

CHAPTER III

THE CHURCH-ROSSER THEOREM

The main topic of this chapter is the Church-Rosser theorem of which we will state and prove three versions. In the first section we state and prove some general lemmas which are used in the proof of the theorem. In the second section we define residuals and minimal complete developments (MCD's), two concepts which are the keys to proving the theorem. The Church-Rosser theorem for $\beta\delta$ -reduction is proved in the third section by first proving a Church-Rosser theorem for MCD's. In the last section, we define $\beta\delta$ -equality and state and prove relevant results about it.

3.1 Preliminary Lemmas

Lemma 3.1.1. Let R be a potential redex and P be a pattern. If $[N_1/x_1, \dots, N_k/x_k]P \equiv R$ for some distinct variables x_1, \dots, x_k , $k \geq 1$, and some terms N_1, \dots, N_k , then $P \equiv x_t$ for some $1 \leq t \leq k$.

Proof. Assume $[N_1/x_1, \dots, N_k/x_k]P \equiv R$ for some distinct variables x_1, \dots, x_k , $k \geq 1$, and some terms N_1, \dots, N_k . Suppose $P \not\equiv x_i$ for all $1 \leq i \leq k$. If P is an atom, then $P \equiv [N_1/x_1, \dots, N_k/x_k]P \equiv R$, which is a contradiction, since R contains an abstraction. Hence $P \equiv P_1P_2$ for some patterns P_1 and P_2 , where P_1 is not a variable.

Since R is a potential redex, $R \equiv AN$ for some abstraction A and some term N . So we have $AN \equiv R \equiv [N_1/x_1, \dots, N_k/x_k]P \equiv [N_1/x_1, \dots, N_k/x_k]P_1[N_1/x_1, \dots, N_k/x_k]P_2$. By Note 2.1.3(b), $A \equiv [N_1/x_1, \dots, N_k/x_k]P_1$. Since P_1 is not a variable, by Lemma 2.1.10(b) $[N_1/x_1, \dots, N_k/x_k]P_1$ is of the same form as P_1 . This implies P_1 is an abstraction, which is impossible. \square

Lemma 3.1.2. Let $\lambda P.Q$ be a simple abstraction with $FV(P) = \{x_1, \dots, x_k\}$, $k \geq 1$, and N be a term such that $\lambda P.Q \equiv_{\alpha} N$. Then $N \equiv \lambda[y_1/x_1, \dots, y_k/x_k]P.Q'$ for some distinct variables y_1, \dots, y_k and some term Q' such that $\{y_1, \dots, y_k\} \cap FV(\lambda P.Q) = \emptyset$ and $Q' \equiv_{\alpha} [y_1/x_1, \dots, y_k/x_k]Q$.

Proof. Since $\lambda P.Q \equiv_{\alpha} N$, there exists a sequence of terms $\lambda P.Q \equiv A_1, A_2, \dots, A_n \equiv N$, $n \geq 1$, such that for each $1 \leq i < n$, A_{i+1} is obtained from A_i by a single change of bound variable. Induct on n .

If $n = 1$, then, by Corollary 2.1.12(c) $N \equiv \lambda P.Q \equiv \lambda[x_1/x_1, \dots, x_k/x_k]P.Q$, where $\{x_1, \dots, x_k\} \cap FV(\lambda P.Q) = \emptyset$ and $Q \equiv [x_1/x_1, \dots, x_k/x_k]Q$.

Now suppose $n > 1$. Since $\lambda P.Q \equiv_{\alpha} A_{n-1}$, by induction $A_{n-1} \equiv \lambda[y_1/x_1, \dots, y_k/x_k]P.Q'$ for some distinct variables y_1, \dots, y_k and some term Q' , where $\{y_1, \dots, y_k\} \cap FV(\lambda P.Q) = \emptyset$ and $Q' \equiv_{\alpha} [y_1/x_1, \dots, y_k/x_k]Q$.

Since N is obtained from A_{n-1} by a single change of bound variable, there are two cases as follows.

Case 1. No variable in P has been changed.

By Lemma 2.2.4, $N \equiv \lambda[y_1/x_1, \dots, y_k/x_k]P.Q''$ for some term Q'' , where $Q'' \equiv_{\alpha} Q'$. Then we are finished since $Q'' \equiv_{\alpha} [y_1/x_1, \dots, y_k/x_k]Q$.

Case 2. Some variable in P has been changed.

Then $N \equiv \lambda[w/y_t][y_1/x_1, \dots, y_k/x_k]P.[w/y_t]Q'$ for some $1 \leq t \leq k$, and some variable w , where $w \notin FV(\lambda P.Q)$. Without loss of generality, assume $t = 1$. By Corollary 2.1.17(a), $N \equiv \lambda[w/x_1, y_2/x_2, \dots, y_k/x_k]P.[w/y_1]Q'$, so it only remains to show that $[w/y_1]Q' \equiv_{\alpha} [w/x_1, y_2/x_2, \dots, y_k/x_k]Q$ and $\{w, y_2, \dots, y_k\} \cap FV(\lambda P.Q) = \emptyset$.

Suppose $w \in FV(\lambda P.Q)$. Since $Q' \equiv_{\alpha} [y_1/x_1, \dots, y_k/x_k]Q$, by Lemma 2.2.5 $FV(Q') = FV([y_1/x_1, \dots, y_k/x_k]Q)$. So we have

$w \in \text{FV}(Q) - \{x_1, \dots, x_k\} \subseteq \text{FV}([y_1/x_1, \dots, y_k/x_k]Q) = \text{FV}(Q')$, a contradiction. Hence $\{w, y_2, \dots, y_k\} \cap \text{FV}(\lambda P.Q) = \emptyset$. Finally, by Lemma 2.2.7 and Corollary 2.2.8 we have $[w/y_1]Q' \equiv_{\alpha} [w/y_1][y_1/x_1, \dots, y_k/x_k]Q \equiv_{\alpha} [w/x_1, y_2/x_2, \dots, y_k/x_k]Q$. \square

Lemma 3.1.3. Let P be a pattern with $\text{FV}(P) = \{x_1, \dots, x_k\}$, $k \geq 1$, y_1, \dots, y_k be distinct variables, and Q be a term. If $\{y_1, \dots, y_k\} \cap \text{FV}(\lambda P.Q) = \emptyset$, then $\lambda P.Q \equiv_{\alpha} \lambda[y_1/x_1, \dots, y_k/x_k]P.[y_1/x_1, \dots, y_k/x_k]Q$.

Proof. Assume $\{y_1, \dots, y_k\} \cap \text{FV}(\lambda P.Q) = \emptyset$. Let $S = \{i \mid 1 \leq i \leq k \text{ and } y_i \neq x_i\}$ and $|S| = m$ and induct on m .

Suppose $m = 0$, so that $y_i \equiv x_i$ for all $1 \leq i \leq k$. Hence

$$\lambda P.Q \equiv \lambda[y_1/x_1, \dots, y_k/x_k]P.[y_1/x_1, \dots, y_k/x_k]Q.$$

Now assume $m > 0$. Without loss of generality, assume $y_1 \neq x_1$.

Case 1. $x_1 \neq y_i$ for all $1 < i \leq k$.

$$\begin{aligned} \text{Then } x_1 \notin \text{FV}([y_1/x_1, \dots, y_k/x_k](PQ)). \text{ By the above assumption,} \\ \text{FV}(Q) \cap (\{y_1, \dots, y_k\} - \{x_1, \dots, x_k\}) = \text{FV}(Q) \cap (\{y_1, \dots, y_k\} - \text{FV}(P)) \\ = \{y_1, \dots, y_k\} \cap \text{FV}(\lambda P.Q) = \emptyset. \end{aligned}$$

$$\text{Hence } \lambda[y_1/x_1, \dots, y_k/x_k]P.[y_1/x_1, \dots, y_k/x_k]Q$$

$$\equiv_{\alpha} \lambda[x_1/y_1][y_1/x_1, \dots, y_k/x_k]P.[x_1/y_1][y_1/x_1, \dots, y_k/x_k]Q$$

$$\equiv \lambda[x_1/x_1, y_2/x_2, \dots, y_k/x_k]P.[x_1/y_1][y_1/x_1, \dots, y_k/x_k]Q \quad (\text{by Corollary 2.1.17})$$

$$\equiv_{\alpha} \lambda[x_1/x_1, y_2/x_2, \dots, y_k/x_k]P.[x_1/x_1, y_2/x_2, \dots, y_k/x_k]Q \quad (\text{by Corollary 2.2.8})$$

$$\equiv_{\alpha} \lambda P.Q. \quad (\text{by induction})$$

Case 2. $x_1 \equiv y_t$ for some $1 < t \leq k$.

Without loss of generality, assume $t = 2$. Note that $y_2 \neq x_2$ since $x_1 \neq x_2$.

Choose a variable $w \notin \text{FV}(x_1 \dots x_k y_1 \dots y_k P Q)$. Then

$$\lambda[y_1/x_1, \dots, y_k/x_k]P.[y_1/x_1, \dots, y_k/x_k]Q$$

$$\equiv \lambda[y_1/x_1, x_1/x_2, y_3/x_3, \dots, y_k/x_k]P.[y_1/x_1, x_1/x_2, y_3/x_3, \dots, y_k/x_k]Q$$

$$\equiv_{\alpha} \lambda[w/x_1][y_1/x_1, x_1/x_2, y_3/x_3, \dots, y_k/x_k]P.[w/x_1][y_1/x_1, x_1/x_2, y_3/x_3, \dots, y_k/x_k]Q$$

$$\equiv_{\alpha} \lambda[y_1/x_1, w/x_2, y_3/x_3, \dots, y_k/x_k]P.[y_1/x_1, w/x_2, y_3/x_3, \dots, y_k/x_k]Q$$

$$\begin{aligned}
&\equiv_{\alpha} \lambda[x_1/y_1][y_1/x_1, w/x_2, y_3/x_3, \dots, y_k/x_k]P.[x_1/y_1][y_1/x_1, w/x_2, y_3/x_3, \dots, y_k/x_k]Q \\
&\equiv_{\alpha} \lambda[x_1/x_1, w/x_2, y_3/x_3, \dots, y_k/x_k]P.[x_1/x_1, w/x_2, y_3/x_3, \dots, y_k/x_k]Q \\
&\equiv_{\alpha} \lambda P.Q. \qquad \qquad \qquad \text{(by induction) } \square
\end{aligned}$$

Lemma 3.1.4. Let P and P' be patterns with $FV(P) \subseteq \{x_1, \dots, x_k\}$, $k \geq 1$, and $P' \equiv [y_1/x_1, \dots, y_k/x_k]P$ for some distinct variables y_1, \dots, y_k and let Q and N be terms. If $(\lambda P.Q)N$ is a β -redex, then $(\lambda P'.Q')[U_1/u_1, \dots, U_m/u_m]N$ is also a β -redex for any distinct variables u_1, \dots, u_m , $m \geq 1$, and any terms Q', U_1, \dots, U_m .

Proof. Assume $(\lambda P.Q)N$ is a β -redex. Then there exist terms N_1, \dots, N_k such that $[N_1/x_1, \dots, N_k/x_k]P \equiv N$.

$$\begin{aligned}
&\text{Let } u_1, \dots, u_m, m \geq 1, \text{ be distinct variables and } U_1, \dots, U_m \text{ be terms. Then} \\
&[U_1/u_1, \dots, U_m/u_m]N \equiv [U_1/u_1, \dots, U_m/u_m][N_1/x_1, \dots, N_k/x_k]P \\
&\qquad \equiv [U_1/u_1, \dots, U_m/u_m][N_1/y_1, \dots, N_k/y_k][y_1/x_1, \dots, y_k/x_k]P \\
&\qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \text{(by Corollary 2.1.17(a))} \\
&\qquad \equiv [U_1/u_1, \dots, U_m/u_m][N_1/y_1, \dots, N_k/y_k]P' \\
&\qquad \equiv [[U_1/u_1, \dots, U_m/u_m]N_1/y_1, \dots, [U_1/u_1, \dots, U_m/u_m]N_k/y_k]P'. \\
&\qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \text{(by Corollary 2.1.17(a))}
\end{aligned}$$

Hence $(\lambda P'.Q')[U_1/u_1, \dots, U_m/u_m]N$ is a β -redex for any term Q' . \square

Corollary 3.1.5. Let $(\lambda P.Q)N$ be a β -redex.

