CHAPTER I

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INTRODUCTION

1.1 Approximation Methods.

In developing theories for the electronic properties of binary mixed crystals several important characteristic of these kind of objects have to be taken into consideration. Among them is a loss of lattice periodicity or the lack of crystal symmetry. For computing electronic properties such as the density of states which are associated with the entire system we can not use the standard statistical methods which are based on the translational symmetry of the crystal. To treat the disorder, various appoximation methods have been used. These techniques are based on how we treat potential of electrons in mixed crystals. These methods have been developed for over fifty years. It should be remembered that each method has its own limitations.

Nordheim introduced the method called virtual crystal approximation in 1931. This method assumes that the electrons in a binary systems behave identically, as far as macroscopic properties are concerned, to the electrons in a hypothetical ordered material in which the crystalline potential is the average of the potentials of the pure materials. This approximation represents the lowest order term in a perturbation expansion of the coherent potential W and is fairly successful in the case of the rigid band limit where the

perturbed wave functions are quite extended in space so that each electron sees nearly the average perturbation.

In 1958, Korringa⁽²⁾ suggested the average T-matrix approximation, based on the assumption that the electrons in mixed crystals behave as if they were moving in a particular ordered system of localized potentials, the scattering matrix of which is the average of the scattering matrices of the localized potential corresponding to each constituent. This approximation was considered by Soven, who found that it was not a reasonable one for the case where the localized potentials have a strength approaching that found in transition metals.

In 1966, Yonezawa and Matsubara, (3) using what they called a 'cumulant expansion', gave a formal perturbation theory analysis leading to a systematic expansion of the coherent perturbation.

The method called the coherent potential approximation (CPA) was proposed by Soven (4) in 1967. The essential physical idea of this approach is to describe the system by an appropriate effective Hamiltonian. This Hamiltonian is defined by the condition that if it is employed, there is no further scattering from the individuals sites on the average. If incorporates a potential such that with its use, a wave will propagate coherently through the material. To determine the coherent potential in the original and simplest form of this approximation, one requires that a single scatterer imbeded in this effective medium should produce no further scattering on the average, The attractive feature of the CPA is that only directly measurable physical quantities are required as input data when calculating the

excited electronic state of the mixed crystals. The pertinent parameters are the experimental density of states of the pure crystal and the difference of the single molecule excitation energies. The major shortcoming of the CPA involves an inadequate description of localized states.

1.2 Methods for Approximating Green's function <G>.

The various methods for calculating <G> differ in the ways that the configuration average is inserted into the calculation. We begin by defining <G> as

averaging

1.2.1 Virtual Crystal Approximation (VCA).

As was pointed out in Virtual Crystal Approximation, it is assumed that the electrons in a binary mixed crystals behave identically, as far as macroscopic properties are concerned, to the electrons in a hypothetical ordered material in which the crystalline potential is the average of the potentials of the pure materials.

Therefore the correction W is

$$W = \sum_{i} V_{i}$$
 I.2

where
$$V_i = c_A V_i^A + c_B V_i^B$$
 1.3

when V_i^A = potential for particle A at site i

 V_i^B = potential for particle B at site i

c_A = concentration of particle A

c_R = concentration of particle B

Substituting this equation (I.1), we get

$$\langle G \rangle = \frac{1}{Gc^{-1} - \sum_{i=1}^{n} V_{i}}$$

This approximation represents the lowest order term in a perturbation represents the lowest order term in a perturbation series of W.

1.2.2 Average t-Matrix Approximation (ATA).

We defined a single-site t-matrix to be

$$t_{i} = V_{i} + V_{i}G_{o}t_{i}$$
 1.5

$$= V_{i} \left(\frac{1}{1 - G_{o}V_{i}} \right)$$
1.6

From this, we get

$$G_{O} = G_{O} \left(\frac{1}{1 - G_{O}V} \right)$$
 I.7

The Resolvent may be expressed by

Then the mean resolvent is

The restriction on the summation for the successive scatterings is because t contains all the scattering from a single site and further scattering must therefore be from another site.

