การสังเคราะห์โครงสร้างระดับนาโนเมตรของทองคำที่ซับซ้อนโดยเหนี่ยวนำด้วยไอออนเงิน

นายอภิชาติ เพ็งดำ

บทคัดย่อและแฟ้มข้อมูลฉบับเต็มของวิทยานิพนธ์ตั้งแต่ปีการศึกษา 2554 ที่ให้บริการในคลังปัญญาจุฬาฯ (CUIR) เป็นแฟ้มข้อมูลของนิสิตเจ้าของวิทยานิพนธ์ ที่ส่งผ่านทางบัณฑิตวิทยาลัย

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SYNTHESIS OF COMPLEX GOLD NANOSTRUCTURES INDUCED BY SILVER ION

Mr. Apichat Pangdam



จุฬาลงกรณ์มหาวิทยาลัย Chulalongkorn University

A Dissertation Submitted in Partial Fulfillment of the Requirements for the Degree of Doctor of Philosophy Program in Chemistry Department of Chemistry Faculty of Science Chulalongkorn University Academic Year 2016 Copyright of Chulalongkorn University

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อภิชาติ เพิ่งคำ : การสังเคราะห์โครงสร้างระดับนาโนเมตรของทองคำที่ซับซ้อนโดย เหนี่ ยวนำ ด้วย ไอออนเงิน (SYNTHESIS OF COMPLEX GOLD NANOSTRUCTURES INDUCED BY SILVER ION) อ.ที่ปรึกษา วิทยานิพนธ์หลัก: ศ. ดร. สนอง เอกสิทธิ์, อ.ที่ปรึกษาวิทยานิพนธ์ร่วม: รศ. ชูชาติ ธรรม เจริญ, 81 หน้า.

้ในงานวิจัยนี้ปฏิกิริยาที่มีความเฉพาะตัวของไอออนเงินถูกใช้ในการสังเคราะห์และการ ้ควบคุมความยาวของโครงสร้างระดับนาโนเมตรแบบหนาม ที่อยู่บนโครงสร้างทองกำระดับ ้ไมโครเมตรที่มีรูปร่างคล้ายหอยเม่น โครงสร้างที่ถูกพัฒนาขึ้นสามารถสังเคราะห์ได้ง่ายในขั้นตอน ้เดียวด้วยกระบวนการสังเคราะห์ที่ปราศจากการใช้สารปกป้องพื้นผิวและสารช่วยเสถียร สารเคมีที่ ใช้ในการสังเคราะห์ประกอบด้วย เตตระคลอโรออริก (III) แอซิด ซิลเวอร์ไนเตรท และไฮโครเจน เปอร์ออกไซด์ ซึ่งไฮโครเจนเปอร์ออกไซด์ทำหน้าที่เป็นตัวรีดิวซ์เพียงสารเดียว ขณะที่ไอออนเงินที่ ้ความเข้มข้นต่ำมากทำหน้าที่สำคัญในการควบคุมโครงสร้าง กรณีที่ไม่มีการเติมไอออนเงินใน กระบวนการสังเคราะห์ โครงสร้างทองคำระดับใมโครเมตรคล้ายทรงกลมจะปรากฎขึ้น จาก การศึกษาการเปลี่ยนแปลงของโครงสร้างเทียบกับเวลาที่ใช้ในการสังเคราะห์ด้วยกล้องจุลทรรศน์ อิเล็กตรอนแบบส่องกราด พบว่า โครงสร้างทองคำระดับ ใม โครเมตรที่มีรูปร่างคล้ายหอยเม่น และ โครงสร้างคล้ายทรงกลม มีความเกี่ยวข้องและพัฒนามาจากโครงสร้างเคียวกันคือโครงสร้างทองคำ ในระดับไมโครเมตรคล้ายดอกไม้ โดยไอออนเงินที่ถูกเติมเข้าไปในกระบวนการสังเคราะห์ สามารถเกิคการดูคซับทางกายภาพบนผิวของทองกำและเปลี่ยนเป็นซิลเวอร์คลอไรค์ในรูปของแข็ง ้ที่มีความสามารถในการยับยั้งการโตแบบเดนไดรต์ ส่งผลให้โครงสร้างหนามที่ยื่นออกมาพัฒนา เป็นโครงสร้างที่มีรูปร่างคล้ายหอยเม่น โครงสร้างที่ถูกพัฒนาในข้างต้นมีพื้นผิวที่สะอาด ให้ ้สัญญาณรามานที่สูงเมื่อใช้เป็นวัสคุรองรับในเทคนิกพื้นผิวขยายสัญญาณรามาน พบว่าความยาว เฉลี่ยของโครงสร้างระดับนาโนเมตรแบบหนาม คือ 166 และ 187 นาโนเมตร สามารถช่วยเพิ่ม ้สัญญานรามานในการตรวจวิเคราะห์สาร โรตามีน 6จี ความเข้มข้น 0.1 นาโนโมลาร์ ในระยะเวลา การเตรียมตัวอย่างที่สั้นคือ 15 นาที นอกจากนี้ โครงสร้างทองคำระดับไมโครเมตรที่มีลักษณะ ้คล้ายหอยเม่น สามารถค้นหาตำแหน่งของตัวอย่างที่สนใจได้ง่ายภายใต้กล้องจลทรรศน์ ช่วยในการ พิสูงน์เอกลักษณ์ทางเคมีได้ทันทีด้วยเทคนิคพื้นผิวขยายสัญญาณรามาน

ภาควิชา	เคมี	ลายมือชื่อนิสิต
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KEYWORDS: SILVER IONS / DENDRITIC GROWTH / SERS / LSPR / URCHIN-LIKE GOLD MICROSTRUCTURES

APICHAT PANGDAM: SYNTHESIS OF COMPLEX GOLD NANOSTRUCTURES INDUCED BY SILVER ION. ADVISOR: PROF. SANONG EKGASIT, Ph.D., CO-ADVISOR: ASSOC. PROF. CHUCHAAT THAMMACHAROEN, 81 pp.

In this work, we exploited a unique reaction of silver ions to create and design urchin-like gold microstructures (UL-AuMSs) with controllable length of nanothorns. The UL-AuMSs were synthesized via a simple one-pot synthetic protocol without using any surface capping agents or polymeric stabilizers. The reactants consisted of tetrachloroauric (III) acid, silver nitrate, and hydrogen peroxide (H₂O₂). H₂O₂ functioned as the sole reducing agent while trace silver ions played an important role as the shape controlling agent. Without trace silver ions, quasi-sphere gold microstructures (QS-AuMSs) were obtained. The time-dependent SEM investigations corroborated that UL-AuMSs and QS-AuMSs grew from flower-like gold microstructures (FL-AuMSs). The added trace silver ions reacted with physically adsorbed chloride ions on gold surfaces and formed into solid silver chloride capable of altering the dendritic growth of QS-AuMSs to the dominated trunk-growth of UL-AuMSs. The cleaned UL-AuMSs with average thorn lengths of 166 and 187 nm expressed high SERS activity as 0.1 nM of Rhodamine 6G could be detected with a short 15-min incubation. The easily visualized UL-AuMSs under a microscope assisting the SERS detection at selected spots was demonstrated.

Department:ChemistryField of Study:ChemistryAcademic Year:2016

Student's Signature	-
Advisor's Signature	
Co-Advisor's Signature	_

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LIST OF SYMBOLS

- ω_0 : Initial frequency
- $\omega_{\rm R}$: Raman scattered frequency
- E_{loc} : Local electric field
- E₀ : Electric field form absent nanoparticles
- $G_1(\omega_0)$: Enhancement factors from initial frequency
- $G_2(\omega_R)$: Enhancement factors from Raman scattered frequency



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LIST OF ABBREVIATIONS

EDX : Energy-dispersive X-ray spectroscopy SERS : Surface enhanced Raman scattering LSPR : Localized surface plasmon resonance SAED : Selected area electron diffraction SEI : Secondary electron imaging DLA : Diffusion-limited aggregation PEDOT: PSS : Poly(3,4-ethylenedioxythiophene) polystyrene sulfonate : Polycyclic aromatic hydrocarbons **PAHs** R6G : Rhodamine 6G RhB : Rhodamine B PATP : *p*-aminothiophenol CTAB : Cetyltrimethylammonium bromide : Scanning electron microscope SEM TEM : Transmission electron microscope : High-resolution transmission electron microscope HRTEM AuNPs : Gold nanoparticles QS-AuMSs : Quasi-sphere gold microstructures **UL-AuMSs** : Urchin-like gold microstructures FL-AuMSs : Flower-like gold microstructures HS-AuMSs : Hemi-spherical gold microstructures UL-AuNPs : Urchin-like gold nanoparticles

CHAPTER I INTRODUCTION

1.1 Research background and rationale

Multipod gold nanostructures such as dendritic gold nanoparticles, [1-3] gold nanostars, [4-6] and urchin-like gold nanoparticles [7-9] have gained considerable attention as their unique nano-enable properties could be tuned by the manipulations of the number, size, and length of the pods. [10] The branch structures have exceptionally strong electric field (hot spot) at the tips and gaps between pods. [11, 12] The multipod gold nanostructures were successfully employed as SERS substrates for trace analysis of *p*-aminothiophenol, [13] *p*-mercaptobenzoic acid [14] and lucigenin. [15] Several chemical-based synthetic approaches for generating multipod gold nanostructures were developed, including electrochemical, [16] galvanic replacement, [17] and seedmediation. [17-21] The chemical processes have attracted great interests as they are versatile and effective. The complex gold microstructures were selectively produced using various reducing agents and stabilizers. The reducing agents such as hydroxylamine hydrochloride [17, 18, 21] and ascorbic acid [19, 20] were employed. The particle size and shape were controlled by capping agents or stabilizers. Several researchers employed silver ions (Ag⁺) [17-21] and organic stabilizer (*i.e.*, cetyltrimethylammonium bromide (CTAB)) [19, 20] for multipod nanostructures fabrications.

