	929 950 <b>1879</b> (9%)	1627 1606 <b>3233</b> (15.3%)	3438 1634 <b>5072</b> (24%)	11711 10833 <b>21160</b> (100%)

# 3.2.4 Sample size

The minimum sample size was calculated based on the F tests-Linear multiple regression test: fixed model,  $R^2$  deviation from zero by using G\*Power software (3.1.9.4).

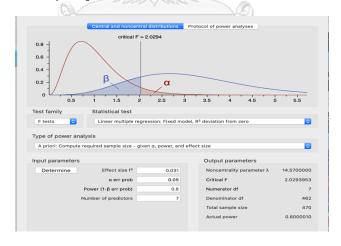


Figure 10. Sample size calculation based 0n the linear multiple regression (G\*Power)

From the previous study (H. M. Wong, C. P. McGrath, N. M. King, & E. C. Lo, 2011), the oral health related quality of life in child impact section was significantly associated with caries status of children with caries prevalence of 35% and the  $R^2$  value of this linear regression analysis is 0.18. we assumed the effect size based on the caries prevalence of the Myanmar 5 years old children in this study. According to the Myanmar information

management unit, the total number of 5-year-old school children in Mandalay was approximately 21,160. The untreated caries prevalence in 5 years old school children was 84.1% (dmft - 5.7) according to a national oral health survey. The effect size f2 0.031 for the seven predictors variable, significant level  $\alpha$  0.05 (Z = 1.96) and the 80% power will be used for this calculation. Then, the minimum sample size of a total participant 470 and a total of 517 participants with 10% dropout was required.

# 3.2.5 Research implementation steps and data collection

After obtaining approval from the Human Research Ethics Committee, Chulalongkorn University (HREC-DCU 2021-047) and the Research and Ethics Committee, Myanmar (ERC-F4-2021), the study was implemented as follows:

Five hundred and fourteen child-parent pairs were recruited for this phase. Table 8 showed the distribution of the sample recruitment based on the population ratio of the children in seven districts in Mandalay city. A self-administered questionnaire related to the child's demographic and behavior was interviewed the parents before their child's oral health condition was examined. Children's OHRQoL was collected from parents and children via interview by using parental and child versions of the SOHO-5 questionnaire.

Table 8. Sample recruitment according to the proportion of sample population

Township	Pyi Gyi Tagon	Pa Thein Gyi	Maha Aung May	Chan Mya Thazi	Chanaye Tharzan	Aung Myay Tharzan	Amarapura	Total
5 years (%)	2775 (13%)	3370 (15.9%)	1778 (8.4%)	3053 (14.4%)	S 1879 (9%)	3233 (15.3%)	5072 (24%)	21160 (100%)
Expected sample	65	80	42	72	45	76	120	500
Sample recruited	85 (16.7%)	89 (17.5%)	57 (11.2%)	62 (12.2%)	47 (9.2%)	59 (11.6%)	110 (21.6%)	509 (100%)

# 3.2.6 Data collection

# A. Questionnaire survey

One of the parents or caregivers of children was interviewed to respond the oral health behavior and sociodemographic condition of the child. The child's oral health related quality of life information was collected

from the parent or caregiver and child themselves via face-face interview by using Myanmar SOHO-5 questionnaires. The interviews were conducted on the same day prior to the clinical examinations by three trained interviewers who were blind to the clinical findings.

#### B. Children's Oral examination:

An oral examination was performed while the child was in a seated position on a chair under natural light in the classroom. The dental caries status of the children was assessed by two calibrated examiners according to World Health Organization criteria (WHO, 2013) using a penlight, disposable dental mirror, and WHO-CPI probe. Caries experience in primary teeth was recorded in a modified oral health assessment form using dmft index. A tooth was recorded as decayed (dt) when a dentine lesion had an unmistakable cavity or when both a dentine carious lesion and a restoration were present. A tooth was recorded as missing (mt) when it was extracted as a result of caries. A tooth was recorded as filled (ft) when a permanent filling without caries was present.

Oral hygiene level was determined using a modified Simplified Oral Hygiene Index (OHI-S) for the Debris index of Green and Vermillion (Greene & Vermillion, 1964). The modification was scored on two index teeth (primary incisor and second primary molar) instead of the permanent teeth for which the index was originally designed. Training and calibration exercises were conducted before the study. A high degree of agreement was demonstrated (k = 0.81 to 0.87) for inter-examiner reliability using the kappa method. Ten percent of the sample was reexamined by each examiner and the intra-examiner reliability was kappa value 0.84 to 0.90.

# 3.2.7 Research Instrument

# Questionnaires

The questionnaire comprised of two parts as follows: 1) Child's demographic and behavior; 2) The SOHO-5 Myanmar version for oral health quality of life of 5-year-old children shown in Appendix.

# A. Child's demographic and behavior

The parent's or caregiver's data comprised of age, relationship to the child, household income, and education (Appendix A). The child's data comprised gender, age, history of illness and oral health behavior, frequency of snacks between meals, types of snacks, starting age for tooth brushing, frequency of tooth brushing, and previous dental visit experiences.

### B. Dental caries and oral hygiene assessment form

Caries status was assessed by decayed, missing, and filled teeth index (dmft) following the criteria of the WHO. Caries was recorded as present when a lesion in a pit or fissure, or on a smooth tooth surface, has an unmistakable cavity, undermined enamel, or a detectably softened floor or wall. In the case where the crown has been destroyed by caries and only the root is left, the caries was judged, and it therefore scored as caries (WHO, 2013).

The criteria for diagnosing a tooth status and the coding are as follows (codes applied to primary teet h are given in parentheses):

- A Sound crown. A crown is coded as sound if it shows no evidence of treated or untreated clinical caries
- B Carious crown. Caries is recorded as present when a lesion in a pit or fissure, or on a smooth tooth surface, has an unmistakable cavity, undermined enamel, or a detectably softened floor or wall
- C Filled crown, with caries. A crown is considered filled, with decay, when it has one or more permanent restorations and one or more areas that are decayed
- D Filled crown, with no caries. A crown is considered filled, without caries, when one or more permanent restorations are present and there is no caries anywhere on the crown
- E Missing tooth due to caries. This code is used for permanent or primary teeth that have been extracted because of caries and are recorded under the coronal status
- F Fissure sealant. This code is used for teeth in which a fissure sealant has been placed on the occlusal surface, in pits or for teeth
- G Fixed dental prosthesis abutment, special crown, or veneer. This code is used under coronal status to indicate that a tooth forms part of a fixed bridge abutment

Oral hygiene status was assessed at selected index teeth (primary incisor and second primary molar) with the modified Simplified Oral Hygiene Index (OHI-S). The coding systems used in the assessment of debris are 0: No debris; 1: Less than 1/3 of tooth surface covered by soft debris; 2: 1/3-2/3 of tooth surface covered by soft debris; 3: More than 2/3 of tooth surface covered by soft debris. The debris score of each tooth surface was added and divided by the number of teeth examined to calculate the mean debris score.

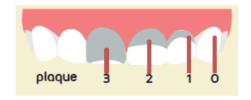


Figure 11. Criteria for classifying debris

#### C. SOHO-5 questionnaire

The SOHO-5 Burmese version was used to collect the oral health quality of life of 5-year-old children, and details are as follows:

The SOHO-5 questionnaire is composed of a child self-report and a parental report for the history of the child's oral health. For the child version, the report included seven questions about the difficulty in eating, drinking, speaking, playing, sleeping, smiling (due to pain), and smiling (due to appearance). The answers for the child version are using a 3-point scale (no=0, a little=1, and a lot=2).

