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นายแนซรูล เอฟเฟนดี

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# A STUDY OF PROSODIC FEATURES FOR INDONESIAN SPEECH RECOGNITION

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ข้อมูลชนิด Utterance ถูกใช้ใน spoken dialogue system ระบบการรู้จำเสียงพูด และ translation machine โดยทั่วไปใน spoken dialogue system ผู้ใช้สามารถถามคำถามหรือให้ข้อมูลกับเครื่องได้ spoken dialogue system จึงควรจะสามารถรู้จำผู้ใช้ได้เพื่อให้สามารถตอบสนองได้อย่างถูกต้อง วิทยานิพนธ์ฉบับนี้ นำเสนอ the automatic utterance type recognizer เพื่อให้สามารถแยกแยะ declarative questions ออก จาก statements ในภาษาอินโดนีเซียได้ เนื่องจาก Utterance ในเสียงพูดทั้งสองชนิดมีลักษณะด้านคำและ ลำดับที่เหมือนกัน และแตกต่างกันเฉพาะในด้าน intonations การแยกแยะจึงต้องใช้ทั้งตัวรู้จำคำ และตัวรู้จำ intonations

ตัวรู้จำชนิด Utterance ถูกออกแบบบนพื้นฐานของแบบจำลองฟูจิซากิ ตัวรู้จำดังกล่าวจะใช้ผลรวม ของค่าพารามิเตอร์จากแบบจำลองฟูจิซากิในการรู้จำ Utterance ในเสียงพูดทั้งสองชนิด ประสิทธิภาพสูงสุด ของแบบจำลองฟูจิซากิเมื่อนำมาใช้ในการรู้จำ Utterance ได้จากผลรวมของ ค่า Fraction Value เท่ากับ  $F_b/100$  ค่าแอมพลิจูดของ accent command และขนาดของ last phrase command เป้นสัญญาณข้าว ของระบบ neural อย่างไรก็ตามการดึงค่าพารามิเตอร์มาใช้งานของแบบจำลองฟูจิซากิมีความซับซ้อนมาก เกินกว่าจะสามารถนำมาใช้ได้ในระบบการรู้จำเสียงพูดแบบอัตโนมัติ ดังนั้นตัวรู้จำชนิด Utterance จึงถูก พัฒนาโดยใช้ค่าสัมประสิทธิ์ polynomial ของคอนทัวร์ความทุ้มแหลมของเสียง (Pitch Contours) ของคำ สุดท้ายในแต่ละประโยค

ตัวรู้จำชนิด Utterance แบบอัตโนมัติซึ่งใช้ การแผ่ขยาย polynomial ประกอบด้วย การดึงคอน ทัวร์ความทุ้มแหลมของเสียง และมอดูลการแบ่ง Utterance แบบอัตโนมัติ คอนทัวร์ความทุ้มแหลมของเสียง ของ Utterance ในเสียงพูดแต่ละชนิดจะถูกวิเคราะห์เพื่อศึกษาถึงคำสุดท้ายของแต่ละชนิด Utterance นอกจากนี้โมเดลเสียงอินโดนีเซียได้ถูกออกแบบขึ้นเพื่อให้สามารถสร้างมอดูลการแบ่ง Utterance แบบ อัตโนมัติได้ ผลการประเมินระบบแสดงว่าการใช้ข้อมูลคำสุดท้าย และการแผ่ขยาย polynomial สามารถ แยกแยะ declarative questions ออกจาก statements ในภาษาอินโดนีเซียได้อย่างมีประสิทธิภาพ

ภาควิชา	วิศวกรรมไฟฟ้า	ลายมือชื่อนิสิต Mend
สาขาวิชา	วิศวกรรมไฟฟ้า	ลายมือชื่ออาจารย์ที่ปรึกษา
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# KEY WORD: UTTERANCE-TYPE RECOGNIZER / INDONESIAN / DECLARATIVE QUESTION / STATEMENT

## NAZRUL EFFENDY: A STUDY OF PROSODIC FEATURES FOR INDONESIAN SPEECH RECOGNITION, THESIS ADVISOR: ASSOCIATE PROFESSOR DR. SOMCHAI JITAPUNKUL, 115pp

Utterance-type information has been used in spoken dialogue system, speech recognition system and translation machine. In a typical spoken dialogue system, a user can ask a question or give information to the system. In another side, the spoken dialogue system should be capable of recognizing its user intention to give the correct response to him/her. In this dissertation, the automatic utterance-type recognizer is proposed to distinguish declarative questions from statements in Indonesian speech. Since utterances in these two types have the same words with the same order and differ only in their intonations, their classification requires not only a word recognizer, but also an intonation recognizer.

At first, the utterance-type recognizer is designed based on Fujisaki model. The utterance-type recognizer uses a combination of the Fujisaki-model-parameters as the features to recognize the two utterance types. The best performance of the Fujisaki model based utterance-type recognizer is achieved using a combination of a fraction value of  $F_b$ :  $F_b/100$ , the amplitude of last accent command, and the magnitude of last phrase command as the input of the neural networks. However, the Fujisaki parameter extractor is too complicated to be implemented in an automatic recognition system. Therefore, the utterance-type recognizer is developed using the polynomial coefficients of the pitch contours of the sentence's final word.

The automatic utterance-type recognizer using polynomial expansion consists of a pitch contour extractor, normalizer, feature extractor, classifier, and an automatic utterance segmentation module. The pitch contour of each utterance type is analyzed to investigate the final word of the two utterance types. To create the automatic utterance segmentation module, an Indonesian acoustic model is designed. The evaluation confirms that the method using the final word and polynomial expansion is effective to distinguish declarative questions and statements in Indonesian speech.

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สถาบันวิทยบริการ จุฬาลงกรณ์มหาวิทยาลัย

# **Table of Contents**

Page
Abstract in Thaiiv
Abstract in Englishv
ACKNOWLEDGEMENTSvi
Table of Contentsvii
List of Tablex
List of Figure
CHAPTER I INTRODUCTION1
1.1 The Indonesian language2
1.2 Declarative Question and Statement in Indonesian Speech
1.3 Objective of the Dissertation
1.4 Scope of the Dissertation5
1.5 Dissertation Outline
CHAPTER II FUNDAMENTAL TECHNIQUES FOR THE DESIGN OF AN
UTTERANCE-TYPE RECOGNIZER
2.1 Neural Networks
2.1.1 Backpropagation Algorithm9
2.1.2 Neural Networks Application11
2.2 Hidden Markov Model11
2.2.1 Definition of the Hidden Markov Model
2.2.2 Observation Density Functions
2.2.3 Continuous Density Hidden Markov Model15
2.2.4 Hidden Markov Model for Speech Recognition
2.3 Summary
CHAPTER III UTTERANCE-TYPE RECOGNIZER OF THE DECLARATIVE
QUESTION AND STATEMENT OF INDONESIAN SPEECH BASED ON
FUJISAKI MODEL
3.1 Speech Data27
3.2 Fujisaki Model27
3.2.1 Physiological Interpretation
3.2.2 Automatic Extraction of Fujisaki-Model parameters

Pa	age
3.3 Classifier	32
3.4 Experimental Setup	32
3.5 Experimental Results	34
3.6 Summary	38
CHAPTER IV THE DESIGN OF AN ACOUSTIC MODEL OF AN INDONESIA	١N
SPEECH RECOGNIZER	39
4.1 Mel Frequency Cepstral Coefficient (MFCC)	40
4.2 Speech Data	41
4.3 Experimental Setup	41
4.4 Experimental Results	44
4.5 Summary	47
CHAPTER V FINAL WORD OF DECLARATIVE QUESTION AND	
STATEMENT IN INDONESIAN SPEECH	48
5.1 Speech Data	48
5.2 Pitch of the Final Words	49
5.2.1 Experimental Setup	
5.2.2 Experimental Results	49
5.3 Speech Rate	59
5.3.1 Experimental Setup	59
5.3.2 Experimental Results	59
5.4 Summary	60
CHAPTER VI AUTOMATIC UTTERANCE-TYPE RECOGNIZER USING THE	2
POLYNOMIAL COEFFICIENTS OF THE PITCH CONTOUR OF SENTENCE'S	S
FINAL WORD	62
6.1 Speech Data	64
6.2 Automatic Utterance-type recognizer	
6.2.1 Automatic Utterance Segmentation	66
6.2.2 F0 Extractor	67
6.2.3 Normalizer	67
6.2.4 Feature Extractor	68

	Page
6.2.5 Classifier	69
6.3 Experiments	71
6.3.1 Experimental conditions	71
6.3.2 Results	72
6.4 Summary	75
CHAPTER VII CONCLUSIONS	76
7.1 Conclusions of the Dissertation	76
7.2 Contributions of the Dissertation	78
7.2.1 Indonesian speech data	78
7.2.2 Utterance-type recognizer for Indonesian speech based on Fujisaki mo	odel79
7.2.3 Characteristics of the final words of Indonesian declarative questions a	and
statements	79
7.2.4 Indonesian acoustic model	79
7.2.5 Automatic utterance-type recognizer using the polynomial coefficients	s of
the pitch contour of the sentence's final word	80
7.2.6 Program Scripts Development	80
7.3 Future Research on the Utterance-type recognizer of Indonesian Speech	80
REFERENCES	82
APPENDICES	88
Appendix A	89
Appendix B	93
Appendix C	95
Appendix D	101
Appendix E	113
Vitae	115

# List of Tables

Page
Table 3.1 Example of Fujisaki-model parameters    33
Table 3.2 Four combinations of Fujisaki-model parameters used to investigate the
performance of the utterance-type recognizer
Table 3.3 Recognition rate of the utterance-type recognizer based on Fujisaki model36
Table 4.1 Distinctive Feature Composition of Indonesian Phonemes (modified from
Halim, 1981)
Table 4.2 Six combinations of the acoustic-phonetic features used to create six types
of Indonesian acoustic models
Table 5.1 Types of pitch contour of the final word extracted from 290 pairs of
declarative questions and statements in Indonesian Speech
Table 5.2 The average and standard deviation of the pitch range of the final word of
declarative questions and statements uttered by male and female speakers56
Table 5.3 The average and standard deviation of the maximum pitch of the final
words of the declarative questions and the statements uttered by male and
female speakers
Table 6.1 The Indonesian speech database of statements and declarative questions65
Table 6.2 The four combinations of the training and the testing sets for the
evaluation
Table 6.3 Equal Error Rate of the utterance-type recognizer using various order of
the polynomial expansion and one hidden node
Table A.1 Indonesian sentences used to create the pairs of declarative question and
statement
Table A.2 The set of the speech data for the investigation of the performance of the
automatic utterance-type recognizer
Table A.3 Detail of the fall declarative questions in the set of the speech data for the
investigation of the performance of the automatic utterance-type recognizer94
Table A.4 Error rate of the automatic utterance-type recognizer using the third order
polynomial expansion, architecture 3-1-1101
Table A.5 Error rate of the automatic utterance-type recognizer using the third order
polynomial expansion, architecture 3-2-1101

Page
<b>Table A.6</b> Error rate of the automatic utterance-type recognizer using the third order
polynomial expansion, architecture of the neural networks 3-3-1102
<b>Table A.7</b> Error rate of the automatic utterance-type recognizer using the third order
polynomial expansion, architecture 3-4-1102
<b>Table A.8</b> Error rate of the automatic utterance-type recognizer using the third order
polynomial expansion, architecture 3-5-1103
Table A.9 Error rate of the automatic utterance-type recognizer using the third order
polynomial expansion, architecture 3-6-1103
Table A.10 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-7-1104
Table A.11 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-8-1
Table A.12 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-9-1 105
Table A.13 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-10-1
Table A.14 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-11-1106
Table A.15 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-12-1
Table A.16 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-13-1107
Table A.17 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-14-1107
Table A.18 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-15-1108
Table A.19 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-30-1108
Table A.20 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-45-1109

Page
Table A.21 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-60-1
Table A.22 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-75-1110
Table A.23 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-90-1
Table A.24 Error rate of the automatic utterance-type recognizer using the third
order polynomial expansion, architecture 3-105-1
Table A.25 Error rate of the automatic utterance-type recognizer using the second
order polynomial expansion, architecture 2-1-1
Table A.26 Error rate of the automatic utterance-type recognizer using the fourth
order polynomial expansion, architecture 4-1-1
Table A.27 Error rate of the automatic utterance-type recognizer using the fifth order
polynomial expansion, architecture 5-1-1112
Table A.28 Error rate of the semi automatic utterance-type recognizer using the
second order polynomial expansion, architecture 2-1-1
<b>Table A.29</b> Error rate of the semi automatic utterance-type recognizer using the third
order polynomial expansion and architecture 3-1-1
Table A.30 Error rate of the semi automatic utterance-type recognizer using the
fourth order polynomial expansion and architecture 4-1-1
Table A.31 Error rate of the semi automatic utterance-type recognizer using the fifth
order polynomial expansion and architecture 5-1-1

# สถาบันวิทยบริการ จุฬาลงกรณ์มหาวิทยาลัย

# List of Figures

Page
Figure 2.1 Multilayer neural network
Figure 2.2 HMM with non-emitting entry and exit states
<b>Figure 2.3</b> Tee model HMM19
Figure 3.1 Utterance-type recognizer Based on Fujisaki model
Figure 3.2 Fujisaki model (Fujisaki and Ohno, 1998)28
Figure 3.3 A specific fully connected feed forward neural network
Figure 3.4 Waveform, pitch contour and Fujisaki-model parameters of an Indonesian
Statement: "Dia sedang makan" (He is eating)
Figure 3.5 Waveform, pitch contour and Fujisaki-model parameters of an Indonesian
declarative question: "Dia sedang makan?" (He is eating?)
Figure 3.6 Waveform, pitch contour and Fujisaki-model parameters of an Indonesian
Statement: "Komputer itu terjangkit virus" (The computer is infected by virus)37
Figure 3.7 Waveform, pitch contour and Fujisaki-model parameters of an Indonesian
declarative question: "Komputer itu terjangkit virus?" (The computer is infected
by virus?)
Figure 4.1 Percentage correct of Indonesian speech recognizer
Figure 4.2 Percentage accuracy of Indonesian speech recognizer
Figure 5.1 Pitch contours of the three-syllable-final-word of declarative questions
uttered by (a) male and (b) female speakers with the stress on the last syllable.
Each line represents the pitch contour of each speaker
Figure 5.2 Pitch contours of the three-syllable-final-word of declarative questions
uttered by (a) male and (b) female speakers with the stress on the second
syllable. Each line represents the pitch contour of each speaker52
Figure 5.3 Pitch contours of the two-syllable-final-word of declarative questions.
Different lines are used for different speakers
Figure 5.4 Pitch contours of the two-syllable-final-word (top) and the three-syllable-
final-word (below) of statements. Different lines are used for different speakers.

Page
Figure 5.5 Histogram of the pitch range of the final word of declarative questions
and statements uttered by male speakers55
Figure 5.6 Histogram of the pitch range of declarative questions and statements
uttered by female speakers55
Figure 5.7 Histogram of the pitch range of declarative questions and statements
uttered by male and female speakers
Figure 5.8 Histogram of the maximum pitch of declarative questions and statements
uttered by male speakers
Figure 5.9 Histogram of the maximum pitch of declarative questions and statements
uttered by female speakers
Figure 5.10 Histogram of the maximum pitch of declarative questions and
statements uttered by male and female speakers
Figure 5.11 Relation of the speech rates (syl/s) of the final word of 18 pairs of
Indonesian statements and their corresponding declarative questions uttered by
four female speakers. Each symbol of the points is for each speaker
Figure 5.12 Duration per syllable of the final words of Indonesian Statement (S) and
Declarative Question (DQ)61
Figure 6.1 Block diagram of the automatic utterance-type recognizer
Figure 6.2 Representation of typical F0 data using various order of polynomial
expansion
Figure 6.3 A three layer full-connected neural network
Figure 6.4 Equal Error Rate of the open test of the utterance-type recognizer using
the third order of the polynomial expansion and various numbers of hidden
nodes
Figure 6.5 Equal Error Rate of the close test of the utterance-type recognizer using
the third order polynomial expansion and various numbers of hidden nodes73

# CHAPTER I

Since the last one decade, several researchers have used utterance-type information in spoken system such as spoken dialogue system, speech recognition system and translation system. In a typical spoken dialogue system, a user can ask a question or give information to the system. In another side, the system should be capable of recognizing its user intention to give the correct response to him/her (Carpenter and Carrol, 1999). Intonation a speaker used can be a cue to identify the type of an utterance. In an automatic speech recognition system, the utterance-type information is used to decrease the word error rate of the system (Wright, 1998; Wright et al., 1999; Adami et al., 2003; Grau et al., 2004; Chen and Hasegawa-Johnson, 2005). In a translation system, the utterance-type information is used to resolve ambiguities in translating the utterances (Wahlster et al., 1997).

This dissertation focuses on the recognition of the declarative questions from statements in Indonesian speech. The two utterance types can contain the same words with the same order (Gunlogson and Christine, 2001; Brown-Schmidt et al., 2006) and differ in intonational means depending on individual speakers. Some speakers utter an utterance with a similar pitch contour that can be a declarative question or a statement. Even listeners can be confused in recognizing the utterance type whether a speaker is asking a question or stating a statement in some Indonesian dialects. When a listener is confused in recognizing the type of an utterance, he/she usually will ask the speaker to repeat the utterance. Therefore, to distinguish a declarative question from a statement in Indonesian utterance, a spoken dialogue system needs not only a word recognizer but also an intonation recognizer.

The same sentence can be uttered using the same utterance type in various pitch contours. The pitch contours may differ from sentence to sentence and from speaker to speaker (Akagi and Ienaga, 1995; Kuwabara and Sagisaka, 1995; Furui, 2001; Effendy et al., 2004). A speaker can utter an Indonesian word with a different stressed syllable without changing its meaning (Samsuri, 1978; Halim, 1981, Odé, 1994; Odé and van Heuven, 1998). Consequently, the variation of the pitch contour

increases. Therefore, the recognition of the Indonesian utterances-types based on the pitch contour is a difficult task.

Since the last one decade, many utterance-type recognition methods have been investigated for other languages. The methods use N-gram models, hidden Markov models, Naïve Bayes classifiers, Bayesian networks, multilayer perceptrons, decision trees, transformation-based learning, and memory-based learning (Reithinger and Maier, 1995; Lee et al., 1997; Wright, 1998; Ries, 1999; Samuel et al., 1999; Keizer et al., 2002; Levin et al., 2003; Grau et al., 2004).

Pitch contour, the major correlate of the intonation (Yan et al., 2003; Watson and Hughes, 2006), has been shown as having a close relationship to the utterance types for Dutch and German (Haan et al., 1997; Brickmann and Benzmüller, 1999). In Dutch, interrogativity differs from declarativity by higher pitch, both local and global. On a local level, interrogative utterances are found to have high onsets as well as high offset. Globally, interrogativity causes utterances to be realized in a higher and a narrower register. In German, for the average speaker, the final boundary tone, the F0 range, and the slope of the top-line can be used to distinguish the utterance types.

In this dissertation, the parameters of Fujisaki model are used as the features to represent the pitch contour to distinguish declarative questions from statements in Indonesian speech (Effendy et al., 2004). Fujisaki model is adapted for recognition system, which is originally designed for speech synthesis system (Fujisaki and Ohno, 1995; Higuchi et al., 1997; Aguero et al., 2004).

Since the algorithm to extract the parameters of Fujisaki model is too complicated to be implemented in an automatic system, the utterance-type recognizer is further developed using polynomial coefficients of the pitch contour of the sentence's final word.

# 1.1 The Indonesian language

Indonesian is a non-tonal language. The Indonesian language, so-called Bahasa Indonesia, is a unity language formed from hundreds of languages spoken in the Indonesian archipelago. It was coined by Indonesian nationalists in 1928 and became a symbol of national identity during the struggle for independence in 1945.

Compared to other languages, which have a high density of native speakers, Indonesian is spoken as a mother tongue by only 7% of the population, and more than 195 million people speak it as a second language with varying degrees of proficiency. Approximately, there are 300 ethnic groups living in 17,508 islands, speaking 365 native languages or no less than 669 dialects (Tan). At home, people speak their own language, such as Javanese, Sundanese or Balinese, though almost everybody has a good understanding of Indonesian as they learn it in school (Sakti et al., 2004).

The standard Indonesian language is continuously being developed and transformed to make it more suitable to the diverse needs of a modernizing society. Many words in the vocabulary reflect the historical influence of various foreign cultures that have passed through the archipelago. It has borrowed heavily from Indian Sanskrit, Chinese, Arabic, Portuguese, Dutch, and English. Although the earliest records in Malay inscriptions are syllable-based written in Arabic script, modern Indonesian is phonetic-based written in Roman script (Quinn). It uses only 26 letters as in the English/Dutch alphabet.

# 1.2 Declarative Question and Statement in Indonesian Speech

Utterance types are also called utterance classes, dialogue moves, dialogue acts, and speech acts (Fishel, 2006). Utterances are normally grouped into four types: question, statement, exclamation, and command. Furthermore, questions can be divided into two types: open-questions and yes-no-questions (van Heuven and van Zenten, 2005). An open question involves question words such as 'where', 'how', and 'why'. A yes-no question differs from the former type because it allows only two possible responses, positive ('yes') or negative ('no').

In Indonesian, a yes-no question can be generated (1) by using the question indicator 'apa' with or without the interrogative suffix '-kah', (2) by using the interrogative '-kah', and (3) by intonation (Halim, 1981). The yes-no question made by intonation is called a declarative question (Gunlogson and Christine, 2001; van Heuven and van Zenten, 2005).

The meaning of an utterance in Indonesian speech does not depend only on the words used by a speaker, but also on the intonation expressed by the speaker. Although words used in two sentences are the same and in the same order, when a speaker uses two different intonations, the meaning of the two sentences might be different (Verhaar, 1992).

For example,

Statement:

Andi sedang membaca buku (Andi is reading a book)

Declarative Question:

Andi sedang membaca buku? (Andi is reading a book?)

A statement may be perceived as a declarative question when the speaker uses interrogative intonation in the same sentence with the same word order.