In this study, we exploited the unique reaction of trace Ag⁺ on designing urchinlike gold microstructures (UL-AuMSs) with controllable length of nanothorns. The organic capping agents or polymeric stabilizers were not employed in this work. We also confirmed the governing mechanism of trace Ag^+ on the formation and growth of nanothorns. Hydrogen peroxide (H₂O₂) was employed as the sole reducing agent while trace Ag^+ was used as a shape-controlling agent. We took advantage of the selectively surface passivation of chloride ions (Cl⁻) on gold surface for the formation of solid silver chloride (AgCl) when the trace Ag^+ was added. The adsorbed solid AgCl on gold surface altered the growth mechanism of the UL-AuMSs. Systematic time-dependent SEM investigations were performed in order to gain an insight understanding on the growth mechanism of the thorn structures. By changing the concentration of trace Ag^+ , we could selectively tune the length of nanothorn. A potential application of UL-AuMSs as a powerful SERS substrate was explored.

1.2 Objectives

To develop synthesis protocol for complex gold microstructure with gold nanothorns using silver ions as the shape controlling agent.

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- 1.3 Scope of the dissertation
- 1.3.1 Study the optimum condition for complex gold microstructure with gold nanothorns using silver ions as shape controlling agent.
- 1.3.2 Study the morphology of complex gold microstructure with gold nanothorns and explain the growth mechanism.
- 1.3.3 Demonstrate the application of complex gold microstructure with gold nanothorns as substrate for surface enhanced Raman substrate (SERS) for trace chemical analysis.

1.4 Benefits of the dissertation

- 1.4.1 Understanding of the growth mechanism of complex gold microstructure with gold nanothorns on the surface is necessary for structural synthesis control.
- 1.4.2 Using complex gold microstructure with gold nanothorns as surface enhanced Raman substrate (SERS) is widely applied for trace chemical analysis under Raman microscope.



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CHAPTER II THEORETICAL BACKGROUND

2.1 Nanoscience and Nanotechnology

Nanotechnology is the multidisciplinary researches covering science, engineering, and technology to control size and shape of material at the nanometer scale as shown in Figure 2-1. The novel properties of nanomaterials are different from their bulks material due to highly increasing of surface area and confinement of electrons. [22]



Figure 2-1 Multidisciplinary researches for nanoscience and nanotechnology. Reprinted (adapted) with permission from [22] Copyright (2013) Royal Society of Chemistry.

Via nanoscience and nanotechnology, the particle size of nanomaterials was controlled in the range of 1-100 nm in any dimension. Nanomaterials or nanoparticles had been emerged with unique physical and chemical properties which can be used in diverse applications in many areas such as chemistry, biology, physics, materials science, and engineering. [23]

2.2 Gold nanoparticles and applications

Gold nanoparticles (AuNPs) are one of the most studied nanomaterials due to their remarkable chemical and physical properties such as superior catalytic activity, [25, 26] electrical conductivity, [27, 28] surface functionality, [29, 30] and optical property. [31, 32] with exceptional stability under diverse environments [24] Especially, the optical property of AuNPs is widely applied to many research fields, which strongly depend on their size and shape, as shown in Figures 2-2 and 2-3. This could be explained by localized surface plasmon resonance (LSPR).



Figure 2-2 The UV-Vis spectra and TEM micrographs for (0) spherical gold nanoparticles and (1–5) gold nanorods at different aspect ratio. Reprinted (adapted) with permission from [33] Copyright (2007) Royal Society of Chemistry.



Figure 2-3 TEM images and SAED patterns (insert figures) of different shapes of gold nanoplates synthesized under different reaction conditions (A) mixed nanoplates, (B) triangle-, (C) hexagon-, (D) pentagon-, and (E) star-shaped gold nanoplates. (F) UV/Vis spectra of gold nanoplates. Reprinted (adapted) with permission from [34] Copyright (2010) John Wiley and Sons.

2.3 Localized surface plasmon resonance (LSPR)

The relation of metal nanoparticles and electromagnetic field enhancement were thoroughly explained by localized surface plasmon resonance (LSPR), as shown in Figure 2-4. When the particle size of metal is reduced to nanoscale, electron on the surface of metal nanoparticles is localized by quantum-size effect. [35] The group of electron on metal nanoparticle surface or electron cloud can oscillate or move in specific direction. The oscillation of electron cloud is called plasmon oscillation. When incident photon interacts with surface of metal nanoparticles with a similar frequency of plasmon oscillation, an electromagnetic field will be enhanced by resonance frequency between photon and plasmon.



Figure 2-4 Schematic illustration of electron cloud oscillation generating localized surface plasmon resonance (LSPR) of metal nanospheres. Reprinted (adapted) with permission from [36] Copyright (2003) American Chemical Society.

This result can produce strong light absorption, high electric field enchantment and high thermal flux on metal nanoparticles which can be applied in color metric sensor, surface enhanced Raman scattering and thermal plasmonic. The plasmonic properties of AuNPs were widely used in many applications as shown in previous part due to precisely structural controllability, high durability in strong redox condition, ease of surface functionalization and optically tunable in visible region. The LSPR properties directly depend on morphology (particle size and shape) and surrounding medium. [36] Therefore, the basic knowledge of engineering nanostructure could be necessary for tuning plasmonic properties to meet the appropriated applications such as colorimetric hydration sensor for sport activities (Figure 2-5), [37] plasmonic heater in cancer therapy (Figure 2-6), [38] light trapping in solar cell (Figure 2-7), [39] and surface enhanced Raman scattering (SERS) substrate for selective detection of pollutants in real environments (Figure 2-8). [40]



Figure 2-5 Schematic illustration of the colorimetric dehydration sensor on aggregation of gold nanoparticles for sport activities. Reprinted (adapted) with permission from [37] Copyright (2016) Elsevier.



Figure 2-6 Schematic illustration of the gold nanorods at near-IR wavelengths leading to thermal cycloreversion of the tethered endoperoxides and yielding singlet oxygen for cancer therapy by plasmonic heating. Reprinted (adapted) with permission from [38] Copyright (2016) John Wiley and Sons.



Figure 2-7 Schematic illustration of scattering patterns in ultrathin c-Si/PEDOT:PSS hybrid solar cell (a) without gold nanoparticles, (b) spherical and (c) multispiked nanoparticles. Reprinted (adapted) with permission from [39] Copyright (2014) American Chemical Society.



Figure 2-8 Schematic illustration for the fabrication process of the 3D gold nanoparticles (AuNPs)/nickel foam as SERS substrate and their application for selective detection of poly aromatic hydrocarbons (PAHs) in real environments. Reprinted (adapted) with permission from [40] Copyright (2015) Royal Society of Chemistry.

2.4 Surface enhanced Raman spectroscopy (SERS)

Raman spectroscopy was widely used for trace chemical analysis in environment, food safety, forensic and medical diagnosis. The potential of Raman spectroscopy for chemical detection in analytical chemistry is from micromolar to single molecules. However, the signal obtained from Raman scattering is weak~0.001% of the incident photons producing Raman scattering but approximately 99.999% of incident light as Rayleigh scattering. In order to increase Raman signal, surface enhanced Raman spectroscopy (SERS) was developed. Raman signal-enhanced process on metal substrate is activated by chemical and electromagnetic effect. The electromagnetic enhancement is the main parameter to give a large Raman signal enhancement of 10¹⁴ times comparing with unenhanced signal. The electromagnetic effect on SERS phenomenal could be explained by LSPR on metal nanoparticles employed as substrate in SERS technique, as shown Figure 2-9. [41]

There are two processes to enhance electromagnetic field in SERS. Firstly, the local electromagnetic field enhancement is generated around metal nanoparticles by the incident photon with initial frequency (ω_0). Plasmonic activity serves as receiving optical antennae to convert the far field (incident photon) to the near field on surface of metal nanoparticles. Subsequently, the Raman scattered frequency (ω_R) form adsorbed Raman active molecules on metal surface were enhanced by near field, which are typically one to three orders of magnitude larger than those of the free molecules. The enhancement power in this step is relative to the square of the local electric field (E_{loc}) at ω_R . [42]



Figure 2-9 Principle of the surface enhanced Raman scattering. Reprinted (adapted) with permission from [42] Copyright (2016) Nature Publishing Group.