There were also seven items in the parental version which are difficulty eating, difficulty playing, difficulty speaking, difficulty sleeping, avoiding smiling due to pain, avoiding smiling due to appearance, and affected self-confidence. The answering options followed a 5-point scale (no=0, a little=1, moderate=2, a lot=3 and a great deal = 4). The SOHO-5 scores were calculated as the sum of response codes of all questions. The total score varies from 0 to 14 for the children and from 0 to 28 for the parents. For both SOHO-5c and SOHO-5p, a higher score refers to a greater negative impact on oral health-related quality of life of children.

# 3.2.8 Data Analysis

Data were analyzed by using the SPSS statistical package. Statistical analyses included the followings:

Mean, standard deviation, frequencies, and percentage were performed to describe oral health related quality of life of children and the socio-demographic status of caregivers. Mean and standard deviation of dmft and percentage of caries prevalence were performed to describe the oral health status of children. Cohen's kappa coefficient was used to assess the intra-examiner and inter-examiner reliability for caries diagnosis.

The parent-child agreement was assessed by calculating the intra-class correlation coefficient (ICC) between the total and item scores of the children's and parents' report. The agreement level determined by the ICC was categorized as poor (<0.20), weak (0.20-0.40), moderate (0.41-0.60), substantial or strong (0.61-0.80), and excellent (0.81-1.0).

Bivariate analysis was used to compare the baseline information of the study children among two groups. The student's t-test or Mann-Whitney U test was used to evaluate the statistical significance of the mean difference in dmft and debris score between children who have OHRQoL and children without OHRQoL and Chisquare was used to compare differences between two groups for categorical variables. A multiple logistic regression model was constructed to identify the variables associated with a binary outcome (0 for "No having OHRQoL", 1 for "Having OHRQoL"). The variables associated with having the quality of life in the univariate analysis were eligible for entry into multiple logistic regression models if they were significantly associated at p<0.25. Family and child related factors such as caries status, oral hygiene status, child demographic, and oral health behaviors were chosen and entered into the baseline model. Odds ratios and 95% confidence intervals (CI) were calculated. Estimated coefficients and their standard errors (SEs) were calculated using the method of maximum likelihood. Variables were eliminated from the model one at a time based on likelihood ratio tests. When all nonsignificant (p>0.05) variables had been eliminated from the multivariate model, calibration was assessed using the Hosmer-Lemeshow goodness-of-fit test. This test evaluates the degree of correspondence between a model's estimated probability of OHRQoL and the actual OHRQoL of the children spanning the entire range of probability.

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# CHAPTER IV

# **RESULTS**

# 4.1 Phase 1: Develop the cross-cultural adaptation and psychometric properties of the Myanmar Version of SOHO-5

Three experts participated to assess the content validity of a translated SOHO-5 Myanmar version. Two were assistant lecturers at the Preventive and Community Dentistry Department, University of Dental Medicine, Mandalay, and Prosthodontics Department, University of Dental Medicine, Yangon, respectively. The other expert was a pediatric dentist who at the time of the study was enrolled as a second-year Master candidate at the Pediatric dentistry department, Chulalongkorn University. The mean age of the experts was 35.33 (SD, 4.77) years. The number of questions in the SOHO-5 questionnaire remained unchanged after the validation process. Item correlation IC of each item in the questionnaire was shown in Table 9. Briefly, IC for the questionnaire ranged from 0.67 to 1.00. Six out of seven questions in the questionnaire for children reported having an IC of 1.00, demonstrating complete agreement among the content experts. "Avoid smiling due to appearance" item in the children's report had an IC of 0.67. Five out of seven questions in the questionnaire for the parental report had an IC of 1.00, demonstrating complete agreement among the content experts. "Avoid smiling due to appearance" and "influence in self-confident" items in the parent's report had an IC value of 0.67. Difficulty in eating, difficulty in sleeping, difficulty in speaking, difficult in playing, and avoid smiling due to pain were the reported items for complete agreement among the experts. The IC scores of all items in both children and parental reports were greater than the predefined score (IC > 0.5).

The participants in this study comprised 173 children and their parents. The participants consisted of 53.8% boys (n = 93) and 46.2% girls (n = 80). Approximately forty percent of the parents had above the high school education and 37% had high school education and 23 % had middle or lower education. Forty-two percent of subjects came from a family with a total parental income of > 300,000 Kyats (USD162.01)/ month and 45 % came from a family with a total parental income of 150,000 - 300,000 Kyats (USD3 81.01- 162.01)/month and 13 % were from the total monthly income of < 150,000 (USD 81.01) (Table 10). The prevalence of dental caries (dmft>0) in the study population was 85.5% and their mean dmft was 5.2 (SD = 4.6). The children's

Myanmar SOHO-5 scores ranged from 0-11, with a mean of 1.9 (SD 4.6). The parents' scores ranged from 0-16, with a mean of 2.8 (SD 3.1).

More than 62% of the children and 67% of the parents reported an oral impact on the quality of life of the children (SOHO-5 scores > 0). 'Difficulty in eating' was the most reported item, followed by 'difficulty in sleeping' in both reports (Table 11 and 12). The overall Cronbach's alpha coefficients were 0.82 for the children's and 0.79 for the parental version, respectively, indicating good internal consistency (Table 13). For the test-retest reliability, the ICCs were 0.90 and 0.89 for the total scores of the children and parental versions, respectively, which demonstrated good reproducibility (Table 13).

The construct validity of the children's version demonstrated that the total SOHO-5 scores were significantly correlated in the expected direction with the two global rating questions, i.e., satisfaction with their oral health (r = -0.71, p < 0.001) and self-reported caries (r = 0.62, p < 0.001). In the parental version, the total SOHO-5 scores were significantly correlated with the three respective questions, i.e., parent-rated oral health (r = 0.77, p < 0.001), satisfaction with the child's oral health (r = 0.76, p < 0.001) and the child's perceived treatment need (r = 0.75, p < 0.001) (Table 14 and 15). Although some items in the parent's report were significantly correlated with the global rating question of the impact on child's general health, the total SOHO-5 scores were not correlated significantly. For the discriminant validity, the children with a history of dental caries had a higher mean rank of SOHO-5 total scores compared with children without caries experiences in the children's reports (96.12 vs. 33.00, p < 0.001 and parental report (96.61 vs. 30.08, p < 0.001) (Table 16 and 17).

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Table 9. Content validity of a Myanmar version of SOHO-5

		Ex	pert's rat	ter	IC
	Item	1	2	3	_
	Children's report				
အစားစားရာတွင် ခက်ခဲ ခြင်း	(Difficulty in eating)	1	1	1	1
ရေသောက်ရာတွင် ခက်ခဲခြင်း	(Difficulty in drinking)	1	1	1	1
စကားပြောရာတွင် ခက်ခဲခြင်း	(Difficulty in speaking)	1	1	1	1
ကစားရာတွင် ခက်ခဲခြင်း	(Difficulty in playing)	1	1	1	1
အိပ်စက်ရာတွင် ခက်ခဲခြင်း	(Difficulty in sleeping)	1	1	1	1
နာကျင်သောကြောင့် ပြုံး/ရယ်	ခြင်းကို ရှောင်သည်	1	1	1	1
(Avoid smiling due to pain)					
ပုံသဏ္ဍန်အသွင်အပြင်ကြောင့် [	ပျုံး/ရယ်ခြင်းကို ရှောင်သည်	0	1	1	0.67
(Avoid smiling due to appea	arance)				
	Parent's report				
အစားစားရာတွင် ခက်ခဲခြင်း	(Difficulty in eating)	1	1	1	1
စကားပြောရာတွင် ခက်ခဲခြင်း	(Difficulty in speaking)	1	1	1	1
ကစားရာတွင် ခက်ခဲခြင်း	(Difficulty in playing)	1	1	1	1
အိပ်စက်ရာတွင် ခက်ခဲခြင်း	(Difficulty in sleeping)	1	1	1	1
နာကျင်သောကြောင့် ပြုံး/ရယ်	J	1	1	1	1
(Avoid smiling due to pain)	จุฬาลงกรณมหาวัทยาลั				
ပုံသဏ္ဍန်အသွင်အပြင်ကြောင့် [	ပြုံး/ရယ်ခြင်းကို ရှောင်သည်	SITY o	1	1	0.67
(Avoid smiling due to appea	arance)				
မိမိကိုယ်ကို ယုံကြည်မှုအပေါ် :	သက်ရောက်မှုရှိခြင်း	1	1	0	0.67
(Influence self-confidence)					