Questions are generally signaled by a high final pitch and overall higher pitch (Eady and Cooper, 1986; Hirst and Cristo, 1998; van-Heuven et al., 1999). In English, the question involves not only local *F0* variations, but also more global patterns (Pierrehumbert, 1980; Ladd, 1996; Xu, 2005). Unlike those of English, the utterance type characteristics of Indonesian have not been investigated extensively; only few researchers have studied these. Two of them are Ebing and Stack.

Ebing (1997) modeled the pitch contours of a word in Indonesian utterance using IPO (Institute for Perspective Research) approach (Ebing, 1997). Her basic model consists of five basic forms. Later, she developed these five basic forms into eight forms. She used the model to synthesize the speech and then examined it perceptively with the assistance of several Indonesian native listeners. She used the model to make a synthetic speech. The perceptive testing shows that the synthetic speech was comparable with the synthetic speech using original pitch contours.

Stack (2005) investigated some sentences uttered by two Indonesian native speakers of Manado Malay dialect (Stack, 2005). The word order in the sentence is arranged into several different structures. Her work showed that although the utterances are only from two speakers of the same dialect, the intonation units (pitch contour) of each word in the utterances are different.

### 1.3 Objective of the Dissertation

The objective of this dissertation is to study an automatic utterance-type recognizer to distinguish two utterance types in Indonesian speech: declarative question and statement.

## 1.4 Scope of the Dissertation

This dissertation covers the study of the automatic utterance-type recognizer to distinguish declarative question from statement in Indonesian speech. Followings are the scopes of the dissertation:

- a) The utterance-type recognizer of declarative question and statement in Indonesian speech is design based on Fujisaki model,
- b) Then, the utterance-type recognizer is developed to be an automatic recognition system using the polynomial coefficients of the pitch contour of the sentence's final word.
- c) The characteristics of the final words of declarative question and statement in Indonesian speech are investigated to be used in the design process of the automatic utterance-type recognizer.
- d) An Indonesian acoustic model is developed to be employed in the automatic utterance-type recognizer as an automatic utterance segmentation module.

# 1.5 Dissertation Outline

The dissertation consists of seven chapters. Chapter 1 describes the introduction of the dissertation and elaborates the motivation, the Indonesian language, the declarative question and statement in Indonesian speech, objective of the dissertation, scope of the dissertation, and dissertation outline. Chapter 2 provides a concise introduction of basic techniques used in the utterance-type recognizer. They consist of neural networks and hidden Markov model. Chapter 3 elaborates the experiments of the utterance-type recognizer based on Fujisaki model. The performance of the utterance-type recognizer using four combinations of the parameters of Fujisaki model is evaluated. Chapter 4 describes the Indonesian acoustic model, which will be used as the automatic segmentation module in the automatic utterance-type recognizer. Chapter 5 describes the characteristics of the final words of declarative question and statement in Indonesian speech. They consist of the pitch contours, the pitch range, the maximum pitch and the speech rate of the final words. Chapter 6 describes the experiments on the automatic utterance-type recognizer using the polynomial coefficients of the pitch contours of the sentence final words. The performance of the automatic recognizer is investigated using larger speech data, which cover larger variation of intonation from larger number of speakers than the speech data used in the investigation of the utterance-type recognizer based on Fujisaki model. Chapter 7 concludes the dissertation and explains the contributions of the dissertation and the future research of the Indonesian utterance-type recognizer.



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# CHAPTER II

# FUNDAMENTAL TECHNIQUES FOR THE DESIGN OF AN UTTERANCE-TYPE RECOGNIZER

This chapter describes some fundamental techniques used in this dissertation to design an utterance-type recognizer. They consist of neural networks and hidden Markov model.

# 2.1 Neural Networks

The term neural network originally referred to the biological neural network system. In recent years, however, it has often been used to express an artificial neural network implemented on an electronic device such as a computer, and in fact, such artificial networks have been considered to be one of the most promising technological concepts for developing information systems such as pattern recognizers and function estimators (Katagiri, 2000).

Neural networks are actually based on the biological neural system. They are comprised of many of the key features of the biological systems, such as distributed computation mechanism, adaptivity (trainability), nonlinearity, and simplicity in the node computation.

The neural network consists of many nodes (circle in Figure 2.1), each of which conceptually corresponds to a neuron cell in the real biological neural system, and connections (arrow in Figure 2.1), each of which conceptually corresponds to an axon in the neural system. The nodes are mutually connected.

The neural network is trained using the pairs of data N input / target data vector pairs  $D = \{x_n, t_n\}$ . Each two-dimensional input vector is presented to the input layer, and the output of each input node equals the corresponding component in the vector. Each hidden node computes the weighted sum of its inputs to form its scalar *net activation*, which denoted simply as *net*. The *net* is the inner product of the inputs with the weights at the hidden nodes.

$$net_{j} = \sum_{i=1}^{d} x_{i} w_{ji} + w_{j0} = \sum_{i=0}^{d} x_{i} w_{ji} \equiv w_{j}^{t} \mathbf{x}, \qquad (2.1)$$

where the subscript *i* indexes nodes in the input layer, *j* in the hidden;  $w_{ji}$  denotes the input-to-hidden layer weights at the hidden node *j*. Each hidden node emits an output that is a nonlinear function of its activation,  $f(net_i)$ , that is,

$$y_j = f(net_j). (2.2)$$

This  $f(\cdot)$  is sometimes called the *activation function* or merely 'nonlinearity' of a node.

Each output node similarly computes its net activation based on the hidden node signals as

$$net_{k} = \sum_{j=1}^{n_{H}} y_{j} w_{kj} + w_{k0} = \sum_{j=0}^{n_{H}} y_{j} w_{kj} \equiv w_{k}^{t} y_{j}, \qquad (2.3)$$

where the subscript k indexes nodes in the output layer and  $n_H$  denotes the number of hidden nodes.

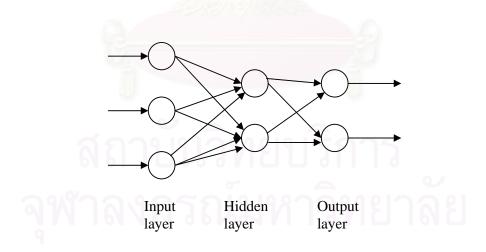


Figure 2.1 Multilayer neural network

### 2.1.1 Backpropagation Algorithm

Backpropagation is one of the simplest and most general methods for supervised training of multilayer neural networks. It is the natural extension of the LMS algorithm. Other methods may be faster or have other desirable properties, but few are more instructive.

The training error on a pattern is considered to be the sum over output nodes of the squared difference between the desired output  $t_k$  and the actual output  $z_k$ ,

$$J(\mathbf{w}) = \frac{1}{2} \sum_{k=1}^{c} (t_k - z_k)^2 = \frac{1}{2} ||t - z||^2, \qquad (2.4)$$

where t and z are the target and the network output vectors of length c and w represents all the weights in the network.

The backpropagation learning rule is based on gradient descent. The weights are initialized with random values, and then they are changed in a direction that will reduce the error:

$$\Delta \mathbf{w} = -\eta \frac{\partial J}{\partial \mathbf{w}}, \qquad (2.5)$$

or in a component form:

$$\Delta w_{pq} = -\eta \frac{\partial J}{\partial w_{pq}}, \qquad (2.6)$$

where  $\eta$  is the learning rate, and merely indicates the relative size of the changes in weight. The power of Equation (2.10) and (2.11) is in their simplicity. The weights are updated as

$$w(m+1) = w(m) + \Delta w(m)$$
, (2.7)

where m indexes the particular pattern presentation.

Because the error is not explicitly dependent upon  $w_{jk}$ , the chain rule for the differential of *J* is:

$$\frac{\partial J}{\partial w_{kj}} = \frac{\partial J}{\partial net_k} \frac{\partial net_k}{\partial w_{kj}} = -\delta_k \frac{\partial net_k}{\partial w_{kj}}, \qquad (2.8)$$

where the sensitivity of node k is defined to be

$$\delta_k = -\frac{\partial J}{\partial net_k},\tag{2.9}$$

and describes how the overall error changes with the node's net activation. Assuming that the activation function  $f(\bullet)$  is differentiable, Equation (2.10) is differentiated and found that for such an output node,  $\delta_k$  is simply

$$\delta_k = -\frac{\partial J}{\partial net_k} = -\frac{\partial J}{\partial z_k} \frac{\partial z_k}{\partial net_k} = (t_k - z_k) f'(net_k).$$
(2.10)

The last derivative in Equation (2.14) is

$$\frac{\partial net_k}{\partial w_{kj}} = y_j. \tag{2.11}$$

The weight update or learning rule for the hidden-to-output weights:

$$\Delta w_{kj} = \eta \delta_k y_j = \eta (t_k - z_k) f'(net_k) y_j.$$
(2.12)

Using the chain rule,

$$\frac{\partial J}{\partial w_{ji}} = \frac{\partial J}{\partial y_j} \frac{\partial y_j}{\partial net_j} \frac{\partial net_j}{\partial w_{ji}}$$
(2.13)  
$$\frac{\partial J}{\partial y_j} = \frac{\partial}{\partial y_j} \left[ \frac{1}{2} \sum_{k=1}^c (t_k - z_k)^2 \right]$$
$$= -\sum_{k=1}^c (t_k - z_k) \frac{\partial z_k}{\partial y_j}$$
$$= -\sum_{k=1}^c (t_k - z_k) \frac{\partial z_k}{\partial net_k} \frac{\partial net_k}{\partial y_j}$$
$$\frac{\partial J}{\partial y_j} = -\sum_{k=1}^c (t_k - z_k) f'(net_k) w_{kj}$$
(2.14)

In analogy with Eq. (2.15), Eq (2.20) is used to define the sensitivity for a hidden node as

$$\delta_j = f'(net_j) \sum_{k=1}^c w_{kj} \delta_k.$$
(2.15)

The learning rule for the input-to-hidden weights is

$$\Delta w_{ji} = \eta x_i \delta_j = \eta \left[ \sum_{k=1}^c w_{kj} \delta_k \right] f'(net_j) x_i.$$
(2.16)

#### 2.1.2 Neural Networks Application

Neural networks have been applied in many areas, such as pattern recognition, system modeling, digital signal processing and control engineering (Bishop, 1995; Effendy et al., 1998; Effendy et al., 2001; Effendy, 2002; Effendy et al., 2004). In speech technology, the neural networks have been applied for speech coding, speech recognition, speech signal processing, voice conversion, and speech enhancement (Katagiri, 2000).

## 2.2 Hidden Markov Model

The Hidden Markov Model (HMM) is a powerful statistical approach for the study of time series modeling with many of the classical probability distributions. The HMM approach provides a framework, which includes an automatic supervised training algorithm with mathematically proven convergence, the Baum-Welch algorithm. In addition, an efficient decoding scheme, the Viterbi algorithm, is incorporated in HMM. The underlying assumption of HMM is that the data samples can be well characterized as a parametric random process, and the parameters of the stochastic process can be estimated in a precise and well-defined framework.

Speech observation sequences corresponding to an acoustic event can be modeled by traversing an underlying sequence of connected states, each associated with an output distribution. The output distribution and the relative likelihood moving between states are estimated from a number of observation sequences of particular speech unit to be modeled. This is necessary to make speech recognition computationally tractable, and to ease the task of decoding a continuous waveform into a discrete set of symbols.

HMM has become one of the most successful statistical methods used in speech recognition, because of few assumptions need to be built into the models, and

all model parameters can be efficiently estimated from the training data. Many successful speech recognition systems have employed the HMM approach as a major recognition part. Not only can the HMM be used in speech recognition, but it also can be applied in statistical language modeling, spoken language understanding, machine translation, and so on. In this dissertation, HMM was used as the engine of the automatic segmentation module of the automatic utterance-type recognizer.

This section briefly outlines theoretical framework of the HMM by explaining the definition of HMM. Then the essential algorithms needed to estimate the model parameters and decoding are described. All initial discussions are based on the discrete HMM. However, most of the discrete HMM concepts can be extended to the continuous HMM as described succeeding the discrete HMM.

### 2.2.1 Definition of the Hidden Markov Model

A natural extension to the Markov chain introduces a non-deterministic process that generates output observation symbols in any given state. Thus, the observation is a probabilistic function of the state. This new model is known as a hidden Markov model, which can be viewed as a double-embedded stochastic process with an underlying stochastic process or the state sequence not directly observable. The state sequence is hidden, and can only be observed through another set of observable stochastic processes.

A hidden Markov model is basically a Markov chain, where the output observation is a random variable generated according to the output probabilistic function associated with each state. A set of output probability distributions of each hidden state can be either discrete probability distributions or continuous probability density functions. To describe the HMM characteristics, the following HMM elements are defined.

1) The number of states in the model, N. Generally, the states are interconnected in such a way that any state can be reached from any other state. The individual states and the state at time t are denoted as  $S = \{S_1, S_2, ..., S_N\}$  and  $q_t$  respectively.

- 2) The number of distinct observation symbols per state, M. The observation symbols correspond to the physical output of the system being modeled. The individual symbols is denoted as  $\mathbf{V} = \{V_1, V_2, ..., V_M\}$ .
- 3) The state transition probability distribution,  $\mathbf{A} = \{a_{ij}\}$  where

$$a_{ij} = P[q_{i+1} = S_j | q_i = S_i], \qquad 1 \le i, j \le N.$$
(2.17)

4) The observation symbol probability distribution in state j,  $\mathbf{B} = \{b_j(k)\}$ , where

$$b_j(k) = P[V_k \text{ at } t | q_t = S_j], \quad 1 \le j \le N \ 1 \le k \le M \ .$$
 (2.18)

5) The initial state distribution,  $\boldsymbol{\pi} = \{\pi_i\}$ , where

$$\pi_i = P[q_1 = S_i], \qquad 1 \le i \le N .$$
(2.19)

Since  $a_{ij}$ ,  $b_j(k)$ , and  $\pi_i$  are all probabilities, they must satisfy the following properties:

 $a_{ij} \ge 0$ ,  $b_j(k) \ge 0$ ,  $\pi_i \ge 0$  for all i, j, k

$$\sum_{j=1}^{N} a_{ij} = 1$$
 (2.20)

$$\sum_{k=1}^{M} b_j(k) = 1$$
 (2.21)

$$\sum_{i=1}^{N} \pi_i = 1$$
 (2.22)

Given appropriate value of N, M, A, B, and  $\pi$ , HMM can generate an observation sequence  $\mathbf{O} = O_1, O_2, \dots, O_T$ , where each observation  $O_t$  is one of the symbols from  $\mathbf{V}$ , and T is the number of observations in the sequence. A complete specification of an HMM requires two constant parameters, N and M, representing the total number of states and the size of observation symbols, and three sets of probability measures,  $\mathbf{A}$ ,  $\mathbf{B}$ , and  $\pi$ . For convenience, the compact notation is used to represent the complete parameter set of the model

$$\lambda = (\mathbf{A}, \mathbf{B}, \boldsymbol{\pi}) \tag{2.23}$$

In the first-order hidden Markov model, there are two assumptions. The first is the Markov assumption for the Markov chain.

$$P(q_t|q_1^{t-1}) = P(q_t|q_{t-1})$$
(2.24)

where  $q_1^{t-1}$  represents the state sequence  $q_1, q_2, \dots, q_{t-1}$ . At each observation time t, a new state is entered based on the transitional probability, which only depends on the previous state. The transition may allow the process to remain in the previous state. The second is the output-independence assumption:

$$P(\boldsymbol{O}_t | \boldsymbol{O}_1^{t-1}, \boldsymbol{q}_1^t) = P(\boldsymbol{O}_t | \boldsymbol{q}_t).$$
(2.25)

The output-independence states that the probability that a particular symbol is emitted at time t depends only on the state  $q_t$  and is conditionally independent of the past observations. Although these assumptions severely limit the memory of the first-order HMM and may lead to model deficiency, in practice, they reduce the number of free parameters need to be estimated. Furthermore, these assumptions make evaluation, decoding, and learning feasible and efficient without significantly affecting the modeling capability.

## 2.2.2 Observation Density Functions

The observation density functions have to model the distribution of the feature vector for the different parts in data. These distributions are estimated from large amounts of training data. The most frequently distributions are listed below.

## **2.2.2.1 Discrete Density Functions**

This type of density modeling requires that the multidimensional continuous observations be quantized into a number of symbols. Each state now has a discrete distribution that gives the probability of each symbol for the state. The discrete symbols are normally generated by a vector quantizer, which assigns a discrete symbol to each observation vector by choosing the nearest example from a small codebook of reference vector. This is implicitly dealt with the choice of distance

metric for the clustering procedure in the vector quantization. The Euclidian distance measure, for instance, is used in the k-means clustering algorithm.

#### **2.2.2.2 Continuous Density Functions**

In this case, the observation probability distribution in state j,  $b_j(O_t)$ , is a general parametric distribution of a predetermined form. The generalized method to continuous output density functions requires that the probability density functions be strictly log concave. The re-estimation algorithm can be extended to various types of elliptically symmetric density functions. The rationale of continuous density function is that the continuous observations can be directly modeled without quantization. However, the choice of different density functions to model a given observation largely depends on the characteristics of observations. In addition, a single continuous probability density function associated with each state is usually inadequate to model complicated observations. Therefore, finite mixture components are required.

### 2.2.3 Continuous Density Hidden Markov Model

If the observation does not come from a finite set, but from a continuous space, the discrete output distribution discuss in the previous sections can be extended to the continuous output probability density function. This implies that the vector quantization technique, which maps observation vectors from the continuous space to the discrete space, is no longer necessary. Consequently, the inherent error can be eliminated.

The generalized method to continuous output density functions can be applicable to the Gaussian, Poisson, and Gamma distributions but not to the Cauchy distribution. Furthermore, the estimation algorithm is expanded to cope with finite mixtures of strictly log concave and elliptically symmetric density functions. This section will discuss general re-estimation formulas for the continuous HMM, which is applicable to a wide variety of elliptically symmetric density functions. Using continuous probability density functions, the first candidate for a type of output distributions is the multivariate Gaussian, since

- Gaussian mixture density functions can be used to approximate any continuous probability density functions in the sense of minimizing the error between two density functions,
- 2) By the central limit theorem, the distribution of the sum of a large number of independent random variables tends towards a Gaussian distribution,
- 3) The Gaussian distribution has the greatest entropy of any distribution with a given variance.

The most commonly used distribution is the continuous Gaussian density function defined as

$$\mathcal{N}(\boldsymbol{O};\boldsymbol{\mu},\boldsymbol{\Sigma}) = \frac{1}{\sqrt{(2\pi)^n |\boldsymbol{\Sigma}|}} e^{-\frac{1}{2}(\boldsymbol{O}-\boldsymbol{\mu})^T \boldsymbol{\Sigma}^{-1}(\boldsymbol{O}-\boldsymbol{\mu})}$$
(2.26)

where *n* is the dimensionality of the observation vector O,  $\mu$  and  $\Sigma$  are the mean vector and the covariance matrix respectively. The advantage of normal distributions is that the parameters of Gaussian can be easily and reliably estimated from a large number of data. In order to obtain more accurate approximation, Gaussian mixtures are used. With enough components, such mixtures can approximate any density function with an arbitrary precision. The probability density of the multiple Gaussian mixtures is defined as

$$b_{j}(\boldsymbol{O}_{t}) = \sum_{m=1}^{M} c_{jm} \mathcal{N}(\boldsymbol{O}_{t}; \boldsymbol{\mu}_{jm}, \boldsymbol{\Sigma}_{jm})$$
(2.27)

where M is the number of mixture components and m is the mixture weight for the mixture component in state j. The mixture weights satisfy the stochastic constraint

$$\sum_{m=1}^{M} c_{jm} = 1, \qquad 1 \le j \le N$$
(2.28)

$$c_{jm} \ge 0$$
,  $1 \le j \le N$ ,  $1 \le m \le M$  (2.29)

For the continuous probability density functions, the likelihood of an input observation is expressed as

$$P(\mathbf{O}|\lambda) = \sum_{all \, Q} P(\mathbf{O}, Q|\lambda)$$
(2.30)

$$=\sum_{all Q} P(Q|\lambda) P(\mathbf{O}|Q,\lambda)$$
(2.31)

An information-theoretic Q-function, which is considered a function of  $\overline{\lambda}$  in the maximization procedure, is applied to derive the re-estimation formula as

$$\mathcal{Q}(\lambda,\overline{\lambda}) = \frac{1}{P(\mathbf{O}|\lambda)} \sum_{all \, S} P(\mathbf{O}, \mathcal{Q}|\lambda) \log P(\mathbf{O}, \mathcal{Q}|\overline{\lambda})$$
(2.32)

Using an auxiliary Q-function, the re-estimated HMM parameters for the multimodal Gaussian distributions are

$$\overline{c}_{jm} = \frac{\sum_{t=1}^{T} \gamma_{jm}(t)}{\sum_{t=1}^{T} \sum_{m=1}^{M} \gamma_{jm}(t)}$$
(2.33)

$$\overline{\boldsymbol{\mu}}_{jm} = \frac{\sum_{t=1}^{T} \gamma_{jm}(t) \cdot \boldsymbol{O}_{t}}{\sum_{t=1}^{T} \gamma_{jm}(t)}$$
(2.34)

$$\overline{\Sigma}_{jm} = \frac{\sum_{t=1}^{T} \gamma_{jm}(t) \cdot (\boldsymbol{O}_{t} - \boldsymbol{\mu}_{jm}) (\boldsymbol{O}_{t} - \boldsymbol{\mu}_{jm})'}{\sum_{t=1}^{T} \gamma_{jm}(t)}$$
(2.35)

where prime denotes vector transpose and  $\gamma_{jm}(t)$  is the probability of being in state *j* at time *t* with the  $m^{th}$  mixture component for  $O_t$ 

$$\gamma_{jm}(t) = \left[\frac{\alpha_{j}(t)\beta_{j}(t)}{\sum_{j=1}^{N}\alpha_{j}(t)\beta_{j}(t)}\right] \left[\frac{c_{jm}\mathcal{N}(\boldsymbol{O};\boldsymbol{\mu},\boldsymbol{\Sigma})}{\sum_{m=1}^{M}c_{jm}\mathcal{N}(\boldsymbol{O};\boldsymbol{\mu},\boldsymbol{\Sigma})}\right]$$
(2.36)

The re-estimation formula for  $a_{ij}$  is identical to the one used for discrete observation densities.