The enhancement factors of the first and second steps ($G_1(\omega_0)$ and $G_2(\omega_R)$, respectively) are approximately proportional to the fourth power of the enhancement of the local electric field (which E_{loc} and E_0 are the local electric fields in the presence and absence of nanoparticles, respectively), as shown in Eq. 2-1. [42]

$$G = G_1(\omega_0)G_2(\omega_R) = \frac{|E_{loc}(\omega_0)|^2 |E_{loc}(\omega_R)|^2}{|E_0(\omega_0)|^2 |E_0(\omega_R)|^2} \approx \frac{|E_{loc}(\omega_R)|^4}{|E_0(\omega_0)|^4}$$
(Eq. 2-1)

2.5 Synthesis of AuNPs

The synthesis protocols of AuNPs could be separated into top-down and bottom-up process as shown in Figure 2-10. The top-down process is the method that diminish size or volume of bulk materials to nanoparticles. On the other hand, bottomup processing is the method to accumulate atoms or molecules into nanoparticles. The particles size could be controlled by degree of diminishing or accumulating. [43] Generally, the synthetic protocols of AuNPs can be done in physical and chemical approach, respectively. [44]



Figure 2-10 Top-down and bottom-up approaches in nanotechnology. Reprinted (adapted) with permission from [43] Copyright (2012) Royal Society of Chemistry.

2.5.1 Synthesis of AuNPs in physical approach

In this approach, the bulk gold metal will be decreased its size and formed gold AuNPs with difference size and shape bymechanical process for example; the electronbeam lithography that made gold nanostructures for surface-enhanced Raman substrate (SERS) (Figure 2-11), [45] the thermal evaporation technique that fabricated the ultrathin gold nanoparticles for light trapping in organic photosensitive diodes (Figure 2-12), [46] and the laser ablation technique that synthesized gold nanoparticles in deionized water for simultaneous electrochemical detection (Figure 2-13). [47] These methods are high precision for fabricating 2D gold nanostructures. However, the physical methods have many disadvantages; (1) they are expensive, (2) they compose of several complicated steps and set-ups and (3) they could not synthesize 3D nanostructures.



Figure 2-11 Schematic of the processes for the fabrication of nanostructued SERS substrates. Reprinted (adapted) with permission from [45] Copyright (2012) Institute of Physics Publishing.



Figure 2-12 The schematic diagram for vacuum thermal evaporation technique to fabricate the ultrathin gold nanoparticles for light trapping in organic photosensitive diodes. Reprinted (adapted) with permission from [46] Copyright (2015) Royal Society of Chemistry.



Figure 2-13 Schematic illustration of laser ablating gold target in water for simultaneous electrochemical detection. Reprinted (adapted) with permission from [47] Copyright (2014) American Chemical Society.

2.5.2 Synthesis of AuNPs in chemical approach

Bottom-up process by chemical methods are main method in nanoscience and nanotechnology which produce fancy nanostructures such as one-dimensional (1D) nanowires (NWs), nanorods (NRs), and nanotubes (NTs), two-dimensional (2D) nanoplates, and three-dimensional (3D) hierarchical structures. [48] In the case of AuNPs, the redox reactions between tetrachloroauric (AuCl₄⁻) and reducing agent play important roles in engineering gold nanostructures. Many reducing agents have been used to produce fancy structures of AuNPs such as hydrogen peroxide (H₂O₂) (Figure 2-14), [49] ascorbic acid (C₆H₈O₆) (Figure 2-15), [50] and hydroquinone (C₆H₆O₂) (Figure 2-16). [51] AuCl₄⁻ is easily reduced by weak reducing agents due to the high reduction potential of AuCl₄⁻, as shown in Eqs. 2-2–2-4. [49-52]

$AuCl_4 + 3e^-$	→	$Au^0 + 4Cl^-$	$E^{o}_{re} = +1.002 V$	(Eq. 2-2)
H_2O_2	\rightarrow	$O_2 + 2H^+ + 2e^-$	$E_{ox}^{o} = -0.695 V$	(Eq. 2-3)
$C_6H_8O_6$	\rightarrow	$C_6H_6O_6+2H^++2e^-$	$E_{ox}^{o} = -0.553 V$	(Eq. 2-4)
$C_6H_6O_2$	→	$C_6H_4O_2 + 2H^+ + 2e^-$	$E^{o}_{ox} = -0.700 V$	(Eq. 2-4)
Size and shape of gold as well as other metal nanoparticles as shown in Table 2-1 can be controlled by many synthesis parameters such as reducing agents, metal precursors, solvent, capping agents, and polymeric species for surface protecting agents. These parameters have strong impacted on the crystal growth of metal nanostructures. [53]



Figure 2-14 TEM images of the nonionic fluorosurfactant-stabilized spherical AuNPs obtained from different concentrations of hydrogen peroxide (i) 0.03, (ii) 0.07, (iii) 0.2, and (iv) 0.6 M. Reprinted (adapted) with permission from [49] Copyright (2012) Royal Society of Chemistry.



Figure 2-15 TEM micrographs of gold nanorods prepared in the presence of n-alkyl trimethylammonium bromide (CnTAB) such as (a) C10TAB, (b) C12TAB, (c) C14TAB, and (d) C16TAB as capping agent and using ascorbic acid as reducing agent in growth solution. Reprinted (adapted) with permission from [50] Copyright (2003) American Chemical Society.



- Figure 2-16 TEM micrographs showing formation of gold nanostars using hydroquinone as reducing agent in growth solution. Reprinted (adapted) with permission from [51] Copyright (2011) Royal Society of Chemistry.
- **Table 2-1**A summary of different morphology of metal nanocrystals. Reprinted
(adapted) with permission from [53] Copyright (2009) Royal Society of
Chemistry.

Structures	Shapes	Schematic drawing	Metals					
Single- crystal	perfect/truncated cube ^[a]		Pd, Ag, Au, Pt, Cu, Rh, Bi, Fe					
	perfect/truncated octahedron ^[a]	🔶 😔	Pd, Ag, Au, Pt					
	perfect/truncated tetrahedron ^[a]		Ag, Au, Pt, Rh					
	rectangular bar		Pd, Ag, Pt					
	octagonal rod		Pd, Au, Fe, Co, Ni					
	rectangular or octagonal wire		Pd, In, Sn, Sb, Fe, Co					

Structures	Shapes	Schematic drawing	Metals
Singly twined	right bipyramid	\blacklozenge	Pd, Ag
	beam		Ag
Multiply twined	detahedron ^[a]		Pd, Ag, Au
	icosahedron ^[a]		Pd, Au
	five-fold twined pentagonal rod	Mar de	Pd, Ag, Au, Cu
	five-fold twined pentagonal wire		Ag, Au, Cu
	Triangular/hexagonal plate		Pd, Ag, Au, Cu, Pb, Bi, Co, Ni
	disc	0	Sn, Co

[a] Platonic solid

2.6 Growth mechanism of AuNPs

2.6.1 Homogeneous and heterogeneous nucleation

Nucleation is the process which nuclei (seeds) function as templates for crystal growth. Homogeneous nucleation is a situation where nuclei developed uniformly in the parent phase. On the other hand, heterogeneous nucleation is the formation of structure in homogeneities (containing surfaces, impurities, and grain boundaries). [54, 55] The process of homogeneous nucleation is considered thermodynamic process based on Gibbs free energy (Δ G) consisting of bulk free energy (Δ Gv) and surface free energy (σ). [54, 56]

In the homogeneous nucleation, at saturation concentration (C_s) as shown in Figure 2-17a, gold ions were reduced to gold atoms, and started to aggregate into gold clusters (nuclei) due to reducing free energy within the system. The nuclei assemble into nanocrystals or seeds. When the concentration of seeds reacts the highest concentration (C_s), seeds start to accumulate to nanoparticles. [53, 55] The ΔG of homogeneous nucleation (ΔG_{hom}) could calculate as follows: [54, 56]

$$\Delta G_{hom} = \sigma A + \Delta G_V V \qquad (Eq. 2-5)$$

Where ΔG_V , V, σ and A are bulk free energy per unit volume, volume, surface free energy per unit area, and surface area of the new phase, respectively. V and A depend on the shape of gold nuclei. [56] On the other hand, in the heterogeneous nucleation, if nucleation occurred in a system containing pre-synthesized nanocrystals or seeds, the ΔG can be significantly changed. [55] The ΔG of heterogeneous nucleation (ΔG_{het}) could calculate as follows: [54, 56]

$$\Delta G_{het} = \Delta G_{hom}(\frac{f}{2})$$
 (Eq. 2-6)

Normally, ΔG_{het} was less than ΔG_{hom} due to the term of energy reduction factor (f). If the new nuclei can wet with adding nanocrystal or seed, supersaturation concentration of nanocrystal or seed is not required for nucleation, as shown in Figure 2-17b. Nanopartilces in this system consume nanocrystal or seed at a concentration less than the supersaturated concentration for homogeneous nucleation. [55, 56]





2.6.2 LaMer Mechanism

This mechanism explain the formation of crystals by seperation the process into seed generation, nucleation and growth. The process of nucleation and growth were explined by the LaMer mechanisum, as shown in Figure 2-17a. (1) a rapid generation of gold atom produced large number of nuclei in solution. (2) number of nuclei were raplidly increase with a concomitant drop in the concentration of gold ions. (3) after the nucleation, the growth of particles were controlled by the diffusion process. [54]

2.6.3 Orientated attachment

The orientated attachment occures as two primary nanoparticles jointed together by the same crystalline facet, as shown in Figure 2-18. [57] The attached particles will adjustment and accumulation with each other until the crystallographic orientation of the two crystals matched. The two particles must be within 0.5-1.0 nm vicinity of each other for orientated attachment to occur. The Coulombic force was proposed as the major driving force for this attraction. [54, 58]





2.6.4 Crystal growth pattern

By LaMer growth mechanism, during the nucleation step, the maximum concentration of metal atom in system or supersaturation will control the crystal growth of metal nanoparticles. [53] That means the patterns of metal atom deposition on metal nanoparticles such as dislocation-driven, layer-by-layer and dendritic growth was controlled by the supersaturation as shown in Figure 2-19. [59]



Figure 2-19 Schematic illustrations for growth rate of different crystal growth pattern comparison with supersaturation concentrations. Reprinted (adapted) with permission from [59] Copyright (2015) Springer.