Table 10. Demographic characteristics of the children and primary caregiver

iroup	Frequency	Percentage
emographic background		
Gender		
Female	80	46.2
Male	93	53.8
Relationship to child		
Mother	129	74.6
Father	26	15.0
Relative	18	10.4
Mother's education	11/1/2	
Middle or lower	45	26.0
High	64	37.0
Tertiary	64	37.0
Father's education		
Middle or lower	39	22.5
High	63	36.4
Tertiary	71	41.0
Monthly household income in Kyat (\$)		
< 150,000 (\$ 81.01)	~~~ 21	12.1
150,000 - 300,000 (\$ 81.01 - 162.01)	78	45.1
> 300,000 (\$162.01)	74	42.8

Table 11. Distribution of the Child's SOHO-5 responses

Item	No (%)	A little (%)	A lot (%)
Difficulty in eating	69 (39.9)	66 (38.2)	38 (22.0)
Difficulty in drinking	157 (90.8)	15 (8.7)	1 (0.6)
Difficulty in speaking	154 (89.0)	15 (8.7)	4 (2.3)
Difficulty in playing	160 (92.5)	10 (5.8)	3 (1.7)
Difficulty in sleeping	120 (69.4)	43 (24.9)	10 (5.8)
Avoid smiling due to pa	ain 130 (75.1)	38 (22.0)	5 (2.9)
Avoid smiling due to	151 (87.3)	21 (12.1)	1 (0.6)
appearance			

Table 12. Distribution of the Parent's SOHO-5 responses

Not at all	A little (%)	Moderate (%)	A lot (%)	A great deal
(%)				(%)
63 (36.4)	43 (24.9)	38 (22.0)	25 (14.5)	4 (2.3)
152 (87.9)	15 (8.7)	6 (3.5)	0	0
160 (92.5)	11 (6.4)	2 (1.2)	0	0
108 (62.4)	39 (22.5)	20 (11.6)	6 (3.5)	0
128 (74.0)	30 (17.3)	14 (8.1)	1 (0.6)	0
153 (88.4)	17 (9.8)	3 (1.7)	0	0
134 (77.5)	35 (20.2)	4 (2.3)	0	0
	(%) 63 (36.4) 152 (87.9) 160 (92.5) 108 (62.4) 128 (74.0) 153 (88.4)	(%)  63 (36.4) 43 (24.9)  152 (87.9) 15 (8.7)  160 (92.5) 11 (6.4)  108 (62.4) 39 (22.5)  128 (74.0) 30 (17.3)  153 (88.4) 17 (9.8)	(%)       63 (36.4)     43 (24.9)     38 (22.0)       152 (87.9)     15 (8.7)     6 (3.5)       160 (92.5)     11 (6.4)     2 (1.2)       108 (62.4)     39 (22.5)     20 (11.6)       128 (74.0)     30 (17.3)     14 (8.1)       153 (88.4)     17 (9.8)     3 (1.7)	(%)       63 (36.4)     43 (24.9)     38 (22.0)     25 (14.5)       152 (87.9)     15 (8.7)     6 (3.5)     0       160 (92.5)     11 (6.4)     2 (1.2)     0       108 (62.4)     39 (22.5)     20 (11.6)     6 (3.5)       128 (74.0)     30 (17.3)     14 (8.1)     1 (0.6)       153 (88.4)     17 (9.8)     3 (1.7)     0

Table 13. Reliability analysis and item characteristics in children and parent report

ltem		Internal consistency reliability  CITC Cronbach's alpha if item			ability
	CHULALONGKORN UN	ICC	95%CI.	p value	
Child version (0–14)					
Difficulty in eating	0.67	0.78	0.96	0.94-0.98	<0.001
Difficulty in drinking	0.59	0.79	0.69	0.51-0.81	<0.001
Difficulty in speaking	0.65	0.78	0.45	0.20-0.65	<0.001
Difficulty in playing	0.55	0.80	0.56	0.34 – 0.73	<0.001
Difficulty in sleeping	0.59	0.79	0.84	0.73-0.91	<0.001
Avoid smiling due to pain	0.70	0.76	0.70	0.53-0.82	<0.001
Avoid smiling due to appe	earance 0.33	0.82	0.64	0.45-0.78	<0.001

Total scores	Cronbach's alpha 0.82		0.90	0.83-0.94	<0.001
Parental version (0–28)					
Difficulty in eating	0.73	0.73	0.92	0.87-0.96	<0.001
Difficulty in speaking	0.61	0.75	0.67	0.48-0.80	<0.001
Difficulty in playing	0.55	0.77	0.48	0.24-0.67	<0.001
Difficulty in sleeping	0.67	0.72	0.83	0.72-0.90	<0.001
Avoid smiling due to pain	0.64	0.73	0.74	0.58-0.85	<0.001
Avoid smiling due to appearance	0.28	0.79	0.57	0.35-0.73	<0.001
Influence self confidence	0.41	0.78	0.60	0.39-0.75	<0.001
Total scores	Cronbach	s alpha 0.79	0.89	0.82-0.94	<0.001

 ${\sf CITC-Corrected\ item-total\ correlation,\ ICC-Intraclass\ correlation\ coefficient,\ CI-Confidence\ interval}$ 

Table 14. Construct validity of the Child's SOHO-5

ltem	Satisfaction with	oral health	Self-rep	orted caries
item	7	p-value	r	p-value
Difficulty in eating	-0.68	<0.001	0.62	<0.001
Difficulty in drinking	จุฬาลงก-0.28 มหา	<0.001	0.20	0.007
Difficulty in speaking	HULALON-0.33ORN	<0.001	0.22	0.003
Difficulty in playing	-0.32	<0.001	0.18	0.017
Difficulty in sleeping	-0.53	<0.001	0.42	<0.001
Avoid smiling due to pain	-0.41	<0.001	0.31	<0.001
Avoid smiling due to	-0.37	<0.001	0.17	0.028
appearance				
Total score	-0.71	<0.001	0.62	<0.001

Table 15. Construct validity of the Parent's SOHO-5

ltem	Parent rated oral health		Satisfaction		Impact on general health		Treatment need	
	r	p-value	r	p-value	r	p-value	r	p-value
Difficulty in eating	0.77	<0.001	0.76	<0.001	0.13	0.096	0.72	<0.001
Difficulty in speaking	0.33	<0.001	0.38	<0.001	0.22	0.003	0.26	<0.001
Difficulty in playing	0.31	<0.001	0.39	<0.001	0.16	0.031	0.20	0.008
Difficulty in sleeping	0.59	<0.001	0.62	<0.001	0.17	0.022	0.54	<0.001
Avoid smiling due to pain	0.43	<0.001	0.45	<0.001	0.02	0.771	0.39	<0.001
Avoid smiling due to appearance	0.30	<0.001	0.30	<0.001	0.004	0.957	0.257	0.001
Influence on self-confidence	0.25	0.001	0.25	0.001	0.05	0.506	0.33	<0.001
Total score	0.77	<0.001	0.76	<0.001	0.09	0.225	0.75	<0.001

r - Spearman's correlation coefficient

Table 16. Discriminant validity of the Child's SOHO-5

(C)	HULALONGK Mean r	anks WERSITY	P value
	Caries free	Caries	
Difficulty in eating	35.00	95.78	<0.001
Difficulty in drinking	79.00	88.35	0.085
Difficulty in speaking	77.50	88.60	0.059
Difficulty in playing	80.50	88.10	0.125
Difficulty in sleeping	60.50	91.48	<0.001
Avoid smiling due to pain	65.50	90.63	0.002
Avoid smiling due to appear	rance 76.00	88.86	0.040
Total score	33.00	96.12	<0.001