- a. For any simple abstraction A such that $A \equiv_{\alpha} \lambda P.Q$, AN is a β -redex.
- b. For any distinct variables x_1, \dots, x_k , $k \geq 1$, and any terms U_1, \dots, U_k , $[U_1/x_1, \dots, U_k/x_k]((\lambda P.Q)N)$ is a β -redex.

Proof. Part (a) follows from Lemmas 2.2.4(b), 3.1.2 and 3.1.4, while Part (b) follows from Lemmas 2.1.10(c), 2.1.16 and 3.1.4. \square

Lemma 3.1.6. Let $R \equiv (\lambda P.Q)N$ be a β -redex, x_1, \dots, x_k , $k \geq 1$, be distinct variables, and S, U_1, \dots, U_k be terms. If $R \triangleright_{1\beta} S$, then $[U_1/x_1, \dots, U_k/x_k]R \triangleright_{\beta} [U_1/x_1, \dots, U_k/x_k]S$. To be precise, if $R \triangleright_{1\beta} S$, then $[U_1/x_1, \dots, U_k/x_k]R \triangleright_{1\beta} S^*$ for some term S^* , where $S^* \equiv_{\alpha} [U_1/x_1, \dots, U_k/x_k]S$.

Proof. Assume $R \triangleright_{1\beta} S$.

Case 1. $FV(P) = \emptyset$.

Then $P \equiv N$ and $S \equiv Q$. Since $FV(N) = FV(P) = \emptyset$,

$$\begin{aligned} [U_1/x_1, \dots, U_k/x_k]R &\equiv [U_1/x_1, \dots, U_k/x_k](\lambda P.Q) [U_1/x_1, \dots, U_k/x_k]N \\ &\equiv (\lambda P.[U_1/x_1, \dots, U_k/x_k]Q)N \\ &\triangleright_{1\beta} [U_1/x_1, \dots, U_k/x_k]Q. \end{aligned}$$

Case 2. $FV(P) = \{y_1, \dots, y_m\}$.

Then there exist terms N_1, \dots, N_m such that $[N_1/y_1, \dots, N_m/y_m]P \equiv N$ and $S \equiv [N_1/y_1, \dots, N_m/y_m]Q$. So we have

$$\begin{aligned} [U_1/x_1, \dots, U_k/x_k]N &\equiv [U_1/x_1, \dots, U_k/x_k][N_1/y_1, \dots, N_m/y_m]P \\ &\equiv [[U_1/x_1, \dots, U_k/x_k]N_1/y_1, \dots, [U_1/x_1, \dots, U_k/x_k]N_m/y_m]P \\ &\quad \text{(by Corollary 2.1.17(a), since } FV(P) = \{y_1, \dots, y_m\}) \end{aligned}$$

and $[U_1/x_1, \dots, U_k/x_k]S \equiv [U_1/x_1, \dots, U_k/x_k][N_1/y_1, \dots, N_m/y_m]Q$.

There are cases and subcases as follows. (Note that

$$\begin{aligned} FV(Q) \cap (\{x_1, \dots, x_k\} - \{y_1, \dots, y_m\}) &= FV(Q) \cap (\{x_1, \dots, x_k\} - FV(P)) \\ &= \{x_1, \dots, x_k\} \cap FV(\lambda P.Q). \end{aligned}$$

$$(2.1) \quad \{x_1, \dots, x_k\} \cap FV(\lambda P.Q) = \emptyset.$$

Then $[U_1/x_1, \dots, U_k/x_k]R \equiv (\lambda P.Q)[U_1/x_1, \dots, U_k/x_k]N$. By the note above

$FV(Q) \cap (\{x_1, \dots, x_k\} - \{y_1, \dots, y_m\}) = \emptyset$, so we have

$$\begin{aligned} [U_1/x_1, \dots, U_k/x_k]R &\triangleright_{1\beta} [[U_1/x_1, \dots, U_k/x_k]N_1/y_1, \dots, [U_1/x_1, \dots, U_k/x_k]N_m/y_m]Q \\ &\equiv_{\alpha} [U_1/x_1, \dots, U_k/x_k][N_1/y_1, \dots, N_m/y_m]Q. \quad \text{(by Corollary 2.2.8)} \end{aligned}$$

$$(2.2) \quad \{x_1, \dots, x_k\} \cap FV(\lambda P.Q) = \{x_{i_1}, \dots, x_{i_n}\}.$$

Then $FV(Q) \cap (\{x_1, \dots, x_k\} - \{y_1, \dots, y_m\}) = \{x_{i_1}, \dots, x_{i_n}\}$.

$$(2.2.1) \quad FV(P) \cap FV(U_{i_1} \dots U_{i_n}) = \emptyset.$$

Then $[U_1/x_1, \dots, U_k/x_k]R \equiv (\lambda P. [U_1/x_{i_1}, \dots, U_{i_n}/x_{i_n}]Q) [U_1/x_1, \dots, U_k/x_k]N$.

Hence $[U_1/x_1, \dots, U_k/x_k]R$

$\triangleright_{1\beta} [[U_1/x_1, \dots, U_k/x_k]N_1/y_1, \dots, [U_1/x_1, \dots, U_k/x_k]N_m/y_m] [U_1/x_{i_1}, \dots, U_{i_n}/x_{i_n}]Q$

$\equiv_{\alpha} [[U_1/x_1, \dots, U_k/x_k]N_1/y_1, \dots, [U_1/x_1, \dots, U_k/x_k]N_m/y_m, U_1/x_{i_1}, \dots, U_{i_n}/x_{i_n}]Q$

(by Corollary 2.2.8, since $\{y_1, \dots, y_m\} \cap FV(x_{i_1} \dots x_{i_n} U_{i_1} \dots U_{i_n}) = \emptyset$)

$\equiv_{\alpha} [U_1/x_1, \dots, U_k/x_k][N_1/y_1, \dots, N_m/y_m]Q$.

(by Corollary 2.2.8, since $FV(Q) \cap (\{x_1, \dots, x_k\} - \{y_1, \dots, y_m\}) = \{x_{i_1}, \dots, x_{i_n}\}$)

(2.2.2) $FV(P) \cap FV(U_{i_1} \dots U_{i_n}) = \{y_{j_1}, \dots, y_{j_t}\}$, where for each $1 \leq r \leq t$, y_{j_r} is the

r^{th} variable in $FV(P) \cap FV(U_{i_1} \dots U_{i_n})$.

By Lemma 2.1.10(c), there exist variables z_{j_1}, \dots, z_{j_t} (as in the lemma) such that

$[U_1/x_1, \dots, U_k/x_k](\lambda P.Q)$

$\equiv \lambda [z_{j_t}/y_{j_t}] \dots [z_{j_1}/y_{j_1}] P. [U_1/x_{i_1}, \dots, U_{i_n}/x_{i_n}] [z_{j_t}/y_{j_t}] \dots [z_{j_1}/y_{j_1}] Q$

$\equiv \lambda [z_{j_1}/y_{j_1}, \dots, z_{j_t}/y_{j_t}] P. [U_1/x_{i_1}, \dots, U_{i_n}/x_{i_n}] [z_{j_t}/y_{j_t}] \dots [z_{j_1}/y_{j_1}] Q$

(by Corollary 1.1.17(c))

$\equiv \lambda [z_1/y_1, \dots, z_m/y_m] P. [U_1/x_{i_1}, \dots, U_{i_n}/x_{i_n}] [z_{j_t}/y_{j_t}] \dots [z_{j_1}/y_{j_1}] Q$,

where $z_r \equiv y_r$ if $r \notin \{j_1, \dots, j_t\}$.

(by Lemma 2.1.11(b))

From the above, we have

$[U_1/x_1, \dots, U_k/x_k]N$

$\equiv [[U_1/x_1, \dots, U_k/x_k]N_1/y_1, \dots, [U_1/x_1, \dots, U_k/x_k]N_m/y_m]P$

$\equiv [[U_1/x_1, \dots, U_k/x_k]N_1/z_1, \dots, [U_1/x_1, \dots, U_k/x_k]N_m/z_m] [z_1/y_1, \dots, z_m/y_m]P$.

(by Corollary 2.1.17(a))

Hence $[U_1/x_1, \dots, U_k/x_k]R$

$\triangleright_{1\beta} [[U_1/x_1, \dots, U_k/x_k]N_1/z_1, \dots, [U_1/x_1, \dots, U_k/x_k]N_m/z_m] [U_1/x_{i_1}, \dots, U_{i_n}/x_{i_n}]$

$[z_{j_t}/y_{j_t}] \dots [z_{j_1}/y_{j_1}]Q$

$\equiv_{\alpha} [[U_1/x_1, \dots, U_k/x_k]N_1/z_1, \dots, [U_1/x_1, \dots, U_k/x_k]N_m/z_m] [U_1/x_{i_1}, \dots, U_{i_n}/x_{i_n}]$

$[z_1/y_1, \dots, z_m/y_m]Q$

(by Corollary 2.2.8 and Lemmas 2.1.11(b), 2.2.7)

$\equiv_{\alpha} [[U_1/x_1, \dots, U_k/x_k]N_1/z_1, \dots, [U_1/x_1, \dots, U_k/x_k]N_m/z_m, U_1/x_{i_1}, \dots, U_{i_n}/x_{i_n}]$

$[z_1/y_1, \dots, z_m/y_m]Q$

$$\begin{aligned}
& \text{(by Corollary 2.2.8, since } \{z_1, \dots, z_m\} \cap \text{FV}(x_{i_1} \dots x_{i_n} U_{i_1} \dots U_{i_n}) = \emptyset) \\
& \equiv_{\alpha} [U_1/x_1, \dots, U_k/x_k][N_1/z_1, \dots, N_m/z_m][z_1/y_1, \dots, z_m/y_m]Q \\
& \text{(by Corollary 2.2.8, since } \text{FV}([z_1/y_1, \dots, z_m/y_m]Q) \cap (\{x_1, \dots, x_k\} - \{z_1, \dots, z_m\}) \\
& \quad = \text{FV}(\lambda P.Q) \cap \{x_1, \dots, x_k\} = \{x_{i_1}, \dots, x_{i_n}\}) \\
& \equiv_{\alpha} [U_1/x_1, \dots, U_k/x_k][N_1/y_1, \dots, N_m/y_m]Q. \\
& \text{(by Corollary 2.2.8 and Lemma 2.2.7, since } z_r \notin \{y_1, \dots, y_m\} \text{ implies } z_r \notin \text{FV}(Q)) \quad \square
\end{aligned}$$

Lemma 3.1.7. Let $R \equiv (\lambda P.Q \mid A)N$ be a δ -redex, x_1, \dots, x_k , $k \geq 1$, be distinct variables, and U_1, \dots, U_k be terms. If $R \triangleright_{1\delta} S$, then $[U_1/x_1, \dots, U_k/x_k]R \triangleright_{1\delta} [U_1/x_1, \dots, U_k/x_k]S$.

Proof. Assume $R \triangleright_{1\delta} S$.

Case 1. $S \equiv (\lambda P.Q)N$.

Then $(\lambda P.Q)N$ is a β -redex. So we have

$[U_1/x_1, \dots, U_k/x_k](\lambda P.Q) [U_1/x_1, \dots, U_k/x_k]N \equiv [U_1/x_1, \dots, U_k/x_k](\lambda P.Q)N$ which is a β -redex by Corollary 3.1.5(b). Hence

$$\begin{aligned}
[U_1/x_1, \dots, U_k/x_k]R & \equiv ([U_1/x_1, \dots, U_k/x_k](\lambda P.Q) \mid [U_1/x_1, \dots, U_k/x_k]A)[U_1/x_1, \dots, U_k/x_k]N \\
& \triangleright_{1\delta} [U_1/x_1, \dots, U_k/x_k](\lambda P.Q) [U_1/x_1, \dots, U_k/x_k]N \\
& \equiv [U_1/x_1, \dots, U_k/x_k](\lambda P.Q)N.
\end{aligned}$$

Case 2. $S \equiv AN$.