There are two possible options in the design of the mixtures. Either the Gaussian mixtures are state specific or they are shared (tied) between different states

of the HMM. HMM with state specific Gaussian mixtures is called continuous density HMM. HMM that shares Gaussian mixtures among different states is called semi-continuous HMM or tied mixture HMM.

#### 2.2.4 Hidden Markov Model for Speech Recognition

#### 2.2.4.1 Composite Models for Continuous Speech Recognition

The parameter estimation and decoding techniques in the previous section are defined to apply to a single HMM mapped onto an isolated word. One of the advantages of the HMM approach is the ease with which it can be adapted to a continuous recognition environment. In order to extend to the continuous model, two modifications are made to the HMM structure; the addition of the entry and exit states to each model. The entry and exit states are defined as non-emitting states, which take  $\Delta t$  time to traverse, where  $\Delta t$  is negligibly small. Thus, the forward and backward probabilities that correspond to the entry and exit states are those at  $t - \Delta t$  and  $t + \Delta t$ , where t is the time value at the immediately following or preceding state respectively. Therefore, the constraints are

$$a_{11} = 0 \text{ and } a_{Ni} = 0 \quad \forall i \tag{2.37}$$

which simply ensure that the entry and exit states can only be occupied for one transition. The other structural change is the addition of glue models. These models have only one emitting state, plus the entry and exit state, along with a non-zero entry to exit transition probability. These glue models are often call null or tee models (Young et al., 2002). A model with non-emitting entry and exit states is depicted in Figure 2.2 and a tee model is shown in Figure 2.3. Using tee models and non-emitting entry and exit states, a series of HMMs, with tee model between words, may be linearly combined into a single HMM for training purpose.

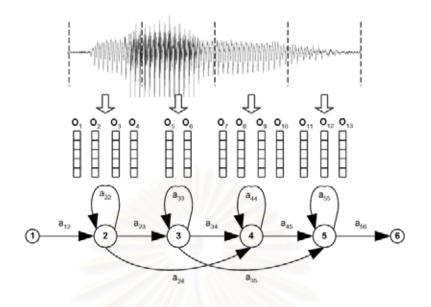


Figure 2.2 HMM with non-emitting entry and exit states

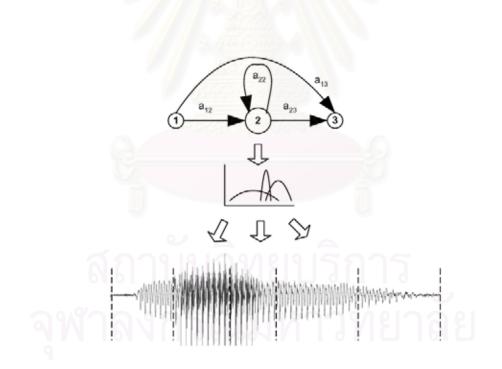


Figure 2.3 Tee model HMM

The modification required for the training formulas can be generated in a straightforward manner. The notation, a superscript q in parentheses representing the current model, is used as the notation that a training sentence model is represented by Q HMMs placed in sequence. The resulting forward and backward recurrent algorithms can be rewritten directly from the earlier definitions and new model structure. The forward equations are:

Initialization:

$$\alpha_{1}^{(q)}(1) = \begin{cases} 1 & q = 1 \\ \alpha_{1}^{(q)}(1)a_{N_{q}}^{(q-1)} & otherwise \end{cases}$$
(2.38)

$$\alpha_{j}^{(q)}(1) = \alpha_{1}^{(q)}(1)a_{1j}^{(q)}b_{1}^{(q)}(\boldsymbol{O}_{i})$$
(2.39)

$$\alpha_{N_q}^{(q)}(1) = \sum_{i=2}^{N_q-1} \alpha_i^{(q)}(1) a_{iN_q}^{(q)}$$
(2.40)

**Recursion:** 

$$\alpha_{1}^{(q)}(t) = \begin{cases} 0 & q = 1 \\ \alpha_{N_{q-1}}^{(q-1)}(t-1) + \alpha_{1}^{(q-1)}(t) a_{1N_{q-1}}^{(q-1)} & otherwise \end{cases}$$
(2.41)

$$\alpha_{j}^{(q)}(t) = \left[\alpha_{1}^{(q)}(t)a_{1j}^{(q)} + \sum_{i=2}^{N_{q-1}}\alpha_{i}^{(q)}(t-1)a_{ij}^{(q)}\right]b_{j}^{(q)}(\boldsymbol{O}_{t})$$
(2.42)

$$\alpha_{N_q}^{(q)}(t) = \sum_{i=2}^{N_q - 1} \alpha_i^{(q)}(t) a_{iN_q}^{(q)}$$
(2.43)

The corresponding backward equations are:

Initialization:

$$\beta_{N_q}^{(q)}(T) = \begin{cases} 1 & q = 1 \\ \beta_{N_{q+1}}^{(q+1)}(T) a_{1N_{q+1}}^{(q+1)} & otherwise \end{cases}$$
(2.44)

$$\beta_i^{(q)}(T) = \beta_{N_q}^{(q)}(T) a_{iN_q}^{(q)}$$
(2.45)

$$\beta_{1}^{(q)}(T) = \sum_{j=2}^{N_{q}-1} \beta_{j}^{(q)}(T) a_{1j}^{(q)} b_{j}^{q}(\boldsymbol{O}_{T})$$
(2.46)

**Recursion:** 

$$\beta_{N_q}^{(q)}(t) = \begin{cases} 0 & q = 1\\ \beta_1^{(q+1)}(t+1) + \beta_{N_{q+1}}^{(q+1)}(t) a_{N_{q+1}}^{(q+1)} & otherwise \end{cases}$$
(2.47)

$$\beta_{i}^{(q)}(t) = \beta_{N_{q}}^{(q)}(t)a_{iN_{q}}^{(q)} + \sum_{j=2}^{N_{q-1}}\beta_{j}^{(q)}(t+1)a_{ij}^{(q)}b_{j}^{(q)}(\boldsymbol{O}_{t+1})$$
(2.48)

$$\beta_{1}^{(q)}(t) = \sum_{j=2}^{N_{q}-1} \beta_{j}^{(q)}(t) a_{1j}^{(q)} b_{j}^{(q)}(\boldsymbol{O}_{t})$$
(2.49)

The Baum-Welch re-estimation equations for transition probabilities are split into four categories:

- 1. internal transitions between emitting states,
- 2. transitions from the entry state into emitting states,
- 3. transition from emitting states into the exit state,
- 4. tee transitions from the entry state directly to the exit state, generally zero for non-tee models.

The equations are all similar to the original transition re-estimation formulas, with some primary differences above. The resulting formulas are:

$$a_{ij}^{\prime(q)} = \frac{\sum_{t=1}^{T-1} \alpha_i^{(q)}(t) a_{ij}^{(q)} b_j^{(q)}(\boldsymbol{O}_{t+1}) \beta_j^{(q)}(t+1)}{\sum_{t=1}^{T-1} \alpha_i^{(q)}(t) \beta_i^{(q)}(t)}$$
(2.50)  
$$a_{1j}^{\prime(q)} = \frac{\sum_{t=1}^{T} \alpha_1^{(q)}(t) a_{1j}^{(q)} b_j^{(q)}(\boldsymbol{O}_t) \beta_j^{(q)}(t)}{\sum_{t=1}^{T} \alpha_1^{(q)}(t) \beta_1^{(q)}(t) + \alpha_1^{(q)}(t) a_{1N_q}^{(q)} \beta_1^{(q)}(t)}$$
(2.51)  
$$a_{iN_q}^{\prime(q)} = \frac{\sum_{t=1}^{T} \alpha_i^{(q)}(t) a_{iN_q}^{(q)} \beta_{N_q}^{(q)}(t)}{\sum_{t=1}^{T} \alpha_i^{(q)}(t) \beta_i^{(q)}(t)}$$
(2.52)

$$a_{1N_{q}}^{\prime(q)} = \frac{\sum_{t=1}^{T} \alpha_{1}^{(q)}(t) a_{1N_{q}}^{(q)} \beta_{1}^{(q+1)}(t)}{\sum_{t=1}^{T} \alpha_{i}^{(q)}(t) \beta_{i}^{(q)}(t)} + \alpha_{1}^{(q)}(t) a_{1N_{q}}^{(q)} \beta_{1}^{(q+1)}(t)$$
(2.53)

It can also be seen from examination of the last equation that the last model q = Q in the state sequence cannot have a non-zero tee probability from the entry to exit state. This restriction is generally enforced for the initial model q = 1 as well, so that neither the beginning nor the end of an utterance sequence can be a tee model.

The underlying Baum-Welch equations for estimating output distributions do not change once the modifications have been made to the forward and backward probabilities.

## 2.2.4.2 Multiple Observation Sequence

In a complex large-vocabulary speech recognition system, there may be literally thousands of models representing context-dependent sub-word units or segmental sub-word units. One problem that arises when performing training operation is that the Baum-Welch equations discussed so far are designed to be computed on one training sentence at a time, which is likely to use only a handful of different models just once or twice each, resulting in a very small quantity of training data for each iteration and corresponding poor re-estimation.

A simple and accurate approach to solving is to treat the training sentences as a concatenated series of observation sequences assumed to be independent of each other. This concept leads to updating the parameters for each model only one time over the entire training set, where the new parameters are given by continuously summing the numerator and denominator terms of the re-estimation equations throughout training. In the transition probability re-estimations, a  $\frac{1}{P_r}$  term, where  $P_r$  is the  $\mathbf{P}(\mathbf{O}|\lambda)$  for the  $r^{\text{th}}$  sentence, is added to the numerator and denominator. The full set of re-estimation equations for the Gaussian mixture distributions with multiple observation sequences, including entry and exit states and tee models, is given below

$$a_{ij}^{\prime(q)} = \frac{\sum_{r=1}^{R} \frac{1}{P_r} \sum_{t=1}^{T_r - 1} \alpha_i^{(q)}(t) a_{ij}^{(q)} b_j^{(q)}(\boldsymbol{O}_{t+1}) \beta_j^{(q)}(t+1)}{\sum_{r=1}^{R} \frac{1}{P_r} \sum_{t=1}^{T_r - 1} \alpha_i^{(q)}(t) \beta_i^{(q)}(t)}$$
(2.54)

$$a_{1j}^{\prime(q)} = \frac{\sum_{r=1}^{R} \frac{1}{P_r} \sum_{t=1}^{T_r} \alpha_1^{(q)}(t) a_{1j}^{(q)} b_j^{(q)}(\boldsymbol{O}_t) \beta_j^{(q)}(t)}{\sum_{r=1}^{R} \frac{1}{P_r} \sum_{t=1}^{T_r} \alpha_1^{(q)}(t) \beta_1^{(q)}(t) + \alpha_1^{(q)}(t) a_{1N_q}^{(q)} \beta_1^{(q)}(t)}$$
(2.55)

$$a_{iN_{q}}^{\prime(q)} = \frac{\sum_{r=1}^{R} \frac{1}{P_{r}} \sum_{t=1}^{T_{r}} \alpha_{i}^{(q)}(t) a_{iN_{q}}^{(q)} \beta_{N_{q}}^{(q)}(t)}{\sum_{r=1}^{R} \frac{1}{P_{r}} \sum_{t=1}^{T_{r}} \alpha_{i}^{(q)}(t) \beta_{i}^{(q)}(t)}$$
(2.56)

$$a_{1N_{q}}^{\prime(q)} = \frac{\sum_{r=1}^{R} \frac{1}{P_{r}} \sum_{t=1}^{T_{r}} \alpha_{1}^{(q)}(t) a_{1N_{q}}^{(q)} \beta_{1}^{(q+1)}(t)}{\sum_{r=1}^{R} \frac{1}{P_{r}} \sum_{t=1}^{T_{r}} \alpha_{i}^{(q)}(t) \beta_{i}^{(q)}(t)} + \alpha_{1}^{(q)}(t) a_{1N_{q}}^{(q)} \beta_{1}^{(q+1)}(t)}$$
(2.57)

$$\gamma_{jm}^{(q)}(t) = \left[\frac{\alpha_{j}^{(q)}(t)\beta_{j}^{(q)}(t)}{P_{r}}\right] \left[\frac{c_{jm}b_{jm}^{(q)}(\boldsymbol{O}_{t})}{b_{j}^{(q)}(\boldsymbol{O}_{t})}\right]$$
(2.58)

$$c_{jm}^{\prime(q)} = \frac{\sum_{r=1}^{R} \sum_{t=1}^{T} \gamma_{jm}^{(q)}(t)}{\sum_{r=1}^{R} \sum_{t=1}^{T} \sum_{m=1}^{M} \gamma_{jm}^{(q)}(t)}$$
(2.59)

$$\boldsymbol{\mu}_{jm}^{\prime(q)} = \frac{\sum_{r=1}^{R} \sum_{t=1}^{T} \gamma_{jm}^{(q)}(t) \cdot \boldsymbol{O}_{t}}{\sum_{r=1}^{R} \sum_{t=1}^{T} \gamma_{jm}^{(q)}(t)}$$

$$(2.60)$$

$$\sum_{r=1}^{R} \sum_{t=1}^{T} \gamma_{jm}^{(q)}(t) \cdot (\boldsymbol{O}_{r} - \boldsymbol{\mu}_{r}) (\boldsymbol{O}_{r} - \boldsymbol{\mu}_{r})'$$

$$\Sigma_{jm}^{\prime(q)} = \frac{\sum_{r=1}^{r} \sum_{t=1}^{\gamma_{jm}} \gamma_{jm}^{(q)}(t) \cdot (O_t - \mu_{jm}) (O_t - \mu_{jm})}{\sum_{r=1}^{R} \sum_{t=1}^{T} \gamma_{jm}^{(q)}(t)}$$
(2.61)

The implementation of these equations can be made with attention to some cancellations within the terms. In particular, the recursion for  $\alpha_j^{(q)}(t)$  contains the term  $b_j^{(q)}(\boldsymbol{O}_i)$  within it, which is also in the denominator of the formula for  $\gamma_{jm}^{(q)}(t)$ . The variable  $U_j^{(q)}(t)$  is defined as

$$U_{j}^{(q)}(t) = \begin{cases} \alpha_{1}^{(q)}(t)a_{1j}^{(q)} & \text{if } t = 1\\ \alpha_{1}^{(q)}(t)a_{1j}^{(q)} + \sum_{i=2}^{N_{q}-1}\alpha_{1}^{(q)}(t)a_{1N_{q}}^{(q)}\beta_{1}^{(q)}(t) & \text{otherwise} \end{cases}$$
(2.62)

to represent  $\alpha_j^{(q)}(t)$  without  $b_j^{(q)}(O_t)$  term. The computation of this latter term is cancelled entirely, giving

$$\gamma_{jm}^{(q)}(t) = \frac{1}{P_r} U_j^{(q)}(t) \beta_j^{(q)}(t) c_{jm} b_{jm}^{(q)}(\boldsymbol{O}_t)$$
(2.63)

Similar modifications may be made to the distribution re-estimation equations for discrete probability densities so that composite models and multiple observation sequences can be considered, resulting in the equation

$$b'_{j}(\boldsymbol{O}_{t}) = \frac{\sum_{r=1}^{R} \sum_{t=1}^{T} \gamma_{j}(t)}{\sum_{r=1}^{R} \sum_{t=1}^{T} \gamma_{j}(t)}$$
(2.67)

It should be noted that this formula has an identical form to the re-estimation equation for the mixture weights of Gaussian mixture distributions, if the mixture number m is treated as the index of the emitted observation. Thus, there is a direct correspondence between an M-mixture Gaussian distribution and a discrete distribution of M observation symbols.

#### 2.3 Summary

This chapter provides concise information about fundamental techniques and methods in the utterance-type recognizer. It includes neural networks and Hidden Markov Model. Firstly, the neural network principle is described. Then, the Hidden Markov Model (HMM) is elaborated.

Neural networks are originally referred to the biological neural network system. They consist of nodes and connections between the nodes. The neural networks have been applied in many areas, such as pattern recognition, system modeling, digital signal processing and control engineering. In speech technology, they have been applied in speech coding, speech recognition, voice conversion and speech enhancement.

HMM is basically a Markov chain, where the output observation is a random variable generated according to the output probabilistic function associated with each state. It is a powerful statistical approach for the study of time series modeling with many of the classical probability distributions. It has become one of the most successful statistical methods used in speech recognition, because of few assumptions need to be built into the models, and all model parameters can be efficiently estimated from the training data.

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## **CHAPTER III**

# UTTERANCE-TYPE RECOGNIZER OF THE DECLARATIVE QUESTION AND STATEMENT OF INDONESIAN SPEECH BASED ON FUJISAKI MODEL

In this research, Fujisaki model, which is originally designed for synthesis system (Fujisaki et al., 1996), is adapted for recognition system. Besides adapted for Indonesian intonation recognition system (Effendy et al., 2004; Effendy and Jitapunkul, 2006), Fujisaki model has been adapted for Thai tone recognition system (Potisuk et al., 1999; Ngarmchatetanarom et al., 2004).

Figure 3.1 shows the diagram block of an utterance-type recognizer of declarative question and statement of Indonesian speech based on Fujisaki model. The recognizer consists of a pitch extractor, Fujisaki model, a Fujisaki-model parameter extractor, and a classifier. The next sections describe the speech data, Fujisaki model and parts of the utterance-type recognizer.

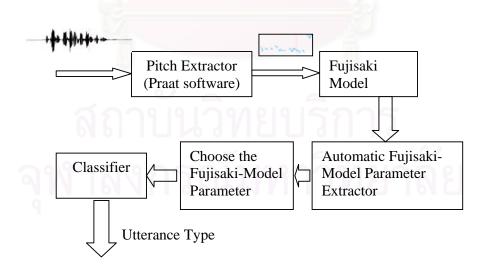


Figure 3.1 Utterance-type recognizer Based on Fujisaki model

#### 3.1 Speech Data

To investigate the performance of the utterance-type recognizer, speech data consisting of 29 pairs of declarative questions and statements of Indonesian speech were used. The speech data are recorded from a native Indonesian male speaker. He was asked to utter the pairs of declarative questions and statements of Indonesian speech. The sentences are chosen from daily-life conversations among Indonesian speakers as listed in appendix A. The utterances are recorded at 16 kHz sampling rate, and 16-bit resolution in an office environment.

### 3.2 Fujisaki Model

Figure 3.2 shows a diagram of Fujisaki model. The model generates F0 contours in the log F domain and is originally formulated for Japanese. It consists of phrase and accent commands. The phrase commands are assumed the impulse signals applied to the phrase control mechanism to generate the phrase components, while the accent commands are assumed the positive stepwise functions applied to the accent control mechanism to generate the accent components. Both mechanisms are assumed to be critically damped second-order linear systems, and the sum of their outputs: the phrase components and the accent components, is superimposed on a baseline value (logFb) to form an F0 contour, as given by following equation (Fujisaki and Ohno, 1998):

$$\log F_{0}(t) = \log F_{b} + \sum_{i=1}^{I} A_{pi} G_{p} (t - T_{0i}) + \sum_{j=1}^{J} A_{aj} \left\{ G_{a} (t - T_{1j}) - G_{a} (t - T_{2j}) \right\}$$
(3.1)

$$G_{p}(t) = \begin{cases} \alpha^{2} t \cdot \exp(-\alpha t) , \text{ for } t \ge 0\\ 0, \text{ for } t < 0 \end{cases}$$
(3.2)

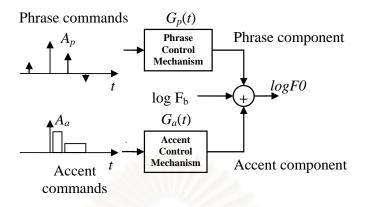


Figure 3.2 Fujisaki model (Fujisaki and Ohno, 1998)

$$G_a(t) = \begin{cases} \min[1 - (1 + \beta t) \cdot \exp(-\beta t), \gamma] &, \text{ for } t \ge 0\\ 0 &, \text{ for } t < 0 \end{cases}$$
(3.3)

Where,

 $F_b$ : Baseline value of fundamental frequency

*I* : Number of phrase commands

J : Number of accent commands

 $A_{pi}$ : Magnitude of  $i^{th}$  phrase command

 $T_{0i}$  : Timing of  $i^{\text{th}}$  phrase command

 $A_{aj}$  : Amplitude of  $j^{\text{th}}$  accent command

 $T_{1i}$ : Onset of  $j^{\text{th}}$  accent command

 $T_{2i}$ : Offset of  $j^{\text{th}}$  accent command

 $\alpha$ : Natural angular frequency of the phrase control mechanism

 $\beta$ : Natural angular frequency of the accent control mechanism

 $\gamma$ : Relative ceiling level of accent components

Equation (3.2) denotes the impulse response of the phrase control mechanism. The input signals to the phrase control mechanism are impulses, which are defined by their magnitude  $A_p$  and their onset time T0.  $\alpha$  denotes the time

constant of the phrase control mechanism and is assumed as being constant within an utterance.  $A_p$  determines the onset value of the F0 contour relative to Fb, unless an accent command is present.

 $G_a(t)$  (Equation 3.3) denotes the step response of the accent control mechanism. The step-wise input signals to the accent control mechanism, the accent commands, are defined by their amplitude  $A_a$ , onset time T1 and offset time T2.  $\beta$  denotes the time constant of the accent control mechanism and is assumed as being constant in an utterance. The ceiling value  $\gamma$  (typically set to 0.9) of the accent control mechanism ensures that the accent component reaches its maximum in finite time. Hence, the change in F0 is in proportion to  $A_a$ .

The analysis of natural F0 contours is conducted by a method known as 'Analysis-by-Synthesis'. Basically, the number and parameters of input commands to the model is modified until an optimal approximation of the contour is yielded. When an arbitrary number of commands provided, any F0 contour can be approximated with unlimited accuracy. For this reason, constraints must be applied in order to ensure a linguistically meaningful interpretation of the analysis results. These constraints are language-specific and concern the relationship between linguistic units and structures (prosodic phrases and accents, for instance) and the phrase and accent commands.