2.7 Dendritic growth

At high supersaturation (Figures 2-17a and 2-19), the dendritic growth mechanism is prominent for controlling the growth of crystal in the system. The high precursor flux at the crystal surface promoted non-equilibrium monomer aggregation. The rate of diffusion from the surrounding environment limits the crystal growth on surface or diffusion-limited aggregation (DLA). Moreover, kinks or corners of the crystal have the highest concentration flux between the high-supersaturation reaction media and the crystal surface. Therefore, the growth rate in these positions is the fastest, which usually generates crystals with rough surfaces and fractal patterns like snowflakes. [48] In dendritic gold nanostructures, these structures have the characteristics of trunk and brunches with single crystals with a (111) plane and grew angle $\sim 60^{\circ}$ which refers to the crystal growth along the (211) direction as shown in Figure 2-20. [60]

The dendritic gold nanostructures were widely used as substrate in surfaceenhanced Raman scattering (SERS) for trace chemical analysis because a large of electric field enhancement or "hot sport" was formed on tips and corners of dendritic structures. Many chemical compounds from low concentration to single molecules such as rhodamine 6G (R6G), [13] rhodamine B (RhB) [61] and *p*-aminothiophenol (PATP) [62] could be detected SERS signals by dendritic gold nanostructures. Moreover, dendritic gold nanostructures could change and develop to other structures such as flower-like, urchin-like and meat ball-like structure as shown in Figure 2-21. [62]



Figure 2-20 (a) A typical TEM image of trunk with symmetric leaves of dendritic gold nanostructure (the inset shows the corresponding SAED pattern).
(b) HRTEM of the area marked in (a). Reprinted (adapted) with permission from [60] Copyright (2011) American Chemical Society.



Figure 2-21SEM images of structural evolution of dendritic growth from (a)irregular shape to (b) urchin-like, (c-e) flower-like, and (f) meat ball-likegold nanostructures under variation concentration of shape controllingagents. Reprinted (adapted) with permission from [62] Copyright (2009)American Chemical Society.



CHAPTER III EXPERIMENT

3.1 Chemicals and materials

- 1. Ammonium hydroxide (NH₄OH), 25% (w/w), Merck (Thailand)
- 2. Hydrochloric acid (HCl), 37% (w/v), Merck (Thailand)
- 3. Sodium chloride (NaCl), Merck (Thailand)
- 4. Hydrogen peroxide (H₂O₂), 30% (w/w), Merck (Thailand)
- 5. Nitric acid (HNO₃), 25% (w/w), Merck (Thailand)
- 6. Sodium nitrate (NaNO₃), Merck (Thailand)
- 7. Silver nitrate (AgNO₃, purity \geq 99.8%), Merck (Thailand)
- 8. Tetrachloroauric (III) acid (HAuCl₄), Sigma-Aldrich
- 9. Sodium borohydride (NaBH₄), Merck (Thailand)
- 10. Rhodamine 6G (R6G), Sigma-Aldrich
- 11. Spherical gold nanoparticles (size~20 nm, O.D. =1), Sigma-Aldrich

All chemicals were analytical grade and were used as received. Deionized (DI) water was used as a solvent throughout this study. All glassware was cleaned with detergent and soaked in *aqua regia* for 30 min before thoroughly rinsing with DI water several times before using. A great caution was always implemented when dealing with the highly corrosive aqua regia: a mixture of concentrated HCl and HNO₃ at a volume ratio of 3:1.

3.2 Instruments

- 1. Ocean optics USB4000 fiber optic spectrometer
- 2. Hitachi Model H-7650 transmission electron microscope
- 3. JEOL JSM-6510 scanning electron microscope coupled with energy dispersive X-Ray (EDX) detector
- 4. Thermo scientific DXR Raman microscope

3.3 Synthesis of UL-AuMSs

UL-AuMSs were synthesized by a one-pot procedure with H_2O_2 as a reducing agent without a stabilizer as shown in Figure 3-1. Briefly, H_2O_2 solution (9 M, 3 mL) with added AgNO₃ (5–400 μ M) was rapidly syringe-injected into a test tube containing HAuCl₄ solution (0.5 M, 3 μ L). The mixture was vortexed for 20 s before leaving undisturbed. The yellow HAuCl₄ solution turned blue-green before precipitating as reddish-brown gold particles. During a 5-min reaction period, a liberation of small bubbles indicated a progress of the redox reaction. The precipitated gold particles were collected by decantation of supernatant. The particles were soaked in NH₄OH solution (5 M, 3 mL) under ultrasonic in order to remove AgCl film by changing into a watersoluble silver-amine complex. The gold particles were centrifugally separated before thoroughly washed with DI water several times.



Figure 3-1 Schematic illustration shows sequence of operations for the synthesis of UL-AuMSs: (A) loading of HAuCl₄, (B) injection of Ag⁺ containing H₂O₂, (C) vortex (D) precipitation and separation, (E) cleaning with NH₄OH.

3.4 SEM Characterization

All SEM images were taken under at high vacuum mode using acceleration voltages of 10–30 kV with secondary electron imaging (SEI) detector. Elemental analysis was carried out using an energy dispersive X-ray spectrometer (EDS). The gold microstructures were deposited onto a carbon tape attached on a stainless steel stub for SEM analysis. For time-dependent SEM investigation, the UL-AuMSs were rapidly transferred onto a carbon tape by a flash-flow technique. Briefly, at a predetermined time, an aliquot of 10 μ L of the reacting solution was dispensed onto a tilted carbon tape-covered stub. The UL-AuMSs stuck on the carbon tape was immediately dried by an air jet. The adhered UL-AuMSs were cleaned by a continuous flow of DI water. The specimen was vacuum dried before SEM imaging. The adhered particles on carbon tape were cleaned by a continuous flow of DI water and vacuum dried before further characterization.



Figure 3-2 A Schematic illustration of a flash-flow sampling of UL-AuMSs for time dependent SEM investigation: (A) deposition of UL-AuMSs on tilted stub, (B) air jet drying, (C) washing, and (D) vacuum dried.

3.5 SERS measurement

All SERS spectra were collected by Raman spectrometer using 50x objective with a spot size of ~1 μ m. Diode laser with 532 nm excitation wavelength at 0.1 mW was employed. The measurements were conducted with 1 s exposure time and 128exposure numbers. To prepare a specimen for SERS measurement, the NH₄OH-cleaned UL-AuMSs (~0.3 mg) were incubated in R6G solution (0.01 nM–1 μ M, 3 mL) for 15 min before centrifugal separation. The R6G-immobilized UL-AuMSs were cleaned with DI water twice in order to remove the un-bound R6G.The cleaned R6G-immobilized UL-AuMSs were suspended in deionized water before deposition on an aluminum foil or gold microplate-coated carbon tape for SERS measurement.

3.6 Monitoring the shape evolution of HS-AuMSs

Hemi-spherical gold microstructures (HS-AuMSs) were synthesized by the reduction of HAuCl₄ using H_2O_2 as a reducing agent without any stabilizer. A clear polyethylene box (5×5×0.5 cm) with mica sheet was set as shown in Figure 3-2. Then,

the test tube containing HAuCl₄ solution (0.5 M, 30 μ L) was rapidly syringe-injected into H₂O₂ solution (9 M, 3 mL). The mixture was vortexed for 20 s and suddenly transferred to setting box (Figure 3-3) before leaving undisturbed. The yellow HAuCl₄ solution turned blue-green before precipitating as reddish-brown gold particles. The gold particles deposited on mica sheet were rinsed by DI water several times before transferred onto carbon tape on a stainless steel stub for SEM analysis. The sampling spots on mica sheet (A-B, Figure 3-3) were 1-cm apart.



 Figure 3-3
 Schematic illustration for monitoring the shape evolution of HS-AuMSs.

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3.7 Synthesis of UL-AuNPs

Urchin-like gold nanoparticles (UL-AuNPs) were synthesized without a stabilizer via a one-pot procedure using H_2O_2 as a reducing agent. H_2O_2 (9 M, 3 mL) with 25 μ M of AgNO₃ was poured into a test tube containing HAuCl₄ (0.5 M, 0.3 μ L) and spherical gold nanoseeds (150 μ L). The yellow HAuCl₄ solutions transformed into a blue-green solution as UL-AuNPs were generated. The colloid was diluted to 0.1 mM by DI water for UV-Vis measurement. A solution of 1 μ L UL-AuNPs was deposited and dried on a carbon coated grid for TEM analysis.