By calculating the t_i -matrices t_i^B and t_i^B for scattering off the potential v_i^A and v_i^B respectively, then associating with each lattice site of the crystal the average t-matrix

$$\langle t_i \rangle = c_A t_i^A + c_B t_i^B$$

When on using the replacement of the average of products of t-matrices by the products of the average t-matrix, we get the approximation for <G> as

$$\langle G \rangle = G_{0}^{(i)} + \sum_{i \neq i}^{i} G_{0}^{(i)} + \sum_{i \neq j}^{i} G_{0}^{(i)} + \cdots$$

$$1.9$$

This approximation is a single-site approximation and not reasonable for the case where the localized potentials have a strength approaching that found in transition metals.

1.2.3 The Coherent Potential Approximation (CPA)

This method developed by Taylor (6) and Soven (4) in 1967,

introduce the concept of an coherent potential. The essential physical idea of this approach is to describe the system by an appropriate

effective Hamiltonian. This Hamiltonian is defined by the condition that if it is employed, there is no further scattering from the individual sites on the average. If incorporates a potential such that with its use, a wave will propagate coherently through the material. This method is equivalent to viewing the actual scattering potential as an impurity imbeded in an effective medium, whose propagator has a self-energy adjusted so that the t-matrix for scattering by a single site impurity in this medium is zero on the average.

In this approximation, we assume that there is an effective medium characterized by a resolvent G° which is related to a coherent potential W through the Dyson equation

$$G^{\circ} = G_{\circ} + G_{\circ}WG^{\circ}$$
 I.10

In the ordinary, or single-site CPA, the coherent potential is assumed to be site-diagonal, but in general there will be off-diagonal elements. Now we write equation (I.10) for G in terms of G^{O} eliminating G via equation (I.10) with the result

$$G = G^{\circ} + G^{\circ}(V - W)G$$
I.11 ·

By iterating and averaging equation (I.11), we get

$$\langle G \rangle = G^{\circ} + G^{\circ} \langle V - W \rangle G^{\circ} +$$

$$G^{\circ} \langle (V - W) G^{\circ} (V - W) \rangle G^{\circ} + \dots$$
I.12

There is an extra degree of freedom in that the coherent potential is arbitrary. Therefore, for the best improvement of the convergence of equation (I.12), we choose W to be the exact self-energy of $\langle G \rangle$ in equation (I.10), then we would have $\langle G \rangle = G^O$ and the scattering terms in equation (I.12) must cancel, that is the average T-matrix for the system must be zero. The CPA method is

to calculate <T> in some approximation and set this to zero as a determining equation for W.

In the single-site CPA, one decouples <T> into products of single-site t-matrices which are set to zero on average,

$$\langle t_i \rangle = \langle (V_i - W) (1 - G^O(V_i - W))^{-1} \rangle$$

= 0 1.13

This equation is then solved simultaneously with equation (I.10) to find G^{O} as the approximation for $\langle G \rangle$.

1.2.4 Cumulant Expansion Method.

From eq.(I.1)

$$\langle G \rangle = \frac{1}{G_0^{-1} - W}$$

when we replace W by potential V and expand in series we get

$$G > G = G_0 + G_0 < V > G_0 + G_0 < V G_0 V > G_0 + G_0 < V G_0 V > G_0 + G_0 < G_0 C G_$$

By using the formulas of products of cumulant average such as

$$\langle A \rangle = \langle A \rangle^{C},$$

$$\langle AB \rangle = \langle A \rangle^{C} \langle B \rangle^{C} + \langle AB \rangle^{C}$$
or
$$\langle AB \rangle^{C} = \langle AB \rangle - \langle A \rangle^{C} \langle B \rangle^{C}$$

$$\langle ABC \rangle = \langle A \rangle^{C} \langle B \rangle^{C} \langle C \rangle^{C} + \langle AB \rangle^{C} \langle C \rangle^{C}$$

$$+ \langle A \rangle^{C} \langle BC \rangle^{C} + \langle ABC \rangle^{C}$$
or
$$\langle ABC \rangle^{C} = \langle ABC \rangle - \langle A \rangle^{C} \langle B \rangle^{C} \langle C \rangle^{C} - \langle AS \rangle^{C} \langle BC \rangle^{C}$$

$$= \langle AB \rangle^{C} \langle C \rangle^{C} - \langle A \rangle^{C} \langle B \rangle^{C} \langle C \rangle^{C} - \langle AS \rangle^{C} \langle BC \rangle^{C}$$
I.14

and so forth. The suffix c indicates the 'cumulant average'. By use of this kind of average one gets

This approximation includes all the effects of electron scattering caused by a single impurity, but does not take account of effects such that an electron scattered by an impurity is appreciably influenced by other impurities before the first scattering is completed.