Table 17. Discriminant validity of the Parent's SOHO-5

ltem	Mean r	anks	P value
_	Caries free	Caries	
Difficulty in eating	32.00	96.29	<0.001
Difficulty in speaking	76.50	88.77	0.045
Difficulty in playing	80.50	88.10	0.125
Difficulty in sleeping	54.50	92.49	<0.001
Avoid smiling due to pain	64.50	90.80	0.002
Avoid smiling due to appearance	77.00	88.69	0.052
Influence on self-confidence	70.88	89.72	0.017
Total score	30.08	96.61	<0.001

Mann-Whitney U test



# 4.2 Phase 2: Evaluate the impact of ECC on oral health quality of life of Myanmar preschool children

# 4.2.1 Demographic characteristics of the children and primary caregivers

In total, 514 children and their parents were invited to participate in this study, corresponding to a response rate of 100%. Of these children, 5 children refused the oral examination, and 509 child-parent pairs completed the questionnaire. Thirty-seven percent of the parents had above the high school education and 35% had high school education and 27 % had middle or lower education. Thirty-four percent of subjects came from a family with a total parental income of > 300,000 Kyats (USD162.01)/ month and 57.8 % came from a family with a total parental income of 150,000 – 300,000 Kyats (USD3 81.01- 162.01)/month and 8.3 % were from the total monthly income of < 150,000 (USD 81.01). According to the organization of the MAP financial inclusion survey highlights, Myanmar (2018), two-thirds (66%) of adults personally earn Myanmar Kyats 100,000 or less per month. The questionnaires were answered by mother (78.2 %), father (11.0%), or relative (10.8%), and the mean age of the respondent was 34.4 (7.5). Table 18 described the children's demographic characteristics clinical characteristics and oral health related behavior. Among the participants, 261 (51.3%) were girls.

# 4.2.2 Dental caries and oral hygiene status

A total of 441 (86.6%) had caries experiences (dmft > 0) and the mean dmft score of the children was 5.6 (4.5). A total of 411 children (86.6%) had caries experience, 12 (2.4 %) had missing teeth because of caries, and only 16 (3.1 %) had filled teeth. Almost all of the decayed teeth were unrestored and decay teeth (dt =  $6.37\pm4.88$ ) were the major component (93%) of dmft while numbers of missing and filled teeth were very low (mt =  $0.03\pm0.20$  and ft =  $0.03\pm0.27$ ). Twenty-point two percent of the participant had no debris on their teeth. The mean debris score for all children was 0.8 (0.5) with the debris index range of 1-3.

# 4.2.3 Child rearing and oral health behavior

In this study, the majority of the parents started brushing of their children's teeth after one year of age (46.4 %) whereas they started at 6 -12 months (27.9%) and 25 - 36 months (20.6%). The frequency of tooth brushing in children was mostly once a day (77.8 %) and approximately half (42.6%) of the children were brushing every day. The frequency of consumption of sugary snacks between meal per day was common in children with

53.8 % in 1-2 times per day and 43.6 % more than three times per day. Sixty-seven percent of the children had no history of a previous dental visit.

# 4.2.4 Quality of life of children

Tables 19 and 20 showed the distribution of responses to the items and the mean score of each item according to the children's and parents' SOHO-5 reports. Overall, 64.4 % of children reported an impact on OHRQoL (SOHO-5 score > 0), while 67.8% of the parent reported an impact on their children's OHRQoL. The highest SOHO-5 score reported was 11 out of the total 14 on the child self-report version and 20 out of the total 28 on the parental version. The mean (standard deviation) total score of the SOHO-5 was 1.8 (2.2) and 2.6 (3.2), for child self-report and parental version, respectively. Among SOHO-5 analyzed items, the highest-impact item to OHRQoL was eating difficulties for both reports. Difficulty eating, difficulty sleeping, and avoid smiling (due to pain) were the most frequently reported items on the child self-report version, while difficulty eating, difficulty sleeping, and self-confidence were the most frequently reported on the parental version. Table 21 described the correlation between the parent's and children's SOHO-5 scores for total and each item. The ICC for the total score was 0.78 (0.65 – 0.84) and ranged from 0.35 (avoid smiling due to appearance) to 0.77 (difficulty in eating) among the items. There were significant differences in the SOHO-5 scores among the severity of early childhood caries groups (Table 22).

# 4.2.5 Bivariate analysis of SOHO-5 score and oral health related factors

The bivariate analysis was done to analyze the effect of various factors on the oral health related quality of life of children (SOHO-5) shown in Tables 23. The caries status (dmft), oral hygiene status (debris score) (p < 0.001), frequency of the frequency of sugary snacks per day (p < 0.001), the starting age for toothbrushing (p < 0.001), and the tooth brushing day per week (p - 0.003) were significantly associated with the oral health related quality of life (SOHO-5 > 0) in both children's and parent's reports.

# 4.2.6 Multiple regression analysis of SOHO-5 scores and oral health related factors

Table 24 showed the multivariate logistic regression analysis of various factors associated with the SOHO-5 of > 0 based on the children's self-reports and parent's reports. The adjusted model for children's reports demonstrated that children who have one unit increase in dmft score were 1.23 times (95% CI 1.18 - 1.33) more likely to have an impact on the child's OHRQOL. The debris score (OR: 2.12, 95% CI 1.39 - 3.23, p < 0.001)

and the starting age for tooth brushing (OR: 1.61, 95% CI 1.03 - 2.51, p = 0.037) were the significant factors affecting children's OHRQoL (SOHO-5 score > 0) based on children's report.

In the adjusted model of the parent's report, the caries experience (dmft) (OR: 1.24, 95% CI 1.18 - 1.35, p < 0.001), the debris score (OR: 2.08, 95% CI 1.35 - 3.21, p = 0.001), starting age for tooth brushing (OR: 0.1.89, 95% CI 1.21 - 2.98, p = 0.006) and brushing day per week (OR: 1.98, 95% CI 1.00 - 3.92), p < 0.049) had a greater probability of exerting an impact on children's OHRQoL.

Table 18. Children's demographic background, clinical characteristics, oral health-related behaviors

Group	Frequency	Percentage
Demographic background		
Gender		
Female	261	51.3
Male	248	48.7
Relationship to child		
Mother	398	78.2
Father	56	11.0
Relative	55	10.8
Mother's education		
Middle or lower	145	28.5
High	186	36.5
Tertiary	178	35.0
Father's education	เพ.เวมเอ.เซอ	
Middle or lower	RN	25.9
High	174	34.2
Tertiary	203	39.9
Monthly household income in Kyat (\$)		
< 150,000 (\$ 81.01)	42	8.3
150,000 - 300,000 (\$ 81.01 - 162.01)	294	57.8
> 300,000 (\$162.01)	173	34.0
Clinical characteristics; Mean (SD)		
Dmft score	5.6 (4.5)	-
Debris score	0.8 (0.5)	
OHRQoL (SOHO-5); Mean (SD)		
Children's SOHO-5	1.8 (2.2)	
Parent's SOHO-5	2.6 (3.2)	

l Health Behavior		
Starting age for tooth brushing		
6-12 months	142	27.9
13-24 months	236	46.4
25-36 months	105	20.6
≥ 37 months	26	5.1
Frequency of tooth brushing		
1 time /day	396	77.8
2 times or more /day	113	22.2
Brushing days per week		
1-2 days/week	16	3.1
3-5 days / week	64	12.6
5-6 days /week	212	41.7
everyday	217	42.6
Frequency of snack per day	3	
No	13	2.6
1-2 times per day	274	53.8
≥ 3 times per day	222	43.6
History of previous dental visit		
Never	341	67.0
When child has pain	150	29.5
Every 12 months	18	3.5