Suppose $[U_1/x_1, \dots, U_k/x_k]R \not\triangleright_{1\delta} [U_1/x_1, \dots, U_k/x_k](AN)$.

Subcase 2.1. $\text{FV}(N) = \emptyset$, so $\text{FV}([U_1/x_1, \dots, U_k/x_k]N) = \emptyset$.

Then $([U_1/x_1, \dots, U_k/x_k](\lambda P.Q))N'$ is a β -redex for some term N' such that $[U_1/x_1, \dots, U_k/x_k]N \triangleright_{\beta\gamma} N'$ i.e. $N \triangleright_{\beta\gamma} N'$.

By Lemmas 2.1.10(c) and 2.1.16, $[U_1/x_1, \dots, U_k/x_k](\lambda P.Q) \equiv \lambda P'.Q'$ for some term Q' and some pattern P' such that $P' \equiv [z_1/u_1, \dots, z_t/u_t]P$ for some distinct variables z_1, \dots, z_t , where $\text{FV}(P) \subseteq \{u_1, \dots, u_t\}$, $t \geq 1$. Note that $[u_1/z_1, \dots, u_t/z_t]P' \equiv P$ (by Corollary 2.1.17).

Since $(\lambda P'.Q')N' \equiv ([U_1/x_1, \dots, U_k/x_k](\lambda P.Q))N'$ which is a β -redex, by

Lemma 3.1.4 $(\lambda P.Q)N'$ is a β -redex. Since $N \triangleright_{\beta\gamma} N'$, $R \not\triangleright_{1\delta} AN$, a contradiction.

Subcase 2.2. $FV(N) = \{y_1, \dots, y_m\}$.

Subcase 2.2.1. $FV([U_1/x_1, \dots, U_k/x_k]N) = \emptyset$.

Then $([U_1/x_1, \dots, U_k/x_k](\lambda P.Q))N'$ is a β -redex for some term N' such that $[U_1/x_1, \dots, U_k/x_k]N \triangleright_{\beta\gamma} N'$.

By Corollary 2.1.12(b), $[U_1/x_1, \dots, U_k/x_k]N \equiv [V_1/y_1, \dots, V_m/y_m]N$ for some terms V_1, \dots, V_m . Hence $[V_1/y_1, \dots, V_m/y_m]N \triangleright_{\beta\gamma} N'$. As in case 2.1, $(\lambda P.Q)N'$ is a β -redex. Hence $R \not\triangleright_{1\delta} AN$, a contradiction.

Subcase 2.2.2. $FV([U_1/x_1, \dots, U_k/x_k]N) = \{u_1, \dots, u_r\}$.

Then $([U_1/x_1, \dots, U_k/x_k](\lambda P.Q))N'$ is a β -redex for some term N' such that $[V_1/u_1, \dots, V_r/u_r][U_1/x_1, \dots, U_k/x_k]N \triangleright_{\beta\gamma} N'$ for some terms V_1, \dots, V_r . By Corollaries 2.2.8 and 2.1.12(b),

$[V_1/u_1, \dots, V_r/u_r][U_1/x_1, \dots, U_k/x_k]N \equiv_{\alpha} [W_1/y_1, \dots, W_m/y_m]N$ for some terms W_1, \dots, W_m .

So we have $[W_1/y_1, \dots, W_m/y_m]N \triangleright_{\beta\gamma} N'$. As above, this leads to a contradiction. \square

Corollary 3.1.8. Let x_1, \dots, x_k , $k \geq 1$, be distinct variables and M, M', U_1, \dots, U_k be terms.

- If $M \triangleright_{1\beta} M'$, then $[U_1/x_1, \dots, U_k/x_k]M \triangleright_{\beta} [U_1/x_1, \dots, U_k/x_k]M'$.
- If $M \triangleright_{1\delta} M'$, then $[U_1/x_1, \dots, U_k/x_k]M \triangleright_{1\delta} [U_1/x_1, \dots, U_k/x_k]M'$.
- If $M \triangleright_{\beta\delta} M'$, then $[U_1/x_1, \dots, U_k/x_k]M \triangleright_{\beta\delta} [U_1/x_1, \dots, U_k/x_k]M'$.
- If R is a contractible redex, then so is $[U_1/x_1, \dots, U_k/x_k]R$.

Proof. Parts (a) and (b) follow from Lemmas 3.1.6 and 3.1.7 respectively. Part (c) follows from Parts (a) and (b), and Lemma 2.2.7. Part (d) follows from Lemmas 3.1.6 and 3.1.7. \square

Lemma 3.1.9. Let A be an abstraction, and A' and N be terms such that $A \triangleright_{1\beta, 1\delta} A'$. If AN is a contractible redex, then so is $A'N$.

Proof. Assume AN is a contractible redex and let R be the occurrence of a potential redex in A which is contracted when $A \triangleright_{1\beta,1\delta} A'$.

Case 1. $A \equiv \lambda P.Q$.

Since $A \triangleright_{1\beta,1\delta} A'$, by Note 2.3.14 $A' \equiv (\lambda P.Q')$ for some term Q' . By Lemma 3.1.4, $A'N$ is a β -redex.

Case 2. $A \equiv (\lambda P.Q \mid B)$.

Since $A \triangleright_{1\beta,1\delta} A'$, by Note 2.3.14 $A' \equiv (\lambda P.Q' \mid B')$ for some term Q' and some abstraction B' such that either $Q \triangleright_{1\beta,1\delta} Q'$ and $B \equiv B'$ or $Q \equiv Q'$ and $B \triangleright_{1\beta,1\delta} B'$.

Suppose $A'N$ is not contractible. Then there exists a term N' such that $(\lambda P.Q')N'$ is a β -redex and $[U_1/x_1, \dots, U_k/x_k]N \triangleright_{\beta\gamma} N'$ for some distinct variables x_1, \dots, x_k , $k \geq 1$, and some terms U_1, \dots, U_k . By Lemma 3.1.4, $(\lambda P.Q)N'$ is also a β -redex. Since AN is contractible, we must have that $(\lambda P.Q)N$ is a β -redex. But then $(\lambda P.Q')N$ is a β -redex. Hence $A'N \triangleright_{1\delta} (\lambda P.Q')N$, a contradiction. Therefore $A'N$ is contractible. \square

Lemma 3.1.10. Let P be a pattern with $FV(P) = \{x_1, \dots, x_k\}$, $k \geq 1$, and N, U_1, \dots, U_k be terms. If $[U_1/x_1, \dots, U_k/x_k]P \triangleright_{\beta\delta} N$, then $N \equiv [V_1/x_1, \dots, V_k/x_k]P$ for some terms V_1, \dots, V_k such that $U_i \triangleright_{\beta\delta} V_i$ for all $1 \leq i \leq k$.

Proof. Assume $[U_1/x_1, \dots, U_k/x_k]P \triangleright_{\beta\delta} N$. Induct on P .

i. $P \equiv x_1$.

Let $V_1 \equiv N$, and observe that $N \equiv V_1 \equiv [V_1/x_1]P$ and $U_1 \equiv [U_1/x_1]P \triangleright_{\beta\delta} N \equiv V_1$.

ii. $P \equiv P_1P_2$.

By Lemma 3.1.1, substituting into P cannot produce a potential redex. Since $[U_1/x_1, \dots, U_k/x_k]P_1[U_1/x_1, \dots, U_k/x_k]P_2 \equiv [U_1/x_1, \dots, U_k/x_k]P \triangleright_{\beta\delta} N$, by Corollary 2.3.15(a) $N \equiv N_1N_2$ for some terms N_1 and N_2 , where $[U_1/x_1, \dots, U_k/x_k]P_i \triangleright_{\beta\delta} N_i$, $i = 1, 2$.

Since $FV(P) = \{x_1, \dots, x_k\}$, $FV(P_1) \neq \emptyset$ or $FV(P_2) \neq \emptyset$. Without loss of generality, assume $FV(P_1) \neq \emptyset$. The proof for the case $FV(P_2) \neq \emptyset$ is similar.

Case 1. $FV(P_2) = \emptyset$.

Then $FV(P_1) = \{x_1, \dots, x_k\}$. Since $[U_1/x_1, \dots, U_k/x_k]P_1 \triangleright_{\beta\delta} N_1$, by induction $N_1 \equiv [V_1/x_1, \dots, V_k/x_k]P_1$ for some terms V_1, \dots, V_k , where $U_i \triangleright_{\beta\delta} V_i$ for all $1 \leq i \leq k$. Since $[U_1/x_1, \dots, U_k/x_k]P_2 \triangleright_{\beta\delta} N_2$ and $FV(P_2) = \emptyset$, $P_2 \triangleright_{\beta\delta} N_2$, so in fact $P_2 \equiv N_2$, since P_2 contains no bound variables. Hence

$$\begin{aligned} N &\equiv N_1 N_2 \equiv ([V_1/x_1, \dots, V_k/x_k]P_1)P_2 \\ &\equiv [V_1/x_1, \dots, V_k/x_k]P_1[V_1/x_1, \dots, V_k/x_k]P_2 \\ &\equiv [V_1/x_1, \dots, V_k/x_k](P_1 P_2) \\ &\equiv [V_1/x_1, \dots, V_k/x_k]P. \end{aligned}$$

Case 2. $FV(P_2) = \{x_{j_1}, \dots, x_{j_n}\}$.

Since $FV(P) = \{x_1, \dots, x_k\}$ and no variable occurs in both P_1 and P_2 , $FV(P_1) = \{x_{i_1}, \dots, x_{i_m}\}$, where $\{i_1, \dots, i_m\} \cup \{j_1, \dots, j_n\} = \{1, \dots, k\}$ and $\{i_1, \dots, i_m\} \cap \{j_1, \dots, j_n\} = \emptyset$.

By Corollary 2.1.10(b), $[U_1/x_1, \dots, U_k/x_k]P_1 \equiv [U_{i_1}/x_{i_1}, \dots, U_{i_m}/x_{i_m}]P_1$ and $[U_1/x_1, \dots, U_k/x_k]P_2 \equiv [U_{j_1}/x_{j_1}, \dots, U_{j_n}/x_{j_n}]P_2$. By induction, $N_1 \equiv [V_{i_1}/x_{i_1}, \dots, V_{i_m}/x_{i_m}]P_1$ and $N_2 \equiv [V_{j_1}/x_{j_1}, \dots, V_{j_n}/x_{j_n}]P_2$ for some terms V_{i_1}, \dots, V_{i_m} , V_{j_1}, \dots, V_{j_n} , where $U_r \triangleright_{\beta\delta} V_r$ for all $1 \leq r \leq k$. Hence

$$\begin{aligned} N &\equiv N_1 N_2 \equiv [V_{i_1}/x_{i_1}, \dots, V_{i_m}/x_{i_m}]P_1[V_{j_1}/x_{j_1}, \dots, V_{j_n}/x_{j_n}]P_2 \\ &\equiv [V_1/x_1, \dots, V_k/x_k]P_1[V_1/x_1, \dots, V_k/x_k]P_2 \\ &\equiv [V_1/x_1, \dots, V_k/x_k]P. \end{aligned}$$

Lemma 3.1.11. If we replace $\triangleright_{\beta\delta}$ in Lemma 3.1.10 by \equiv_α , then the lemma remains true. □

Proof. This can be proved in the same way as Lemma 3.1.10. □

Lemma 3.1.12. Let A be an abstraction, and N and N' be terms such that $N \triangleright_{\beta\delta} N'$. If AN is a contractible redex, then so is AN' .

Proof. Assume AN is a contractible redex.

Case 1. $A \equiv \lambda P.Q$.

Subcase 1.1. $FV(P) = \emptyset$.