1.2.5 Connection between Perturbation Expansion and Diagram Approach.

For any system, we can define an operator
$$\hat{G}_{O}(E) = \frac{1}{E - H_{O}}$$

where H_0 is the time independent Hamiltonian operator. The expecta('8)
tion value $G_0(E)$ which is called Green's function is calculated as follows.

$$\langle \psi_{\mathbf{i}} \mid \overset{\overline{\mathbf{G}}}{\mathbf{G}}_{\mathbf{O}}(\mathbf{E}) \mid \psi_{\mathbf{i}} \rangle = \langle \psi_{\mathbf{i}} \mid \frac{1}{\mathbf{E} - \mathbf{H}_{\mathbf{O}}} \mid \psi_{\mathbf{i}} \rangle$$
 I.16

As ψ_i are the eigenstates of H_o , and from equation(I.16)

$$\langle \psi_{\mathbf{i}} | \frac{1}{E-H_{\mathbf{o}}} | \psi_{\mathbf{i}} \rangle = \langle \psi_{\mathbf{i}} | \frac{1}{E} (\frac{1}{H_{\mathbf{o}}}) | \psi_{\mathbf{i}} \rangle$$

$$1 - \frac{0}{E}$$

$$= \langle \psi_{i} | \frac{1}{E} (1 + \frac{H_{o}}{E} + (\frac{H_{o}}{E})^{2} + \dots) | \psi_{i} \rangle \quad \text{I.17}$$
Since $(H_{o})^{n} | \psi_{i} \rangle = (H_{o})^{n-1} | H_{o} | \psi_{i} \rangle$

$$= E_{i} (H_{o})^{n-1} | \psi_{i} \rangle$$

$$= E_{i}^{n} | \psi_{i} \rangle ,$$

equation (I.16) becomes

$$\langle \psi_{\mathbf{i}} | \frac{1}{E - H_{\mathbf{o}}} | \psi_{\mathbf{i}} \rangle = \langle \psi_{\mathbf{i}} | \frac{1}{E} (1 + \frac{E_{\mathbf{i}}}{E} + (\frac{E_{\mathbf{i}}}{E})^{2} + \dots + (\frac{E_{\mathbf{i}}}{E})^{n}) | \psi_{\mathbf{i}} \rangle$$

$$= \langle \psi_{\mathbf{i}} | \frac{1}{E} (\frac{1}{E - E_{\mathbf{i}}}) | \psi_{\mathbf{i}} \rangle$$

$$= \langle \psi_{\mathbf{i}} | \frac{1}{E - E_{\mathbf{i}}} | \psi_{\mathbf{i}} \rangle$$

$$= \frac{\langle \psi_{\mathbf{i}} | \psi_{\mathbf{i}} \rangle}{E - E_{\mathbf{i}}}$$

$$= \frac{1}{E - E_{\mathbf{i}}}$$

$$= G_{\mathbf{o}}(E)$$

$$= 1.18$$

Let us now consider a system with perturbation and which is described by the Hamiltonian $H = H_0 + \lambda V$, where λ is a real parameter to be set equal to one at a later time, V is a time independent perturbation operator. The eigenvectors of $H_0 + V$ are no longer $|\psi_i\rangle$ and the eigen energies are no longer E_i but E_i^{\dagger} .

 $E_i' = E_i + \text{all perturbative corrections to the energy.}$ $= E_i + \Sigma, \text{ where } \Sigma \text{ is the self energy correction.}$

Of interest to us now are the two expectation values

1. The expansion $\langle i | \frac{1}{E-H} | i \rangle$ in terms of perturbation V, and

2. The expansion $\langle i | \frac{1}{E-H} | i \rangle$ in terms of the self energy Σ . (Note that in both expansion, $|i\rangle$ are the eigenvectors of H) The expansion $\langle i | \frac{1}{E-H} | i \rangle$ in terms of perturbation V is obtained as follows:

$$\langle i \left| \frac{1}{E-H} \right| i \rangle = \langle i \left| \frac{1}{E-H} \frac{1}{0} - \lambda V \right| i \rangle$$

$$= \langle i \left| \frac{1}{E-H} \frac{1}{0} \left(\frac{1}{1 - \frac{\lambda V}{E-H}} \right) \right| i \rangle \qquad I,19$$