#### 3.2.1 Physiological Interpretation

In early works, Fujisaki (1971) explained the reason for formulating the model in the log F domain by the observation that contours by male and female speakers only look similar when plotted in the log F domain (Fujisaki et al., 1971). Fujisaki derived this property from the relationship between the tension T and elongation x of skeletal muscles (Fujisaki et al., 1981).

$$T = a(\exp(bx) - 1) \approx a \exp(bx) \quad \text{for } \exp(bx) \gg 1$$
(3.4)

The vibration frequency of elastic membranes varies in proportion to the square root of their tension.

$$F_0 = c_0 \sqrt{T} \tag{3.5}$$

Combining Equation (3.4) and (3.5) yields

$$\ln F0 = b/2x + \ln\left(\sqrt{a}c_0\right). \tag{3.6}$$

Hence  $\ln F0$  is in proportion to the elongation x plus a constant. The constant corresponds to the baseline value of the Fujisaki model,  $\ln Fb$ . The oscillation frequency of the glottis (the vocalis muscle) is passively influenced by the cricothyroid muscle (CT) which changes the elongation x of the glottis. CT moves the thyroid cartilage relative to the cricoid cartilage, changing the length and thus the tension of the glottis. The movement has two degrees of freedom: 1) Rotation around the cricothyroid joint, 2) Translation of the thyroid against the cricoid.

#### 3.2.2 Automatic Extraction of Fujisaki-Model parameters

Several researchers have investigated some methods of the automatic extraction of Fujisaki-model parameters. Among of them are Fujisaki, et.al. (1996), Rossi, et al (2002), Narusawa, et.al. (2002), Mixdorff (2000), Mixdorff, et.al. (2003), Silva and Netto (2004) (Fujisaki et al., 1996; Mixdorff, 2000; Narusawa et al., 2002; Rossi et al., 2002; Mixdorff et al., 2003; Silva and Netto, 2004).

The Fujisaki's model produces a particular F0 contour in the log F domain by superimposing three components: the phrase component, which corresponds to the phrase-wise slow overall declination line, the accent component made up by the faster movements in the F0 contour connected with accents and boundary tones, and Fb, a speaker-individual constant.

In order to separate the accent component from the phrase component and Fb, the spline contour is passed through a high-pass filter with a stop frequency at 0.5 Hz (Mixdorff, 2000). The output of the high-pass (henceforth called 'high frequency contour' or HFC) is subtracted from the spline contour yielding a 'low frequency contour' (LFC), containing the sum of phrase component and Fb. Hence, partial contours roughly corresponding to phrase and accent components are determined.

The initialization procedure makes use of the characteristics of phrase and accent command responses making up phrase and accent components, respectively. The phrase command response has its onset with the occurrence of an impulse-wise phrase command, rise to a maximum and then decays slowly according to the associated time constant  $\alpha$ . Hence, in a sequence of phrase commands, the onset of a new command is characterized by a local minimum in the phrase component. Consequently, the LFC is searched for local minima, applying a minimum distance threshold of 1 sec between consecutive phrase commands. For initializing the magnitude value Ap assigned to each phrase command, the part of the LFC after the potential onset time T0 of a phrase command is searched for the next local maximum. Ap is then calculated in proportion to the frequency value found at this point. As responses of several phrase commands may add up in the phrase component, contributions of preceding commands must be taken into account when calculating Ap, which is reduced accordingly.

The accent command response is a smoothed square function rising from a value of 0 at T1 to a maximum which is sustained until the offset time T2 when it starts decaying. For initializing the appropriate number, onset times T1 and offset times T2 of accent commands, the HFC is searched for local minima, whose vicinity  $(\pm 100 \text{ msec})$  is scanned for even lower F0 values in order to avoid picking saddle points. Two subsequent local minima each are associated with a new accent command.

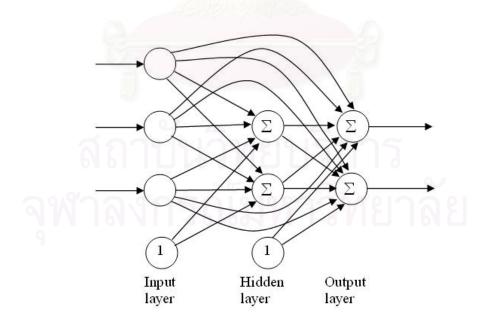


Figure 3.3 A specific fully connected feed forward neural network

#### 3.3 Classifier

In this research, a specific fully connected feed forward neural network depicted in Figure 3.3 was used as a classifier to distinguish declarative questions from statements. The number of nodes in input layer can be changed to be arbitrary positive integer depending on the number of Fujisaki-model parameters used in the experiments. Both hidden layer and output layer consist of two nodes. The neural network is targeted to (0 1) for a statement and (1 0) for a declarative question.

#### 3.4 Experimental Setup

All utterances in the speech data are extracted to produce the pitch contour using PRAAT software (©P.Boersma) (Boersma and Weenink, 2004). After the extraction of the pitch contour, Fujisaki-model parameters are extracted using an automatic Fujisaki parameter extractor proposed by Mixdorff and his colleagues (Mixdorff et al., 2003). Although, it is specifically designed for German, the automatic Fujisaki parameter extractor was adopted for the Indonesian language.

Twenty-nine utterances are used as the training set of neural network and fifty-eight utterances consisting also the training files are used as the testing set of the neural network. Three parameters of Fujisaki's model, the amplitude of last accent command  $(A_{aJ})$ , the magnitude of last phrase command  $(A_{pI})$ ; the baseline value of the fundamental frequency  $(F_b)$  are used to represent the pitch contour of each utterance type. Table 3-1 lists the example of the values of the Fujisaki-model parameters used in this dissertation as the input of the neural network. Four combinations of the Fujisaki-model parameters are created using the three parameters as illustrated in Table 3-2. The combinations are (1) the amplitude of last accent command  $(A_{aJ})$ , (2)  $A_{aJ}$  and the magnitude of last phrase command  $(A_{pI})$ , (3) the baseline value of the fundamental frequency  $(F_b)$ ,  $A_{aJ}$  and  $A_{pI}$ , and (4) a fraction of  $F_b$ :  $F_b/100$ ,  $A_{aJ}$  and  $A_{pI}$ .

No	<b>F</b> <sub>b</sub>	$A_{pI}$	$A_{aJ}$	Utterance Type	
1	107.07	0.2242	0.19	Statement	
2	132.83	0.4012	0.60	<b>Declarative Question</b>	
3	85.69	0.0924	0.19	Statement	
4	119.13	0.1399	0.55	<b>Declarative Question</b>	
5	120.02	0.3485	0.97	Statement	
6	117.70	0.3149	0.51	<b>Declarative Question</b>	
7	86.47	0.4673	0.24	Statement	
8	108.37	0.1033	0.53	Declarative Question	
9	97.81	0.3776	0.06	Statement	
10	131.11	0.1877	0.25	Declarative Question	
11	89.55	0.3473	0.16	Statement	
12	132.96	0.0288	0.35	Declarative Question	
13	106.02	0.4212	0.33	Statement	
14	103.98	0.4814	0.53	<b>Declarative Question</b>	
15	121.72	0.0518	0.27	Statement	
16	116.54	0.043	0.53	Declarative Question	
17	<b>86.01</b>	0.0025	0.47	Statement	
18	98.88	0.2707	0.76	Declarative Question	
19	<b>98.18</b>	0.489	0.20	Statement	
20	106.67	0.2981	0.32	<b>Declarative Question</b>	
21	89.46	0.42	-0.24	Statement	
22	103.85	0.02	0.59	<b>Declarative Question</b>	
23	97.4 <mark>7</mark>	0.22	0.17	Statement	
24	98.64	0.1882	0.46	Declarative Question	
25	105.44	0.0008	0.14	Statement	
26	136.54	0.4257	0.45	Declarative Question	
27	105.97	0.4241	0.21	Statement	
28	84.87	0.0328	0.87	<b>Declarative</b> Question	
29	10.14	2.662	1.72	Statement	
30	122.54	0.293	0.57	Declarative Question	
	200				

 Table 3.1 Example of Fujisaki-model parameters

 $A_{aj}$ : the amplitude of last accent command

 $A_{pl}$ : the magnitude of last phrase command

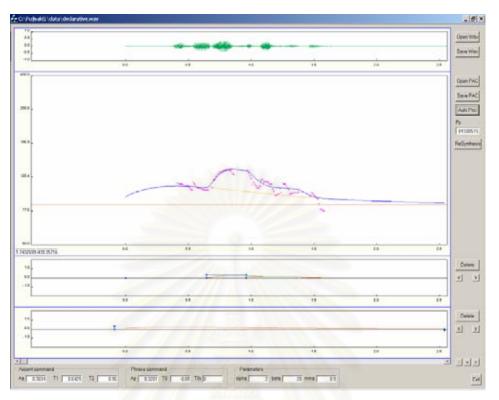
 $F_b$ : Baseline value of fundamental frequency

Combination	Fujisaki-model parameter(s)	
Ι	$A_{aJ}$	
Ш	$A_{aJ}$ and $A_{pI}$	
III	$F_b$ , $A_{aJ}$ and $A_{pI}$	
IV	$F_b/100$ , $A_{aJ}$ and $A_{pI}$	

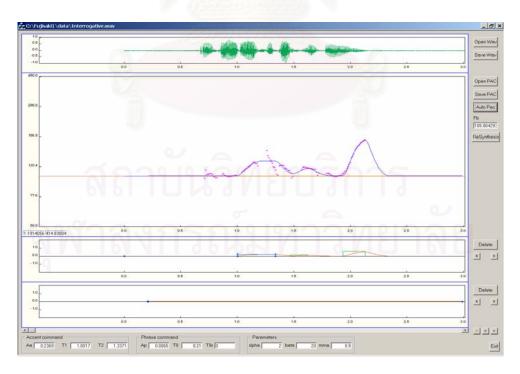
**Table 3.2** Four combinations of Fujisaki-model parameters used to investigate the performance of the utterance-type recognizer

#### 3.5 Experimental Results

Table 3.3 shows the recognition rates of the utterance-type recognizer using each combination of the Fujisaki-model parameters listed in Table 3-2 as the input of the neural networks. The recognition rate of the recognizer using only the amplitude of last accent command was 83.3%. The recognition rate of the recognizer increased to 90.0% when the recognizer used the combination of the amplitude of last accent command and the magnitude of last phrase command as the input of the neural network. When the recognizer used a combination of the baseline value of the fundamental frequency, the amplitude of last accent command and the magnitude of last accent command as the input of the neural networks, the recognizer decreased to 50.0%. The highest recognition rate was achieved when the recognizer used a combination of the baseline value of the fundamental frequency, the amplitude of last accent command and the magnitude of last phrase command as the input of the neural networks, the recognition rate of the recognizer used a combination of the baseline value of the recognizer used a combination of one percent of the baseline value of the fundamental frequency, the amplitude of last accent command and the magnitude of last phrase command as the input of neural network: 96.7%.



**Figure 3.4** Waveform, pitch contour and Fujisaki-model parameters of an Indonesian Statement: "Dia sedang makan" (He is eating)



**Figure 3.5** Waveform, pitch contour and Fujisaki-model parameters of an Indonesian declarative question: "Dia sedang makan?" (He is eating?)

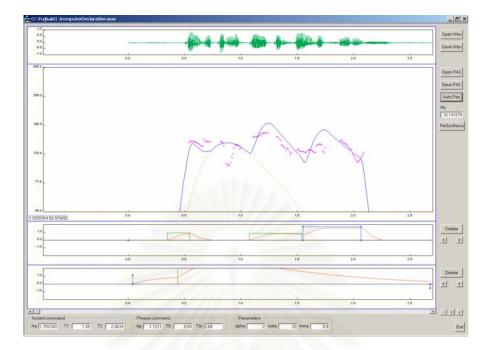
Combination	Input of Neural Network	Recognition Rate (%)	
Ι	$A_{aJ}$	83.3	
П	$A_{aJ}$ and $A_{pI}$	90.0	
III	$F_b, A_{aJ}$ and $A_{pI}$	50.0	
IV	$F_b/100$ , $A_{aJ}$ and $A_{pI}$	96.7	

Table 3.3 Recognition rate of the utterance-type recognizer based on Fujisaki model

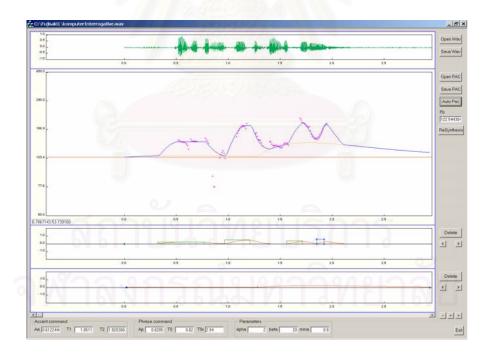
In this research, the neural network did not recognize all the patterns correctly because of the resemblance of the F0 contours of the declarative questions and the statements. Figure 3-4 and Figure 3-5 show the waveform, pitch contour, and Fujisaki-model parameters of a pair of a statement "Dia sedang makan" (He is eating) and its corresponding declarative question "Dia sedang makan?" (He is eating?). The figures show that the most important parts of the sentences to distinguish the two utterance-types are their final words. In the pair of the utterance-types, the declarative question has a final rise, while the statement has a final fall.

However, the pitch contours of the final words of another pair of declarative question and statement as shown in Figure 3.6 and Figure 3.7 are unlike the most patterns of those of the pairs of declarative questions and statements. Figure 3.6 and Figure 3.7 show another pair of an Indonesian statement "Komputer itu terjangkit virus" (The computer is infected by virus) and its corresponding declarative question "Komputer itu terjangkit virus?" (The computer is infected by virus). In the pair, the last accent command of the statement is higher than that of its corresponding declarative question. It made the recognizer incorrectly distinguished the two utterance-types.

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**Figure 3.6** Waveform, pitch contour and Fujisaki-model parameters of an Indonesian Statement: "Komputer itu terjangkit virus" (The computer is infected by virus)



**Figure 3.7** Waveform, pitch contour and Fujisaki-model parameters of an Indonesian declarative question: "Komputer itu terjangkit virus?" (The computer is infected by virus?)

#### 3.6 Summary

This chapter has discussed the design of an utterance-type recognizer based on Fujisaki model to distinguish the declarative questions and statements in Indonesian speech.

In this chapter, Fujisaki-model parameters were used as the features to classify the utterance type between the declarative questions and the statements in Indonesian speech. Four different combinations of Fujisaki-model parameters were used as the input of the classifier of the utterance-type recognizer. The highest recognition rate of the recognizer was achieved using a combination of a fraction value of  $F_b$ :  $F_b/100$ , the amplitude of last accent command, and the magnitude of last phrase command as the input of the neural networks.



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## CHAPTER IV THE DESIGN OF AN ACOUSTIC MODEL OF AN INDONESIAN SPEECH RECOGNIZER

The hidden Markov model (HMM) as explained in chapter 2 has been known as a useful tool to create an acoustic model of a speech recognizer. There are many features to be the input of HMM. Among them are Mel Frequency Cepstral Coefficient (MFCC), linear frequency cepstrum coefficients (LFCC), linear prediction coefficients (LPC), reflection coefficients (RC), F0, energy, and duration (Davis and Mermelstein, 1980; Wang, 2001). MFCC has been widely used by many researchers as the features to create the acoustic model of the speech recognition (Maneenoi, 2004; Effendy et al., 2005). The MFCC combined with F0 have been used to represent the information of the source and the vocal tract respectively (Ezzaidi et al., 2004).

Several researchers already investigated the influence of the use of the features in the performance of a speech recognizer for other languages. Davis and Mermelstein (1980) described that the performance of the speech recognizer using MFCC as the features for the recognition is better than using LFCC, LPC or RC (Davis and Mermelstein, 1980). Matsumoto et al. (1998) showed that MFCC is slightly better than Mel-LPC in higher orders for female speakers, but slightly worse than Mel-LPC for male speakers (Matsumoto et al., 1998). Wang (2001) described that MFCC can improve the classification accuracy in addition to prosodic features in English stress classification. However, the gain using only prosodic features is greater than when only MFCC is used (Wang, 2001).

Besides of several investigations have been carried out in the influence of the features in a speech recognizer, however, none of them investigated the influence of the features in the Indonesian language. Many researchers of Indonesian speech recognizer used an acoustic model from other languages, such as English to be implemented in Indonesian speech recognizer (Martin et al., 2003; Wong et al., 2003; Sakti et al., 2004). In this research, an Indonesian acoustic model is designed and employed in an Indonesian speech recognizer. The investigation is limited on

the features of the combination of MFCC with energy, their first order and second order derivatives. The acoustic model will be used in the automatic utterance segmentation module of an automatic utterance-type recognizer, which will be explained in chapter 6. The influence of the increment of the Gaussian mixtures in the performance of the acoustic model is investigated as well.

#### 4.1 Mel Frequency Cepstral Coefficient (MFCC)

The spectral features can be obtained by passing the speech signal through a bank of bandpass filters. One of the main advantages of this approach is that the bandpass filters can be placed along the perceptual frequency scales such as critical band (Dautrich et al., 1983), bark scale (Ali et al., 2002), or Mel scale (Bu and Church, 2000). The filterbanks are generally triangular, and they are equally spaced along the Mel scale, which is defined as

$$Mel(f) = 2595 \log_{10}(1 + \frac{f}{700})$$
(4.1)

Obviously, the Mel scale is linear below and logarithmic above 1 kHz. This scale is known to be a good scale for approximating the ability of human auditory system to discriminate frequencies.

To implement the filterbank, each segment of speech data is transformed using a Fourier transform and the magnitude is taken. Each FFT magnitude coefficient is multiplied by the corresponding filter gain and the results are accumulated. If the cepstral parameters are computed from the log filterbank amplitude using the discrete cosine transform as shown in Equation (4.2), then, the Mel Frequency Ceptral Coefficients (MFCCs) are obtained.

$$c_{i} = \sqrt{\frac{2}{N}} \sum_{j=1}^{N} m_{j} \cos\left(\frac{i\pi}{N}(j-0.5)\right)$$
(4.2)

where, N is the number of filter bank channels and  $m_i$  is the log filterbank amplitude.

#### 4.2 Speech Data

Speech data are recorded and collected from 20 Indonesian native speakers. They consist of 11 male and 9 female speakers with age ranging from 23 to 50. Each speaker was asked to utter twice 70 phone numbers and 89 sentences from Indonesian newspapers and Indonesian linguistics books, which consist of 1,305 words. Therefore, there are 280 utterances of phone numbers and 3,560 utterances of sentences, which consist of 52,200 words. The speech data are recorded with 16 kHz sampling rate and 16-bit resolution in an office environment.

#### 4.3 Experimental Setup

Speech data were passed through a signal preprocessing routine consisting of signal pre-emphasis with a coefficient of 0.97 (Rabiner and Juang, 1993; Furui, 2001). A 25 msec Hamming window was applied every 10 msec in order to divide the speech signal into frames.

From the speech data, 49 sentences uttered by one male speaker and 1 sentence uttered by another male speaker was labeled manually based on phoneme. The Indonesian phonemes are listed in Table 4.1. The manual labeled speech data were used to create the acoustic model of the speech recognizer. A standard 5-state left-to-right Hidden Markov Model (HMM) with no skip state was employed in the design of the acoustic model. The manual acoustic model was used to automatically label the 335 phone numbers uttered by three male and two female speakers. The automatically labeled speech data were used to update the manual acoustic model to become an Indonesian acoustic model.

372 phone numbers uttered by two female speakers and four male speakers and 27 sentences uttered by one male speaker were used as the testing set to investigate the performance of the acoustic model of the Indonesian speech recognizer.

i 1 h b f u 0 a e ə W r р у ? Syllabic -++++++\_ -++\_ --\_ Consonantal ---\_ -\_ -\_ ++\_ -++ $^+$ Sonorant ++++++++++-----High \_ +\_ \_ ---++\_ \_ -\_ -\_ Back \_ -\_ \_ +-\_ -\_ +++\_ \_ \_ Low \_ \_ -+ -----++---\_ Anterior \_ - 1 \_ --\_ \_ ++\_ +++\_ \_ Coronal \_ -----+ -------+Round \_ +\_ ---+ +Tense ++++ -\_ --Continuant +-+\_ +-\_ Voice ++---+-Nasal ------m t d С j k Х S Z n š g ր ŋ Syllabic ---\_ -----\_ ----Consonantal +++  $^+$ + + + +++++++ Sonorant +----++--+----High \_ +\_ \_ \_ -\_ --+++++Back --------+ +++--Low \_ \_ ----\_ -\_ \_ -\_ -\_ Anterior ++\_ + +--\_ \_ + \_ +\_ -Coronal -+ ++++++++ ----Round Tense Continuant ----\_ ++-+---+-Voice +++++++-÷. --+--Nasal +------+-+--\_ +

**Table 4.1** Distinctive Feature Composition of Indonesian Phonemes (modified fromHalim, 1981)

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Combination	Symbol	Dimension of the	Contents
		acoustic-phonetic	
		feature stream	
Ι	MFCC	12	12 MFCCs
II	MFCC+E	13	12 MFCCs and Energy
III	MFCC+D	24	12 MFCCs and their first
			order derivatives
IV	MFCC+E+D	26	12 MFCCs, their energy and
			their first order derivatives
V	MFCC+D+A	36	12 MFCCs, their first and
			second order derivatives
VI	MFCC+E+D+A	39	12 MFCCs, their energy,
		Atter () made de	their first and second order
		NRIZIA IN	derivatives

**Table 4.2** Six combinations of the acoustic-phonetic features used to create six types

 of Indonesian acoustic models

Six types of the acoustic-phonetic features were used to create six types of acoustic models as shown in Table 4.2. The six types of Indonesian acoustic models were employed in an Indonesian speech recognizer. The performance of the Indonesian acoustic model was investigated from the recognition rate of the Indonesian speech recognizer. The number of the Gaussian mixtures per state of HMM was varied to investigate the best performance of the Indonesian acoustic model.