Since AN is a β -redex, $P \equiv N$, so $P \equiv N \triangleright_{\beta\delta} N'$. This implies $P \equiv N'$ since P contains no bound variables. Thus $(\lambda P.Q)N'$ is a β -redex. That is, AN' is a contractible redex.

Subcase 1.2. $FV(P) = \{x_1, \dots, x_k\}$.

Then $[N_1/x_1, \dots, N_k/x_k]P \equiv N$ for some terms N_1, \dots, N_k . Since $N \triangleright_{\beta\delta} N'$, by Lemma 3.1.10 $N' \equiv [N'_1/x_1, \dots, N'_k/x_k]P$ for some terms N'_1, \dots, N'_k . Hence $(\lambda P.Q)N'$ is a β -redex, so AN' is contractible.

Case 2. $A \equiv (\lambda P.Q \mid B)$.

Suppose AN' is not contractible. Then there exists a term N^* such that $(\lambda P.Q)N^*$ is a β -redex and $[U_1/y_1, \dots, U_m/y_m]N' \triangleright_{\beta\gamma} N^*$ for some distinct variables y_1, \dots, y_m , $m \geq 1$, and some terms U_1, \dots, U_m . Since $N \triangleright_{\beta\delta} N'$, by Corollary 3.1.8(c) $[U_1/y_1, \dots, U_m/y_m]N \triangleright_{\beta\delta} [U_1/y_1, \dots, U_m/y_m]N'$. By Note 2.3.8(b), $[U_1/y_1, \dots, U_m/y_m]N \triangleright_{\beta\gamma} [U_1/y_1, \dots, U_m/y_m]N'$. By the transitivity of the relation $\triangleright_{\beta\gamma}$, $[U_1/y_1, \dots, U_m/y_m]N \triangleright_{\beta\gamma} N^*$. Since AN is contractible and $(\lambda P.Q)N^*$ is a β -redex, this implies $(\lambda P.Q)N$ is a β -redex. By Case 1, $(\lambda P.Q)N'$ is a β -redex. Hence $AN' \triangleright_{\beta\delta} (\lambda P.Q)N'$, a contradiction. Thus AN' is contractible. \square

Lemma 3.1.13. Let R be a contractible redex, and R' and S be terms such that $R \equiv_{\alpha} R'$. If $R \triangleright_{\beta} S$ (respectively $R \triangleright_{\beta\delta} S$), then $R' \triangleright_{\beta} S'$ (respectively $R' \triangleright_{\beta\delta} S'$) for some term S' , where $S' \equiv_{\alpha} S$.

Proof. First, assume $R \equiv (\lambda P.Q)N \triangleright_{1\beta} S$.

Case 1. $FV(P) = \emptyset$.

Since R is a β -redex, $P \equiv N$ and $S \equiv Q$. Since $R \equiv_{\alpha} R'$, $R' \equiv (\lambda P.Q')N'$ for some terms Q' and N' , where $Q' \equiv_{\alpha} Q$ and $N' \equiv_{\alpha} N$. Since $N \equiv P$, N contains no bound variables. This implies $N' \equiv N \equiv P$. Hence $R' \equiv (\lambda P.Q')N' \triangleright_{1\beta} Q'$ and $Q' \equiv_{\alpha} Q$.

Case 2. $FV(P) = \{x_1, \dots, x_k\}$.

Then $[N_1/x_1, \dots, N_k/x_k]P \equiv N$ and $S \equiv [N_1/x_1, \dots, N_k/x_k]Q$ for some terms N_1, \dots, N_k . Since $R \equiv_{\alpha} R'$, by Lemmas 2.2.4 and 3.1.2 $R' \equiv (\lambda P'.Q')N'$ for some pattern P' and some terms Q' and N' such that $N' \equiv_{\alpha} N$, $P' \equiv [y_1/x_1, \dots, y_k/x_k]P$ and $Q' \equiv_{\alpha} [y_1/x_1, \dots, y_k/x_k]Q$, for some distinct variables y_1, \dots, y_k , where $\{y_1, \dots, y_k\} \cap FV(\lambda P.Q) = \emptyset$. Since $N' \equiv_{\alpha} N \equiv [N_1/x_1, \dots, N_k/x_k]P$, by Lemma 3.1.11 $N' \equiv [N'_1/x_1, \dots, N'_k/x_k]P$ for some terms N'_1, \dots, N'_k such that $N'_i \equiv_{\alpha} N_i$ for all $1 \leq i \leq k$.

So we have $N' \equiv [N'_1/x_1, \dots, N'_k/x_k]P$

$$\equiv [N'_1/y_1, \dots, N'_k/y_k][y_1/x_1, \dots, y_k/x_k]P \quad (\text{by Corollary 2.1.17})$$

$$\equiv [N'_1/y_1, \dots, N'_k/y_k]P'.$$

Hence $R' \triangleright_{1\beta} [N'_1/y_1, \dots, N'_k/y_k]Q'$, and we have

$$[N'_1/y_1, \dots, N'_k/y_k]Q' \equiv_{\alpha} [N_1/y_1, \dots, N_k/y_k][y_1/x_1, \dots, y_k/x_k]Q \quad (\text{by Lemma 2.2.7})$$

$$\equiv_{\alpha} [N_1/x_1, \dots, N_k/x_k]Q. \quad (\text{by Corollary 2.2.8})$$

Now, assume $R \equiv (\lambda P.Q \mid A)N \triangleright_{1\delta} S$.

Since $R \equiv_{\alpha} R'$, by Lemma 2.2.4 $R' \equiv (\lambda P'.Q' \mid A')N'$ for some abstractions $\lambda P'.Q'$ and A' and some term N' , where $\lambda P'.Q' \equiv_{\alpha} \lambda P.Q$, $A' \equiv_{\alpha} A$, and $N' \equiv_{\alpha} N$.

Case 1. $S \equiv (\lambda P.Q)N$.

Then $(\lambda P.Q)N$ is a β -redex. Since $\lambda P'.Q' \equiv_{\alpha} \lambda P.Q$, by Corollary 3.1.5(a) $(\lambda P'.Q')N$ is a β -redex. Since $N' \equiv_{\alpha} N$, by Lemma 3.1.12 $(\lambda P'.Q')N'$ is a β -redex. Hence $R' \triangleright_{1\delta} (\lambda P'.Q')N'$, where $(\lambda P'.Q')N' \equiv_{\alpha} (\lambda P.Q)N$.

Case 2. $S \equiv AN$.

Suppose $R' \not\triangleright_{1\delta} A'N'$. Then there exists a term N^* such that $(\lambda P'.Q')N^*$ is a

β -redex and $[U_1/x_1, \dots, U_k/x_k]N' \triangleright_{\beta\gamma} N^*$ for some distinct variables x_1, \dots, x_k , $k \geq 1$, and some terms U_1, \dots, U_k . Since $N \equiv_{\alpha} N'$, by Lemma 2.2.7

$[U_1/x_1, \dots, U_k/x_k]N \equiv_{\alpha} [U_1/x_1, \dots, U_k/x_k]N'$. Hence $[U_1/x_1, \dots, U_k/x_k]N \triangleright_{\beta\gamma} N^*$. Since $\lambda P.Q \equiv_{\alpha} \lambda P'.Q'$ and $(\lambda P'.Q')N^*$ is a β -redex, by Corollary 3.1.5(a) $(\lambda P.Q)N^*$ is also a β -redex. Hence $R \not\triangleright_{\beta\delta} AN$, a contradiction. Thus $R' \triangleright_{\beta\delta} A'N'$, where $A'N' \equiv_{\alpha} AN$. \square

Corollary 3.1.14.

- a. Let M, M' , and N be terms such that $M \equiv_{\alpha} M'$. If $M \triangleright_{\beta} N$ (respectively $M \triangleright_{\beta\delta} N$), then $M' \triangleright_{\beta} N'$ (respectively $M' \triangleright_{\beta\delta} N'$) for some term N' , where $N' \equiv_{\alpha} N$.
- b. If R is a contractible redex and R' is a term such that $R \equiv_{\alpha} R'$, then R' is also a contractible redex.
- c. Let R be a potential redex and S be a term such that $R \triangleright_{\beta\delta} S$ by a sequence of terms $R \equiv R_1, R_2, \dots, R_n \equiv S$, $n \geq 1$, where for each $1 \leq i < n$, R_i is not the potential redex which is contracted. If R is a contractible redex, then so is S .

Proof. Parts (a) and (b) follow from Lemma 3.1.13, while Part (c) follows from Lemmas 3.1.9, 3.1.12 and Part (b). \square

Lemma 3.1.15. For any $\beta\delta$ -normal form M and any term N , if $M \triangleright_{\beta\delta} N$, then $M \equiv_{\alpha} N$.

Proof. Let M be a $\beta\delta$ -normal form and N be a term such that $M \triangleright_{\beta\delta} N$. Then there exists a sequence of terms $M \equiv M_1, \dots, M_n \equiv N$, $n \geq 1$, such that for each $1 \leq i < n$, $M_i \equiv_{\alpha} M_{i+1}$ or $M_i \triangleright_{\beta, \beta\delta} M_{i+1}$. Induct on n .

If $n = 1$, then $M \equiv N$.

Now, suppose $n > 1$. By induction, $M \equiv_{\alpha} M_{n-1}$. Suppose M_{n-1} contains a contractible redex R . Since $M \equiv_{\alpha} M_{n-1}$, M contains a potential redex R_0 such that $R_0 \equiv_{\alpha} R$. By Corollary 3.1.14, R_0 is also a contractible redex, so M contains a contractible redex, which is a contradiction. Hence M_{n-1} contains no contractible redexes and so $M_{n-1} \not\triangleright_{\beta, \beta\delta} N$. Therefore $M_{n-1} \equiv_{\alpha} N$. Thus $M \equiv_{\alpha} N$. \square

3.2 Residuals and Minimal Complete Developments

To prove the Church-Rosser theorem, we need to look at a restricted set of $\beta\delta$ -reductions, called minimal complete developments (MCD's). In this section we will define this type of reduction and prove those basic properties concerning it which are needed for proving the Church-Rosser theorem.

Definition 3.2.1. Let R and S be occurrences of contractible redexes in a term M . When R is contracted, let M change to M' .

The **residuals** of S with respect to R are occurrences of potential redexes in M' , defined as follows.

Case 1. R and S are non-overlapping parts of M .

Then contracting R leaves S unchanged. This unchanged S in M' is the residual of S .

Case 2. $R \equiv S$.

Then contracting R is the same as contracting S . We say S has no residuals in M' .

Case 3. R is part of S and $R \neq S$.

Since S is a potential redex, $S \equiv AN$ for some abstraction A , and some term N . So R is either in A or in N . Then contracting R changes S to S' , where $S' \equiv A'N'$ for some abstraction A' and some term N' such that either $A \triangleright_{1\beta,1\delta} A'$ and $N \equiv N'$ or $A \equiv A'$ and $N \triangleright_{1\beta,1\delta} N'$. This S' is the residual of S .

Case 4. S is part of R and $S \neq R$.

There are cases and subcases as follows.

$$(4.1) R \equiv (\lambda P.Q)N.$$

$$(4.1.1) FV(P) = \emptyset.$$

Since R is a β -redex, $P \equiv N$ and $R \triangleright_{1\beta} Q$. Since S is a potential redex in R , S is in Q . Since $R \triangleright_{1\beta} Q$, contracting R leaves S unchanged in M' ; this is the residual of S .

$$(4.1.2) FV(P) = \{x_1, \dots, x_k\}, k \geq 1.$$

Then $[N_1/x_1, \dots, N_k/x_k]P \equiv N$ for some terms N_1, \dots, N_k and

$R \triangleright_{1\beta} [N_1/x_1, \dots, N_k/x_k]Q$.

(4.1.2.1) S is in Q .

Then S changes to S' , where S' is either S or some substitution of S . This S' is the residual of S .

(4.1.2.2) S is in N .

Then S is in $[N_1/x_1, \dots, N_k/x_k]P$. By Lemma 3.1.1, S is in N_t for some $1 \leq t \leq k$. Hence there is an occurrence of S in each N_t substituted for an occurrence of x_t in Q . These are the residuals of S . (Note that S may have many or no residuals.)

