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GENERALIZED TRANSFORMATION SEMIGROUPS AND LINEAR TRANSFORMATION SEMIGROUPS WHOSE BI-IDEALS ARE QUASI-IDEALS

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ชัยวัฒน์ นามนาค :เซมิกรุปการแปลงนัยทั่วไปและเซมิกรุปการแปลงเชิงเส้นซึ่งไบ-ไอเดียลเป็นควอซี-ไอเดียล (GENERALIZED TRANSFORMATION SEMIGROUPS AND LINEAR TRANSFORMATION SEMIGROUPS WHOSE BI-IDEALS ARE QUASI-IDEALS)

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เราเรียกเซมิกรุปย่อย Q ของเซมิกรุป S ว่า ควอซี-ไอเดียล ของ S ถ้า $SQ \cap QS \subseteq Q$ และ *ไบ-ไอเดียล*ของ S หมายถึง เซมิกรุปย่อย B ของ S ซึ่ง $BSB \subseteq B$ ควอซี-ไอเดียลเป็นนัยทั่วไปของ ไอเดียลซ้ายและไอเดียลขวา และไบ-ไอเดียลให้นัยทั่วไปของควอซี-ไอเดียล แนวคิดของไบ-ไอเดียล และแนวคิดของควอซี-ไอเดียลสำหรับเซมิกรุปแนะนำโดย อาร์ เอ กูด และ ดี อาร์ ฮิวส์ ในปี 1952 และ โดย โอ สตีนเฟลด์ ในปี 1956 ตามลำดับ หลังจากนั้นได้มีการศึกษาเรื่องควอซี-ไอเดียลและไบ-ไอเดียล ของเซมิกรุปกันอย่างกว้างขวาง สิ่งที่เราสนใจในการวิจัยนี้คือเซมิกรุปซึ่งมีไบ-ไอเดียลและควอซี-ไอเดียลเป็นสิ่งเดียวกัน และ เราเรียกเซมิกรุปเช่นนี้ว่า BQ-เซมิกรุป

สำหรับเซต X และ Y ให้ P(X, Y) เป็นเซตของการส่ง $\alpha : A \to Y$ ทั้งหมด โดยที่ $A \subseteq X$ สำหรับ $\theta \in P(Y, X)$ ให้ (P(X, Y), θ) แทนเซมิกรุป (P(X, Y), *) โดย $\alpha * \beta = \alpha \theta \beta$ สำหรับ $\alpha, \beta \in P(X, Y)$ ทั้งหมด จุดประสงค์แรกของการวิจัยนี้คือให้ลักษณะว่าเซมิกรุปย่อยบางชนิดของ (P(X, Y), θ) สำหรับ θ ที่เจาะจงจะเป็น BQ-เซมิกรุปเมื่อใดในเทอมของขนาดของ X และ Y

สำหรับปริภูมิเวกเตอร์ V บนริงการหาร ให้ L(V) เป็นเซมิกรุปภายใต้การประกอบของการ แปลงเชิงเส้น α : V → V ทั้งหมด เราศึกษาเซมิกรุปย่อยหลากหลายของ L(V) ที่นิยามโดยเคอร์เนลและ ภาพของการแปลงเชิงเส้น เพื่อจุดประสงค์ที่สอง เราให้ลักษณะว่าเซมิกรุปการแปลงเชิงเส้นเหล่านี้จะ เป็น BQ-เซมิกรุปเมื่อใดในเทอมของมิติของ V

สุดท้ายเราศึกษาเซมิกรุปการแปลงเต็มที่รักษาอันดับ T_{op}(I) บนช่วง I ของจำนวนจริง เราให้ เงื่อนไขที่จำเป็นและเพียงพอสำหรับ I ที่ทำให้ T_{op}(I) เป็น BQ-เซมิกรุป

จุฬาลงกรณ์มหาวิทยาลัย

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A subsemigroup Q of a semigroup S is called a *quasi-ideal* of S if $SQ \cap QS \subseteq Q$. By a *bi-ideal* of S we mean a subsemigroup B of S such that $BSB \subseteq B$. Quasi-ideals are a generalization of left ideals and right ideals and bi-ideals generalize quasi-ideals. The notion of bi-ideal and the notion of quasi-ideal for semigroups were introduced respectively by R. A. Good and D. R. Huges in 1952 and O. Steinfeld in 1956. Since then, both quasi-ideals and bi-ideals of semigroups have been widely studied. Semigroups whose bi-ideals and quasi-ideals coincide are of our interest in this research. One calls such semigroups BQ-semigroups.