CHAPTER IV RESULTS AND DISCUSSION

4.1 Reducing capability of H₂O₂

 H_2O_2 is well-known as oxidizing agent. However, under appropriate conditions, it can act as mild reducing agent. There are many reports demonstrated that H_2O_2 was employed as an efficient reducing agent for fabrication of gold nanosheets [52] and gold nanoparticles (AuNPs). [63] In this work, H_2O_2 was employed as the sole reducing agent for the design and manipulation of UL-AuMSs with trace Ag⁺ as the shape controlling agent (Figure 4-1). H_2O_2 can turn Au³⁺ as the pale yellow mixture of H_2O_2 and Au³⁺ into colorless of UL-AuMSs within 5 min. The reduction of HAuCl₄ by H_2O_2 in acidic condition was reported elsewhere as Eqs. 3-1–3-3. [52, 63, 64]

AuCl₄⁻ + 3e⁻
$$\rightarrow$$
 Au + 4Cl⁻ $E^{o}_{re} = + 1.002 V (Eq. 3-1)$
H₂O₂ \rightarrow O₂ + 2H⁺ + 2e⁻ $E^{o}_{ox} = -0.695 V (Eq. 3-2)$
2AuCl₄⁻ + 3H₂O₂ \rightarrow 2Au+3O₂ + 8Cl⁻+6H⁺ $E^{o}_{cell} = + 0.307 V (Eq. 3-3)$

The reddish-brown precipitate, which is a signature of gold micro particles [65], was quasi-sphere gold microstructures (QS-AuMSs) with average particle size of 1.8 μ m (Figure 4-1A). The high concentration of H₂O₂ facilitated a rapid reduction of Au³⁺ while the absent of stabilizer promoted the formation of the highly stable quasi-sphere gold microstructures. [63] However, H₂O₂ cannot reduce Ag⁺ into silver atom under an acidic condition. [66, 67] There was neither reaction nor product when silver nitrate was mixed with H₂O₂ (Figure 4-1B). When Au³⁺ solution was mixed with Ag⁺ solution, solid AgCl instantaneously precipitated as chain-like aggregates of ~0.2 µm primary

particles (Figure 4-1C). The chain-like structures were AgCl as it withstood HNO₃ but instantaneously dissolved in NH₄OH (data not shown). [68, 69] When a solution of H_2O_2 with trace Ag⁺ was mixed with a solution of Au³⁺, the pale yellow mixture of H_2O_2 and Au³⁺ turned colorless within 5 min with a concomitant precipitation of reddish-brown gold particles. The precipitants were ~1.8 µm UL-AuMSs with a uniform distribution of ~700 nm thorn on their surfaces (Figure 4-1D). Interestingly, the chain-like structures of AgCl were not observed in Figure 4-1D. Instead, AgCl film was detected on gold surface as confirmed by SEM-EDS measurements (Figure 4-2). The results in Figure 4-1 and Figure 4-2 suggested that Ag⁺ involved in the formation and growth of the thorn structures.



Figure 4-1 SEM images of products from the reaction of Au³⁺, Ag⁺, and H₂O₂: (A) QS-AuMSs, (B) No reaction, (C) chain-like AgCl microparticles, and (D) UL-AuMSs. The reaction ingredients are shown in the figures. Scale bars indicate 1 μm.



Figure 4-2 SEM images, EDS spectra, and elemental composition of UL-AuMSs:
(A) as-synthesized, (B) after cleaning with 5 M HNO₃ and (C) after cleaning with 5 M NH₄OH. The UL-AuMSs were synthesized using 0.5 mM Au³⁺, 9 M H₂O₂, and 25 µM Ag⁺. As confirmed by EDS spectra and elemental compositions, AgCl physically adsorbed on the surface of assynthesized UL-AuMSs. AgCl do not dissolve in HNO₃ of any concentration but effortlessly dissolves in NH₄OH.

4.2 The influences of Ag⁺ on UL-AuMSs

To gain an insight understanding on the roles of trace Ag^+ , firstly, we explore the optimal concentration of Au^{3+} and H_2O_2 for UL-AuMSs fabrication. Under a fixed concentration of Ag^+ at 25 μ M and low concentration of Au^{3+} at 0.5 mM, the UL-AuMSs were obtained (Figure 4-3A–3C). The particle size and thorn length increased with increasing H_2O_2 concentration. Note: the maximum concentration of commercially available analytical grade H_2O_2 is 30% w/w (~9.8 M). At higher concentrations of Au^{3+} (2.5 and 5.0 mM) the flower-like gold microstructures (FL-AuMSs) were obtained (Figure 4-3D–3I). The complexity of the flower-like structures increased with increasing H_2O_2 concentration.



Figure 4-3 SEM images show variation of gold microstructures at various concentrations of Au^{3+} and H_2O_2 under a fixed concentration of Ag^+ (25 μ M). The reaction ingredients are shown in the figures. Scale bars indicate 2 μ m.

At high concentration of H_2O_2 (9 M), the reactions completed within 5 min confirmed by clear solution of supernatant after adding NaBH₄, while those at lower concentrations (0.5 and 1 M) completed within 30 min. The QS-AuMSs were always obtained in the systems without Ag⁺ as shown in Figure 4-4. The quasi-spherical shape synthesized at 3 and 9 M of H_2O_2 with narrow size distribution suggests a complete separation of the nucleation and growth periods. [70, 71]



Figure 4-4 SEM images show variation of gold microstructures at various concentrations of Au^{3+} and H_2O_2 . The reaction ingredients are shown in the figures. Scale bars indicate 2 μ m.

Based on the microstructure shown in Figure 4-3C, a high concentration of H_2O_2 (9 M) and low concentration of Au^{3+} (0.5 mM) were necessary for the fabrication of UL-AuMSs. Gold microstructures as FL-AuMSs synthesized at low concentration of H_2O_2 (1 and 3 M) were obtained in Figure 4-5A and 4-5B, respectively. When a moderate concentration of H_2O_2 (7 M) was employed, gold microstructures with petals and thorns were produced (Figure 4-5C). As a result, we used 9 M H_2O_2 and 0.5 mM Au³⁺ with different concentrations of Ag⁺ for our further investigation.



Figure 4-5 SEM images of gold microstructures synthesized using (A) 1 M, (B) 3 M and (C) 7 M H₂O₂. The concentration of Au³⁺ and Ag⁺ were kept constant at 0.5 mM and 25 μ M, respectively. These microstructures contained both petals and nanothorns.

Figure 4-6 shows structural evolution of UL-AuMSs as the concentration of Ag⁺ was increased up to 300 μ M. The thorn structures developed when Ag⁺ was presented. Without Ag⁺, QS-AuMSs with an average particle size of 1.8 μ m were generated (Figure 4-6A). When Ag⁺ concentrations were increased, UL-AuMSs of smaller particle sizes and shorter thorn lengths were obtained. The UL-AuMSs with a maximum particle size of ~1.8 μ m and thorn length of ~700 nm were produced using 25 μ M Ag⁺ (Figure 4-6B). At this concentration, solid AgCl was not observed with the precipitated UL-AuMSs. Interestingly, the particle sizes and thorn lengths decreased with an increase of Ag⁺ concentration. At 300 μ M Ag⁺ (Figure 4-6I), small UL-AuMSs with a formation of solid AgCl. At 400 μ M Ag⁺, a milky white AgCl colloid was obtained without any UL-AuMSs.



Figure 4-6 SEM images show structural change of UL-AuMSs as the concentration of Ag⁺ was increased: (A) 0, (B) 25, (C) 50, (D) 75, (E) 100, (F) 150, (G) 200, (H) 250 and (I) 300 μM. The concentration of Au³⁺ and H₂O₂ were kept constant at 0.5 mM and 9 M, respectively. The insets show enlarged images. Scale bars indicate 3 μm.

After a dissolution of solid AgCl by NH₄OH, the colloid turned bluish indicating the existence of large gold nanospheres. At relatively low concentrations of Ag⁺ (5 and 10 μ M), QS-AuMSs with small buds (short thorns) were developed (Figure 4-7). The observed phenomena suggested that trace Ag⁺ induced the formation of thorn structures. However, a high concentration of Ag⁺ (at least 25 μ M) was necessary for the formation of long nanothorns. From the results as shown in Figure 4-6 and Figure 4-7, Ag⁺ did not only initiate the formation of thorn structures but also restrict the elongation of thorn at high concentration (*i.e.*, greater than 25 μ M). Table 4-1 summarizes the particle sizes, thorn lengths and number of thorns per particle of the synthesized UL-AuMSs.



Figure 4-7 SEM images of gold microstructure synthesized using (A) 0, (B) 5, (C) 10, and (D) 25 μ M Ag⁺ as the shape controlling agent. The concentrations of Au³⁺ and H₂O₂ were kept constant at 0.5 mM and 9 M, respectively.

Fig.	[Ag ⁺] (µM)	Particle diameter (d, nm)	Thorn length (l, nm)	Number of thorns per particle
А	0	1855±330	-	-
В	25	1799±317	708±127	64±13
С	50	1330±314	638±130	52±8
D	75	550±81	288±47	40±5
Е	100	410±55	187±43	26±5
F	150	389±60	166±40	14±5
G	200	240±34	99±26	11±2
Н	250	193±35	60±10	10±2
Ι	300	129±26	32±6	4±2

Table 4-1Average diameters and average thorn lengths of UL-AuMSs shown inFigure 4-6. (The data were calculated from 150 particles).

4.3 The growth mechanism of UL-AuMSs

To follow the development of QS-AuMSs and UL-AuMSs, we performed timedependent SEM investigations using a flash-flow deposition technique to attach the growing microstructures onto carbon tapes. The sample synthesized under the same condition of Figure 4-6B (0.5 mM Au^{3+} , 9 M H₂O₂, and 25 μ M Ag⁺) was investigated since it provided UL-AuMSs with the longest thorn length. In the system without Ag⁺, the small FL-AuMSs (Figure 4-8A1) grew into more complexes and bigger flower-like structures (Figure 4-8A2 and 8A3).