(4.2) $R \equiv (\lambda P.Q \mid A)N$.

(4.2.1) $R \triangleright_{1\beta} (\lambda P.Q)N$.

If S is in Q or N , then contracting R leaves S unchanged, and this is the residual of S in M' . If S is in A , then S has no residuals in M' .

(4.2.2) $R \triangleright_{1\beta} AN$.

If S is in A or N , then this unchanged S in A or N is the residual of S in M' . If S is in Q , then S has no residuals in M' .

Notes 3.2.2.

a. Except in case 4.1.2.2, S has at most one residual.

b. Each residual is a contractible redex. (The residual in Case 3 is contractible by Lemmas 3.1.9 and 3.1.12, and the residual in (4.1.2.1) is contractible by Corollary 3.1.8(d)).

Definition 3.2.3. If $\mathcal{R} = \{R_i \mid 1 \leq i \leq n\}$, $n \geq 0$, is a set of occurrences of potential redexes in a term M , then an R_i is called **minimal** (with respect to \mathcal{R}) if it properly contains no other $R_j \in \mathcal{R}$.

Let $\mathcal{R} = \{R_i \mid 1 \leq i \leq n\}$, $n \geq 0$, be a set of occurrences of contractible redexes in a term M . For any term M' , we say M' is obtained from M by a **minimal complete**

development (MCD) of \mathcal{R} , denoted by $M \triangleright_{\text{mcd}} M'$ (of \mathcal{R}), if M' is obtained from M by the following process.

First contract any minimal R_i ; without loss of generality let $i = 1$. By Definition 3.2.1, this leaves $n - 1$ residuals R_2', R_3', \dots, R_n' . Contract any minimal R_i' . This leaves $n - 2$ residuals. Repeat this process until no residuals are left. Then make as many α -steps as you like.

Notes 3.2.4.

- a. In any non-empty set of potential redexes, there is always a minimal member.
- b. If $n = 0$, an MCD is just a finite sequence of α -steps.
- c. A single β -contraction or a single δ -contraction is an MCD of a one member set.
- d. There exist reductions which are not MCD's, for example

$$(\lambda x.xy)(\lambda z.z) \triangleright_{1\beta} (\lambda z.z)y \triangleright_{1\beta} y.$$

- e. The relation $\triangleright_{\text{mcd}}$ is not transitive. For example, in (d) there is clearly no MCD from $(\lambda x.xy)(\lambda z.z)$ to y .

f. If $M \triangleright_{\text{mcd}} M'$ and $N \triangleright_{\text{mcd}} N'$, then $MN \triangleright_{\text{mcd}} M'N'$ and $\lambda P.M \triangleright_{\text{mcd}} \lambda P.M'$.

g. Each MCD is a $\beta\delta$ -reduction.

- h. For any contractible redex L , if $L \triangleright_{\text{mcd}} M$ of \mathcal{R} , without α -steps, where $L \notin \mathcal{R}$, and $M \triangleright_{1\beta, 1\delta} N$, with M being the potential redex contracted, then $L \triangleright_{\text{mcd}} N$ of $\mathcal{R} \cup \{L\}$, without α -steps.

Lemma 3.2.5. If we replace $\triangleright_{\beta\delta}$ in Corollary 2.3.15 by $\triangleright_{\text{mcd}}$, then the corollary remains true.

Proof. This is obvious for Part (b), since all potential redexes in $\lambda P.Q$ are in Q . Part (a) follows from the fact that the sets of potential redexes in M_1 and M_2 are disjoint when M_1 and M_2 are non-overlapping. The argument for Part (a) also applies

to Part (c). □

Lemma 3.2.6. If we replace $\triangleright_{\beta\delta}$ in Lemma 3.1.10 by $\triangleright_{\text{mcd}}$, then the lemma remains true.

Proof. This can be proved in the same way as Lemma 3.1.10. □

Lemma 3.2.7. For any terms M, N and M' , if $M \triangleright_{\text{mcd}} N$ and $M \equiv_{\alpha} M'$, then $M' \triangleright_{\text{mcd}} N$.

Lemma 3.2.8. For any distinct variables x_1, \dots, x_k , $k \geq 1$, and any terms $M, N, U_1, \dots, U_k, V_1, \dots, V_k$, if $M \triangleright_{\text{mcd}} N$ and $U_i \triangleright_{\text{mcd}} V_i$ for all $1 \leq i \leq k$, then $[U_1/x_1, \dots, U_k/x_k]M \triangleright_{\text{mcd}} [V_1/x_1, \dots, V_k/x_k]N$.

Proof of Lemmas 3.2.7 and 3.2.8.

Let x_1, \dots, x_k , $k \geq 1$, be distinct variables and $M, N, M', U_1, \dots, U_k, V_1, \dots, V_k$ be terms such that $M \triangleright_{\text{mcd}} N$, $M \equiv_{\alpha} M'$ and $U_i \triangleright_{\text{mcd}} V_i$ for all $1 \leq i \leq k$. Then N is obtained from M by the given MCD of a set \mathcal{R} . By Definition 3.2.3 (for Lemma 3.2.7) and Lemma 2.2.7 (for Lemma 3.2.8), we may assume that the MCD $M \triangleright_{\text{mcd}} N$ has no α -steps.

For Lemma 3.2.8, first suppose $\{x_1, \dots, x_k\} \cap \text{FV}(M) = \emptyset$. Then by Corollary 2.1.12(a) $[U_1/x_1, \dots, U_k/x_k]M \equiv M$. Since $M \triangleright_{\text{mcd}} N$, we have $M \triangleright_{\beta\delta} N$. By Lemma 2.3.16(a), $\text{FV}(N) \subseteq \text{FV}(M)$. Hence $\{x_1, \dots, x_k\} \cap \text{FV}(N) = \emptyset$. Thus $[V_1/x_1, \dots, V_k/x_k]N \equiv N$. So we have $[U_1/x_1, \dots, U_k/x_k]M \equiv M \triangleright_{\text{mcd}} N \equiv [V_1/x_1, \dots, V_k/x_k]N$, and we are finished.

Thus for Lemma 3.2.8 we may assume $\{x_1, \dots, x_k\} \cap \text{FV}(M) \neq \emptyset$, and in fact, by Corollary 2.1.12(b) we may assume that $\{x_1, \dots, x_k\} \subseteq \text{FV}(M)$.

Now we will prove both lemmas simultaneously by induction on M .

i. M is an atom.

Proof of Lemma 3.2.7.

Since $M \equiv_{\alpha} M'$, in fact $M \equiv M'$. Since $M \triangleright_{\text{mcd}} N$, this implies $M' \triangleright_{\text{mcd}} N$.

Proof of Lemma 3.2.8.

By our assumption, $M \equiv x_1$ and $k = 1$. Since $M \triangleright_{\text{mcd}} N$, it must be that $N \equiv M$.

Hence $[U_1/x_1]M \equiv U_1 \triangleright_{\text{mcd}} V_1 \equiv [V_1/x_1]N$.

ii. $M \equiv \lambda P.Q$.

Since $M \triangleright_{\text{mcd}} N$, without α -steps, $N \equiv \lambda P.Q_0$ for some term Q_0 such that $Q \triangleright_{\text{mcd}} Q_0$. Note that $\text{FV}(Q_0) \subseteq \text{FV}(Q)$ (by Lemma 2.3.16(a)).

Proof of Lemma 3.2.7.

Since $M \equiv_{\alpha} M'$, by Lemmas 2.2.4 and 3.1.2 M' is one of the following forms.

1. $M' \equiv \lambda P.Q'$, where $Q' \equiv_{\alpha} Q$.

By induction $Q' \triangleright_{\text{mcd}} Q_0$. Hence $M' \equiv \lambda P.Q' \triangleright_{\text{mcd}} \lambda P.Q_0 \equiv N$.

2. $M' \equiv \lambda[z_1/y_1, \dots, z_m/y_m]P.Q'$, where $\text{FV}(P) = \{y_1, \dots, y_m\}$, $m \geq 1$, z_1, \dots, z_m are distinct variables and Q' is a term such that $\{z_1, \dots, z_m\} \cap \text{FV}(\lambda P.Q) = \emptyset$ and $Q' \equiv_{\alpha} [z_1/y_1, \dots, z_m/y_m]Q$.

Since $Q \triangleright_{\text{mcd}} Q_0$, by induction (3.2.8)

$[z_1/y_1, \dots, z_m/y_m]Q \triangleright_{\text{mcd}} [z_1/y_1, \dots, z_m/y_m]Q_0$. Hence, by induction (3.2.7)

$Q' \triangleright_{\text{mcd}} [z_1/y_1, \dots, z_m/y_m]Q_0$. Hence $M' \equiv \lambda[z_1/y_1, \dots, z_m/y_m]P.Q'$

$\triangleright_{\text{mcd}} \lambda[z_1/y_1, \dots, z_m/y_m]P.[z_1/y_1, \dots, z_m/y_m]Q_0$

$\equiv_{\alpha} \lambda P.Q_0 \equiv N$. (by Lemma 3.1.3)

Proof of Lemma 3.2.8.

By Lemma 2.1.10(b), $[U_1/x_1, \dots, U_k/x_k]M$ is also a simple abstraction. Hence by Lemmas 2.2.5(b), 2.2.7 and 3.2.7 we may assume that no variable bound in M is free in $x_1 \dots x_k U_1 \dots U_k$. So $\text{FV}(P) \cap \text{FV}(x_1 \dots x_k U_1 \dots U_k) = \emptyset$. By Lemma 2.3.16(a), $\text{FV}(V_i) \subseteq \text{FV}(U_i)$ for all $1 \leq i \leq k$. Hence $\text{FV}(P) \cap \text{FV}(x_1 \dots x_k V_1 \dots V_k) = \emptyset$. Thus

$[U_1/x_1, \dots, U_k/x_k]M \equiv \lambda P.[U_1/x_1, \dots, U_k/x_k]Q$ (by Corollary 2.1.12(d))

$\triangleright_{\text{mcd}} \lambda P.[V_1/x_1, \dots, V_k/x_k]Q_0$ (by induction)

$\equiv [V_1/x_1, \dots, V_k/x_k](\lambda P.Q_0)$

$\equiv [V_1/x_1, \dots, V_k/x_k]N$.

iii. $M \equiv (\lambda P.Q \mid A)$.

Since $M \triangleright_{\text{mcd}} N$, $N \equiv (\lambda P_0.Q_0 \mid A_0)$ for some abstractions $\lambda P_0.Q_0$ and A_0 such that $\lambda P.Q \triangleright_{\text{mcd}} \lambda P_0.Q_0$ and $A \triangleright_{\text{mcd}} A_0$.

Proof of Lemma 3.2.7.

Since $M \equiv_{\alpha} M'$, we must have that $M' \equiv (\lambda P'.Q' \mid A')$ for some abstractions $\lambda P'.Q'$ and A' such that $\lambda P'.Q' \equiv_{\alpha} \lambda P.Q$ and $A' \equiv_{\alpha} A$. By induction, $\lambda P'.Q' \triangleright_{\text{mcd}} \lambda P_0.Q_0$ and $A' \triangleright_{\text{mcd}} A_0$. Hence $M' \equiv (\lambda P'.Q' \mid A') \triangleright_{\text{mcd}} (\lambda P_0.Q_0 \mid A_0) \equiv N$.

Proof of Lemma 3.2.8.

By induction,

$$\begin{aligned} [U_1/x_1, \dots, U_k/x_k]M &\equiv ([U_1/x_1, \dots, U_k/x_k](\lambda P.Q) \mid [U_1/x_1, \dots, U_k/x_k]A) \\ &\triangleright_{\text{mcd}} ([V_1/x_1, \dots, V_k/x_k](\lambda P_0.Q_0) \mid [V_1/x_1, \dots, V_k/x_k]A_0) \\ &\equiv [V_1/x_1, \dots, V_k/x_k](\lambda P_0.Q_0 \mid A_0) \\ &\equiv [V_1/x_1, \dots, V_k/x_k]N. \end{aligned}$$

iv. $M \equiv M_1 M_2$.