The performance of the acoustic model is computed using 2 formulas called "Percentage Correctness" and "Percentage accuracy" (Young et al., 2002). When the optimal alignment has been found, the number of substitution errors (S), deletion errors (D), and insertion errors (I) can be calculated. Then, the percentage correct is

Percent Correct = 
$$\frac{N - D - S}{N} \times 100\%$$
 (4.3)

where N is the total number of labels in the reference transcriptions. For many purposes, the percentage accuracy defined as

Percent Accuracy = 
$$\frac{N - D - S - I}{N} \times 100\%$$
 (4.4)

is a more representative figure of the recognizer performance.

#### 4.4 Experimental Results

Figure 4.1 and Figure 4.2 show the percentage correct and the percentage accuracy of Indonesian speech recognizer. From the experimental results, the higher the number of the Gaussian mixtures, the higher both the accuracy and the percentage correct of the Indonesian speech recognizer. However, the increase of the number of Gaussian mixtures also increased the computational complexity. The Indonesian speech recognizer with 16 Gaussian mixtures achieved the highest percentage accuracy and the highest percentage correct.

From the six combinations of the MFCCs with energy, their first and second order derivatives, the Indonesian acoustic model of the speech recognizer using only MFCCs achieved the lowest both of the percentage correct and the percentage accuracy. However, the Indonesian speech recognizer with only MFCCs had the smallest computational complexity. The addition of the energy to MFCC increased the percentage correct of the Indonesian speech recognizer to be 72.4%, 77.3%, 81.6%, 84.9% and 86.4% for one, two, four, eight and sixteen Gaussian mixtures, respectively. The addition of the first order derivatives of MFCCs to MFCCs increased the percentage correct of the Indonesian speech recognizer higher than the addition of the energy to MFCCs did, i.e. to be 84.8%, 88.3%, 89.60%, 90.1%, and 90.2% for one, two, four, eight and sixteen Gaussian mixtures, respectively. A combination of MFCCs with energy and their first order derivatives increased the percentage correct of the Indonesian speech recognizer higher than the combination of MFCC and their first order derivatives did, i.e. to be 85.0%, 88.4%, 89.7%, 90.4%, and 90.6% for the one, two, four, eight and sixteen Gaussian mixtures, respectively. The combination of MFCCs with energy, their first and second order derivatives increased the percentage correct of the Indonesian speech recognizer higher than the combination of MFCCs with energy and their first order derivatives did for four, eight and sixteen Gaussian mixtures, i.e. 88.8%, 90.6%, and 91.3%, respectively. The combination of MFCCs with their first and second order derivatives made the percentage correct of the Indonesian speech recognizer to be 83.4% and 86.9% for one and two Gaussian mixtures, respectively.

The effect of the combinations of the MFCCs with energy, their first and second order derivatives on the percentage accuracy of the speech recognizer is the same with their effect on the percentage correct. The addition of energy to MFCCs increased the percentage accuracy of the Indonesian speech recognizer to be 63.6%, 70.6%, 76.8%, 81.4%, and 83.8% for one, two, four, eight and sixteen Gaussian mixtures, respectively. The addition of the delta to MFCCs increased the percentage accuracy of the Indonesian speech recognizer higher than the combination of MFCCs with energy did, i.e. to be 80.2%, 84.2%, 86.0%, 87.1%, and 87.6% for one, two, four, eight and sixteen Gaussian mixtures, respectively. The combination of MFCCs with energy and their first order derivatives increased the percentage accuracy of the Indonesian speech recognizer higher than the combination of MFCCs with their first order derivatives did, i.e. to be 81.2%, 85.2%, 86.8%, 87.8 and 88.3% for one, two, four, eight and sixteen Gaussian mixtures, respectively. A combination of MFCCs with their first and second order derivatives increased the percentage accuracy higher than the combination of MFCCs with energy, and their first order derivatives did for the acoustic model with 16 Gaussian mixtures, i.e. to be 88.7%. A combination of MFCCs with energy, their first and second order derivatives increased the percentage accuracy of the Indonesian speech recognizer higher than the combination of MFCCs with energy, and their first order derivatives did for four, eight and sixteen Gaussian mixtures, i.e. to be 87.1%, 89.3%, and 89.7%, respectively. The combination of MFCCs with energy, their first and second order derivatives increased the percentage correct of the Indonesian speech recognizer to be 79.6% and 83.7% for one and two Gaussian mixtures, respectively.

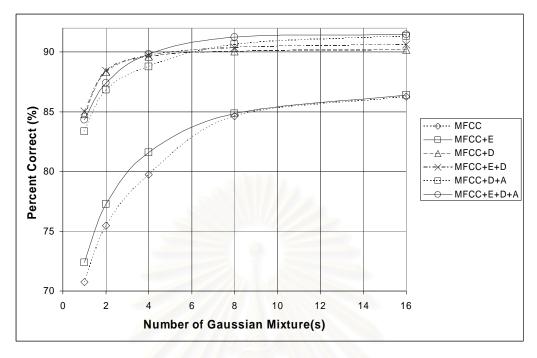


Figure 4.1 Percentage correct of Indonesian speech recognizer.

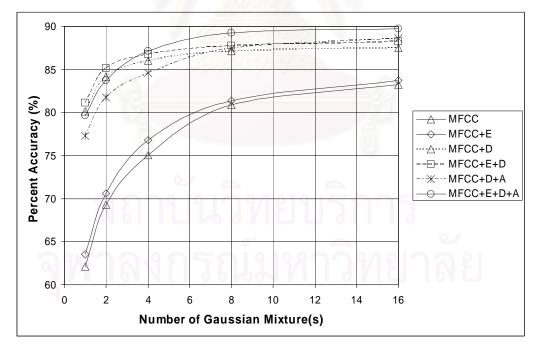


Figure 4.2 Percentage accuracy of Indonesian speech recognizer.

The highest of both the percentage correct and the percentage accuracy of the speech recognizer with either one or two Gaussian mixtures were achieved using the combination of MFCCs with energy, and their first order derivatives. The highest both the percentage correct and the percentage accuracy of the speech recognizer using four, eight or 16 Gaussian mixtures were achieved using the combination of MFCC with energy, their first and second order derivatives. In the research, the highest percentage correct and the highest percentage accuracy of the speech recognizer were achieved using 16 Gaussian mixtures and the combination of MFCCs with energy, their first and second order derivatives.

#### 4.5 Summary

This chapter has discussed the study on the design of an acoustic model of Indonesian speech recognizer. The Indonesian acoustic model yielded from the research will be employed in the design of an automatic utterance-type recognizer to distinguish declarative question and statement in Indonesian speech, which will be further described in Chapter 6.

In this research, the higher the number of Gaussian mixtures used in the design of the Indonesian acoustic model, the higher both the percentage correct and the percentage accuracy of the speech recognizer. The highest percentage correct and the highest percentage accuracy of the speech recognizer using either one or two Gaussian mixtures were achieved using the combination of MFCCs with energy and their first order derivatives. The highest percentage correct and the highest percentage accuracy of the speech recognizer using four, eight or sixteen Gaussian mixtures were achieved using the combination of MFCCs with energy, their first and second order derivatives. In the research, the highest percentage correct and the highest percentage accuracy of the speech recognizer were achieved using 16 Gaussian mixtures and the combination of MFCCs with energy, their first and second order derivatives.

# CHAPTER V FINAL WORD OF DECLARATIVE QUESTION AND STATEMENT IN INDONESIAN SPEECH

The characteristics of the final word of declarative question and statement in Indonesian speech were an open question. Ebing (1997) explained the form and the function of pitch movements in Indonesian (Ebing, 1997) but not including the characteristics of the final word of an utterance types in Indonesian speech. The characteristics of the final word of declarative question and statement in Indonesian speech had not been investigated. I have a hypothesis that the final word of declarative question and statement in Indonesian speech might be used to distinguish the two utterance types. To confirm the hypothesis, the characteristics of the final words of each utterance type will be investigated.

This chapter describes the investigation of the characteristics of the final words of declarative questions and statements in Indonesian speech. The investigation includes the pitch contour, pitch range, maximum pitch and speech rate of the sentence's final word.

#### 5.1 Speech Data

Speech data are recorded from 35 Indonesian native speakers. They consist of 11 female and 24 male speakers with age ranging from 23 to 50. The subjects are asked to utter 29 pairs of sentences as naturally as possible. The pair of sentences consists of statements and their corresponding declarative questions. The sentences are selected from the daily life conversation among Indonesian speakers (Effendy et al., 2004). From the recording, there are 2030 utterances in the speech data. The speech data are recorded in an office environment. The recorded speech data are digitized at 16 kHz sampling rate and 16-bit resolution.

No	Declarative Question	Percentage of occurrence	Statement	Percentage of occurrence
1		5.86		6.21
2		8.62	$\sim$	31.03
3		14.48	$\vee$	1.03
4		8.28		1.38
5		5.52		13.10
6		2.07	M	26.89
7		33.45		5.17
8		5.17		8.62
9	M	3.10	$\bigvee$	6.21
10		13.45		0.34

**Table 5.1** Types of pitch contour of the final word extracted from 290 pairs of declarative questions and statements in Indonesian Speech.

#### 5.2 Pitch of the Final Words

Pitch is the major correlate of intonation (Yan et al., 2003; Watson and Hughes, 2006) and has been used by many researchers in prosody study. In this section, the pitch contour, pitch range and maximum pitch are investigated on their relation with the utterance type of declarative question and statement of Indonesian speech.

#### 5.2.1 Experimental Setup

The pitch contour of the sentences were extracted using PRAAT software (Boersma and Weenink, 2004) to produce the pitch data. The pitch contour of the final words was produced using both the pitch contour extracted using PRAAT software and final word boundary information extracted with the manually segmentation of the utterances. The manual utterance segmentation to determine the final word boundary was performed to avoid the error in the segmentation.

To get the types of the pitch contours of the final words of Indonesian sentences, 290 declarative questions and 290 statements from the speech data were analyzed. Similar forms of the pitch contours were grouped into the same type. The pitch range and maximum pitch of the sentence final words were calculated automatically from the pitch contour.

#### 5.2.2 Experimental Results

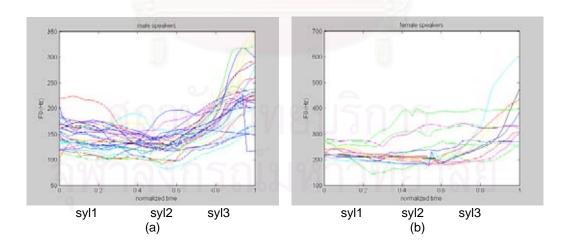
#### 5.2.2.1 Pitch Contour

Table 5.1 shows the types of the pitch contour of the sentence's final word. From the experiments, it was found that there were ten types of the pitch contour of the final word of both declarative questions and statements. 33.45% of the pitch contours of the final word of the declarative question have a 'rising wave' form. 14.48% of the pitch contours have a 'falling-rising' form. 13.45% of the pitch contours have a 'falling-rising' form. 0.13.45% of the pitch contours have a 'falling-rising' (5.86%), 'end rising' (8.62%), 'falling-slightly rising' (2.07%). In contrast with declarative questions, 31.03% of the pitch contours of the final word of the pitch contours of the pitch contours have a

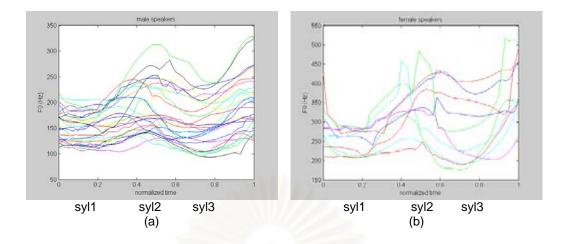
'falling wave' form. In general, 92.4% of the pitch contours of the final word of the statements have a positive general slope, whereas only 61.38% of the declarative questions have a negative general slope.

Figure 5.1 shows the pitch contours of the three-syllable-final-word of Indonesian declarative questions from three sentences each contains either the final words 'pen-ja-hat' ('criminal'), 'sen-di-ri' ('alone'), or 'me-na-ri' ('dance'). Part (a) and (b) of Figure 5.1 display the pitch contours of the final word having stress located at the final syllable ('syl3') uttered by male and female speakers respectively. The positions of syllables 'syl1', 'syl2', and 'syl3' in Figure 5.1 are not accurate for each speaker. They show only the common position, because each speaker can utter the sentence with different speech rate and different duration for each syllable.

Like Figure 5.1, Figure 5.2 also shows the pitch contours of the threesyllable-final-word of Indonesian declarative questions from three sentences each contains either final words 'pen-ja-hat' ('criminal'), 'sen-di-ri' ('alone'), or 'me-nari' ('dance') but the final words have stress located at the second syllable ('syl2') of the words. Figure 5.1 and Figure 5.2 confirm that in Indonesian speech, the final words of the declarative questions do not have only one form of the pitch contour. Different position of the stressed syllable changes the form of the pitch contours.



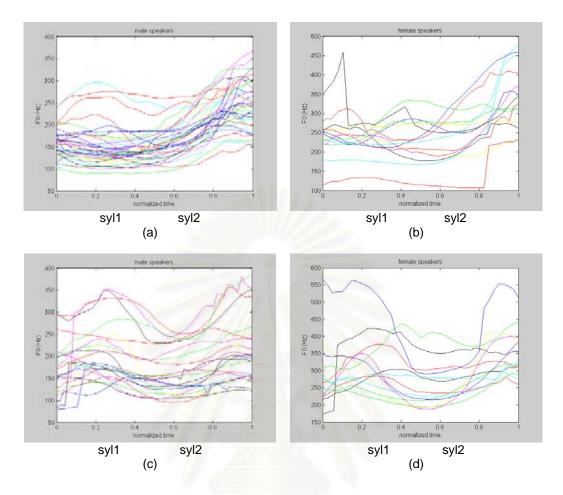
**Figure 5.1** Pitch contours of the three-syllable-final-word of declarative questions uttered by (a) male and (b) female speakers with the stress on the last syllable. Each line represents the pitch contour of each speaker.



**Figure 5.2** Pitch contours of the three-syllable-final-word of declarative questions uttered by (a) male and (b) female speakers with the stress on the second syllable. Each line represents the pitch contour of each speaker.

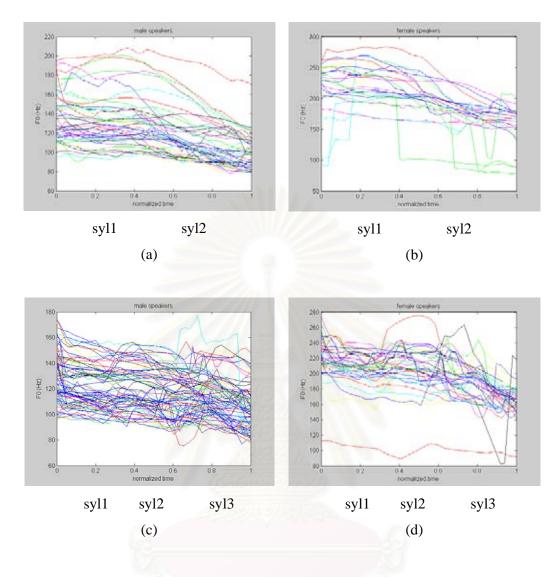
Figure 5.3 depicts the pitch contours of the two-syllable-final-word of declarative questions with different stressed syllables in a normalized time domain. Part (a) and (b) of Figure 5.3 display the pitch contour of the final word 'syl1-syl2' with stress placed on the last-syllable for male and female speakers respectively. Part (c) and (d) of Figure 5.3 show the pitch contour of the final word 'syl1-syl2' having the pitch contour as 'rise-fall-rise'. The label 'syl1' and 'syl2' in the figures are placed in a common location for each speaker, because each speaker uttered the sentence naturally without using the same speech rate for each syllable. The stress on different syllable of the final word produces different contours of the pitch.

The examples of the pitch contours of the two-syllable-final-word and the three-syllable-final-word of statements uttered by male and female speakers are separately displayed in Figure 5.4. Most of the pitch contours have a falling form. The rest have a rising form.



**Figure 5.3** Pitch contours of the two-syllable-final-word of declarative questions. Different lines are used for different speakers.

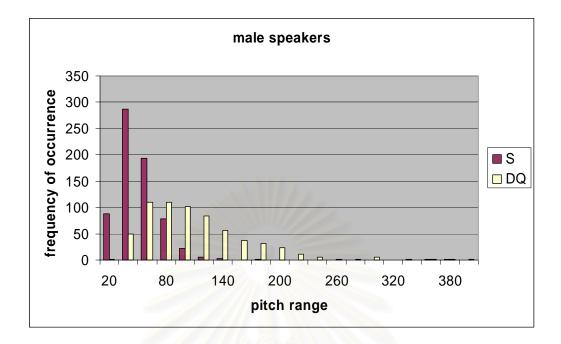
Figure 5.1, Figure 5.2, Figure 5.3, and Figure 5.4 confirm that both the declarative questions and the statements uttered by female speakers have higher average pitch than those uttered by male speakers. The findings are the same with the findings of other researchers for other languages. This characteristic may be universal for many languages. The pitch contours of the final word of each utterance type have various forms. This characteristics increase the difficulty in the utterance type recognition using the pitch contour of sentence's final word.



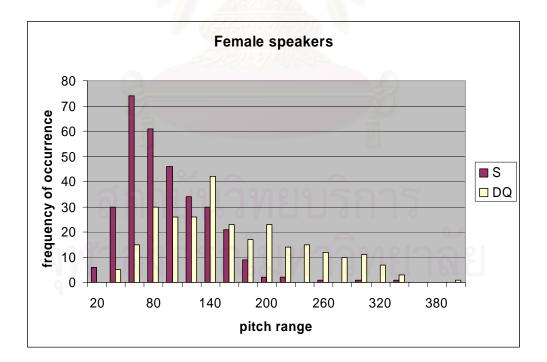
**Figure 5.4** Pitch contours of the two-syllable-final-word (top) and the three-syllable-final-word (below) of statements. Different lines are used for different speakers.

## 5.2.2.2 Pitch Range

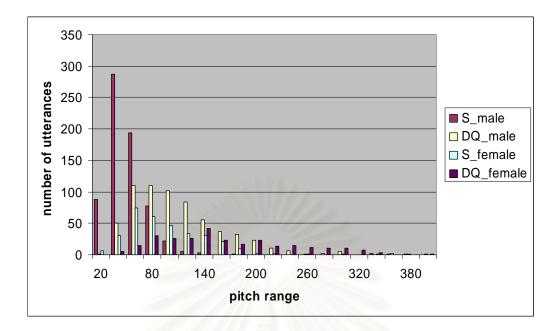
Figure 5.5 and Figure 5.6 show the histogram of the pitch range of the final word of declarative questions and statements uttered by male and female speakers respectively. Although most of the pitch ranges of statements are smaller than those of declarative questions, there is intersection between them that makes error in the recognition of the two utterance types when the utterance-type recognizer uses only the pitch range information to distinguish the two utterance types.



**Figure 5.5** Histogram of the pitch range of the final word of declarative questions and statements uttered by male speakers



**Figure 5.6** Histogram of the pitch range of declarative questions and statements uttered by female speakers



**Figure 5.7** Histogram of the pitch range of declarative questions and statements uttered by male and female speakers

Moreover, there is a large intersection between the pitch range of the final words of the declarative questions of male speaker and the pitch range of the final words of the statements of female speakers as shown in Figure 5.7. Consequently, there will be error in the gender-dependent utterance-type recognition when the recognizer uses only the pitch range information to distinguish the two utterance types.

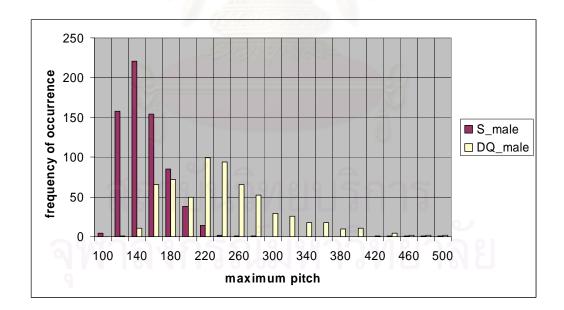
**Table 5.2** The average and standard deviation of the pitch range of the final word of declarative questions and statements uttered by male and female speakers

pitch range	male speaker		female speaker	
		declarative-		declarative-
	statement	question	statement	question
average	42.72	99.59	85.56	154.54
standard				
deviation	31.28	54.57	44.90	74.68

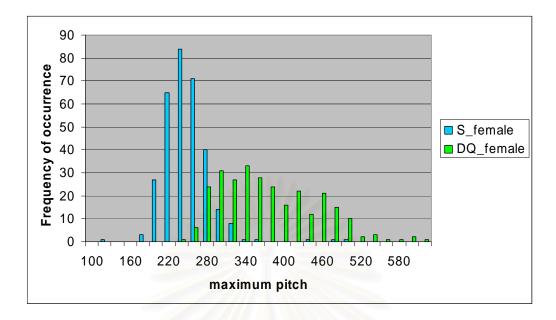
Table 5.2 shows the average and standard deviation of the pitch range of the final word of declarative questions and statements uttered by male and female speakers. Female speakers tend utter declarative questions with a larger average pitch range than male speakers do. Both male and female speakers utter declarative questions with a larger pitch range than statements.

#### 5.2.2.3 Maximum Pitch

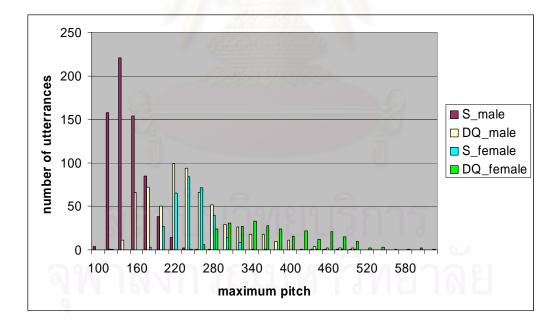
Figure 5.8, Figure 5.9, and Figure 5.10 show the histogram of the maximum pitch of declarative questions and statements uttered by male, female, and both male and female speakers respectively. Female speakers tend to utter both declarative questions and statements with higher maximum pitch than male speakers do. However, the distribution of the maximum pitch of the final words of declarative questions uttered by male speakers and the maximum pitch of the final words of the statements uttered by female speakers has a large intersection.