Figure 4-8 SEM images show time-dependent structural evolution of gold microstructures: (A) QS-AuMSs without Ag⁺ and (B) UL-AuMSs with 25 μ M Ag⁺. The concentration of Au³⁺ and H₂O₂ were kept constant at 0.5 mM and 9 M, respectively. The scale bars indicate 1 μ m.

The small FL-AuMSs perpendicularly grew on a basal plane. The development of perpendicular petal structures was due to the stress relief mechanism *via* an introduction of structure with large lattice mismatch. [72, 73] The further growth induced an aggregated attachment and deposition of AuNPs to fill the gaps between petals (Figures 4-8A4 and 4-8A5). Finally, the QS-AuMSs were obtained while the gaps were fully filled (Figure 4-8A6). Surprisingly, in the system with trace Ag⁺, we found that UL-AuMSs were also developed from small FL-AuMSs (Figures 4-8B1 and 4-8B2). The TEM images and SAED suggested that the petals of small FL-AuMSs were gold nanosheets with (111) basal planes (Figure 4-9). The crystal facets are directly related with interplanar spacing (d) which calculated from distance between two bright spots of SAED pattern (R) as follows:

$$d = \frac{2}{R}$$
 (Eq. 3-4)

The d values were calculated form SAED pattern (Figures 4-9A–C (inserted figures)) as ~2.4 Å that is characteristic of (111) lattice spacing of the fcc Au crystal. [13, 60]



Figure 4-9 TEM images with insert SAED images of gold nanopetals after a 40-s reaction time from the systems: (A) without Ag^+ and (B) with 25 μ M Ag^+ . (C) A gold nanothorn after a 140-s reaction time from the system with 25 μ M Ag^+ . The concentration of Au^{3+} and H_2O_2 were 0.5 mM and 9 M, respectively.

The small petals developed into thorn structure due to the deposition of AuNPs at the tip of the trunks instead of filling in the gaps or bound onto the (111) facets. The deposited AuNPs underwent via aggregated attachment, grains rotation, and grains relaxation (Figure 4-10) [74] as the thorn grew under an assistance of Ag^+ .





Within 140 s, FL-AuMSs transformed into fully developed UL-AuMSs (Figures 4-8B3–B6). SEM-EDS images suggested that the UL-AuMSs were covered by solid AgCl (Figure 4-11). It was proven that Cl⁻ is preferentially adsorbed on Au (111) facets especially for those of nanoplates and nanosheets. [75, 76] The added Ag⁺ reacted with Cl⁻ and formed solid AgCl which physically adsorbed on the surface of the petals/thorns. [17, 18] The adsorbed AgCl altered the growth pathway of petals as it prevented the deposition of AuNPs on Au (111) facets. The TEM images and SAED

confirmed that the nanopetals and nanothorns were covered with (111) facets (Figure 4-9).



Figure 4-11 (A) An SEM image of UL-AuMSs and the corresponding EDS elemental maps of (B) Au, (C) Ag, and (D) Cl. A good correlation between Agmap and Cl-map confirm the formation of AgCl on the gold surface. The UL-AuMSs were synthesized using 0.5 mM Au³⁺, 9 M H₂O₂, and 100 μ M Ag⁺.

AgCl seemed to play a major role on the development and growth of the nanothorn structures. Without Ag⁺, Figure 4-12A, the nanopetals showed a unique dendritic growth pattern of branches on (111) facets in <211> directions with the angle of 60° to the main trunk. [13, 60, 62, 74] When a trace Ag⁺ (25 µM) was added, Figure 4-12B, the dendritic branches were shorter and almost disappeared. The SEM image

suggests that trace Ag⁺ altered the growth pathway of gold microstructures by preventing the proliferation of the branch structures.



Figure 4-12 SEM images of (A) nanopetals (without Ag^+) and nanothorns with (B) 25 μ M, (C) 75 μ M, and (D) 150 μ M Ag^+ . The samples were collected after a 100-s reaction time. The concentrations of Au^{3+} and H_2O_2 were kept constant at 0.5 mM and 9 M, respectively. The scale bars indicate 0.3 μ m.

When Ag^+ concentrations were increased to 75 μ M (Figure 4-12C) and 150 μ M (Figure 4-12D), the branches were completely disappeared while the trunks were substantially shortened. As the concentration of Ag^+ was further increased, the particle size became smaller and thorn length was shorter (Figure 4-6 and Table 4-1). When the concentration of Ag^+ was extremely high (300 μ M, Figure 4-6I), the thorns were almost disappeared. The observed phenomena confirmed the role of physically adsorbed AgCl

on inhibiting dendritic growth by preventing the aggregated attachment of AuNPs on the branch structures.

To demonstrate idea about physical adsorption of metal chloride, lead ion (Pb²⁺) was used for inducing solid lead chloride (PbCl₂) that compared with Ag⁺ as shown in Figure 4-13. The results showed that Pb²⁺ could promote only FL-AuMSs and have ability to inhibit dendritic growth less than Ag⁺at the same loading. We assumed that solubility of AgCl and PbCl₂ played an importance role on controlling the development and growth of the nanothorn structures as shown in equation Eqs. 3-5 and 3-6:

$$Ag^+_{(aq)}$$
+ $Cl^-_{(aq)}$ \rightleftharpoons $AgCl_{(s)}$ $K_{sp} = 1.8 \times 10^{-10}$ (Eq. 3-5) $Pb^{2+}_{(aq)}$ + $2Cl^-_{(aq)}$ \rightleftharpoons $PbCl_{2(s)}$ $K_{sp} = 5.89 \times 10^{-5}$ (Eq. 3-5)

The solubility products (K_{sp}) of AgCl and PbCl₂ suggest that AgCl could change to solid form at lower concentration than PbCl₂ due to the lower K_{sp} . Therefore, Ag⁺ is converted into solid AgCl that could inhibit dendritic growth but Pb²⁺cannot.

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Figure 4-13 SEM images show variation of gold microstructures at various concentrations of Ag^+ and Pb^{2+} under a fixed concentration of Au^{3+} and H_2O_2 (0.5 mM and 9 M). The reaction ingredients are shown in the figures. Scale bars indicate 1 μ m.

We proposed the formation and growth mechanism of QS-AuMSs and UL-AuMSs as shown in Scheme 4-1. A trace Ag^+ alters the growth of dendritic structures as it forms solid AgCl particles physically adsorbed onto gold surfaces and limited crystal growth in <211> direction. At a low concentration of Ag^+ (25 µM), the growth of branches was inhibited while the trunks grew into long nanothorns. A higher concentration of Ag^+ (50–150 µM) suppressed the growth of both branches and trunks while UL-AuMSs with shorter nanothorns were obtained. At a relatively high concentration of Ag^+ (greater than 200 µM), gold microstructure with small buds were obtained.



Scheme 4-1 Proposed growth mechanisms of (A) QS-AuMSs and (B) UL-AuMSs. Both QS-AuMSs and UL-AuMSs developed from FL-AuMSs.

4.4 The influences of pH on UL-AuMSs

The reduction efficiency of H_2O_2 is strongly depended on pH which is under acidic and alkaline conditions as shown in Eqs. 3-7 and 3-8, respectively.

$$H_2O_2 \rightarrow O_2 + 2H^+ + 2e^ E^o_{ox} = -0.695 V$$
 (Eq. 3-7)

$$H_2O_2 + 2OH^- \rightarrow O_2 + 2H_2O + 2e^ E^o_{ox} = -0.146 V$$
 (Eq. 3-8)

The reduction efficiency of H_2O_2 has directly affected on dendritic growth of UL-AuMSs which favors the weak reducing environment system under a kinetically control condition. [19] In this work, pH of H_2O_2 was adjusted by adding 0.1 M HNO₃ for acidic condition and 0.1 M NaOH for alkaline conditions. The final pH of H_2O_2 was ~1 and 9 after adding HCl and NaOH.

The large gold microplates were generated under the acidic condition (pH 1) as shown in Figure 4-14A1 and A2. Normally, 9 M H₂O₂ have pH ~5 that is suitable pH for producing QS-AuMSs and UL-AuMSs as shown in Figure 4-14B1 and B2. The results suggest that acidic condition of H₂O₂ promotes the growth more than the nucleation [53, 61] due to the low reduction efficiency of H₂O₂ under acidic environment. [66, 67] However, the size and surface morphology of gold microplates with Ag⁺ (Figure 4-14A2) show the smaller size and smoother surface than those without Ag⁺ (Figure 4-14A1) because of physical absorption and surface protection of AgCl on gold surface. [17, 18] At high alkaline condition (pH ~9), gold and silver nanoparticles could be generated by reduction of H₂O₂ as shown in Figure 4-14C1 and C2. [63, 67] In this work, without Ag⁺, the spherical gold nanoparticles with the size of 30–50 nm and red colloid were obtained (Figure 4-14C1).



Figure 4-14 SEM images of gold microstructure with and without Ag⁺ (25 μ M) at difference pH: (A) pH 1, (B) pH 5, and (C) pH 9. The concentrations of Au³⁺ and H₂O₂ were kept constant at 0.5 mM and 9 M, respectively.