Table 19. Distribution of Child's SOHO-5 responses

Item CHULA	No (%)	A little (%)	A lot (%)	Mean (SD)
Difficulty in eating	205(40.3)	197 (38.7)	107 (21.0)	0.8 (0.7)
Difficulty in drinking	458 (90.0)	49 (9.6)	2 (0.4)	0.1 (0.3)
Difficulty in speaking	457 (89.8)	46 (9.0)	6 (1.2)	0.1 (0.3)
Difficulty in playing	471 (92.5)	35 (6.9)	3 (0.6)	0.1 (0.3)
Difficulty in sleeping	326 (64.0)	145 (28.5)	38 (7.5)	0.4 (0.6)
Avoid smiling due to pain	431 (84.7)	71 (13.9)	7 (1.4)	0.2 (0.4)
Avoid smiling due to appearance	459 (78.8)	48 (17.3)	2 (0.4)	0.1 (0.3)

Table 20. Distribution of the Parent's SOHO-5 responses

Item	Not at all (%)	A little (%)	Moderate (%)	A lot (%)	A great deal (%)	Mean (SD)
Difficulty in eating	192 (37.7)	152 (29.9)	95 (18.7)	60 (11.8)	10 (2.0)	1.1 (1.0)
Difficulty in speaking	442 (86.8)	51 (10.0)	15 (2.9)	1 (0.2)	0	0.2 (0.5)
Difficulty in playing	457 (89.8)	43 (8.4)	5 (1.0)	3 (0.6)	1 (0.2)	0.1 (0.4)
Difficulty in sleeping	294 (57.8)	133 (26.1)	64 (12.6)	17 (3.3)	1 (0.2)	0.6 (0.8)
Avoid smiling due to pain	423 (83.1)	62 (12.2)	19 (3.7)	5 (1)	0	0.2 (0.6)
Avoid smiling due to appearance	460 (90.4)	39 (7.7)	7 (1.2)	3 (0.6)	0	0.1 (0.4)
Influence self confidence	412 (80.9)	80 (15.7)	12 (2.2)	3 (0.6)	2 (0.4)	0.2 (0.6)

Table 21. Correlations between parent and children for total and item's (SOHO-5)

Itana		Parent vs children ICC
Item		(95 % CI)
Total score	V Tresser Day N	0.78 (0.65 – 0.84)
Difficulty in eating		0.77 (0.62 – 0.85)
Difficulty in speaking	(3)	0.60 (0.54 – 0.66)
Difficulty in playing		0.52 (0.45 – 0.58)
Difficulty in sleeping	จุฬาลงกรณ์มหาวิทยาลัย	0.73 (0.64 – 0.79)
Avoid smiling due to pain	CHILLALONGKORN UNIVERSITY	0.66 (0.61 – 0.71)
Avoid smiling due to appo	earance	0.35 (0.27 – 0.42)
Difficulty in drinking (Chil	d) / Influence self-confidence (Parent)	*

<sup>\*</sup> Different items for versions of children and parent

Table 22. Relationship between severity of early childhood caries and SOHO-5 scores

Variables	Children's report Parent's report			Children's report		s's report	
_	Mean (SD)	Median (IQR)	p value	Mean (SD)	Median (IQR)	p value	
ECC severity							
Caries free ( $dmft = 0$ )	0.61 (1.36)	0.00(0)		0.69 (1.50)	0.00(0)		
ECC (dmft = 1-5)	1.29 (1.63)	1.00(2)	< 0.001	1.80 (2.37)	1.00(3)	< 0.001	
Severe ECC (dmft > 5)	2.60 (2.55)	2.00(2)		3.85 (3.66)	3.00(4)		

Kruskal-Wallis

Table 23. Association between oral health factors related to the total SOHO-5 score based on children's and parent's reports

Variables	Children's	s report		Parent's report			
	SOHO-5 = 0	SOHO-5 > 0	p value	SOHO-5 = 0	SOHO-5 > 0	p value	
Gender							
Female	34.9% (91)	65.1% (170)	0.737	32.6% (85)	67.4% (176)	0.864	
Male	36.3% (90)	63.7% (158)		31.9% (79)	68.1% (169)		
Mother's education							
Middle or lower	37.2% (54)	62.8% (91)		36.6% (53)	63.4% (92)	0.265	
High	35.5% (66)	64.5% (120)	0.857	32.8% (61)	67.2% (125)		
Tertiary	34.3% (61)	65.7% (117)		28.1% (50)	71.9% (128)		
Father's education	- Limina		<b>&amp;</b>				
Middle or lower	37.9% (50)	62.1% (82)		39.4% (52)	60.6% (80)	0.119	
High	37.4% (65)	62.6% (109)	0.502	30.5% (53)	69.5% (121)		
Tertiary	32.5% (66)	67.5% (137)		29.1% (59)	70.9% (144)		
Monthly household	////						
income in Kyat (\$)							
< 150,000 (\$ 81.01)	38.1% (16)	61.9% (26)	N	35.7% (15)	64.3% (27)	0.650	
150,000 – 300,000 (\$	32.3% (95)	67.7% (199)	0.195	30.6% (90)	69.4% (204)		
81.01 – 162.01)		THE PARTY OF THE P					
> 300,000 (\$162.01)	40.5% (70)	59.5% (103)		34.1% (59)	65.9% (114)		
dmft score (Mean, SD)	3.39 (3.56)	6.81 (4.56)	<0.001	3.28 (3.66)	6.7 (4.5)	<0.001*	
Debris score (Mean, SD)	0.61 (0.47)	0.83 (0.53)	<0.001	0.60 (0.47)	0.82 (0.52)	<0.001*	
Frequency of snack per day				,			
2 times or less	42.2% (121)	57.8% (166)	<0.001	38.3% (110)	61.7% (177)	0.001	
More than 2 times	27.0% (60)	73.0% (162)		24.3% (54)	75.7% (168)		
Starting age for tooth brushir	 ng						
12 months	49.3% (70)	50.7% (72)	< 0.001	47.9% (68)	52.1% (74)	< 0.00	
More than 12 months	30.2% (111)	69.8% (256)		26.2% (96)	73.8% (271)		
Frequency of tooth brushing							
2 times or more /day	43.4% (49)	56.6% (64)	0.050	34.5% (39)	65.5% (74)	0.554	
1 time /day	33.3% (132)	66.7% (264)		31.6% (125)	68.4% (271)		
Brushing days per week							
More than 5 days or	38.0% (163)	62.0% (266)	0.009	35.0% (150)	65.0% (279)	0.003	
everyday	22.5% (18)	77.5% (62)		17.5% (14)	82.5% (66)		
3-5 days or less	·				·		

<sup>\*</sup> Mann-Whitney test, Chi-square test

Table 24. Logistics regression analysis on oral health related quality of life based on children's and parent's reports

		Bivariate	е	Multivariate	e
Variables	Number	Crude OR (95% CI)	p value	Adjusted OR (95% CI)	p value
Children's report					
Gender					
Female	261	1.00			
Male	248	0.94 (0.65 - 1.35)	0.737		
Mother's education					
Middle or lower	145	1.00			
High	186	1.08 (0.69 - 1.70)	0.741		
Tertiary	178	1.14 (0.72 - 1.80)	0.579		
Father's education	////2				
Middle or lower	132	1.00			
High	174	1.02 (0.64 - 1.61)	0.926		
Tertiary	203	1.27 (0.80 - 2.00)	0.313		
Monthly household income	V ()	() (((((((((((((((((((((((((((((((((((			
in Kyat (\$)					
< 150,000 (\$ 81.01)	42	1.00			
150,000 – 300,000 (\$ 81.01 –	294	1.29 (0.66 - 2.52)	0.457		
162.01)					
> 300,000 (\$162.01)	173	0.91 (0.45 - 1.81)	0.779		
dmft score CHULA	509	1.24 (1.18 - 1.31)	< 0.001	1.23 (1.18 - 1.33)	< 0.001
Debris score	509	2.41 (1.65 – 3.50)	< 0.001	2.12 (1.39 – 3.23)	< 0.001
Frequency of snack per day					
2 times or less	287	1.00		1.00	
More than 2 times	222	1.97 (1.35 - 2.87)	< 0.001	1.48 (0.97 - 2.26)	0.067
Starting age for tooth brushing					
12 months	142	1.00		1.00	
More than 12 months	367	2.24 (1.51 – 3.34)	< 0.001	1.61 (1.03 – 2.51)	0.03
Frequency of tooth brushing					
2 times or more /day	113	1.00	0.050		
1 time /day	396	1.53 (0.99 – 2.35)			
Brushing days per week					
More than 5 days or everyday	429	1.00		1.00	
3-5 days or less	80	2.11 (1.21 – 3.69)	0.009	1.57 (0.83 – 2.96)	0.16