Case 1. $M \notin \mathcal{R}$.

This case can be proved in the same way as (iii).

Case 2. $M \in \mathcal{R}$.

Since $M \in \mathcal{R}$ and $M \triangleright_{\text{mcd}} N$, without α -steps, by Definition 3.2.3

$M \triangleright_{\text{mcd}} M_1^0 M_2^0$ for some terms M_1^0 and M_2^0 such that $M_1 \triangleright_{\text{mcd}} M_1^0$ and $M_2 \triangleright_{\text{mcd}} M_2^0$, both without α -steps, and $M_1^0 M_2^0 \triangleright_{\beta, 18} N$, with $M_1^0 M_2^0$ being the potential redex contracted.

Proof of Lemma 3.2.7.

Since $M \equiv_{\alpha} M'$, we have that $M' \equiv M_1' M_2'$ for some terms M_1' and M_2' such that $M_i' \equiv_{\alpha} M_i$, $i = 1, 2$. By induction, $M_1' \triangleright_{\text{mcd}} M_1^0$ and $M_2' \triangleright_{\text{mcd}} M_2^0$. Hence $M_1' \triangleright_{\text{mcd}} M_1^*$ and $M_2' \triangleright_{\text{mcd}} M_2^*$, both without α -steps, for some terms M_1^* and M_2^* , where $M_i^* \equiv_{\alpha} M_i^0$, $i = 1, 2$. Since $M_1^* M_2^* \equiv_{\alpha} M_1^0 M_2^0$ and $M_1^0 M_2^0 \triangleright_{\beta, 18} N$, by Lemma 3.1.13 $M_1^* M_2^* \triangleright_{\beta, 18} M^*$ for some term M^* , where $M^* \equiv_{\alpha} N$. Hence $M' \equiv M_1' M_2' \triangleright_{\text{mcd}} M_1^* M_2^* \triangleright_{\beta, 18} M^* \equiv_{\alpha} N$. Since $M \equiv_{\alpha} M'$, by Corollary 3.1.14(b) M' is

contractible. By Note 3.2.4(h), $M' \triangleright_{\text{mcd}} N$.

Proof of Lemma 3.2.8.

Since $M_1 \triangleright_{\text{mcd}} M_1^0$ and $M_2 \triangleright_{\text{mcd}} M_2^0$, by induction

$[U_1/x_1, \dots, U_k/x_k]M_i \triangleright_{\text{mcd}} [V_1/x_1, \dots, V_k/x_k]M_i^0$, $i = 1, 2$. Hence

$[U_1/x_1, \dots, U_k/x_k]M_i \triangleright_{\text{mcd}} M_i^*$, without α -steps, for some term M_i^* such that

$M_i^* \equiv_{\alpha} [V_1/x_1, \dots, V_k/x_k]M_i^0$, $i = 1, 2$. Since $M_1^0 M_2^0 \triangleright_{1\beta, 1\delta} N$, by Lemmas 3.1.6 and 3.1.7

$[V_1/x_1, \dots, V_k/x_k](M_1^0 M_2^0) \triangleright_{1\beta, 1\delta} N^*$ for some term N^* , where

$N^* \equiv_{\alpha} [V_1/x_1, \dots, V_k/x_k]N$. Since $M_1^* M_2^* \equiv_{\alpha} [V_1/x_1, \dots, V_k/x_k](M_1^0 M_2^0)$, by

Lemma 3.1.13 $M_1^* M_2^* \triangleright_{1\beta, 1\delta} M^*$ for some term M^* such that $M^* \equiv_{\alpha} N^*$.

Hence $[U_1/x_1, \dots, U_k/x_k]M \equiv [U_1/x_1, \dots, U_k/x_k]M_1 [U_1/x_1, \dots, U_k/x_k]M_2$

$$\begin{aligned} & \triangleright_{\text{mcd}} M_1^* M_2^* \\ & \triangleright_{1\beta, 1\delta} M^* \\ & \equiv_{\alpha} N^* \\ & \equiv_{\alpha} [V_1/x_1, \dots, V_k/x_k]N. \end{aligned}$$

Since $M \in \mathcal{R}$, M is contractible. Hence, by Corollary 3.1.8(d) $[U_1/x_1, \dots, U_k/x_k]M$ is

contractible. Thus, by Note 3.2.4(h) $[U_1/x_1, \dots, U_k/x_k]M \triangleright_{\text{mcd}} [V_1/x_1, \dots, V_k/x_k]N$. \square

3.3 The Church-Rosser Theorem for $\beta\delta$ -Reduction

Our goal in this section is to prove the Church-Rosser theorem for $\beta\delta$ -reduction. To make the proof easier to follow, we split it into two steps. The conclusion of the first step is important enough to be called a theorem in its own right.

Theorem 3.3.1 (The Church-Rosser theorem for MCD's). For any terms L , M and N , if $L \triangleright_{\text{mcd}} M$ and $L \triangleright_{\text{mcd}} N$, then there exists a term T such that $M \triangleright_{\text{mcd}} T$ and $N \triangleright_{\text{mcd}} T$.

Proof. Let L , M and N be terms such that $L \triangleright_{\text{mcd}} M$ and $L \triangleright_{\text{mcd}} N$.

Then M (respectively N) is obtained from L by the given MCD of a set \mathcal{R}_M (respectively \mathcal{R}_N). By Lemma 3.2.7, it is sufficient to consider the case in which the given MCD's have no α -steps. Induct on L .

i. L is an atom.

Since $L \triangleright_{\text{mcd}} M$ and $L \triangleright_{\text{mcd}} N$, it must be that $M \equiv L \equiv N$ and we are finished.

ii. $L \equiv \lambda P.Q$.

Since $L \triangleright_{\text{mcd}} M$ and $L \triangleright_{\text{mcd}} N$, both without α -steps, $M \equiv \lambda P.Q^M$ and $N \equiv \lambda P.Q^N$ for some terms Q^M and Q^N such that $Q \triangleright_{\text{mcd}} Q^M$ and $Q \triangleright_{\text{mcd}} Q^N$. By induction, there exists a term Q^* such that $Q^M \triangleright_{\text{mcd}} Q^*$ and $Q^N \triangleright_{\text{mcd}} Q^*$. Let $T \equiv \lambda P.Q^*$. Then $M \equiv \lambda P.Q^M \triangleright_{\text{mcd}} \lambda P.Q^* \equiv T$ and $N \equiv \lambda P.Q^N \triangleright_{\text{mcd}} \lambda P.Q^* \equiv T$.

iii. $L \equiv (\lambda P.Q \mid A)$.

Since $L \triangleright_{\text{mcd}} M$ and $L \triangleright_{\text{mcd}} N$, both without α -steps, $M \equiv (\lambda P.Q^M \mid A^M)$ and $N \equiv (\lambda P.Q^N \mid A^N)$ for some terms Q^M and Q^N and some abstractions A^M and A^N such that $Q \triangleright_{\text{mcd}} Q^M$, $Q \triangleright_{\text{mcd}} Q^N$, $A \triangleright_{\text{mcd}} A^M$ and $A \triangleright_{\text{mcd}} A^N$. By induction, there exist terms Q^* and A^* such that $Q^M \triangleright_{\text{mcd}} Q^*$, $Q^N \triangleright_{\text{mcd}} Q^*$, $A^M \triangleright_{\text{mcd}} A^*$, and $A^N \triangleright_{\text{mcd}} A^*$. By Lemmas 3.2.5 and 3.1.2, A^* is also an abstraction. Let $T \equiv (\lambda P.Q^* \mid A^*)$. Then $M \equiv (\lambda P.Q^M \mid A^M) \triangleright_{\text{mcd}} (\lambda P.Q^* \mid A^*) \equiv T$ and, similarly, $N \triangleright_{\text{mcd}} T$.

iv. $L \equiv L_1 L_2$.

Case 1. $L \notin \mathcal{R}_M$ and $L \notin \mathcal{R}_N$.

This case can be proved in the same way as (iii).

Case 2. $L \in \mathcal{R}_M$ or $L \in \mathcal{R}_N$.

Without loss of generality, assume that $L \in \mathcal{R}_M$. There are cases and subcases as follows.

(2.1) $L_1 \equiv \lambda P.Q$.

Since $L \in \mathcal{R}_M$ and $(\lambda P.Q)L_2 \equiv L \triangleright_{\text{mcd}} M$, without α -steps, by Definition 3.2.3 $L \triangleright_{\text{mcd}} (\lambda P.Q^M)L_2^M$ for some terms Q^M and L_2^M such that $Q \triangleright_{\text{mcd}} Q^M$ and $L_2 \triangleright_{\text{mcd}} L_2^M$, and $(\lambda P.Q^M)L_2^M \triangleright_{\beta} M$, with $(\lambda P.Q^M)L_2^M$ being the β -redex contracted.

(2.1.1) $L \in \mathcal{R}_N$.

Similar to the above, $L \triangleright_{\text{mcd}} (\lambda P.Q^N)L_2^N$ for some terms Q^N and L_2^N such that $Q \triangleright_{\text{mcd}} Q^N$ and $L_2 \triangleright_{\text{mcd}} L_2^N$, and $(\lambda P.Q^N)L_2^N \triangleright_{1\beta} N$, with $(\lambda P.Q^N)L_2^N$ being the β -redex contracted. By induction, there exist terms Q^* and L_2^* such that $Q^M \triangleright_{\text{mcd}} Q^*$, $Q^N \triangleright_{\text{mcd}} Q^*$, $L_2^M \triangleright_{\text{mcd}} L_2^*$, and $L_2^N \triangleright_{\text{mcd}} L_2^*$.

$$(2.1.1.1) \text{FV}(P) = \emptyset.$$

Since $(\lambda P.Q^M)L_2^M \triangleright_{1\beta} M$ and $(\lambda P.Q^N)L_2^N \triangleright_{1\beta} N$, $M \equiv Q^M$ and $N \equiv Q^N$. Hence $M \equiv Q^M \triangleright_{\text{mcd}} Q^*$ and $N \equiv Q^N \triangleright_{\text{mcd}} Q^*$ so we are finished with $T \equiv Q^*$.

$$(2.1.1.2) \text{FV}(P) = \{x_1, \dots, x_k\}.$$

Since $(\lambda P.Q^M)L_2^M \triangleright_{1\beta} M$ and $(\lambda P.Q^N)L_2^N \triangleright_{1\beta} N$, there exist terms $U_1, \dots, U_k, V_1, \dots, V_k$ such that $[U_1/x_1, \dots, U_k/x_k]P \equiv L_2^M$, $[V_1/x_1, \dots, V_k/x_k]P \equiv L_2^N$, $M \equiv [U_1/x_1, \dots, U_k/x_k]Q^M$, and $N \equiv [V_1/x_1, \dots, V_k/x_k]Q^N$.

Since $L_2^M \triangleright_{\text{mcd}} L_2^*$ and $L_2^N \triangleright_{\text{mcd}} L_2^*$, by Lemma 3.2.6

$L_2^* \equiv [U_1'/x_1, \dots, U_k'/x_k]P$ and $L_2^* \equiv [V_1'/x_1, \dots, V_k'/x_k]P$ for some terms $U_1', \dots, U_k', V_1', \dots, V_k'$ such that $U_i \triangleright_{\text{mcd}} U_i'$, and $V_i \triangleright_{\text{mcd}} V_i'$ for all $1 \leq i \leq k$. Since

$[U_1'/x_1, \dots, U_k'/x_k]P \equiv L_2^* \equiv [V_1'/x_1, \dots, V_k'/x_k]P$, for each $1 \leq i \leq k$, $U_i' \equiv V_i'$, so let

$W_i \equiv U_i \equiv V_i$. Then $U_i \triangleright_{\text{mcd}} W_i$ and $V_i \triangleright_{\text{mcd}} W_i$ for all $1 \leq i \leq k$. Thus, by Lemma 3.2.7

$$M \equiv [U_1/x_1, \dots, U_k/x_k]Q^M \triangleright_{\text{mcd}} [W_1/x_1, \dots, W_k/x_k]Q^* \text{ and}$$

$$N \equiv [V_1/x_1, \dots, V_k/x_k]Q^N \triangleright_{\text{mcd}} [W_1/x_1, \dots, W_k/x_k]Q^* \text{ so we are finished with}$$

$$T \equiv [W_1/x_1, \dots, W_k/x_k]Q^*.$$

$$(2.1.2) L \notin \mathcal{R}_N.$$

Since $(\lambda P.Q)L_2 \equiv L \triangleright_{\text{mcd}} N$, without α -steps, $N \equiv (\lambda P.Q^N)L_2^N$ for some terms Q^N and L_2^N such that $Q \triangleright_{\text{mcd}} Q^N$ and $L_2 \triangleright_{\text{mcd}} L_2^N$.