The spherical shape and small particles were controlled by thermodynamic control under high reducing efficiency [53, 61]. However, with Ag⁺, spherical particles of 50–80 nm were synthesized (Figure 4-14C2) while large number of bubbles were released from colloid with red color (data not shown). These phenomena confirm the presence of gold nanoparticles and silver nanoparticles by the observation of red color

from plasmonic light absorption of gold nanoparticles and O_2 form catalytic decomposition of H_2O_2 on silver nanoparticles. [63, 67] The reaction at high alkaline condition (pH > 9) had been avoided due to high exothermic and possible explosion due to alkaline decomposition of H_2O_2 .

4.5 The influences of Cl⁻ and NO₃⁻ on UL-AuMSs

The chloride ions (Cl⁻) and nitrate ion (NO₃⁻) are counter-ions from HAuCl₄ and AgNO₃. To observe the effect of these ions, adding Cl⁻ from NaCl and NO₃⁻ from NaNO₃ were investigated. At low concentrations (10 μ M–1000 μ M), both ions could not effect on growth pattern of UL-AuMSs and QS-AuMSs (data not shown). However, a high concentration of 0.1 M Cl⁻ (Figure 4-15B1 and B2) induced FL-AuMSs by Cl⁻ adsorption on gold surface. [75, 76] On the other hand, 0.1 M NO₃⁻ (Figure 4-15C1 and C2) shows insignificant effect on QS-AuMSs and UL-AuMSs because of low ability NO₃⁻ adsorption on gold surface. [77, 78]

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4.6 The influences of temperature on UL-AuMSs

The reaction temperatures were observed at 20°, 28° (room temperature), and 40° C. The results suggest that the variation of temperature shows insignificant effect on structural changing of QS-AuMSs and UL-AuMSs from without and with Ag⁺ in systems (Figure 4-16). However, the decrease of particle sizes both QS-AuMSs and UL-AuMSs were observed when temperature was increased. These results can be explained by free energy of nucleation step. [61] The low temperature can reduce free energy in system that prolongs the completed reduction time. Therefore, slower nucleation and growth induced the formation of larger particles.



Figure 4-15 SEM images of gold microstructure with and without Ag⁺ (25 μ M) at difference counter-ion types: (A) without added counter-ions, (B) added Cl⁻, and (C) added NO₃⁻. The concentrations of Au³⁺ and H₂O₂ were kept constant at 0.5 mM and 9 M, respectively. The scale bars indicate 3 μ m.



Figure 4-16 SEM images of gold microstructure with and without Ag^+ (25 μ M) at difference temperature: (A) 20°, (B) 28°, and (C) 40° C. The concentrations of Au^{3+} and H_2O_2 were kept constant at 0.5 mM and 9 M, respectively. The scale bars indicate 1 μ m.
4.7 SERS application

The synthesized UL-AuMSs could be employed as an efficient SERS substrate since they contain numerous sharp tips and narrow voids between nanothorns. As shown in Figure 4-6, a film of assembled UL-AuMSs contains additional voids and narrow gaps due to the intertwined nanothorns. The assembled UL-AuMSs should contain large number of hot spots with substantial SERS enhancement. [60, 79-81] To make an SERS substrate from UL-AuMSs, the residual AgCl film was removed by dissolving in NH₄OH solution. The AgCl-free UL-AuMSs was incubated in 1 µM R6G for 15 min before thoroughly rinsing with DI water to remove the un-immobilized R6G. The R6G-immobilized UL-AuMSs were assembled into a monolayer film on an aluminum substrate before acquiring SERS spectra, as shown Figure 4-17. Surprisingly, UL-AuMSs with the longest thorn length do not give the highest SERS signal (Figure 4-6B). The UL-AuMSs with very long thorns (Figure 4-6B (708 nm), 6C (638 nm), and 6D (288 nm)) and short thorns (Figure 4-6G (99 nm), 6H (60 nm), and 6I (32 nm)) gave SERS signal of the same magnitude as the QS-AuMSs (Figure 4-6A). UL-AuMSs with moderate thorn lengths shown in Figure 4-6E (187 nm) and 6F (166 nm), on the other hand, provided the highest SERS signals.

According to SEM images shown in Figure 4-6, inter-particle distances of UL-AuMSs did not play any role on the SERS enhancement since those with short distance (Figure 4-6G–I) gave the same signal as those with long distance (Figure 4-6B–D). Moreover, the intertwined thorns did not show any significant improvement since UL-AuMSs with long thorns (Figure 4-6B–D) gave smaller SERS enhancement than that of shorter thorns (Figure 4-6E and 6F).



Figure 4-17 (a) SERS spectra of R6G (1 μ M) immobilized on UL-AuMSs. (b) SERS signals at 1360 cm⁻¹ (C-C aromatic stretching) of immobilized R6G. (c) Particle diameters and thorn lengths of UL-AuMSs obtained at various Ag⁺ concentrations. The results with QS-AuMSs ([Ag⁺] = 0 μ M) under the same condition were added for comparison. All bare UL-AuMSs substrates do not give any SERS signal. A–I represent QS-AuMSs and UL-AuMSs shown in Figure 4-6 and Table 4-1.

Although the particle sizes were relatively large compared to those of nanospheres in previous reports, [82, 83] the enhancement of the UL-AuMSs originated from their surface morphologies containing thorns of optimal size and shape. The thorn length of 187 nm and 166 nm seem to provide the suitable conditions for the formation of hot spots (*i.e.*, thorn length, thorn sharpness, and inter-thorn gaps). [60, 79-81] Smaller UL-AuMSs (Figure4-6G–I) had thorns of decreased sharpness and less inter-thorn gaps (Figure 4-18). A high SERS enhancement of our UL-AuMSs was realized when it was employed as a substrate for trace R6G in water. The Raman band assignments of R6G showe in Table 4-2. [84] With rapid 15-min incubation in an analyte before a thorough rinsing, a relatively low concentration of 0.1 nM R6G can be detected (Figure 4-19).



Figure 4-18 Enlarged SEM images of the inserts in Figure 4-6.



Table 4-2Raman band assignments of R6G. [84]

Figure 4-19 SERS spectra show the detectable concentration of R6G lowest at 0.1 nM using UL-AuMSs with thorn length of 166 nm as SERS substrates (Figure 4-6F).

One of the unique advantages of the micrometer size UL-AuMSs was their easy observation under an optical microscope. Figure 4-20 shows a cluster of UL-AuMSs on a gold microplate with ~20 μ m lateral size. [52] The UL-AuMSs were immobilized with 1 μ M R6G before deposition on the gold microplate. A dark spot of UL-AuMSs cluster against the shiny gold nanoplate can be distinctively detected under a 100x objective of a Raman microscope (Figure 4-20A). As a result, SERS specturm of the cluster can be selectively measured. Figure 4-20B shows SERS Raman map of the signal at 1360 cm⁻¹ over the entire gold microplate. The map was constructed from 10×10 measured spots as shown by the grid in Figure 4-20A. The highest SERS singal representing R6G coincided with the center of the UL-AuMSs cluster (Figure 4-20B). Figure 4-20C shows SERS spectra along the line-map across the center of the cluster. The R6G singnal decreased as the measured spot drift away from the center of the cluster (spots No. 1 and 3).

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Figure 4-20 (A) An optical microscope image of a cluster of UL-AuMSs on a gold microplate captured through a 100x objective. (B) Raman map with SERS signals of R6G at 1360 cm⁻¹ with a 2 μm step. The map contained 10×10 measured spots with color scale representing SERS intensity (cps). (C) SERS spectra represent a line map of 3-measured spots across the center of UL-AuMSs cluster shown (B).

4.8 Hemi-spherical gold microstructures

In this work, without Ag^+ , hemi spherical gold microstructures (HS-AuMSs) are minor product when QS-AuMSs were synthesized as shown in Figure 4-21. The high concentrations of Au^{3+} (from 5 to 10 mM) were suitable for produce high quantity of HS-AuMSs. The particles sizes of HS-AuMSs were 2–10 μ m. FL-AuMSs appeared when silver ions were added in system with increasing concentrations of gold ions (Figure 4-3). We hypothesized that one possible mechanism to control the growth of HS-AuMSs is physical suppression on flat surface of hemispherical shape. To confirm our hypothesis, an experimental design was set as shown in Figure 3-2 to observe the physical suppression on HS-AuMSs.

The samples collected from position A–D on mica sheet (Figure 3-2) were investigated, as shown in Figure 4-22. The gold microplates with the sizes of 0.3–0.8 μ m were sampling from position A as shown in Figure 4-22A. HS-AuMSs with the sizes of 1.5–2 μ m were collected from positions B and C as shown in Figure 4-22B and 4-22C, respectively. Incomplete QS-AuMSs with the sizes around 2 μ m were collected form position D as shown in Figure 4-22D. These results suggest that different distance from surface of mixture solution to mica sheet plays the important role to induce the formation of HS-AuMSs. The short distance (position A, B and C) could induce fast deposition of particles in early stage of growth period. The attachment of particles on substrate can protect one size from particles growth in mixture solution. Therefore, we could observe HS-AuMSs as minor product mixing QS-AuMSs as major product.



Figure 4-21 SEM images show the increasing of particle sizes of HS-AuMSs as the concentrations of Au³⁺ were increased: (A) 0.5, (B) 5, (C) 6, (D) 7, (E) 9, and (F) 100 mM. The concentration of H₂O₂ was kept constant at 9 M, respectively. The insets show enlarged images. Scale bars indicate 10 μm.