Parent's report					
Gender					
Female	261	1.00			
Male	248	1.03 (0.71 - 1.50)	0.864		
Mother's education					
Middle school or lower	145	1.00			
High school	186	1.18 (0.75 - 1.86)	0.476		
Tertiary school	178	1.48 (0.92 - 2.36)	0.105`		
Father's education					
Middle school or lower	132	1.00			
High school	174	1.48 (0.92 - 2.39)	0.104		
Tertiary school	203	1.59 (0.99 - 2.52)	0.050		
Monthly household income					
in Kyat (\$)	30000				
< 150,000 (\$ 81.01)	42	1.00	0.505		
150,000 - 300,000 (\$ 81.01 -	294	1.26 (0.64 - 2.48)			
162.01)					
> 300,000 (\$162.01)	173	1.07 (0.53 - 2.17)	0.844		
dmft	509	1.25 (1.18 - 1.32)	< 0.001	1.24 (1.18 - 1.35)	<0.001
Debris score	509	2.36 (1.61 – 3.46)	< 0.001	2.08 (1.35 – 3.21)	0.001
Frequency of snack per day	-27101	ORONOBONS.			
2 times or less	287	1.00		1.00	
More than 2 times	222	1.93 (1.31 - 2.85)	0.001	1.44 (0.94 - 2.22)	0.095
Starting age for tooth brushing					
12 months	142	1.00		1.00	
More than 12 months	367	2.59 (1.73 – 3.88)	< 0.001	1.89 (1.21 – 2.98)	0.006
Frequency of tooth brushing	ONG	CORN UNIVERSI	TY		
2 times or more /day	113	1.00			
1 time /day	396	1.14 (0.73 – 1.78)	0.554		
Brushing days per week					
More than 5 days or everyday	429	1.00		1.00	
3-5 days or less	80	2.53 (1.38 – 4.66)	0.003	1.98 (1.00 - 3.92)	0.049

# CHAPTER V

# **DISCUSSION**

# 5.1 Phase 1: Develop the cross-cultural adaptation and psychometric properties of the Myanmar Version of SOHO-5

The present study performed a cross-cultural adaptation of SOHO-5 to the Burmese language and evaluated its reliability and validity in 5-year-old children. The results demonstrated that the Myanmar SOHO-5 version was successfully developed, and its psychometric properties were acceptable for Myanmar 5-year-old children. An accurate cross-cultural adaptation procedure was followed to ensure that all the items in the child's and parent's reports were retained in the Myanmar SOHO-5 version.

In this study, the 5-year-old children understood the content of the SOHO-5 and responded appropriately to the questions. The children's and parents' versions reported that ~60% of the children in the sample population had an oral impact on their daily life with SOHO-5 > 0. Therefore, it also revealed that the children could report their perceptions of their OHRQoL, and studies on the OHRQoL of children should not only depend on the parental proxy reports.

When evaluated for reliability, all inter-item correlations were positive, and all corrected item-total correlations were above the minimum recommended level of 0.20 for including an item in a scale, which indicated the items in the SOHO-5 scale were correlated conceptually (Gherunpong et al., 2004). Furthermore, the child's and parent's versions had a standardized Cronbach's alpha above the recommended level of 0.70 indicating good internal consistency, which was similar to the results of the original study and studies in other populations (McHugh, 2012). The value of the total Cronbach's alpha did not improve when any of the items were deleted, however, after deleting the item 'avoid smiling due to appearance'; the Cronbach's alpha values for both versions were the same as the total alpha values. The high Cronbach's alpha value of the item 'avoid smiling due to appearance' shown in accordance with the low value of inter-item correlations. It may come from causes that most of young children do not think much of their appearance and self-confidence. However, this result is not sufficient justification to remove this item from the Myanmar SOHO-5 while the other results demonstrated good performance. The ICC values of the child's and parent's reports in this study presented a

high degree of agreement between the scores at different times, which reflected the excellent test-retest reliability.

The construct validity results indicated that the associations between the total SOHO-5 scores and the different subjective global rating questions of the child's and parent's reports were significant in the expected direction, however, "the impact on child's general health" question in the parent's version had a significant correlation in some items. This finding regarding the impact on children's general health was similar to studies in Indonesia (Rachmawati et al., 2017) and may be due to the parent's underestimation of the impact of oral health on the overall well-being of the children. Moreover, our study included participants primarily of middle and lower socioeconomic status and therefore, their oral health knowledge might be low. The other possible reason is the lack of people's awareness of oral health due to an insufficient number of dental professionals, limited oral health promotion activities, and oral health care services in the Myanmar (Nomura et al., 2019).

However, these consistent findings indicated good construct validity for both versions of this measure. Moreover, the total SOHO-5 scores of both reports were significantly higher in children with caries, which demonstrated the discriminant ability of the measures between children with and without caries experiences. When consider as the criteria for good measurement properties tool of the Consensus-based Standards for the selection of health Measurement Instruments (COSMIN) (Mokkink et al., 2018), this Myanmar version followed all the steps according to the guideline for the process of cross-cultural adaptation which consists of back translation in the forward-backward translation process, an expert committee, and reported the pretesting process of the prefinal version in subjects from the target setting. However, this study did not conduct the structural validity due to the characteristic of the unidimensional SOHO-5 scales. Myanmar where English is not frequently used in addition to the native language and where bilingualism is not common, therefore it cannot assess the cross-cultural validity. Moreover, due to the limitation of the cross-sectional study design and further longitudinal studies are required to examine the properties of the measurement error and the responsiveness of SOHO-5.

Myanmar SOHO-5 is the first measurement tool in the Myanmar language to assess the OHRQoL of preschool children through their self-report. Tooth decay in young children in Myanmar is a public health issue. In Myanmar, dental manpower and resources are limited and national programs to tackle the burden of ECC are not yet prioritized (Chen et al., 2021). Using the Myanmar SOHO-5 in addition to oral health surveys or project

evaluation will be beneficial for health policymakers and health workers where the oral diseases in children are high and left untreated in Myanmar. The limitation of the study should be also addressed. Although Burmese is the national language and the most commonly used language in Myanmar, there are several ethnic minorities who speak various dialects in Myanmar. Thus, the Myanmar SOHO-5 might not be able to be used in some areas where young children do not speak Burmese well. Further research on assessing the OHRQoL of children using a representative sample with a wide range of socio-economic positions is recommended.

# 5.2 Phase 2: Evaluate the impact of ECC on oral health quality of life of Myanmar preschool children

Regarding the oral problems during childhood, early childhood caries has been the greatest indicators of impact on the quality of life of preschool children. ECC is a multi-factorial disease related to the microorganisms attacking the tooth surface, diet, oral hygiene, use of fluoride, and socioeconomic factors (Detsomboonrat & Pisarnturakit, 2015), which may exert a negative impact on oral health-related quality of life (Bönecker, Abanto, Tello, & Oliveira, 2012a). There was limited oral health data of children in Myanmar (Thwin, Zaitsu, Ueno, & Kawaguchi, 2016), and this is the first study that has used a validated SOHO-5 measure to assess the impact of ECC on the OHRQoL of young children according to the perception of the children themselves and their parents.