By induction, there exist terms Q^* and L_2^* such that $Q^N \triangleright_{\text{mcd}} Q^*$ and $L_2^N \triangleright_{\text{mcd}} L_2^*$, both without α -steps, and $Q^M \triangleright_{\text{mcd}} Q^*$ and $L_2^M \triangleright_{\text{mcd}} L_2^*$.

Since $(\lambda P.Q^M)L_2^M$ is a β -redex and $L_2^M \triangleright_{\text{mcd}} L_2^*$, then $L_2^M \triangleright_{\beta\delta} L_2^*$, and by Lemma 3.1.12 $(\lambda P.Q^M)L_2^*$ is a β -redex. Hence $(\lambda P.Q^*)L_2^*$ is a β -redex by

Lemma 3.1.4. Note that, by Corollary 3.1.14(c) N is contractible since $L \triangleright_{\text{mcd}} N$ and

$L \in \mathcal{R}_M$ which implies L is contractible.

$$(2.1.2.1) \text{FV}(P) = \emptyset.$$

Since $(\lambda P.Q^M)L_2^M \triangleright_{1\beta} M$ and $(\lambda P.Q^*)L_2^*$ is a β -redex, $M \equiv Q^M$ and $(\lambda P.Q^*)L_2^* \triangleright_{1\beta} Q^*$. Hence $M \equiv Q^M \triangleright_{\text{mcd}} Q^*$ and $N \equiv (\lambda P.Q^N)L_2^N \triangleright_{\text{mcd}} (\lambda P.Q^*)L_2^* \triangleright_{1\beta} Q^*$. Thus we are finished with $T \equiv Q^*$.

$$(2.1.2.2) \text{FV}(P) = \{x_1, \dots, x_k\}.$$

Since $(\lambda P.Q^M)L_2^M \triangleright_{1\beta} M$, there exist terms U_1, \dots, U_k such that $[U_1/x_1, \dots, U_k/x_k]P \equiv L_2^M$ and $M \equiv [U_1/x_1, \dots, U_k/x_k]Q^M$. Since $L_2^M \triangleright_{\text{mcd}} L_2^*$, by Lemma 3.2.6 $L_2^* \equiv [V_1/x_1, \dots, V_k/x_k]P$ for some terms V_1, \dots, V_k such that $U_i \triangleright_{\text{mcd}} V_i$ for all $1 \leq i \leq k$. Thus

$$M \equiv [U_1/x_1, \dots, U_k/x_k]Q^M \triangleright_{\text{mcd}} [V_1/x_1, \dots, V_k/x_k]Q^* \text{ (by Lemma 3.2.8), and}$$

$$N \equiv (\lambda P.Q^N)L_2^N \triangleright_{\text{mcd}} (\lambda P.Q^*)L_2^* \triangleright_{1\beta} [V_1/x_1, \dots, V_k/x_k]Q^*, \text{ so we are finished}$$

with $T \equiv [V_1/x_1, \dots, V_k/x_k]Q^*$.

$$(2.2) L_1 \equiv (\lambda P.Q \mid A).$$

Since $L \in \mathcal{R}_M$ and $(\lambda P.Q \mid A)L_2 \equiv L \triangleright_{\text{mcd}} M$, without α -steps, by

Definition 3.2.3 $L \triangleright_{\text{mcd}} (\lambda P.Q^M \mid A^M)L_2^M$ for some terms Q^M and L_2^M , and some abstraction A^M such that $Q \triangleright_{\text{mcd}} Q^M$, $A \triangleright_{\text{mcd}} A^M$ and $L_2 \triangleright_{\text{mcd}} L_2^M$, and $(\lambda P.Q^M \mid A^M)L_2^M \triangleright_{1\delta} M$, with $(\lambda P.Q^M \mid A^M)L_2^M$ being the δ -redex contracted.

$$(2.2.1) L \in \mathcal{R}_N.$$

Similar to the above, $L \triangleright_{\text{mcd}} (\lambda P.Q^N \mid A^N)L_2^N$ for some terms Q^N and L_2^N , and some abstraction A^N such that $Q \triangleright_{\text{mcd}} Q^N$, $A \triangleright_{\text{mcd}} A^N$ and $L_2 \triangleright_{\text{mcd}} L_2^N$, and $(\lambda P.Q^N \mid A^N)L_2^N \triangleright_{1\delta} N$, with $(\lambda P.Q^N \mid A^N)L_2^N$ being the δ -redex contracted. By induction, there exist terms Q^* , A^* and L_2^* such that $Q^M \triangleright_{\text{mcd}} Q^*$, $Q^N \triangleright_{\text{mcd}} Q^*$, $A^M \triangleright_{\text{mcd}} A^*$, $A^N \triangleright_{\text{mcd}} A^*$, $L_2^M \triangleright_{\text{mcd}} L_2^*$, and $L_2^N \triangleright_{\text{mcd}} L_2^*$.

$$(2.2.1.1) (\lambda P.Q^M \mid A^M)L_2^M \triangleright_{1\delta} (\lambda P.Q^M)L_2^M.$$

Then $(\lambda P.Q^M)L_2^M$ is a β -redex and $M \equiv (\lambda P.Q^M)L_2^M$. Since $L_2^M \triangleright_{\text{mcd}} L_2^*$, by

Note 3.2.4(g), Lemmas 3.1.12 and 3.1.4 $(\lambda P.Q^N)L_2^*$ is a β -redex. Since

$L_2^N \triangleright_{\text{mcd}} L_2^*$, we have that $L_2^N \triangleright_{\beta\delta} L_2^*$, and so $L_2^N \triangleright_{\beta\gamma} L_2^*$. Hence

$(\lambda P.Q^N | A^N)L_2^N \not\triangleright_{1\delta} A^N L_2^N$. Since $(\lambda P.Q^N | A^N)L_2^N \triangleright_{1\delta} N$, it must be that

$N \equiv (\lambda P.Q^N)L_2^N$. Thus $M \equiv (\lambda P.Q^M)L_2^M \triangleright_{\text{mcd}} (\lambda P.Q^*)L_2^*$ and

$N \equiv (\lambda P.Q^N)L_2^N \triangleright_{\text{mcd}} (\lambda P.Q^*)L_2^*$ so we are finished with $T \equiv (\lambda P.Q^*)L_2^*$.

$$(2.2.1.2) (\lambda P.Q^M | A^M)L_2^M \triangleright_{1\delta} A^M L_2^M.$$

Suppose $(\lambda P.Q^N)L_2^N$ is a β -redex. Since $L_2^N \triangleright_{\text{mcd}} L_2^*$, an argument similar to the one above shows that $(\lambda P.Q^M)L_2^*$ is a β -redex. Since $L_2^M \triangleright_{\text{mcd}} L_2^*$, $L_2^M \triangleright_{\beta\gamma} L_2^*$.

Hence $(\lambda P.Q^M | A^M)L_2^M \not\triangleright_{1\delta} A^M L_2^M$, a contradiction. Hence $(\lambda P.Q^N)L_2^N$ is not a

β -redex. Since $(\lambda P.Q^N | A^N)L_2^N \triangleright_{1\delta} N$, $N \equiv A^N L_2^N$. Thus $M \equiv A^M L_2^M \triangleright_{\text{mcd}} A^* L_2^*$ and

$N \equiv A^N L_2^N \triangleright_{\text{mcd}} A^* L_2^*$ so we are finished with $T \equiv A^* L_2^*$.

$$(2.2.2) L \notin \mathcal{R}_N.$$

Since $(\lambda P.Q | A)L_2 \equiv L \triangleright_{\text{mcd}} N$, without α -steps, $N \equiv (\lambda P.Q^N | A^N)L_2^N$ for some terms Q^N and L_2^N and some abstraction A^N such that $Q \triangleright_{\text{mcd}} Q^N$, $A \triangleright_{\text{mcd}} A^N$, and

$L_2 \triangleright_{\text{mcd}} L_2^N$. By induction, there exist terms Q^* , A^* , and L_2^* such that $Q^N \triangleright_{\text{mcd}} Q^*$,

$A^N \triangleright_{\text{mcd}} A^*$, and $L_2^N \triangleright_{\text{mcd}} L_2^*$, all without α -steps, and $Q^M \triangleright_{\text{mcd}} Q^*$, $A^M \triangleright_{\text{mcd}} A^*$, and

$L_2^M \triangleright_{\text{mcd}} L_2^*$. Note that A^* is an abstraction by Lemmas 3.2.5 and 3.1.2.

$$(2.2.2.1) (\lambda P.Q^M | A^M)L_2^M \triangleright_{1\delta} (\lambda P.Q^M)L_2^M.$$

Then $(\lambda P.Q^M)L_2^M$ is a β -redex and $M \equiv (\lambda P.Q^M)L_2^M$. Since $L_2^M \triangleright_{\text{mcd}} L_2^*$, we

have that $(\lambda P.Q^*)L_2^*$ is a β -redex. So we have $M \equiv (\lambda P.Q^M)L_2^M \triangleright_{\text{mcd}} (\lambda P.Q^*)L_2^*$ and

$N \equiv (\lambda P.Q^N | A^N)L_2^N \triangleright_{\text{mcd}} (\lambda P.Q^* | A^*)L_2^* \triangleright_{1\delta} (\lambda P.Q^*)L_2^*$ and we are finished with

$T \equiv (\lambda P.Q^*)L_2^*$.

$$(2.2.2.2) (\lambda P.Q^M | A^M)L_2^M \triangleright_{1\delta} A^M L_2^M.$$

Suppose $(\lambda P.Q^* | A^*)L_2^* \not\triangleright_{1\delta} A^* L_2^*$. Then $(\lambda P.Q^*)L_2^*$ is a β -redex for some term L_2^+ such that $[U_1/x_1, \dots, U_k/x_k]L_2^* \triangleright_{\beta\gamma} L_2^+$ for some distinct variables x_1, \dots, x_k ,

$k \geq 1$, and some terms U_1, \dots, U_k . Since $L_2^M \triangleright_{\text{mcd}} L_2^*$, we have that $L_2^M \triangleright_{\beta\delta} L_2^*$. By

Corollary 3.1.8(c), $[U_1/x_1, \dots, U_k/x_k]L_2^M \triangleright_{\beta\delta} [U_1/x_1, \dots, U_k/x_k]L_2^*$, so

$[U_1/x_1, \dots, U_k/x_k]L_2^M \triangleright_{\beta\gamma} [U_1/x_1, \dots, U_k/x_k]L_2^*$. Since the relation $\triangleright_{\beta\gamma}$ is transitive, this

shows that $[U_1/x_1, \dots, U_k/x_k]L_2^M \triangleright_{\beta\gamma} L_2^+$. Since $(\lambda P.Q^*)L_2^+$ is a β -redex, $(\lambda P.Q^M)L_2^+$ is also a β -redex. Hence $(\lambda P.Q^M | A^M)L_2^M \not\triangleright_{\beta\delta} A^M L_2^M$, a contradiction. Thus $(\lambda P.Q^* | A^*)L_2^* \triangleright_{\beta\delta} A^* L_2^*$. Hence $M \equiv A^M L_2^M \triangleright_{mcd} A^* L_2^*$ and $N \equiv (\lambda P.Q^N | A^N)L_2^N \triangleright_{mcd} (\lambda P.Q^* | A^*)L_2^* \triangleright_{\beta\delta} A^* L_2^*$ so we are finished with $T \equiv A^* L_2^*$. \square

Theorem 3.3.2 (The Church-Rosser theorem for $\beta\delta$ -reduction). For any terms L , M and N , if $L \triangleright_{\beta\delta} M$ and $L \triangleright_{\beta\delta} N$, then there exists a term T such that $M \triangleright_{\beta\delta} T$ and $N \triangleright_{\beta\delta} T$.