Figure 4-22 SEM images show the variation of shape (A) gold microplates, (B and C) HS-AuMSs and (D) Incomplete QS-AuMSs.The concentration of Au³⁺ and H₂O₂ were kept constant at 5 and 9 M, respectively. Scale bars indicate 2 μm.

4.9 Urchin-like gold nanoparticles

In section 4.3, the role of silver ions to induce the formation of nanothorns on UL-AuMSs was explored. By seed-mediated growth, rate of nucleation was increase when gold nanoparticles were added as seed particles during the reduction process. [7] Therefore, particles size of UL-AuMSs in micro-scale will be decreased to nano-scale when seed particles were introduced. We demonstrated that we could use a seed-mediated growth for synthesizing urchin-like gold nanoparticles (UL-AuNPs) as shown in TEM image, Figure 4-23B (*i.e.*, the white-covered layer on UL-AuMSs came from retaining moisture on particles). The particles sizes of UL-AuNPs were 60-80 nm with

gold nanothorns of 10-30 nm. UL-AuNPs showed the broadband of light absorption from 500–800 nm, as shown in Figure 4-23D, which can apply for light-harvesting or nanoantenna in plasmonic solar cells [7] and plasmonic heating. [85]



Figure 4-23 TEM images show (A) spherical gold nanoparticles and (B) UL-AuNPs from without and with 25 μM Ag⁺ under seed-mediated growth. Scale bars indicate 100 nm. UV-Visible absorption spectra with insert digital images show characteristic of (C) spherical gold nanoparticles and UL-AuNPs, respectively. The concentration of Au³⁺ and H₂O₂ were kept constant at 5 and 9 M, respectively.

CHAPTER V CONCLUSIONS

We have successfully synthesized complex gold micro/nanostructures as UL-AuMSs with controllable length of nanothorns via a simple one-pot synthetic protocol consisting of Au³⁺, H₂O₂, and trace Ag⁺. We also verified the governing mechanism of trace Ag⁺ on the formation and growth of nanothorns. The presence of trace Ag⁺ is necessary for the nanothorn formation. Without Ag⁺, QS-AuMSs were the sole product. By taking advantage of the selectively surface passivation of Cl⁻ on Au (111), an addition of trace Ag⁺ with a concomitant formation of solid AgCl capable of suppressing the growth of dendritic structures enabled a control of thorn length. At a low concentration of Ag^+ (25 μ M), the growth of branches was inhibited while the trunks grew into long nanothorns. However, a higher concentration of Ag⁺ (50–150 μ M) suppressed the growth of both branches and trunks and UL-AuMS with short nanothorns were obtained. The UL-AuMSs with thorn length of 166 and 187 nm show high SERS enhancement as they possessed optimal thorn lengths, thorn sharpness and inter-thorn gaps for the formation of hot spots. A low concentration of 0.1 nM R6G could be detected with a short 15-min incubation. The micrometer size UL-AuMSs with efficient hot spot can be exploited for location specific SERS measurement.

Not only effect of Au^{3+} , H_2O_2 , and trace Ag^+ concentrations on complex gold micro/nanostructures were investigated, but also other parameters such as pH, counter ions (Cl⁻ and NO₃⁻) and temperature were explored. We found that these parameters have effect on structure and size of complex gold micro/nanostructures. Moreover, we have successfully explained the growth mechanism of hemi-spherical gold

microstructures (HS-AuMSs) due to deposition of particles on a flat mica surface at the early stage of growth period. Furthermore, we have successfully synthesized urchinlike gold nanoparticles (UL-AuNPs) by seed-mediated growth. The obtained UL-AuNPs showed high potential applications is plasmonic solar cells and plasmonic heating.



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Research achievements

We have successfully developed the structural control synthesis of complex gold nanostructures (*i.e.*, QS-AuMSs, UL-AuMSs, HS-AuMSs and UL-AuNPs) using chemical approach and demonstrated their applications. These works were published in peer-review journals. We had participated in domestic and international conferences for presentation our work. Furthermore, our research works about engineering micro/nano structures of gold and silver were achieved many awards. The list of research achievements is shown below.

Research publications

- Pangdam, A.; Nootchanat, S.; Ishikawa, R.; Shinbo, K.; Kato, K.; Kaneko, F.; Thammacharoen, C.; Ekgasit, S.; Baba, A., Effect of Urchin-Like Gold Nanoparticles in Organic Thin-Film Solar Cells. *Phys. Chem. Chem. Phys.* 2016, 18, 18500–18506.
- Nootchanat, S.; Pangdam, A.; Ishikawa, R.; Shinbo, K.; Kato, K.; Kaneko, F.; Thammacharoen, C.; Ekgasit, S.; Baba, A., Grating-coupled surface plasmon resonance enhanced organic photovoltaic devices induced by Blu-ray disc recordable and Blu-ray disc grating structures. *Nanoscale* 2017, 9(15), 4963– 4971.
- Pangdam, A.; Wongravee, K.; Nootchanat, S.; Ekgasit, S., Urchin-like Gold Microstructures with Tunable Length of Nanothorns. *Mater. Des.* 2017, 130, 140–148.

Awards

- The outstanding poster award in Pure and Applied Chemistry International Conference 2010 (PACCON 2010), Thailand entitled "Investigation of selfassembled silver nanoparticles synthesized by green synthesis"
- The Bangkok Bank Young Chemists award in 14th Asian Chemical Congress (14 ACC) 2011, Thailand entitled "Silver nanoparticles aggregation for colorimetric sensor and surface enhance Raman Spectroscopy"
- 3. The first prize of poster presentation award in The Science Forum 2012, Thailand entitled "Structure evolution of raspberry-like to urchin-like gold microstructures induced by silver ion"
- The third prize in Sci&Tech Innovation and Sustainability Award: Innovative Chemical Processes & Produce 2012, Thailand entitled "Crystalline silver clay (CSC) for silver jewelry design and decorative"
- The bronze medal in 40th International Exhibition of Inventions of Geneva 2012, Switzerland entitled "Crystalline silver clay"
- 6. The innovation award in 5th FAIR International Inventions and Innovation Exhibition 2013 (FINEX 2013), Iran entitled "Microcrystalline crystalline silver clay with low sintering temperature for silver jewelry designs and fabrications"
- 7. The excellence in science 2013 award by the Committee on Science, Technology, Communications and Telecommunications of the senate, Thailand entitled "Crystalline silver clay for silver jewelry design and creation"
- NRCT invention awards 2013 by the National Research Council of Thailand, Thailand entitled "SHANNTA Silver Clay: The only Thai Brand Silver Clay for Design and Fabrication of Silver Jewelry"

Certificate

Certificate of Participation and Achievement 2013, entitled "Chemical Safety" from Chulalongkorn University and Center of Excellence on Hazardous Substance Management, Thailand

Conferences

- Supeera Nootchanat, Apichat Pangdam, Prompong Pienpinijtham, Duangta Tongsakul, Chuchaat Thammacharoen, Sanong Ekgasit "Green synthesis of precious metals (Ag, Au, Pt, Pd) nanoparticles" (poster presentation) International conference of nanoscience & technology China (China Nano 2009), 1-3 September 2009, Beijing, China
- Apichat Pangdam, Chuchaat Thammacharoen, Sanong Ekgasit "Investigation of self - assembled silver nanoparticles synthesized by green synthesis" (poster presentation) Pure and applied chemistry international conference 2010 (PACCON 2010), 21-23 January 2010, Ubon Ratchathani, Thailand
- 3. Apichat Pangdam, Chuchaat Thammacharoen, Sanong Ekgasit "Hydrogen peroxide determination via colorimetric sensor by silver nanoparticles aggregation" (oral presentation) The 36th congress on science and technology of Thailand, 26-28 October 2010, Bangkok, Thailand
- 4. Apichat Pangdam, Chutiparn Lertvachirapaiboon, Chuchaat Thammacharoen and Sanong Ekgasit "Silver nanoparticles aggregation for colorimetric sensor and surface enhance Raman Spectroscopy" (poster presentation) 14th Asian chemical congress (14 ACC), 5-8 September 2011, Bangkok, Thailand

- 5. Apichat Pangdam, Chuchaat Thammacharoen, Sanong Ekgasit, "Structural evolution of raspberry- like to urchin - like gold microstructures induced by silver ion" (oral presentation) The 29thannual conference of the microscope society of Thailand (MST 29)30 January - 1 February 2012, Phetburi, Thailand
- 6. Apichat Pangdam, Chuchaat Thammacharoen and Sanong Ekgasit "Structure evolution of raspberry - like to urchin - like gold microstructures induced by silver ion" (poster presentation) The science forum 2012, Faculty of Science, Chulalongkorn University,19-20 April2012, Bangkok, Thailand
- Apichat Pangdam, Chuchaat Thammacharoen and Sanong Ekgasit "Urchin-like gold micro/nanostructures (ULGMNSs) with exceptionally high SERS" (oral presentation) Pure and applied chemistry international conference 2014 (PACCON 2014), 8-10 January 2014, Khon Kaen, Thailand
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Scholarships

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- Nanoparticle stabilization and functionalization
- Localized surface plasmon resonance (LSPR) of metal nanoparticles
- Organic electronic device and plasmonic solar cells
- Surface enhanced Raman scattering (SERS) on metal nanostructures



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