**Figure 5.8** Histogram of the maximum pitch of declarative questions and statements uttered by male speakers



**Figure 5.9** Histogram of the maximum pitch of declarative questions and statements uttered by female speakers



**Figure 5.10** Histogram of the maximum pitch of declarative questions and statements uttered by male and female speakers

maximum pitch	male	speaker	female speaker			
	statement	declarative-	statement	declarative-		
		question		question		
average	142.43	232.57	238.43	366.61		
standard deviation	35.89	65.59	37.04	74.46		

**Table 5.3** The average and standard deviation of the maximum pitch of the final words of the declarative questions and the statements uttered by male and female speakers

Table 5.3 shows the average and standard deviation of the maximum pitch of the final words of declarative questions and statements uttered by male and female speakers. The averages of the maximum pitch values of male-statements and female-declarative-questions are close, i.e. 232.57 Hz and 238.43 Hz respectively. It makes a gender independent utterance-type recognizer will misrecognizes some of the declarative questions and statements when the recognizer uses only the maximum pitch information to distinguish the two utterance types.

### 5.3 Speech Rate

#### 5.3.1 Experimental Setup

The sentence final words were segmented manually. The speech rate of each final word was measured from its duration and the number of syllables in the final words.

### **5.3.2 Experimental Results**

Figure 5.11 shows the speech rates of the final word of 18 Indonesian declarative questions and those of the statements uttered by four Indonesian female speakers.

The figure suggests that most of the final words of declarative questions in Indonesian speech are spoken at a slower rate their corresponding statements.

Figure 5.12 shows the histogram of the duration per syllable of the final word of Indonesian declarative questions and statements. From the figure, the duration per syllable of the final word of the statements and the declarative questions have a similar distribution. Therefore, the duration per syllable may be a low correlate to distinguish Indonesian statements and declarative questions.

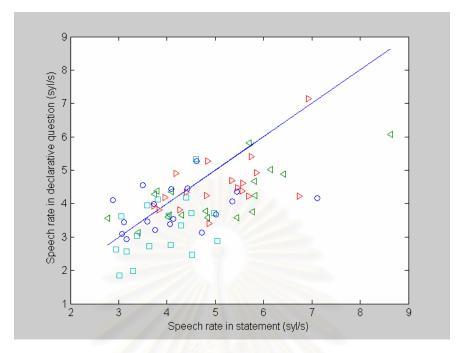
### 5.4 Summary

This chapter has described the investigation of the characteristics of the final word of declarative questions and statements in Indonesian speech. They include the pitch contour, pitch range, maximum pitch and the speech rate of the final words of the declarative questions and statements.

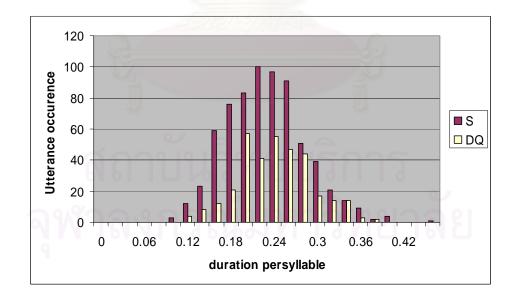
Both the pitch range and maximum pitch of the final words of declarative questions are larger than those of the statements are. However, there is intersection of the distribution of the parameters. Consequently, an utterance-type recognizer will misrecognize some of the declarative questions and the statements when it uses only either the pitch range or the maximum pitch information.

Most of the final words of declarative questions are spoken at a slower rate than the final words of their corresponding statements. The duration per syllable of the final word of the statements and the declarative questions has a similar distribution. Therefore, the duration per syllable may be a low correlate to distinguish Indonesian statements and declarative questions.

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**Figure 5.11** Relation of the speech rates (syl/s) of the final word of 18 pairs of Indonesian statements and their corresponding declarative questions uttered by four female speakers. Each symbol of the points is for each speaker.



**Figure 5.12** Duration per syllable of the final words of Indonesian Statement (S) and Declarative Question (DQ).

61

### **CHAPTER VI**

### AUTOMATIC UTTERANCE-TYPE RECOGNIZER USING THE POLYNOMIAL COEFFICIENTS OF THE PITCH CONTOUR OF SENTENCE'S FINAL WORD

In Chapter 3, the parameters of Fujisaki model are used to represent declarative questions and statements in Indonesian speech (Effendy et al., 2004). The utterance-type recognizer was designed as speaker-dependent system. In this chapter, an automatic utterance-type recognizer, which is a speaker-independent system and covers larger speech data, is proposed. The proposed utterance-type recognizer uses polynomial coefficients to represent the pitch contour of the sentence final words because the algorithm to estimate the parameters of Fujisaki model used in Chapter 3 in representing the pitch contour is too complicated to be implemented in an automatic utterance-type recognizer.

Prior to modeling a given F0 contour using Fujisaki model, two tasks are performed: (1) Intermediate F0 values for unvoiced speech segments and short pauses are interpolated from the extracted F0 contour, (2) Microprosodic variations caused by the influence of individual speech sounds are smoothed out, as the Fujisaki model explicitly deals with macroprosody only (Mixdorff et al., 2003). The Fujisaki model produces a particular F0 contour in the log F0 domain by superimposing three components: the phrase component which corresponds to the phrase-wise slow overall declination line, the accent component made up by the faster movements in the F0 contour connected with syllabic accents, and Fb, a speaker-individual constant. In order to separate the accent component from the phrase component and Fb, the smooth contour is passed through a high pass filter with a stop frequency at 0.5 Hz. The output of the high-pass (henceforth called 'high frequency contour' or HFC) is subtracted from the smooth contour yielding a 'low frequency contour' (LFC), containing the sum of phrase component and Fb.

In a sequence of phrase commands, the onset of a new command is characterized by a local minimum in the phrase component. Consequently, the LFC is searched for local minima, applying a minimum distance threshold of 1 sec between consecutive phrase commands. For initializing, the magnitude value Ap assigned to each phrase command part of the LFC after the potential onset time T0 of a phrase command is searched for the next local maximum. Ap is then calculated in proportion to the frequency value found at this point. The time constant  $\alpha$  is set to 2.0/sec, a value found appropriate after a series of preliminary trials. In order to yield an optimal initialization of phrase commands, the F0 contour is examined for pauses longer than 400 msec. Since the minima of the LFC do not provide the exact locations of upcoming phrase commands, phrase commands found in the vicinity of a pause are readjusted and aligned with the pause. For initializing the appropriate number, polarity and onset times T1 and offset times T2 of accent commands, the cubically smoothed contour pertaining to the part of the wave file between first and last voiced frame, is subdivided into segments with positive or negative gradient, respectively. These contour segments are searched for points where the derivative exhibits a maximum, that is, the inflection points of the cubically smoothed curve. Inflection points on contour segments with rising slope are associated with the offset of a negative accent command and the subsequent onset of a positive accent command, and inflection points on contour segments with falling slope are associated with the offset of a positive accent command and the subsequent onset of a negative accent command. Hence, an alternating sequence of positive and negative commands is yielded initially. The HFC is basically DC-free and therefore oscillates around 0. For initializing the accent command amplitude Aa, the positive or negative maximum in the HFC between the initial settings of T1 and T2 is determined and Aa set in proportion to the frequency value found at this point. Accent commands are not continued across major pauses in the speech signal. The accent command time constant  $\beta$  is set to a value of 20/sec.

The automatic utterance-type recognizer proposed in this chapter uses the polynomial expansion to extract the polynomial coefficients from the pitch contour of the sentence final words. The algorithm to find the polynomial coefficients has a smaller computational complexity than the algorithm to find the parameters of Fujisaki model as will be explained in section 6.2.4. The polynomial coefficients are used as the input of a classifier to distinguish the declarative questions and the statements. The automatic utterance-type recognizer is optimized using various

numbers of hidden nodes in the neural networks and various orders of polynomial expansion.

### 6.1 Speech Data

The speech data were 29 sentence pairs, each comprising a statement and its corresponding declarative question. The two sentences in each pair have the same words in the same order; they differ only in intonation. This is different from the study of Yuan et al. (Yuan et al., 2002), where the number of words in each sentence differed from each other. The sentences are selected from the daily-life conversations among Indonesian speakers (Effendy et al., 2004) as listed in Appendix A. Speech data of 35 Indonesian native speakers are recorded. They consist of 11 female and 24 male speakers with ages ranging from 23 to 50. The speech data are recorded in an office environment. In the recording, each subject was asked to utter the 29 pairs of sentences as naturally as possible. After the recording session, the speech data are verified perceptively three times. Utterances with wrong intonation are removed.

Then, the speech data were divided into four sets with the balance in gender and data amount maintained as illustrated in Table 6.1. Sets I and IV contain speech data from 12 male speakers and 5 female speakers. Sets II and III contain speech data from another group of speakers; 12 male speakers and 6 female speakers. Sets I and II contain speech data consisting of 14 pairs of sentences, while sets III and IV contain speech data consisting of 15 pairs of sentences from another group. Consequently, there are 1866 utterances consisting of 221 statements and 221 declarative questions in set I, 234 statements and 234 declarative questions in set II, 241 statements and 241 declarative questions in set III, and 237 statements and 237 declarative questions in set IV.

		Spe	akers			
		First group	Second group			
		- 12 males	- 12 males			
		- 5 females	- 6 females			
Sentences	14 pairs of sentences in the first group	Set 1 statements: 216 declarative questions: 216	Set 2 statements: 230 declarative questions: 230			
Sent	15 pairs of sentences in the second group	Set 4 statements: 228 declarative questions: 228	Set 3 statements: 240 declarative questions: 240			

Table 6.1 The Indonesian speech database of statements and declarative questions

### 6.2 Automatic Utterance-type recognizer

An automatic utterance-type recognizer is proposed to distinguish statements and declarative questions in Indonesian speech. This recognizer is speaker- and gender-independent, and consists of an automatic utterance segmentation module, an FO extractor, a normalizer, a feature extractor, and a classifier as illustrated in Figure 6.1. In this study, it is assumed that the correct transcription is given, since there are no large databases available to train accurate acoustic models in the Indonesian language at present. Each of the subsystem of the recognizer will be described further in the next subsection.

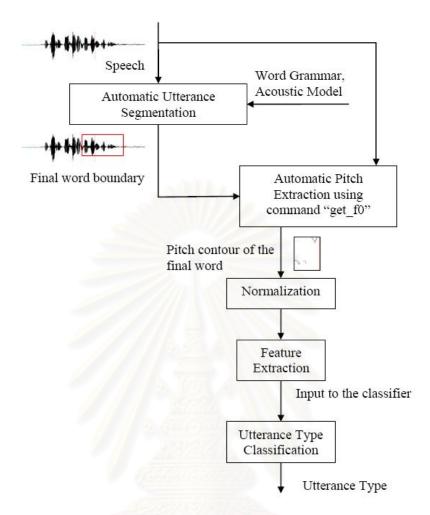


Figure 6.1 Block diagram of the automatic utterance-type recognizer

### 6.2.1 Automatic Utterance Segmentation

From several pairs of the utterances, it is found that the statements and declarative questions could be distinguished by listening only to their final words. On the basis of this finding, I decided to use the final word of each utterance as the data for utterance-type recognition.

To determine the final word boundary, an automatic utterance segmentation module is designed using the hidden Markov model toolkit (Young et al., 2002). I assumed that the transcription of each utterance is known, and performed alignment between each utterance and its transcription by using the Viterbi algorithm. For this procedure, I used a standard feature set in speech recognition and made an acoustic model using a relatively small amount of training data, which is different from the database described in the previous section. The detailed conditions will be explained in section 6.3.

### 6.2.2 F0 Extractor

The 'get\_f0' program from the Entropic Waves software package (Entropic, 1993; Entropic, 1998) is used to extract F0 data from the final word in each utterance. The 'get\_f0' implements a fundamental frequency estimation algorithm using a normalized cross correlation function and a dynamic programming function. In the experiments described in the next section, the default values of the parameters of the 'get\_f0', i.e., Gaussian window with the length of 0.04 sec, and the shift time of 0.01 sec are used. The F0 data are converted into logarithmic scale and passed through a normalizer.

#### 6.2.3 Normalizer

Even for the utterances of the same sentence with the same utterance type, the pitch contour of their final word may be different from speaker to speaker. This difference increases the variation in the pitch contour. To achieve robustness against this variation among speakers, the  $\log F0$  values are normalized both in the frequency domain and in the time domain.

Let  $p_i$  (i = 1,2,...,L) and  $t_i$  (i = 1,2,...,L) be the sequences of the *logF0* values and the time of the final word with length *L*. The normalized vector of  $p_i$ ,  $\tilde{p}_i$  (i = 1,2,...,L) is calculated as

$$\widetilde{p}_i = \frac{p_i - p_{\min}}{p_{\max} - p_{\min}},\tag{6.1}$$

and the normalized vector of  $t_i$ ,  $\tilde{t}_i$  (i = 1,2,...,L) is calculated as

$$\tilde{t}_{i} = \frac{t_{i} - t_{1}}{t_{L} - t_{1}} .$$
(6.2)

The normalized *logF0* values are input of the feature extractor.

#### **6.2.4 Feature Extractor**

Features of the F0 contour are extracted using the polynomial expansion method (Levitt and Rabiner, 1971; Hwang and Chen, 1994), where the pitch contour is approximated as a polynomial line in two-dimensional plane of the normalized logF0 and time. The coefficients  $c_i$  of the polynomial expansion are extracted using the Least Mean Square (LMS) algorithm.

Let N be the order of the polynomial expansion. Then the approximated contour for the normalized logF0,  $\hat{p}$  can be expressed as

$$\hat{\tilde{p}} = \sum_{i=0}^{N} c_i \tilde{t}^i .$$
(6.3)

The coefficient for i = 0 is removed to achieve robustness against the difference in the pitch level between male and female speakers.

Figure 6.2 shows the representation of typical F0 data using various order of polynomial expansion. The higher the order of the polynomial expansion was, the smaller the error between the estimated points with the original F0 data became. However, as will be explained in the next section, the best performance of the automatic utterance-type recognizer may not be achieved with the highest order of polynomial expansion because of the over-training problem.

The representation of the pitch contour of the final words in the proposed utterance-type recognizer will be compared with the representation of the pitch contour of the final words proposed by Ishi (2005) (Ishi, 2005).

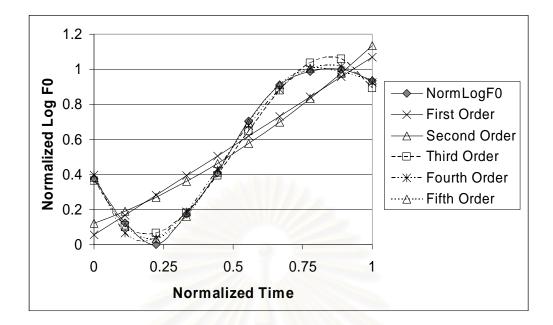


Figure 6.2 Representation of typical F0 data using various order of polynomial expansion

### 6.2.5 Classifier

A neural network (Katagiri, 2000) is used as a classifier in the automatic utterancetype recognizer. The neural network has three full-connected layers: the input, hidden, and output layers, as illustrated in Figure 6.3. The number of nodes in the input layer is equal to the number of features extracted from the F0 contour. The number of nodes in the hidden layer is optimized through experiments. One node in the output layer, which is trained to output zero for the statement and one for the declarative question is used.

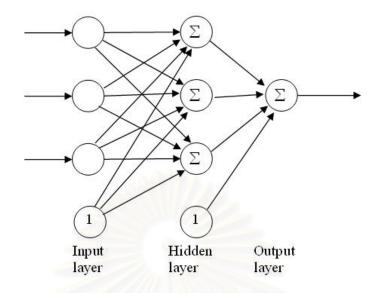


Figure 6.3 A three layer full-connected neural network

A statement-declarative question threshold is utilized at the end of the output node to classify the utterance type. The threshold is controlled in each experiment such that the error rates for both classes are equal.

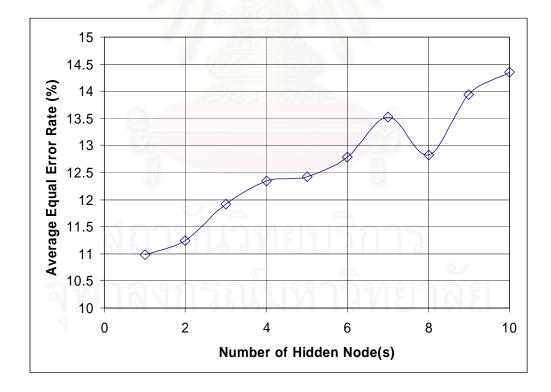
 Table 6.2 The four combinations of the training and the testing sets for the evaluation

Combination	Training Set	Testing Set		
	Set I	Set III		
2	Set II	Set IV		
3	Set III	Set I		
4	Set IV	Set II		

### 6.3 Experiments

#### 6.3.1 Experimental conditions

For the automatic utterance segmentation described in subsection 6.2.1, an acoustic model is constructed in the following procedure. First, 3,840 utterances were recorded from 11 male and 9 female speakers, in which each speaker read texts from Indonesian newspapers and Indonesian linguistics books. The texts were chosen in order to contain all phonemes that appear in Indonesian speech. Then, for each 10 msec frame, features of the power and 12 mel-frequency cepstral coefficients (MFCC), and their first and second order derivatives were extracted. The total dimension of the feature vector was 39. Finally, using the training data, the monophone hidden Markov models (HMMs) with five states for each phone and 16 Gaussian mixtures for each state were trained.



**Figure 6.4** Equal Error Rate of the open test of the utterance-type recognizer using the third order of the polynomial expansion and various numbers of hidden nodes

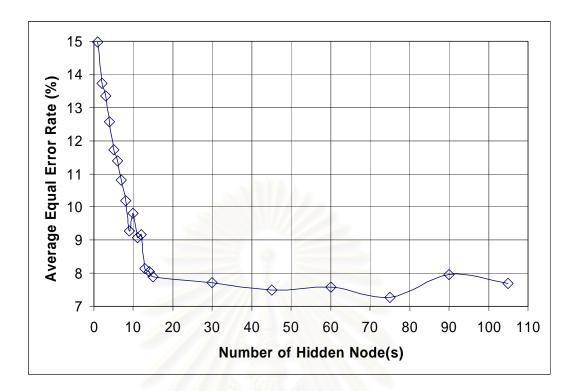
Using the data sets of speech data as listed in Table 6.1, four combinations of training and testing sets were designed. The combinations are illustrated in Table 6.2. The classifier was trained for 100,000 epochs using a back-propagation algorithm.

Performance of the automatic utterance-type recognizer was evaluated by the averaged Equal Error Rate (EER), which is the average of the Equal Error Rates of the four combinations in Table 6.2.

### 6.3.2 Results

First, the number of hidden nodes in the neural network classifier was investigated. Figure 6.4 shows the EER of the utterance-type recognizer with various numbers of hidden nodes when the order of the polynomial expansion is three. The recognizer using one hidden node achieved the lowest EER. In this open test, the larger the number of hidden nodes, the larger the EER of the recognizer. The larger number of hidden nodes used in the neural networks means that the neural network will be more specific in learning the training set. Since the training set does not cover all variation of the pitch contour of the final word of speech data in the testing set, the more specific the neural network learn the training set, the larger error of the neural network in the recognition of the utterance type of the testing set. However, in the close test of the utterance-type recognizer, the higher the number of the hidden nodes, the smaller the EERs of the recognizer (see Figure 6.5).

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**Figure 6.5** Equal Error Rate of the close test of the utterance-type recognizer using the third order polynomial expansion and various numbers of hidden nodes

**Table 6.3** Equal Error Rate of the utterance-type recognizer using various order of the polynomial expansion and one hidden node

Order of the Polynomial	Equal Error Rate (%)					
Expansion	จงขอเริการ					
	15.8					
3	11.0					
4	11.1					
5	38.3					

The utterance-type recognizer is assumed being used to recognize the utterance type of the testing set, which differs from the training set in both the sentence and the speaker. Therefore, based on the findings of the open test with the third order polynomial expansion, the performance of the utterance-type recognizers using the other orders of the polynomial expansion was investigated using one hidden node.

Table 6.3 shows the EERs of the utterance-type recognizer when the order of polynomial expansion is varied. The lowest EER was achieved when the third order polynomial expansion was used. The further increase of the order of the polynomial expansion increased the EER.

Next, the EERs of the proposed automatic utterance-type recognizer were compared with the EERs when the segmentation of the final words was carried out manually. The third order polynomial expansions were used and both recognizers used one hidden node. The recognizer with the manual segmentation achieved 88.1% accuracy, which is 0.9 point worse than the automatic recognizer did. When either the second or the fifth order polynomial expansion was used, the recognizer with the manual segmentation achieved accuracy of 86.3% and 67.1%, respectively, which are 2.1 and 5.4 point higher than the automatic recognizer did. When the fourth order polynomial expansion was used, the recognizer did. When the fourth order polynomial expansion was used, the recognizer did. When the fourth order polynomial expansion was used, the recognizer did. The average errors in the estimation of the beginning time and the duration of the final word were 43.5 msec and 75.4 msec, respectively. This small difference made the recognizion rates of both the utterance-type recognizers comparable.

Next, the performance of the proposed automatic utterance-type recognizer was compared with the performance of the utterance-type recognizer using the features to represent the pitch contour of the final word proposed by Ishi (2005) (Ishi, 2005). EER of the utterance-type recognizer using F0Move1 = F0tgt2b - F0avg2a to represent the pitch contour of the final word is 13.3%. EER of the utterance-type recognizer using F0Move4 = F0tgt2b - F0tgt2a to represent the pitch contour of the final word is 14.5%. EER of the utterance-type recognizer using F0Move2 = F0avg2b - F0avg2a to represent the pitch contour of the final word is 40.5%. EER of the utterance-type recognizer using F0Move3 = F0avg2b - F0tgt2a to represent the pitch contour of the final word is 37.9%. The utterance-type recognizer using a

combination of F0Move1 and F0Move4 with the neural networks consisting of two input nodes, six hidden nodes, and one output node achieved EER of 13.1%. Those experiments showed that the proposed utterance-type recognizer with the representation of pitch contour using the third order polynomial expansion is superior the utterance-type recognizer using the features proposed by Ishi (2005) to represent the pitch contour of the final word.