Proof. Let L , M , and N be terms.

Claim. If $L \triangleright_{mcd} M$ and $L \triangleright_{\beta\delta} N$, then there exists a term T such that $M \triangleright_{\beta\delta} T$ and $N \triangleright_{mcd} T$.

Proof of Claim. Assume $L \triangleright_{mcd} M$ and $L \triangleright_{\beta\delta} N$.

Then there exists a sequence of terms $L \equiv N_1, N_2, \dots, N_n \equiv N$, $n \geq 1$, as in Definition 2.3.7. Induct on n .

If $n = 1$, then $L \equiv N$, so $M \triangleright_{\beta\delta} M$ and $N \equiv L \triangleright_{mcd} M$ and we are finished.

Now, assume $n > 1$. By induction, there exists a term T_0 such that $N_{n-1} \triangleright_{mcd} T_0$ and $M \triangleright_{\beta\delta} T_0$. Since $N_{n-1} \equiv_{\alpha} N$ or $N_{n-1} \triangleright_{\beta, \beta\delta} N$, we have that $N_{n-1} \triangleright_{mcd} N$. Hence, by Theorem 3.3.1 there exists a term T such that $N \triangleright_{mcd} T$ and $T_0 \triangleright_{mcd} T$, so that $T_0 \triangleright_{\beta\delta} T$. Since $M \triangleright_{\beta\delta} T_0$ and the relation $\triangleright_{\beta\delta}$ is transitive, $M \triangleright_{\beta\delta} T$. Thus we have $M \triangleright_{\beta\delta} T$ and $N \triangleright_{mcd} T$. So we have the claim.

Now we can prove the theorem.

Assume $L \triangleright_{\beta\delta} M$ and $L \triangleright_{\beta\delta} N$. Then there exists a sequence of terms $L \equiv M_1, M_2, \dots, M_m \equiv M$ as in Definition 2.3.7. Induct on m .

If $m = 1$, then $L \equiv M$, so $M \equiv L \triangleright_{\beta\delta} N$ and $N \triangleright_{\beta\delta} N$ and we are finished.

Now, suppose $m > 1$. By induction, there exists a term T_0 such that $M_{m-1} \triangleright_{\beta\delta} T_0$ and $N \triangleright_{\beta\delta} T_0$. Since $M_{m-1} \equiv_{\alpha} M$ or $M_{m-1} \triangleright_{\beta, \beta\delta} M$, we have that $M_{m-1} \triangleright_{mcd} M$. By the

claim, there exists a term T such that $M \triangleright_{\beta\delta} T$ and $T_0 \triangleright_{\text{mcd}} T$, so that $T_0 \triangleright_{\beta\delta} T$. Since $N \triangleright_{\beta\delta} T_0$ and the relation $\triangleright_{\beta\delta}$ is transitive, $N \triangleright_{\beta\delta} T$. Thus $M \triangleright_{\beta\delta} T$ and $N \triangleright_{\beta\delta} T$. \square

Corollary 3.3.3. For any term L , if L has $\beta\delta$ -normal forms M and N , then $M \equiv_{\alpha} N$.

Proof. Let L , M and N be terms such that $L \triangleright_{\beta\delta} M$ and $L \triangleright_{\beta\delta} N$, and M and N are $\beta\delta$ -normal forms.

By Theorem 3.3.2, there exists a term T such that $M \triangleright_{\beta\delta} T$ and $N \triangleright_{\beta\delta} T$. By Lemma 3.1.15, $M \equiv_{\alpha} T$ and $N \equiv_{\alpha} T$. Hence $M \equiv_{\alpha} N$. \square

3.4 $\beta\delta$ -Equality

We know that the relation $\beta\delta$ -reduction, which is defined in Chapter II, is transitive and reflexive but is not symmetric. In this section, we will define an equivalence relation which is closely connected to $\beta\delta$ -reduction, called $\beta\delta$ -equality.

Definition 3.4.1 For any terms M and M' , we say M is $\beta\delta$ -equal or $\beta\delta$ -convertible to M' , denoted by $M \equiv_{\beta\delta} M'$, if there exists a sequence of terms

$M \equiv M_1, M_2, \dots, M_n \equiv M'$, $n \geq 1$, such that for each $1 \leq i < n$, $M_i \triangleright_{1\beta, 1\delta} M_{i+1}$, $M_{i+1} \triangleright_{1\beta, 1\delta} M_i$, or $M_i \equiv_{\alpha} M_{i+1}$.

Note 3.4.2. $\beta\delta$ -equality is reflexive, transitive and symmetric.

Theorem 3.4.3 (The Church-Rosser theorem for $\beta\delta$ -equality). For any terms M and N , if $M \equiv_{\beta\delta} N$, then there exists a term T such that $M \triangleright_{\beta\delta} T$ and $N \triangleright_{\beta\delta} T$.

Proof. Let M and N be terms such that $M \equiv_{\beta\delta} N$.

Then there exists a sequence of terms $M \equiv M_1, M_2, \dots, M_n \equiv N$, $n \geq 1$, as in

Definition 3.4.1. Induct on n .

If $n = 1$, then $M \equiv N$ and we are finished.

Now, suppose $n > 1$. Since $M =_{\beta\delta} M_{n-1}$, by induction there exists a term T_0 such that $M \triangleright_{\beta\delta} T_0$ and $M_{n-1} \triangleright_{\beta\delta} T_0$. Since $M_{n-1} \triangleright_{1\beta,1\delta} M_n$, $M_n \triangleright_{1\beta,1\delta} M_{n-1}$, or $M_{n-1} \equiv_{\alpha} M_n$, we have that $M_{n-1} \triangleright_{\beta\delta} N$ or $N \triangleright_{\beta\delta} M_{n-1}$.

Case 1. $M_{n-1} \triangleright_{\beta\delta} N$.

Since $M_{n-1} \triangleright_{\beta\delta} T_0$, by Theorem 3.3.2 there exists a term T such that $N \triangleright_{\beta\delta} T$ and $T_0 \triangleright_{\beta\delta} T$. Since $M \triangleright_{\beta\delta} T_0$ and the relation $\triangleright_{\beta\delta}$ is transitive, $M \triangleright_{\beta\delta} T$.

Case 2. $N \triangleright_{\beta\delta} M_{n-1}$.

Since $M_{n-1} \triangleright_{\beta\delta} T_0$, we have that $N \triangleright_{\beta\delta} T_0$. So we have $M \triangleright_{\beta\delta} T_0$ and $N \triangleright_{\beta\delta} T_0$. □

Corollary 3.4.4. For any terms M and N , if $M =_{\beta\delta} N$ and N is in $\beta\delta$ -normal form, then $M \triangleright_{\beta\delta} N$.

Proof. Let M and N be terms such that $M =_{\beta\delta} N$ and N is in $\beta\delta$ -normal form.

By Theorem 3.4.3, there exists a term T such that $M \triangleright_{\beta\delta} T$ and $N \triangleright_{\beta\delta} T$. Since N is in $\beta\delta$ -normal form, by Lemma 3.1.14 $N \equiv_{\alpha} T$. Since $M \triangleright_{\beta\delta} T$, we have that $M \triangleright_{\beta\delta} N$. □

Corollary 3.4.5. For any terms M and N , if $M =_{\beta\delta} N$, then either M and N do not have $\beta\delta$ -normal forms, or M and N both have the same $\beta\delta$ -normal forms.

Proof. Let M and N be terms such that $M =_{\beta\delta} N$.

Suppose M or N has a $\beta\delta$ -normal form. We want to show that M and N both have the same $\beta\delta$ -normal forms. Without loss of generality, assume that M has a $\beta\delta$ -normal form, as the case that N has a $\beta\delta$ -normal form can be proved similarly.

Let M' be a $\beta\delta$ -normal form of M . Then $M \triangleright_{\beta\delta} M'$ and M' is a $\beta\delta$ -normal form. Since $M =_{\beta\delta} N$ and $M \triangleright_{\beta\delta} M'$, by Definitions 2.3.7 and 3.4.1 $N =_{\beta\delta} M'$. Since

M' is a $\beta\delta$ -normal form, by Corollary 3.4.4 $N \triangleright_{\beta\delta} M'$. Hence M' is also a $\beta\delta$ -normal form of N . This implies that N has a $\beta\delta$ -normal form and every $\beta\delta$ -normal form of M is a $\beta\delta$ -normal form of N . Similarly, we can prove that every $\beta\delta$ -normal form of N is also a $\beta\delta$ -normal form of M . Thus M and N both have the same $\beta\delta$ -normal forms. \square

Corollary 3.4.6. Two $\beta\delta$ -equal terms in $\beta\delta$ -normal form must be congruent.

Proof. Let M and N be terms in $\beta\delta$ -normal form such that $M =_{\beta\delta} N$. Since N is a $\beta\delta$ -normal form, by Corollary 3.4.4 $M \triangleright_{\beta\delta} N$. Since M is a $\beta\delta$ -normal form, by Lemma 3.1.15 $M \equiv_{\alpha} N$. \square

Corollary 3.4.7. For any term M , if N_1 and N_2 are $\beta\delta$ -normal forms such that $M =_{\beta\delta} N_1$ and $M =_{\beta\delta} N_2$, then $N_1 \equiv_{\alpha} N_2$.

Proof. Let M be a term, and N_1 and N_2 be $\beta\delta$ -normal forms such that $M =_{\beta\delta} N_1$ and $M =_{\beta\delta} N_2$. By Note 3.4.2, $N_1 =_{\beta\delta} N_2$. Since N_1 and N_2 are $\beta\delta$ -normal forms, by Corollary 3.4.6 $N_1 \equiv_{\alpha} N_2$. \square

Corollary 3.4.8. Let $M_0, M_1, \dots, M_m, N_0, N_1, \dots, N_n, m \geq 1, n \geq 1$, be terms. If $M_0M_1\dots M_m =_{\beta\delta} N_0N_1\dots N_n$, and M_0M_1 and N_0N_1 are not contractible redexes, then $m = n$ and $M_i =_{\beta\delta} N_i$ for all $1 \leq i \leq m$.

Proof. Assume $M_0M_1\dots M_m =_{\beta\delta} N_0N_1\dots N_n$, and M_0M_1 and N_0N_1 are not contractible redexes.

By Theorem 3.4.3, there exists a term T such that $M_0M_1\dots M_m \triangleright_{\beta\delta} T$ and $N_0N_1\dots N_n \triangleright_{\beta\delta} T$. Since M_0M_1 is not a contractible redex, each contractible redex in $M_0M_1\dots M_m$ must be in an M_i . Hence $T \equiv T_0T_1\dots T_m$ for some terms T_0, T_1, \dots, T_m such that $M_i \triangleright_{\beta\delta} T_i$ for all $1 \leq i \leq m$. Similarly, $T \equiv T_0'T_1'\dots T_n'$ for some terms T_0', T_1', \dots, T_n' such that $N_i \triangleright_{\beta\delta} T_i'$ for all $1 \leq i \leq n$. So we have

$T_0 T_1 \dots T_m \equiv T_0' T_1' \dots T_n'$. Thus, by Note 2.1.3(b) $m = n$ and $T_i \equiv T_i'$ for all $1 \leq i \leq m$.

Since for each $1 \leq i \leq m$, $M_i \triangleright_{\beta\delta} T_i$ and $N_i \triangleright_{\beta\delta} T_i$, by Definitions 2.3.7 and 3.4.1

$M_i =_{\beta\delta} N_i$ for all $1 \leq i \leq m$. □



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