The results of this study indicated that dental caries, poor oral hygiene and oral health behavior were associated with worse OHRQoL of 5 years old children in terms of perceptions of both children and their parents. Total 64 % of the children and 68 % of the parents reported an adverse effect on OHRQoL (SOHO-5 score > 0) for at least one item. This finding also demonstrates that children's self-reports can be reliable for their oral health information and the perceptions of parents comparing with the children's perception allow a more comprehensive evaluation of the child's OHRQoL (Matheus F Perazzo et al., 2017).

In this study, caries prevalence and dmft of children were very high, and almost all of the carious teeth were untreated. The items 'difficulty eating' and 'difficulty in sleeping had the highest mean scores on both the child and parent/caregiver versions of the SOHO-5, which is in agreement with the previous studies (Abanto, Tsakos, Paiva, Carvalho, et al., 2014; Dantas et al., 2015; Matheus F Perazzo et al., 2017). This functional limitation is frequently associated with pain due to dental caries and caries was the only oral clinical condition to cause an

impact on all items and total scores of children self-report and parental version of the Myanmar SOHO- 5. This negative impact might affect the children's daily activities and can result in growth delay, weight loss, malnutrition and sleeping disorders (Matheus F Perazzo et al., 2017).

From both reports, children with higher caries experience have more chances of having negative impact on their OHRQoL. In this study. Children who have one unit increase in dmft score have 1.23 times higher chance of having an impact on their OHRQoL. This finding was similar to the result of the studies in Hongkong (Duangthip et al., 2020), Trinidad (Naidu et al., 2016) and Brazil (Granville-Garcia et al., 2018).

Regarding total scores, the correlation between reports of parent-child pairs was substantial, while other studies that used the SOHO-5 instrument in Brazilian children demonstrated the excellent agreement between mother vs child (Abanto, Tsakos, Paiva, Raggio, et al., 2014) and moderate between reports of parent vs. children (Paiva, Filho, Medina, & Hanan, 2019). The level of agreement (ICC) of the different items varied from weak to substantial, and the best agreement (ICC = 0.72) was observed in the "difficulty in eating" item. The best agreement level of this item between the parents and their children might be because majority of the respondent in this study was mother who have easily insight into it.

The majority of this sample consist primarily of middle and lower socioeconomic status (SES) children. Many studies found that parent's education level and socio-economic status are important risk factors for the development of ECC, but parent's educational level and household income did not influence the oral health quality of life of the children in this study. Following the results of multivariate logistic regression analysis, the caries status, debris score, and starting age for tooth brushing were significant predictors of children's OHRQoL in both reports. Additionally, brushing days per week was significant in parent's report in the model after adjustment. This finding indicated that caries experience, oral hygiene status, tooth brushing habits are the most important modifiable factors that need to be addressed to reduce the burden of ECC in this study.

Effective prevention program including behavior changes and fluoride usage should be implemented to improve the oral health condition of Myanmar preschool children. ECC is still a public health issue in Myanmar and school-based silver diamine fluoride application should be implemented for arresting cavitated caries due to its easy to use, safety and effectiveness. Moreover, government and non-governmental organization should be cooperated on oral health promotion of children to improve their OHRQoL especially young children.

The limitation of the study should be also addressed. The present study used the convenience sampling method due to current situation. As a result of the sampling bias, we cautiously make generalization from the study sample to other population. Therefore, the results could not be generalized to all Myanmar children. Moreover, the exposure, outcomes and the confounding factors were simultaneously assessed in this cross-sectional study. Thus, there was no evidence for causality between dental caries and OHRQoL of children. However, the acceptable participation rate, use of a validated instrument, good inter and intra- examiner reliability assure the findings of this study. Nevertheless, the present findings could provide the information on dental caries status, potential risk factors and OHRQoL of Myanmar 5 years old children and it can assist the policy maker for development of oral health promotion program for preschool children. Future longitudinal studies are recommended to investigate the causal effect of dental caries on OHRQoL of the children and their parents using the wide-range representative sample.



#### **CHAPTER VI**

### **CONCLUSION**

This phase I study demonstrates that both Myanmar SOHO-5 versions have good reliability (internal consistency, test-retest reliability) and validity (construct, discriminant validity). Therefore, this instrument was successfully developed and is appropriate to use in the assessment of OHRQoL of 5-year-old children in Myanmar.

In phase II, there was a significant relationship between ECC and OHRQoL of 5-year-old children in terms of perceptions of both children and their parents. No significant difference was found between the perceptions indicated by the SOHO-5p and SOHO-5c. Furthermore, by including clinical and sociodemographic factors together in our models, we showed that the caries experiences, oral hygiene status, starting age of tooth brushing in both report and brushing days per week in parent report were significantly associated with the children's OHRQoL.

จุฬาลงกรณ์มหาวิทยาลัย Chulalongkorn University

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# APPENDIX

# Scale of Oral Health Outcomes for 5-years old children (Child version)

		Response	No (0)	A little (1)	A lot (2)
1.	Difficulty in eating				
2.	Difficulty in drinking				
3.	Difficulty in speaking		11100		
4.	Difficulty in playing				
5.	Avoid smiling due to pa	ain			
6.	Avoid smiling due to ap	opearance			
7.	Difficulty in sleeping				
Hov	w happy are you with yo	ur teeth?			
	Very happy (0)		10000		
	A little happy (1)	=0		3)	
	Not happy (2)	-0			
Do	you have any holes in y	our teeth?	มหาวิทยา		
	No (0) =		RN UNIVER		
	Yes (1) =				

Scale of Oral Health Outcomes for 5-years old children (SOHO-5) (Myanmar version)

အဖြေ။	မရှိပါ	အနည်းငယ်	များစွာ
၁။ အစားစားရာတွင် ခက်ခဲခြင်း			
၂။ ရေသောက်ရာတွင် ခက်ခဲခြင်း			
၃။ စကားပြောရာတွင် ခက်ခဲခြင်း			
၄။ ကစားရာတွင် ခက်ခဲခြင်း			
၅။ နာကျင်သောကြောင့် ပြုံး/ရယ်ခြင်းကို ရှောင်သည်			
၆။ ပုံသဏ္ဍန်အသွင်အပြင်ကြောင့် ပြုံး/ရယ်ခြင်းကို ရှောင်သည်			
၇။ အိပ်စက်ရာတွင် ခက်ခဲခြင်း			
သင့်၏သွားနှင့်ပတ်သက်၍ မည်မျှပျော်ရွှင်နှစ်သက်မှု ရှိပါသနည် အလွန်ပျော်ရွှင်နှစ်သက်ပါသည်။	าลัย ERSITY		
အနည်းငယ်ပျော်ရွှင်နှစ်သက်ပါသည်။			
ပျော်ရွှင်နှစ်သက်မှုမရှိပါ။			
သင်၏သွားများတွင် အပေါက်များရှိပါသလား။			
မရှိပါ။			
ရှိပါသည်။			

# Scale of Oral Health Outcomes for 5-years old children (Parental version)

	Response	Not at all (0)	A little (1)	Moderate (2)	A lot (3)	A great deal (4)			
1.	Difficulty in eating								
2.	Difficulty in speaking								
3.	Difficulty in playing								
4.	Avoid smiling due to pain								
5.	Avoid smiling due to appea	arance							
6.	Difficulty in sleeping								
7.	Influence self-confidence		T						
	How would you rate your child's dental health?								
	Excellent (0) = $\square$ , Very good	$(1) = \square$ , Good $(2) =$	:  , Fair (3) = [	, Poor (4) =					
	How happy are you with yo	our child's dental I	health?						
	Very happy (0) = $\square$ , Happy (1) = $\square$ , Neutral (2) = $\square$ , Unhappy (3) = $\square$ , Very unhappy (4) = $\square$								
	Do you think the overall w	ell-being of your c	hild is affected	d by the conditi	ons of their t	eeth?			
	Not at all (0) = $\square$ , A little (1) = $\square$ , Moderate (2) = $\square$ , A lot (3) = $\square$ , A great deal (4) = $\square$								
	Do you think your child needs any dental treatment because of the state (holes in teeth or pain)								
	of his/her teeth?								
	No (0) = $\square$ , Yes (1) = $\square$								