Since $\Sigma |j\rangle \langle j| = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$, equation (I.19) becomes,

$$= \Sigma < ii|\underline{1}|j> < j|\underline{1}-\underline{\lambda V}$$

$$E-H$$

$$E-H$$

$$E-H$$

$$= \sum_{j} \frac{1}{E - E_{j}} \delta_{ij} <_{j} \left| \frac{1}{1 - \frac{\lambda V}{E - H}} \right| i >$$

$$= \frac{1}{E - E_{i}} < i \left| \frac{1}{1 - \lambda V} \right| i >$$

$$= G_{O}(E) < i \mid \frac{1}{1 - \frac{\lambda V}{E - H}} \mid i >$$

$$= G_{o}(E) \left[\langle i | \{1 + \lambda \frac{V}{E-H_{o}}\} + \lambda^{2} \frac{V^{2}}{(E-H_{o})^{2}} + \dots + \lambda^{n} \frac{V^{n}}{(E-H_{o})^{n}} + \dots \} | i \rangle \right] \quad 1.20$$

The second term in I.20 is $\lambda < i | V | i > which can be rewritten as follows.$

$$\lambda < i | \underline{v} | i > = \lambda \Sigma < i | v | j > < j | \underline{1} | i >$$

$$E - H_0$$

$$\lambda < i | v | i > G_0(E)$$

The third term in equation (I.20) is
$$\lambda^2 < i \left| v^2 \right| i >$$
.

 $(E - H_0)^2$

Expanding as before, we have

The fourth term in equation (I.20) is $\lambda^3 < i \mid \frac{v^3}{(E-H)^3} \mid i >$.

Again expanding as before, we have

$$\lambda^{3} < i | \frac{v^{3}}{(E-H_{o})^{3}} | i^{3} \rangle = \lambda^{3} \sum_{\substack{j_{1} j_{2} j_{3} j_{4} j_{5}}} < i | v | j_{1} > \langle j_{1} | \frac{1}{E-H_{o}} | j_{2} > \langle j_{2} | v | j_{3} \rangle \rangle$$

$$< j_{3} | \frac{1}{E-H_{o}} | j_{4} > \langle j_{4} | v | j_{5} > \langle j_{5} | \frac{1}{E-H_{o}} | i \rangle$$

$$= \lambda^{3} \sum_{\substack{j_{1} j_{2} j_{3} j_{4} j_{5}}} < i | v | j_{1} > \frac{1}{E-E} \int_{2} \delta_{j_{1}} j_{2} < j_{2} | v | j_{3} > \frac{1}{E-E} \int_{1} \delta_{j_{3}} j_{4} \rangle$$

$$< j_{4} | v | j_{5} > \frac{1}{E-E_{j_{1}}} \delta_{j_{5}} i$$

$$= \lambda^{3} \sum_{\substack{j_{1} j_{2} \\ j_{1} j_{2}}} < \frac{\langle i | v | j_{1} > \langle j_{1} | v | j_{3} > \langle j_{3} | v | i \rangle}{(E-E_{j_{1}})(E-E_{j_{2}})} G_{o}(E), j_{1} \neq j_{3} \neq i$$

$$= \lambda^{3} \sum_{\substack{j_{1}j_{3}}} \frac{\langle i|v|j_{1} \rangle \langle j_{1}|v|j_{3} \rangle \langle j_{3}|v|i\rangle}{\langle E-E_{j_{1}} \rangle \langle E-E_{j_{3}} \rangle} G_{o}(E), j_{1} \neq j_{3} \neq i$$

$$= \lambda^{3} \langle i|v|i\rangle G_{o}(E) \sum_{\substack{j_{1} \\ j_{2} \\ E-E_{j_{3}} \\ E-E_{j_{3}} \\ E-E_{j_{3}} \\ A^{3} \leq i|v|i\rangle G_{o}(E) \sum_{\substack{j_{2} \\ E-E_{j_{3}} \\ E-E_{j_{3}} \\ A^{3} \leq i|v|i\rangle G_{o}(E)} G_{o}(E)$$