The average error rate of the proposed utterance-type recognizer is higher than that of the utterance-type recognizer based on Fujisaki model (Effendy et al., 2004). The recognizer in (Effendy et al., 2004) is speaker-dependent and evaluated using the testing set that covers the training set, and, therefore, difficult to be implemented as an automatic recognizer. On the other hand, the proposed recognizer is speaker-independent and evaluated using the testing set that differs from the training set in both the sentence and the speaker. Therefore, it is expected to be more robust in real application.

### 6.4 Summary

This chapter reports my study on an automatic utterance-type recognizer using the polynomial coefficients of the pitch contours of the sentence final words to identify declarative questions and statements in Indonesian speech. The automatic utterance-type recognizer consists of a pitch extractor, normalizer, feature extractor, classifier and an utterance segmentation module.

The findings of the study confirmed that the use of the final word of the utterance and the pitch contour information was effective in identifying the Indonesian declarative questions and statements. The highest recognition rate was achieved using the third order polynomial expansion as the feature extractor in the automatic utterance-type recognizer.

The proposed recognizer is speaker-independent and evaluated using the testing set that differs from the training set in both the sentence and the speaker. Consequently, it is expected to be more robust in real application.

### CHAPTER VII CONCLUSIONS

### 71 Conclusions of the Dissertation

This dissertation reports my study on the automatic utterance-type recognizer to identify the declarative questions and the statements in Indonesian speech.

At first, the utterance-type recognizer based on Fujisaki model has been designed to recognize the declarative questions and the statements in Indonesian speech. The utterance-type recognizer consists of a pitch extractor, a Fujisaki model, a Fujisaki-model parameter extractor, a Fujisaki-model parameter selector, and a classifier.

Three Fujisaki-model parameters are used in the dissertation: the amplitude of last accent command  $(A_{aJ})$ , (2) the magnitude of last phrase command  $(A_{pI})$ , and (3) the baseline value of the fundamental frequency  $(F_b)$ . Four different combinations of Fujisaki parameters were used as the input of the neural networks in the utterance-type recognizer:  $A_{aJ}$ ;  $A_{aJ}$  and  $A_{pI}$ ;  $F_b$ ,  $A_{aJ}$  and  $A_{pI}$ ; a fraction of  $F_b$ :  $F_b/100$ ,  $A_{aJ}$  and  $A_{pI}$ . The highest recognition rate of the utterance-type recognizer was achieved using a fraction value of  $F_b$ :  $F_b/100$ , the amplitude of last accent command, and the magnitude of last phrase command as the input of the neural networks in the utterance-type recognizer.

The study on the design of an Indonesian speech recognizer has been conducted to support the design of an automatic utterance-type recognizer. In the research, the higher the number of the Gaussian mixtures is used in the design of the Indonesian acoustic model, the higher both the percentage correct and the percentage accuracy of the speech recognizer are. The highest percentage correct and the highest percentage accuracy of the speech recognizer using one or two Gaussian mixtures were achieved using the combination of MFCCs with energy, and their first order derivatives. The highest percentage correct and the highest percentage accuracy of the speech recognizer using four, eight or 16 Gaussian mixtures were achieved using the combination of MFCCs with energy, their first and second order derivatives. In the research, the highest percentage correct and the highest percentage accuracy of the speech recognizer were achieved using the acoustic model created with 16 Gaussian mixtures and the combination of MFCCs with energy, their first and second order derivatives. This last combination was used to create the automatic utterance segmentation module of the automatic utterance-type recognizer.

The investigation of the characteristics of the final word of declarative questions and statements in Indonesian speech has been conducted. They include the pitch contour, pitch range, maximum pitch and the speech rate of the final words of the declarative questions and statements.

The average pitch range and the average maximum pitch of the final words of the declarative questions are larger than the average pitch range and the average maximum pitch of those of the statements. However, there is intersection of the distribution of the parameters. Consequently, an utterance-type recognizer may misrecognize the utterance type of some of the declarative questions and the statements when it uses only either the pitch range or the maximum pitch information.

Most of the final words of declarative questions were spoken at a slower rate than that of their corresponding statements. The duration per syllable of the final word of the statements and that of the declarative questions had a similar distribution. Therefore, the duration per syllable may be a low correlate to distinguish Indonesian statements and declarative questions.

The algorithm to extract the parameters of Fujisaki model is too complicated to be implemented in an automatic recognition system. Therefore, the utterance-type recognizer needs to be developed. an automatic utterance-type recognizer using the polynomial coefficients of the pitch contour of the sentence final words was proposed. The investigation in this dissertation confirmed that the use of the final word of the utterance and the pitch contour information were effective in the identification of the Indonesian declarative questions and statements. The highest recognition rate was achieved using the third order polynomial expansion as the feature extractor and a neural network with one hidden node as a classifier in the automatic utterance-type recognizer.

### 7.2 Contributions of the Dissertation

This section summarizes the contributions made during doing the research in this dissertation. The works begin with the design of the utterance-type recognizer for Indonesian speech based on Fujisaki model. I have been collected Indonesian speech data during the research. An Indonesian acoustic model has been design. Its performance as the part of an Indonesian speech recognizer and an Indonesian-utterance segmentation module of the automatic utterance-type recognizer has been investigated. The characteristics of the final words of Indonesian declarative questions and statements have been analyzed. They consist of the pitch contour, pitch range, maximum pitch and the speech rate of the sentence final words. The utterance-type recognizer has been developed to be an automatic system using the polynomial coefficients of the pitch contour of the sentence's final word. The details of the contributions are described as follows:

#### 7.2.1 Indonesian speech data

Indonesian speech data are collected and recorded from 35 Indonesian native speakers. They consist of 11 female and 24 male speakers with age ranging from 23 to 50. The speech data are recorded in an office environment. The recorded speech data are digitized at 16 kHz sampling rate and 16-bit resolution.

The speech data are grouped into two domains: speech data A and speech data B. Speech data A are used to investigate the performance of the automatic utterance-type recognizer of Indonesian speech. Speech data B are used to create the Indonesian acoustic model used in the automatic utterance segmentation module of the automatic utterance-type recognizer.

To collect speech data A, the subjects are asked to utter 29 pairs of sentences as naturally as possible. The pair of the sentences consists of statements and their corresponding declarative questions. The sentences in the speech data A are with a different number of words. The sentences are selected from daily-life conversations among Indonesian speakers as listed in appendix A. From the recording, there are 2030 utterances in speech data A.

Speech data B are collected by recording the speakers reading out the Indonesian newspapers and Indonesian linguistics books. The texts are chosen in order to contain all phonemes that are possible in Indonesian speech.

Speech data A and speech data B are provided with their transcriptions. The transcriptions are used to label the speech data and to investigate the performance of the acoustic model used to create a speech recognizer or to create the utterance segmentation module of an automatic utterance-type recognizer.

## 7.2.2 Utterance-type recognizer for Indonesian speech based on Fujisaki model

This dissertation proposed an utterance-type recognizer for Indonesian speech based on Fujisaki model. The best recognition rate was achieved using a combination of a fraction of the baseline value of the fundamental frequency ( $F_b$ ):  $F_b/100$ , the amplitude of last accent command, and the magnitude of last phrase command as the input of the neural networks in the utterance-type recognizer. To author's knowledge, the utterance-type recognizer is the first one for Indonesian speech.

## 7.2.3 Characteristics of the final words of Indonesian declarative questions and statements

This dissertation revealed the characteristics of the final words of Indonesian declarative questions and statements. The characteristics comprise the pitch contour, pitch range, maximum pitch and the speech rate of the sentence's final words. The characteristics may be utilized as the information to further develop the utterance-type recognizer.

#### 7.2.4 Indonesian acoustic model

This dissertation provides an Indonesian acoustic model, which can be used to create an Indonesian speech recognizer or an Indonesian-utterance segmentation module of the automatic utterance-type recognizer. The highest percentage correct and the highest percentage accuracy of the speech recognizer were achieved using the acoustic model created with 16 Gaussian mixtures and the combination of MFCC, energy, their first and second order derivatives.

## 7.2.5 Automatic utterance-type recognizer using the polynomial coefficients of the pitch contour of the sentence's final word

This dissertation proposed an automatic utterance-type recognizer to distinguish the declarative questions and the statements of Indonesian speech using the polynomial coefficients of the pitch contour of the sentence final word. The automatic utterance-type recognizer may be combined with other spoken system to create a larger spoken system such as a spoken dialogue system or a spoken understanding system. The evaluation in this research confirmed that the use of the final word of the utterance and the pitch contour information was effective in the identification of the Indonesian declarative questions and statements.

### 7.2.6 Program Scripts Development

In this dissertation, some program scripts were created for the automatic pitch extractor, neural networks, Hidden Markov Model, and recognition rate calculation. The scripts can be used in the future researches of the study on the utterance-type recognition.

### 7.3 Future Research on the Utterance-type recognizer of Indonesian Speech

In the dissertation, the utterance-type recognizer was used to identify two utterance types: declarative questions and statements in Indonesian speech. In the evaluation of the automatic utterance-type recognizer, the training and the testing were set differently in terms of both the speakers and sentences. Patterns tested using the testing set may not be in the patterns of the training set. It made the recognizer misrecognized some patterns in the testing set. In the future, new larger speech data especially from female, which cover larger variation of the pitch contour of the

utterances needs to be collected. Moreover, the automatic utterance-type recognizer will be developed to cover all types of Indonesian utterances including question, command, exclamation, and statement. To support the investigation, new speech data that cover all utterance types need to be collected. The automatic utterance-type recognizer may be able to be combined with other automatic system to create a larger spoken system such as a spoken dialogue system or a spoken understanding system to improve the performance of the spoken system.

When the Indonesian large vocabulary speech recognizer has been developed until it achieves its suitable performance, the automatic utterance segmentation module of the automatic utterance-type recognizer might be capable of being further developed. Therefore, the automatic segmentation module can segment more Indonesian sentences properly. Consequently, the automatic utterance-type recognizer will be capable of recognizing the utterance type of larger variation of the sentences. The automatic segmentation module may also be further developed by optimizing the parameters in HMM such as optimizing the number of states in HMM.

The methods used in this dissertation are expected to be also useful in the recognition of the utterance types of other similar languages such as Malay spoken in southern Thailand, Malaysia, and Brunei and Tagalog spoken in the Philippines.



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### APPENDICES

สถาบันวิทยบริการ จุฬาลงกรณ์มหาวิทยาลัย

### Appendix A

### Indonesian Sentences Used to Create Pairs of Declarative Questions and Statements

Table A.1 Indonesian sentences used to create the pairs of declarative question and statement

(1)	Tikar itu baru saja dicuci
	[ tikar itu baru saja dicuci]
	plaited mat that just washed
	That plaited mat was just washed
(2)	Tembok itu dikotori oleh Iwan (person's name)
	[tembo' itu dikotori oleh Iwan]
	wall that durtied by Iwan
	That wall is durtied by Iwan
(3)	Jagoan itu menendang tiga orang penjahat
	[Jagowan itu menendang tiga orang penjahat]
	Hero that kick three (numeral classifier for humans) criminals
	That hero kicks three criminals
(4)	Polisi menangkap penjahat pagi tadi
	[Polisi menangkap penjahat pagi tadi]
	Policeman catch criminal morning this (just past)
	Policeman catched a criminal this morning
(5)	Sepeda iwan masih di bengkel
	[Sepeda iwan masih di bengkel]
	Bicycle Iwan still in garage
	Iwan's bicycle is still in a garage
(6)	Dia sudah pergi tadi pagi
(0)	Dia sudah pergi tadi pagi
	He already went this morning
	He already went this morning
	The uncudy wont this morning
(7)	Lisa (person's name) sedang menyanyi dan menari
	[Lisa sedang menyanyi dan menari]
	Lisa is singing and dancing
	Lisa is singing and dancing
(8)	Dia sedang makan
(0)	[Dia sedang makan]
	He is eating
	He is eating
	The is eating

**Table A.1** Indonesian sentences used to create the pairs of declarative question and statement (cont.)

(9)	Albert (person's name) lupa pada dirinya sendiri [Albert lupa pada dirinya sendiri] Albert forget about him self Albert forget about himself
(10)	kunci pintu itu dibobol maling [ kunci pintu itu dibobol maling] key door that thief That door is broken by a thief
(11)	kucing telah menangkap seekor tikus [ kucing telah menangkap seekor tikus] cat already catched a mouse A Cat already catched a mouse
(12)	Ibu sedang belanja ke pasar [Ibu sedang belanja ke pasar] mother is shopping in market Mother is shopping in a market
(13)	Jendela itu tidak bisa dibuka [Jendela itu tidak bisa dibuka] window that not can be opened That window cannot be opened
(14)	Headphone ini sangat bagus [Headphone ini sangat bagus] Headphone this very good This headphone is very good
(15)	Dokter sedang memeriksa pasien [Dokter sedang memeriksa pasien] Physician is checking patien Physician is checking a patient
(16)	Kursi ini baru dicat [Kursi ini baru dicat] chair this just painted This chair is just painted

**Table A.1** Indonesian sentences used to create the pairs of declarative question and statement (cont.)

- (17) Pensil ini sudah tidak runcing [pensil ini sudah tidak runcing] pencil this already not sharp This pencil is not sharp
- (18) Gelas ini mudah pecah
   [ Gelas ini mudah pecah]
   Glass this easily broken
   This glass is easily broken
- (19) Dia suka menolong orang lain
   ]Dia suka menolong orang lain]
   He/she like help peope other
   He/she likes to help other people
- (20) Komputer itu terjangkit virus [Komputer itu terjangkit virus] Computer that infected virus That computer is infected by virus
- (21) Atap rumahnya sudah bocor
   [ Atap rumahnya sudah bocor]
   Roof his house already
   His house roof is already
- (22) Lantai itu sudah kamu bersihkan [ Lantai itu sudah kamu bersihkan] Floor that already you clean The floor has been cleaned by you
- (23) Adik sedang bermain [Adik sedang bermain] younger sister/brother is playing The younger sister/brother is playing
- (24) Andi berlari ke arah mobil[ Andi berlari ke arah mobil]Andi run to carAndi run to a car

**Table A.1** Indonesian sentences used to create the pairs of declarative question and statement (cont.)

(25)	Kucing dan anjing sedang berkelahi										
	[Kucing dan anjing sedang berkelahi]										
	cat and dog are fighting										
	A cat and a dog are fighting										
(26)	Televisimu telah dibeli oleh Umar										
(20)	[ Televisi mu telah dibeli oleh Umar]										
	television your has been bought by Umar (people's name)										
	Your television has been bought by Umar										
	Tour television has been bought by Onlar										
(27)	Samsul belum selesai membaca buku itu										
(27)	[ Samsul belum selesai membaca buku itu]										
	Samsul (person's name) not yet finish read book that										
	Samsul has not read the book yet										
(28)	Bapak sedang mengajar matematika										
(==)	[Bapak sedang mengajar matematika]										
	Father is teaching mathematics										
	Father is teaching mathematics										
(29)	Air di tangki sudah penuh										
	[Air di tangki sudah penuh]										
	water in tank already full										
	The water in the tank is already full										



### Appendix B

### Group of Speech Data for the Testing and the Training of Utterance-type recognizer using the Polynomial Coefficients of the Pitch Contours of Sentence Final Words

**Table A.2** The set of the speech data for the investigation of the performance of the automatic utterance-type recognizer

		Speakers											
		F1, M1,M7,M15 F4,F6,F8,F10,M4,M6, M10,M12,M14,M18,M20,M22,M24						<b>F2,M2, M8,M16</b> F3,F5,F7,F9,F11,M3,M5, M9,M11,M13,M17,M19,M21, M23					
		staten		fall Rise declarative declarative question question			quest			Rise rative declarative ion question		on	
	[	M	F	М	F	M	F	М	F	М	F	М	F
		Set 1 Set 2								et 2	1		
	1. air	12	5		1	12	4	12	6	1		11	6
	2. baca	12	5		1	12	4	12	6	1		11	6
	3. beli	10	5	2	1	10	4	12	6	4	1	12	5
	4. berkelahi	11	5		1	12	4	12	6	1		11	6
	5. berlari	12	5	1	4.0	11	5	12	6	2		10	6
	6. bermain	12	5	1		11	5	12	6	1		11	6
	7. bersih	11	5	0	1	11	4	12	6	1		11	6
	8. bocor 9. cat	12 12	5 5	2	1	10 10	4	12 12	6 6	1	4	11 11	6 5
	9. cat 10. dokter	12	5 5	2	1	10	4	12	6	1	1	11	5 4
	11. headphone	12	5	-	1	12	4	11	6	1	2	10	5
									-			-	
	12. jendela	12	5		1	12	4	12	6	1		10	6
	13. kepasar	12	5	1		11	5	12	6	1		11	6
(0	14. komputer	11	5		1	12	4	12	6	1		10	6
šë													
en													
Sentences	subtotal	163	70	10	11	157	59	167	84	14	4	151	79
s													
				Se	t 4			Set 3					
	1. kucing	12	5	3	1	9	4	11	6	2		9	5
	2. kunci	10	5		1	12	4	12	6	1		11	6
	3. lupa	12	5	0		12	4	12	6	1		11	6
	4. makan 5. matematika	12 12	5 5	2	1	10 12	4	12 12	6 6	1	1	10 11	5 6
4		12		1	1	12	4	12	6	1		11	6
	6. menari	_	5	d'b		12		12		_			
	7. menolong 8. pecah	11 11	5 5		1	12 12	3	12 12	6 6	1		10 11	6 6
									-				
	9. pensil	12	5	2	1	10	4	12	6	1	1	11	5
	10. pergi	12	5		1	12	4	12	6	1	2	11	4
	11. sepeda 12. tangkap	12 11	5 5	3	1	8 10	4	12 12	6 6	2	2	11 11	4 5
	13. tembok	12	5		1	10	4 5	12	6	1		11	6
	14. tendang	11	5			11	4	12	6	1		11	6
	15. tikar	11	5			12	5	12	5	1	1	11	5
	Subtotal	173	75	12	11	165	61	179	89	17	7	161	81

			Speakers									
		F4,F6		<b>115</b> ),M4,M6 122,M24		112,M1	<b>F2,M2, M8,M16</b> F3,F5,F7,F9,F11,M3,M5,M9,M11,M13,M1 7,M19, M21, M23					
		1	fall declarative question				fall declarative question					
		M1 M6 M10 M15 F10					М3	M8	M9	M16	F2	F9
		Set 1					Set 2					
	1. air					1	1					
	2. baca					1	1					
	3. beli	1		1		1						1
	4. berkelahi					1	1					
	5. berlari		1				1		1			
	6. bermain			1			1					
	7. bersih					1	1					
	8. bocor	1		1		1	1					
	9. cat	1	1			1	1				1	
	10. dokter			1		1	1				1	1
	11. headphone				103	1	1					
	12. jendela					1	1					
	13. kepasar				1		1					
	14. komputer		1			1	1					
S					100							
Ce				1.150	057	ED CA						
ter	subtotal	3	2	4	1	11	13		1		2	2
Sentences			1	0		11		1	4			4
0)			1	111	disc	F.S.S.S.						
				Set 4			Set 3					
	1. kucing	1	12	1	1	1	1	1				
	2. kunci			20		1	1					
	3. lupa						1					
	4. makan	1		1		1	1				1	
	5. matematika	Chi.				1	1		2			
	6. menari				1	1	1	170				
	7. menolong					1	1					
	8. pecah					1	1					
	9. pensil	1			1	1	1					1
	10. pergi					1	1					2
	11. sepeda	1		1	1	1	1	5	<u>ns</u>	1	1	1
	12. tangkap	1	_	<del>.</del>		1	1					┨────┦
	13. tembok 14. tendang						1					┨────┦
	15. tikar				-	+ $+$ $-$	1				1	
6	Subtotal	5		3	4	1	15	1	21	1	3	4
-	0		1	2		11		1	7			7

**Table A.3** Detail of the fall declarative questions in the set of the speech data for the investigation of the performance of the automatic utterance-type recognizer

# Appendix C

# Transcription of Labeled Indonesian Speech Data

# 1. Aesop4\_02\_eff.wav

konsonan ada yang bersuara, yang terjadi bila ada alur sempit di antara pita suara dan ada yang tidak bersuara, yang terjadi bila tempat artikulasi yang bersangkutan sajalah yang merupakan alur sempit, sedang pita suara itu terbuka agak lebar.

# 2. Aesop4\_03\_eff.wav

Untuk menjelaskan fungsi pita pita suwara, di bawah ini dimuat gambar ke empat posisi pita pita tersebut

# 3. Aesop4\_04\_eff.wav

udara dipompakan dari paru paru, melalui batang tenggorokan ke pangkal tenggorok, yang di dalamnya terdapat pita pita suara

# 4. Aesop4\_05\_eff.wav1

Pita suara itu harus terbuka, untuk memungkinkan arus udara keluar melalui rongga mulut, melalui rongga hidung atau melalui kedua duanya

# 5. Aesop4\_06\_eff.wav

fonitik auditoris tidak banyak dikerjakan dalam hubungan dengan linguistik. Bukubuku standar mengenai linguistik juga sedikit sekali menguraikan mengenai fonitik auditoris itu. Dan keahlian yang dituntut sebenarnya, adalah keahlian dalam ilmu kedokteran

# 6. Aesop4\_07\_eff.wav

yang ketiga adalah fonetik organis. Fonetik organis menyelidiki bagaimana bunyibunyi bahasa dihasilkan dengan alat-alat bicara. Bidang itu penting sekali untuk linguistik dan akan kita bicarakan secara terperinci dalam bab ini

# 7. Aesop4\_08\_eff.wav

Dalam bagian-bagian yang berikut, fonetik auditoris tidak diuraikan lagi. Hanya beberapa fakta dari fonetik akustis akan diuraikan, sehubungan dengan perlunya keterangan minimal demi uraian fonetik organis

# 8. Aesop4\_09\_eff.wav

hal pertama yang perlu diuraikan dalam fonetik organis ialah alat-alat bicara. Gambar yang berikut dengan daftar nama alat-alat tersebut kiranya cukup memadai

# 9. Aesop4\_10\_eff.wav

selaras dengan uraian tentang sistematik bahasa itu tadi, bab tiga membicarakan fonetik, bab empat membicarakan kronologi, bab lima membicarakan morfologi dan bab enam membicarakan sintaksis

## 10. Aesop4\_11\_eff.wav

linguistik sebagai ilmu pengetahuan, membutuhkan suatu teori yang konsekwen, sesuatu teori linguistis. Bila seorang ahli linguistik memusatkan perhatiannya khusus pada pendirian sesuatu teori, maka apa yang dikerjakannya boleh disebut linguistik teoritis.