Scale of Oral Health Outcomes for 5-years old children (SOHO-5) (Myanmar version)

အဖြေ။	လုံး၀ ဒ	၈နည်းင <sub>်</sub>	ယ် အသင့်အတင့် :	အတော်များ	များ များစွာ
၁။ အစားစားရာတွင် ခက်ခဲခြင်း					
၂။ စကားပြောရာတွင် ခက်ခဲခြင်း					
၃။ ကစားရာတွင် ခက်ခဲခြင်း					
၄။ နာကျင်သောကြောင့် ပြုံး/ရယ်ခြင်းကို ရှောင်သည်					
၅။ ပုံသဏ္ဍန်အသွင်အပြင်ကြောင့် ပြုံး/ရယ်ခြင်းကို ရှောင်သည်	§ 🗆				
၆။ အိပ်စက်ရာတွင် ခက်ခဲခြင်း					
၇။ မိမိကိုယ်ကို ယုံကြည်မှုအပေါ် သက်ရောက်မှုရှိခြင်း					
သင့်ကလေး၏သွားကျန်းမာရေးအပေါ် သင်မည်ကဲ့သို့သတ်မှ၊	တ်ပေး	ချင်ပါသ	ာနည်း။		
အကောင်းဆုံး 🗌 အလွန်ကောင်း 🗎 ကောင်း 🗎 ဒ	အသင့်ဒ	အတင့်	$\square$ ညံ့ $\square$		
သင့်ကလေး၏သွားကျန်းမာရေးအပေါ် သင်မည်မျှပျော်ရွှင်ဖေ	ကျနပ်	မိပါသန	ည်း။		
အလွန်ပျော်ရွှင်ကျေနပ် 🗌 ပျော်ရွှင်ကျေနပ် 🗌 🤍	1876	ပျဉ်ရွှင်ဖ	ကျေနပ်ခြင်း၊မ	ကျေနပ်ခြ	င်းမရှိပါ 🗌
ပျော်ရွှင်ကျေနပ်မှုမရှိပါ 🗆 🗆 အလွန်ပျော်ရွှ				71 0	
သင့်ကလေးငယ်၏ကိုယ်ရော စိတ်ပါကျန်းမာပျော်ရွှင်	င်မှုအေ	ភ	သူတို့၏သွ	႒ားနှင့်ပတ်	သက်သော
	-			· -	
လုံးဝ $\square$ အနည်းငယ် $\square$ အသင့်အတင့် $\square$ များ	စ္ရာ 🗌		အလွန်များစွာ		
သင့်ကလေး၏သွားနှင့်ပတ်သက်သော အခြေအနေကြောင့် (					က်ခဲခြင်း )
သူ / သူမ သည် သွားနှင့်ပတ်သက်သော ကုသမှုခံယူရန် လိုအ					
မထင်ပါ $\square$ ထင်ပါသည် $\square$	_	-			
မယင္ပပါ ထင္ပပါသည္					

# Modified Oral health assessment form

# **Dentition Status**

55	54	53	52	51		61	62	63	64	65 65
					]					
85	 84	 83	 82	 81	1	71	72	73	 74	 75 65

### Status

A = Sound

B = Caries

C = Filled w/ caries

D = Filled, no caries

E = Missing due to caries

F = Fissure sealant

G = Fixed dental prosthesis/crown, abutment, veneer

dmft =



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# Child's Demographic Questionnaire

# Respondent/ Caregiver section

1.	Respondent or caregiver's ID		
2.	Relationship to child  Father Mother Relatives	or other (please specify)	)
3.	Age of respondent or caregiver year	ars	
4.	Mother's education  Middle school or lower	High school	Tertiary education
5.	Father's education  Middle school or lower	]High school	Tertiary education
6.	Household income		
	$\square$ < 150,000 MMK/ months $\square$ 150,000	0 – 300,000	□ > 300,000
7.	AddressTownship		
	ProvinceMandalay Tel. No.,		
Chilo	d section		
8.	Child's name	3	
9.	Child's gender		
10.	. Birthdate MonthYear	<b>(3)</b>	
11.	. Chronic/Illness history It mean medical his		
	No Yes, (please specify)		
	Don't know/ Don't remember		
12.	. Medication		
	No Yes, (please specify)		
	Don't know/ Don't remember		

# Children's Behavior

1.	How often your children have sugary snack between meal?						
	☐ No (snacking in meal)	1-2 times per day	$\square \geq$ 3 times per day				
2.	When did you begin brushing your ch	ild's teeth?					
	$\square$ when first teeth erupted	☐ 13-24 months ☐ 25-3	6 months $\square \ge 37$ months				
	☐ Do not start to brush						
3.	How many times a day does your chil	d brush his or her teeth?					
	less than once	l time /day	nes or more /day				
4.	How many days per week does your	child brush his or her teeth?					
	1-2 days/ week 3-5 days	/ week	everyday				
5.	Do you use toothpaste?						
	☐ No ☐ Yes (please specify						
6.	How often do you see the dentist?						
	☐ Never ☐ when child has pain	every 12 months	every 6 months				

# Modified Oral health assessment form

# **Dentition Status**

61 62 63 64 65 65

55 54 53 52 51

	85 84 83 82 81 71 72	73 74 75 65
Status	100000	
А	= Sound	
В	= Caries	
C	= Filled w/ caries	
D	= Filled, no caries	
Е	= Missing due to caries	
F	= Fissure sealant	dmft =
G	= Fixed dental prosthesis/crown, abutment, veneer	
	Oral hygiene Status	
	จุฬาลงกรณ์มหาวิทยาลัย	
	51/61 G + 55/65 LONGKORN UNIVERSITY	

0 = No debris

1 = Less than 1/3 of tooth surface covered by soft debris

3 = More than 2/3 of tooth surface covered by soft debris

2 = 1/3-2/3 of tooth surface covered by soft debris

# Scale of Oral Health Outcomes for 5-years old children (Child version)

	Response	No (0)	A little (1)	A lot (2)
Difficulty in eating				
Difficulty in drinking				
Difficulty in speaking				
Difficulty in playing	Wille	11/10		
Avoid smiling due to pair	1			
Avoid smiling due to app	pearance			
Difficulty in sleeping				
Total scores -				
	หาลงกรณ์เ	มหาวิทยา 		

# Scale of Oral Health Outcomes for 5-years old children (SOHO-5) (Parent version)

Response	Not at all (0)	A little (1)	Moderate (2)	A lot (3) A	great deal (4)	
Difficulty in eating						
Difficulty in speaking						
Difficulty in playing						
Avoid smiling due to pain						
Avoid smiling due to appearan	ce 🛛					
Difficulty in sleeping						
Influence self-confidence						
Total scores -						
จุฬาลงกรณ์มหาวิทยาลัย						

# Data collection (Photo)



# **VITA**

NAME Saw Nay Min

DATE OF BIRTH 1 April 1986

PLACE OF BIRTH Mandalay, Myanmar

**INSTITUTIONS ATTENDED** B.D.S. (University of Dental Medicine, Mandalay)

M.D.Sc (Preventive and Community Dentistry) (University of Dental

Medicine, Mandalay)

**HOME ADDRESS** No.665/12, Maga 6 street, South Oakklar Township, Yangon,



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