$$= \lambda^{3} \sum_{\substack{j_{1} \leq i|v|j>j^{2} < j|v|j> \\ E-E_{j_{3}} \\ G_{o}(E)}} \frac{\langle i|v|j_{3} \rangle \langle j_{3}|v|i\rangle}{\langle i|v|j\rangle} G_{o}(E)$$

$$= \lambda^{3} \langle i|v|i\rangle G_{o}^{3}(E)$$

The other terms in equation (I.20) can be expanded in a similar manner. We add these terms together and now set λ equal to one. Thus equation (I.20) becomes

$$\langle i | \frac{1}{E-H} | i \rangle = G_{o}(E) \left[1 + \langle i | V | i \rangle G_{o}(E) \right]$$

$$+ \sum_{j_{1}j_{3}} \frac{\langle i | V | j_{1} \rangle \langle j_{1} | V | j_{3} \rangle \langle j_{3} | V | i \rangle}{\langle E-E_{j_{1}} \rangle \langle E-E_{j_{1}} \rangle \langle E-E_{j_{1}} \rangle} G_{o}(E) + \cdots \right]$$

$$= G_{O}(E) \left\{ \{1 + \langle i | v | i \rangle + \sum_{j} \langle i | v | j \rangle \langle j | v | i \rangle + \sum_{j} \langle i | v | j_{1} \rangle \langle j_{1} | v | j_{3} \rangle \langle j_{3} | v | i \rangle + \sum_{j=1}^{2} \frac{\langle i | v | j_{1} \rangle \langle j_{1} | v | j_{3} \rangle \langle j_{3} | v | i \rangle}{(E - E_{j_{1}})(E - E_{j_{3}})} + \dots G_{O}(E) \right\}$$

$$= G_{O}(E) \left\{ \{1 + \langle i | v | j \rangle \langle j | v | j \rangle + \dots G_{O}(E) \right\}$$

Now consider the the expansion $< i \mid \frac{1}{E-H} \mid i > in terms of the E-H$

self energy

Since
$$\langle i \mid \frac{1}{E-E} \mid i \rangle = \frac{1}{E-E_{1}^{'}}$$
, where $E_{1}^{'} = E_{1} + \Sigma$

$$= \frac{1}{E-E_{1} - \Sigma}$$

$$= \langle G(E) \rangle$$

$$= G_{0}^{-1} (E) - \Sigma$$

$$= I.23$$

Equation (1,23) is called Dyson's equation (9). Multiply from the right by G(E) and from the left by G(E), we get

$$G_{o}(E) = \langle G(E) \rangle - G_{o}(E) \Sigma \langle G(E) \rangle$$

$$< G(E) \rangle = G_{o}(E) + G_{o}(E) \Sigma \langle G(E) \rangle$$

$$= G_{o}(E) + G_{o}(E) \Sigma G_{o}(E) + G_{o}(E) \Sigma G_{o}(E) \Sigma \langle G(E) \rangle$$

$$= G_{o}(E) + G_{o}(E) \Sigma G_{o}(E) + G_{o}(E) \Sigma G_{o}(E) \Sigma G_{o}(E)$$

$$+ G_{o}(E) \Sigma G_{o}(E) \Sigma G_{o}(E) \Sigma G_{o}(E)$$

$$= G_{o}(E) \left[1 + \Sigma G_{o}(E) \Sigma G_{o}(E) \Sigma G_{o}(E) + \dots \right]$$

$$= G_{o}(E) \left[1 + \{\Sigma + \Sigma G_{o}(E) \Sigma G_{o}($$

Comparing equation (I.21) and equation (I.24), we find the self-energy to be

$$\Sigma = \frac{\langle i | V | i \rangle + \sum_{j=1}^{\infty} \frac{\langle i | V | j \rangle \langle j | V | j \rangle}{E - E_{j}}}{\sum_{j=1}^{\infty} \frac{\langle i | V | j_{1} \rangle \langle j_{1} | V | j_{3} \rangle \langle j_{3} | V | i \rangle}{(E - E_{j_{1}})(E - E_{j_{3}})}}$$