#### 11. Aesop4\_12\_eff.wav

semantik adalah cabang sistematik bahasa, yang menyelidiki makna atau arti. Seperti sudah dicatat di atas, perbedaan di antara leksikon dan gramatika, menyebabkan bahwa dalam semantik itu kita bedakan pula, antara semantik leksikal dan semantik gramatikal

#### 12. Aesop4\_13\_eff.wav

Menurut sistematiknya, dalam setiap bahasa dapat dibedakan antara tata bahasa atau gramatika bahasa itu, dan perbendaharaan kata atau leksikon dalam bahasa yang sama. Oleh sebab itu, analisa tata bahasa atau analisa gramatikal dibedakan dari analisa leksikon, atau leksikologi atau analisa leksikal

## 13. Aesop4\_14\_eff.wav

dalam pengantar satu ini, yang sekiranya akan menarik perhatian ialah, bahwa banyak hal diandaikan kebenarannya tanpa bukti, atau tanpa bukti lengkap. Memang hal itu biasa terjadi dalam sebuah buku dikdaktis dan tidak jarang terjadi bahwa, bukti tentang hal-hal yang elementer menuntut keahlian tinggi sehingga jelas tidak dapat dimasukkan dalam sebuah buku pegangan

### 14. Aesop4\_15\_eff.wav

akhirnya saya dengan senang hati ingin memenuhi kewajiban mengucapkan rasa terima kasih dan penghargaan kepada mereka yang telah membantu dalam penyusunan buku ini. beberapa draft pertama untuk bab tiga sampai dengan lima pernah disusun oleh asisten saya di ui, dokterhandes el sihombing

#### 15. Aesop5\_01\_eff.wav

di pihak lain, rupa-rupanya ada masalah yang lain, yang akan memunculkan pertanyaan atau kritik. Pendirian yang terdapat dalam buku ini, rupa-rupanya, dapat memberi kesan agak artikuler penentuannya

## 16. Aesop5\_02\_eff.wav

yang hendak saya jelaskan sebagai masalah yang pertama, ialah seleksi pendekatan pada umumnya, karena harus ada prinsip priyoritas. Prinsip semacam itu, dapat dibagi atas yang negatif dan yang positif

#### 17. Aesop5\_03\_eff.wav

seperti diketahui oleh semua dosen yang pernah mencoba menyusun buku pegangan, tugas itu boleh dikatakan menuntut banyak, antara lain justru karena bahannya sederhana. Bila dipandang dari sudut keahlian profesional // tugas ini semakin berat. Saya pun dalam penyusunan buku pengantar linguistik ini telah mengalami kesulitan-kesulitan semacam itu

#### 18. Aesop5\_04\_eff.wav

kekeliruan-kekeliruan yang masih ada menjadi tanggung jawab saya seluruhnya. Saya akan menyambut segala kritik dengan senang hati, agar supaya buku ini di kemudian hari dapat disempurnakan.

## 19. Aesop5\_05\_eff.wav

akhirnya, cukup banyak orang lain yang juga membantu saya. Beberapa rekan dosen memberi catatan dan kritik. Mahasiswa-mahasiswa yang sering tanpa menyadarinya // menyebabkan saya memikirkan kembali beberapa bahan.

## 20. Aesop5\_06\_eff.wav

dewasa ini, fidologi diartikan sebagai ilmu yang menyelidiki masa kuno, dari sesuatu bahasa berdasarkan dokumen-dokumen tertulis. Walaupun para ahli fidologi sekarang, menyadari bahwa pengetahuwan sedikit tentang linguistik dapat menjadi panduan penting dalam bidang mereka, namun sudahlah menjadi pengertian bersama // bahwa fidologi tidak sama dengan linguistik

#### 21. Aesop5\_07\_eff.wav

pada umumnya // yang dimaksudkan dengan distribusi ialah kemungkinan penggantian konstituwen tertentu dalam kalimat tertentu dengan konstituwen yang lain. misalnya dalam kalimat tadi, konstituwen putri bisa diganti dengan konstituwen putra atau anak atau lurah, tapi bukannya dengan berjalan, atau sering atau aduh.

## 22. Aesop5\_08\_eff.wav

dalam bab enam sudah diuraikan gejala-gejala yang terpenting, yang berhubungan dengan pembedaan antara fungsi, kategori dan peran sintaksis. Bidang sintaksis begitu luas sehingga soal-soalnya tak kunjung habis dapat ditambahkan

#### 23. Aesop5\_09\_eff.wav

memang seringkali pemakaian kata "adalah" itu tidak perlu, misalkan pada kalimat tadi, dapat berbunyi juga itu tidak benar. namun, seringkali adapula pemakaian kata adalah yang tidak mencerminkan pengaruh barat atau mencerminkan pemakaian motif kata kepula dalam bahasa-bahasa indo eropa

#### 24. Aesop5\_10\_eff.wav

kata kerja transitif dalam arti tradisional istilah tersebut, sering dipakai tanpa objek, misalkan pada kata kerja 'makan' dalam kalimat 'saya sudah makan'. Pemakaian kata kerja transitif demikian, disebut pemakaian yang absolut. Istilah tersebut berasal dari kata latin absolutus, yang berarti dilepaskan atau terlepas

#### 25. Aesop5\_11\_eff.wav

sebagai masalah terakhir harus kita uraikan sedikit tentang hubungan antara fungsi dan peran.

#### 26. Aesop5\_12\_eff.wav

di pihak lain, pasti ada peran bawahan dalam arti, terdapat dalam frase. Dalam frase 'rumah tetangga saya', konstituwen 'tetangga saya' berperan posisi, sedangkan dalam frase 'pengeluaran uang', konstituwen 'uang' berperan objektif karena uangnya dikeluarkan tidak mengeluarkan sesuatu

#### 27. Aesop5\_13\_eff.wav

teori peran masih berada dalam tahap primitif. Istilah-istilah yang disebutkan di atas tidak semua dicontohkan di sini karena banyak dapat dipersoalkan. Kita dapat mencari contoh-contoh sendiri, tidak usah kita garap semuanya, sudah cukup bila beberapa istilah sudah pernah kita temui

## 28. Aesop5\_14\_eff.wav

kesimpulannya jelas, dalam banyak bahasa astronesia seperti bahasa tagalog, indonesia dan jawa, struktur peran menyebabkan bentuk kategorial di tempat predikat menyesuaikan diri dengan peran yang terdapat di tempat subjek.

# 29. Aesop5\_15\_eff.wav

soalnya tidak menyangkut hanya bentuk pasif tadi saja, karena baik kata benda maupun kata kerja, meskipun kedua kategori terdapat baik dalam bahasa-bahasa astronesiya maupun dalam bahasa-bahasa indo eropa, tidak mutlak perlu persis sama dengan kedua tipe bahasa tersebut

#### 30. Aesop5\_16\_eff.wav

fungsi-fungsi itu sendiri tidak memiliki bentuk tertentu, tetapi harus diisi oleh bentuk tertentu, yaitu suatu kategori

# 5\_16b

fungsi-fungsi itu juga tidak memiliki makna tertentu, tetapi harus diisi oleh makna tertentu yaitu peran

#### 31. Aesop7\_01\_eff.wav

yang menarik perhatian bila kita bandingkan hasil pertama dengan lajur ke empat di atas ialah bahwa dalam lajur pertama kita pakai istilah asli indonesia sedangkan bentuk aktif tifalnya dalam lajur keempat merupakan kata pinjaman asing. Fenomena itu dalam bahasa indonesia memang tidak terbatas pada peristilahan ilmu linguistik saja.

#### 32. Aesop7\_02\_eff.wav

maka tidaklah mengherankan apabila centang perentang politik di indonesia saat ini disebabkan oleh tiadanya hukum yang andal dan tangguh untuk membatasi, mengerem dan memaksa politik untuk mengikuti trek hukum

## 33. Aesop7\_03\_eff.wav

Padahal hukum bukanlah atau seharusnya bukanlah seperti yang diungkapkan di muka, tetapi hukum adalah sebagai alat mencapai keadilan. Bila hukum dengan ayat, pasal dan dalil-dalilnya penuh dengan multitafsir, maka jebol dan tumbanglah makna dan benteng keadilan

## 34. Aesop7\_04\_eff.wav

Bila keberadaan hukum di Indonesia berlanjut seperti ini maka yang diharapkan oleh rakyat bukanlah sekedar low imforsment yang pada akhirnya juga tak akan ada faedahnya, tetapi yang lebih menguatirkan terjadinya lowfus stet

## 35. Aesop7\_05\_eff.wav

Maka janganlah disalahkan apabila masyarakat yang sudah buta hukum tetapi sedang mendambakan keadilan berperasangka bahwa dalam peradilan tahu-tahu tujuannya atau keputusannya telah ditentukan lebih dahulu, barulah kemudian dicarikan dalil-dalil pembenaran hukumnya

#### 36. Aesop7\_06\_eff.wav

pemerintah mengakui jumlah penderita demam berdarah mengalami peningkatan dua kali lipat perbulan dibandingkan tahun lalu

## 37. Aesop7\_07\_eff.wav

Jika tahun lalu dalam setahun tercatat lima puluh ribu dua puluh lima penderita, ini berarti tiap bulan ada empat puluh ribu orang penderita

#### 38. Aesop7\_08\_eff.wav

menko kesra Yusuf kalla mengatakan masalah demam berdarah lebih berbahaya ketimbang sars dan flu burung karena demam berdarah sudah menimbulkan korban jiwa begitu banyak

#### 39. Aesop7\_09\_eff.wav

oleh karena itu baik pemerintah dan masyarakat, menurut kalla // harus menyelesaikan masalah ini secepatnya. pasalnya, akibat demam berdarah akan jauh lebih besar.

## 40. Aesop7\_10\_eff.wav

kalla menambahkan penanganan demam berdarah harus melibatkan seluruh masyarakat, tidak cukup kalau ditangani pemerintah saja

#### 41. Aesop7\_11\_eff.wav

selain itu, dalam waktu dekat pihak kesra akan mengumpulkan para gubernur, bupati dan walikota guna membahas keterlibatan masyarakat menanggulangi demam berdarah bersama. Kita akan menggerakkan masyarakat agar terlibat dalam perang terhadap nyamuk // untuk mencegah demam berdarah

#### 42. Aesop7\_12\_eff.wav

ketua panitia pelaksana // haji abdul latif usman ketika dihubungi di balik papan jumat mengatakan pihaknya sudah menghubungi keduanya di Jakarta dan apabila sesuai rencana // kedua tokoh nasional itu dipastikan hadir pada acara tersebut

#### 43. Aesop7\_13\_eff.wav

dijelaskan bahwa peserta es en je dua ribu empat // diperkirakan akan dihadiri oleh dua ratus da 'i // yang merupakan utusan dewan suroh lain daerah hidayatullah seIndonesia, utusan ormas, bakor islam dan lembaga dakwah islam

#### 44. Aesop7\_14\_eff.wav

saat ini sudah hadir lebih dari enam puluh orang utusan Depede hidayattullah. Diharapkan pada jum'at dan sabtu, seluruh peserta bisa hadir seluruhnya, katanya 45. Aesop7\_15\_eff.wav acara es 'ende dua ribu empat bertujuan untuk menata kembali langkah dan program dakwah



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# Appendix D

# Error Rate of the Automatic Utterance-type recognizer

**Table A.4** Error rate of the automatic utterance-type recognizer using the third order

 polynomial expansion, architecture 3-1-1

		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	10.41	10.41	10.41
IV	II	10.68	10.26	10.47
Ι	III	10.37	10.79	10.58
II	IV	12.66	12.24	12.45
Average		11.03	10.92	10.98

**Table A.5** Error rate of the automatic utterance-type recognizer using the third order

 polynomial expansion, architecture 3-2-1

		Error I	Rate (%)	Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	10.41	9.95	10.18
IV	II C	11.54	11.54	11.54
Ι	III	10.79	10.79	10.79
II	IV	12.24	12.66	12.45
Average		11.24	11.23	11.24

		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
			Question	
III	Ι	10.86	10.41	10.63
IV	П	11.97	12.39	12.18
Ι	III	12.86	12.86	12.86
II	IV	11.81	12.24	12.03
Average		11.87	11.97	11.92

**Table A.6** Error rate of the automatic utterance-type recognizer using the third order polynomial expansion, architecture of the neural networks 3-3-1

**Table A.7** Error rate of the automatic utterance-type recognizer using the third order polynomial expansion, architecture 3-4-1

	1	Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	14.48	11.76	13.12
IV	II	13.68	13.68	13.68
Ι	III	10.37	10.37	10.37
Ш	IV	11.81	12.66	12.24
Ave	rage	12.58	12.12	12.35



		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
		~	Question	
III	Ι	11.31	10.41	10.86
IV	II	14.11	14.53	14.32
Ι	III	11.62	12.03	11.83
II	IV	12.66	12.66	12.66
Ave	Average		12.41	12.42

**Table A.8** Error rate of the automatic utterance-type recognizer using the third order

 polynomial expansion, architecture 3-5-1

**Table A.9** Error rate of the automatic utterance-type recognizer using the third order

 polynomial expansion, architecture 3-6-1

	1	Error I	Rate (%)	Equal Error Rate	
Training Set	Testing Set	Statement	Declarative Question	(%)	
III	Ι	10.41	10.86	10.63	
IV	II	15.38	15.81	15.6	
Ι	III	11.62	11.62	11.62	
Ш	IV	13.08	13.51	13.29	
Ave	rage	12.62	12.95	12.78	



		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
		Statement	Question	(//)
III	Ι	11.31	10.86	11.09
IV	П	15.81	16.67	16.24
Ι	III	13.69	13.69	13.69
II	IV	13.08	13.08	13.08
Ave	Average		13.57	13.52

**Table A.10** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-7-1

**Table A.11** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-8-1

	1	Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	10.41	10.41	10.41
IV	II	14.11	14.53	14.32
Ι	III	12.45	12.03	12.24
II	IV	14.35	14.35	14.35
Ave	rage	12.83	12.83	12.83



		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
		Statement	Question	
III	Ι	14.03	14.03	14.03
IV	П	15.81	15.81	15.81
Ι	III	12.86	12.86	12.86
II	IV	13.08	13.08	13.08
Ave	Average		13.94	13.94

**Table A.12** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-9-1

**Table A.13** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-10-1

		Error I	Rate (%)	Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	13.12	13.57	13.35
IV	II	16.24	16.67	16.45
Ι	III	14.11	14.11	14.11
II	IV	13.51	13.51	13.51
Ave	rage	14.24	14.46	14.35



		Error I	Rate (%)	Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
		Statement	Question	
III	Ι	13.57	12.22	12.9
IV	II	17.09	17.09	17.09
Ι	III	14.94	14.94	14.94
II	IV	14.35	15.19	14.77
Ave	Average		14.86	14.92

**Table A.14** Error rate of the automatic utterance-type recognizer using the third order polynomial expansion, architecture 3-11-1

**Table A.15** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-12-1

		Error Rate (%)		Equal Error Rate	
Training Set	Testing Set	Statement	Declarative Question	(%)	
III	Ι	13.57	13.57	13.57	
IV	II	16.24	16.24	16.24	
Ι	III	12.45	12.45	12.45	
II	IV	15.19	15.19	15.19	
Ave	rage	14.36	14.36	14.36	
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		Error I	Rate (%)	Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
		Statement	Question	
III	Ι	15.84	15.38	15.61
IV	Π	17.52	18.38	17.95
Ι	III	12.86	12.86	12.86
II	IV	13.51	13.92	13.71
Ave	rage	14.93	15.13	15.03

**Table A.16** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-13-1

**Table A.17** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-14-1

		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	14.03	14.03	14.03
IV	II	17.95	14.96	16.45
Ι	III	12.86	12.86	12.86
Ш	IV	15.19	14.77	14.98
Ave	rage	15.01	14.15	14.58



		Error I	Rate (%)	Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
		Statement	Question	
III	Ι	14.03	14.03	14.03
IV	П	17.95	18.38	18.16
Ι	III	11.62	15.35	13.49
II	IV	10.97	11.81	11.39
Ave	rage	13.64	14.89	14.27

**Table A.18** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-15-1

**Table A.19** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-30-1

		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	11.76	12.22	11.99
IV	II	16.67	17.09	16.88
Ι	III	13.28	13.69	13.49
Ш	IV	14.77	15.19	14.98
Ave	rage	14.12	14.55	14.33



		Error I	Rate (%)	Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
		Statement	Question	
III	Ι	14.03	14.93	14.48
IV	Π	17.52	17.52	17.52
Ι	III	13.69	14.11	13.91
II	IV	13.51	13.92	13.71
Ave	rage	14.69	15.12	14.9

**Table A.20** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-45-1

**Table A.21** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-60-1

		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	14.48	14.03	14.25
IV	II	14.53	14.96	14.74
Ι	III	13.69	13.69	13.69
Ш	IV	15.19	15.61	15.41
Ave	rage	14.47	14.57	14.52



		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
		~	Question	
III	Ι	14.93	15.38	15.16
IV	Π	15.38	15.81	15.6
Ι	III	12.86	14.52	13.69
II	IV	15.61	16.03	15.82
Ave	Average		15.43	15.07

**Table A.22** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-75-1

**Table A.23** Error rate of the automatic utterance-type recognizer using the third order polynomial expansion, architecture 3-90-1

	1 12	Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	13.57	13.57	13.57
IV	II	16.24	16.67	16.45
Ι	III	9.13	14.94	12.03
II	IV	14.35	14.77	14.56
Ave	rage	13.32	14.99	14.15



			Rate (%)	Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
			Question	
III	Ι	14.48	14.93	14.71
IV	Π	17.09	17.95	17.52
Ι	III	9.54	14.52	12.03
II	IV	15.19	16.03	15.61
Ave	Average		15.86	14.97

**Table A.24** Error rate of the automatic utterance-type recognizer using the third

 order polynomial expansion, architecture 3-105-1

**Table A.25** Error rate of the automatic utterance-type recognizer using the second order polynomial expansion, architecture 2-1-1

		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	14.9	14.9	14.9
IV	II	15.4	14.5	14.9
Ι	III	18.3	17.4	17.8
Ш	IV	15.2	16.0	15.6
Ave	rage	15.9	15.7	15.8



		Error I	Rate (%)	Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
		~	Question	
III	Ι	10.9	10.9	10.9
IV	II	10.7	10.3	10.5
Ι	III	10.8	11.2	11.0
II	IV	11.8	12.2	12.0
Ave	rage	11.0	11.1	11.1

**Table A.26** Error rate of the automatic utterance-type recognizer using the fourth order polynomial expansion, architecture 4-1-1

**Table A.27** Error rate of the automatic utterance-type recognizer using the fifth order polynomial expansion, architecture 5-1-1

		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	41.2	35.3	38.2
IV	II	39.3	38.0	38.7
Ι	III	43.9	31.5	37.8
Ш	IV	46.8	29.9	38.4
Ave	rage	42.8	33.7	38.3



# Appendix E

# Error Rate of the Automatic Utterance-type recognizer with Manual Utterance Segmentation

**Table A.28** Error rate of the semi automatic utterance-type recognizer using the second order polynomial expansion, architecture 2-1-1

		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
		1	Question	
III	I	9.51	11.31	10.41
IV	II	14.53	15.38	14.96
Ι	III	14.52	14.52	14.52
II	IV	14.77	14.77	14.77
Average		13.33	13.99	13.66

**Table A.29** Error rate of the semi automatic utterance-type recognizer using the third

 order polynomial expansion and architecture 3-1-1

	-22	Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	9.5	9.9	9.7
IV		11.5	12.4	11.7
Ι	Ш	12.0	12.0	12.0
II	IV	14.4	14.4	14.4
Average		11.9	12.2	11.9

		Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative	(%)
			Question	
III	Ι	15.4	7.2	11.3
IV	П	14.5	14.1	14.3
Ι	III	10.4	16.2	13.3
II	IV	10.6	16.5	13.5
Average		12.7	13.5	13.1

**Table A.30** Error rate of the semi automatic utterance-type recognizer using the fourth order polynomial expansion and architecture 4-1-1

**Table A.31** Error rate of the semi automatic utterance-type recognizer using the fifth

 order polynomial expansion and architecture 5-1-1

	1 B	Error Rate (%)		Equal Error Rate
Training Set	Testing Set	Statement	Declarative Question	(%)
III	Ι	48.4	28.9	38.7
IV	II	14.5	42.7	28.6
Ι	III	44.0	22.8	33.4
Ш	IV	43.9	18.1	31.0
Average		37.7	28.2	32.9



# Vitae

Nazrul Effendy received the ir. (Sarjana Teknik) degree in instrumentation engineering and M.Eng. degree in Electrical Engineering from Gadjah Mada University, Indonesia in 1998 and 2001, respectively. He has been a faculty staff at the Department of Engineering Physics, Gadjah Mada University since 1998. Since November 2003, he has been doing a Ph.D. program at Chulalongkorn University, Thailand. From September 13, 2005 to August 2, 2006, he conducted research about utterance-type recognizer at Furui Laboratory, Department of Computer Science, Tokyo Institute of Technology, Japan. His research interests include speech signal processing, prosody, and pattern recognition. He is a member of ISCA.