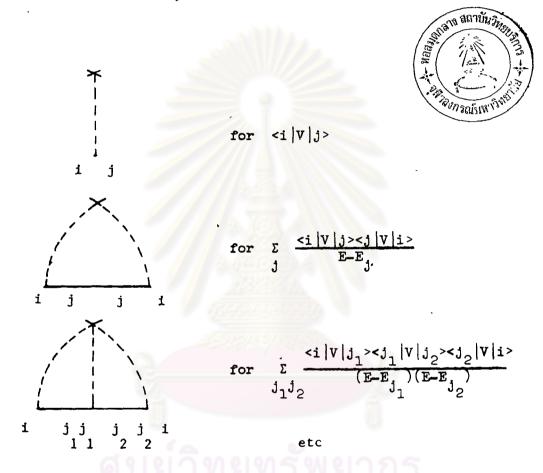
+ terms coming from the higher order perturbative correction I.25

We shall now look at the graphic interpretation of the perturbative series. We can represent the free propagator 1

E - E

by a horizontal line. Each vertex is associated with a polynomial P_n(c) where n equals the number of interaction lines (represented

by a dash line) connecting the impurity (represented by a cross) and the exciton propagator line. Each interaction line is associated with a momentum transfer p, Of course the net momentum transfer to a single impurity must be zero. An example of graphical representation of few terms in the expansion are



Let us now look at the graphs which represent each order correction in perturbative series.

A graph which represents the first order correction

$$G_{O}(E) < i | V \left(\frac{1}{E-H_{O}} \right) | i > is$$

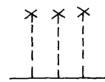
Graphs which represent the second order correction

$$G_{o}(E) < i |V^{2}(\frac{1}{E-H_{o}})^{2}|i>$$
 are

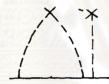




 $G_{O}(E) < i |V^{3}(\frac{1}{E-H})^{3}|i>$ Graphs which represent the third order correction are





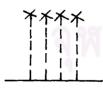


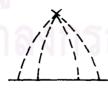


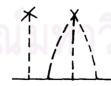


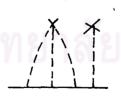
Graphs which represent the fourth order correction

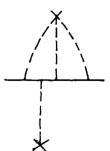
$$G_{O}(E) < i \mid V^{I_{1}} \left(\frac{1}{E-H_{O}} \right)^{I_{1}} \mid i > 0$$

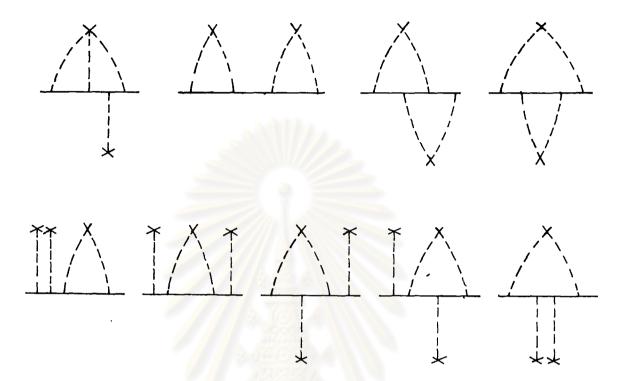












The generalization to n^{th} order correction which has 'n' interaction V () lines and n+1 propagators of the type 1 E-E j

(_____) lînes is as follows,

The total number of terms arising in the nth order perturbative series is the total number of distinct pictures having 'n' interaction lines and the correspondence is one-to-one. The nth order correction is obtained when we write down the analytic expressions for all the distinct graphs we can draw with the n interaction lines.

If we now represent $\langle i | \frac{1}{E-H} | i \rangle$ by \longrightarrow and $\langle i | \frac{1}{E-H} | i \rangle$

by \Longrightarrow , the perturbative series becomes graphically

These graphs can be divided into two groups.

- 1. Reducible graphs
- 2. Irreducible graphs

Reducible graphs are the graph which can be separated into two parts by cutting one propagation line (horizontal line).

Trreducible graphs are the graph which cannot be cut into two parts by cutting propagation line.

The self-energy Σ are the summation of all irreducible graphs in equation (I.26)

Thus Σ I.27 etc

The self-energy Σ = one vertex series + two vertex series + three vertex series +

where the one vertex series are Σ_1 , the two vertex series are Σ_2 . Thus

 Σ one vertex = Σ_1 = Σ_1 = Σ_2 Σ_3 Σ_4 Σ_4 Σ_5 Σ_6 Σ

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