For sets X and Y, let P(X, Y) be the set of all mappings $\alpha : A \to Y$ where $A \subseteq X$. For $\theta \in P(Y, X)$, let $(P(X, Y), \theta)$ denote the semigroup (P(X, Y), *) where $\alpha * \beta = \alpha \theta \beta$ for all $\alpha, \beta \in P(X, Y)$. The first purpose of this research is to characterize when certain subsemigroups of $(P(X, Y), \theta)$ with a particular θ are *BQ*-semigroups in terms of the cardinalities of X and Y.

For a vector space V over a division ring, let L(V) be the semigroup under composition of all linear transformations $\alpha : V \to V$. Various subsemigroups of L(V)defined by kernels and images of linear transformations are studied for our second purpose. We characterize when these linear transformation semigroups are BQsemigroups in terms of the dimensions of V.

Finally, we study the full order-preserving transformation semigroup $T_{OP}(I)$ on an interval I of real numbers. Necessary and sufficient conditions for I so that $T_{OP}(I)$ is a BQ-semigroup are given.



Department Mathematics Field of study Mathematics Academic year 2002

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สถาบันวิทยบริการ จุฬาลงกรณ์มหาวิทยาลัย

CHAPTER I

INTRODUCTION AND PRELIMINARIES

In this introductory chapter, we present a number of elementary concepts, notations and propositions on semigroups most of which will be indispensable for the remainder of this research.

Let \mathbb{N} , \mathbb{Z} and \mathbb{R} denote respectively the set of natural numbers (positive integers), the set of integers and the set of real numbers. For any set X, let |X|denote the cardinality of X.

An element e of a semigroup S is called an *idempotent* if $e^2 = e$. For a semigroup S, let E(S) be the set of all idempotents of S. A semigroup S with zero 0 is called a *zero semigroup* if xy = 0 for all $x, y \in S$. An element x of a semigroup S is *regular* if x = xyx for some $y \in S$, and S is called a *regular semigroup* if every element of S is regular.

A nonempty subset A of a semigroup S is called a *left* [*right*] *ideal* of S if $SA \subseteq A$ [$AS \subseteq A$], and A is called an *ideal* of S if A is both a left and a right ideal of S. We call a semigroup S a *left* [*right*] *simple semigroup* if S is the only left [right] ideal of S. Likewise a semigroup S is called a *simple semigroup* if S is the only ideal of S. The following known result will be used later.

Proposition 1.1. A semigroup S is left [right] simple if and only if Sx = S[xS = S] for all $x \in S$.

A semigroup S with zero 0 is called a *left* [*right*] 0-simple semigroup if (i) $S^2 \neq \{0\}$ and (ii) $\{0\}$ and S are the only left [right] ideals of S. A 0-simple semigroup is a semigroup S with zero 0 such that (i) $S^2 \neq \{0\}$ and (ii) $\{0\}$ and S are the only ideals of S.

For a semigroup S, let S^1 be S if S has an identity, otherwise, let S^1 be the semigroup $S \cup \{1\}$ where $1 \notin S$ with the operation extended from the operation on S by defining 1x = x1 = x for all $x \in S \cup \{1\}$.

A subsemigroup Q of a semigroup S is called a *quasi-ideal* of S if $SQ \cap QS \subseteq Q$, and by a *bi-ideal* of S we mean a subsemigroup B of S such that $BSB \subseteq B$. Clearly, every left ideal and every right ideal of S is a quasi-ideal of S and every quasi-ideal of S is a bi-ideal of S. The notion of quasi-ideal for semigroups was introduced by O. Steinfeld [16] in 1956. In fact, the notion of bi-ideal for semigroups was introduced earlier by R. A. Good and D. R. Huges [3] in 1952.

Example 1.2. (1) Let R be a division ring, $n \in \mathbb{N}$ and $M_n(R)$ the semigroup of all $n \times n$ matrices over R under the usual multiplication of matrices. For each $C \in M_n(R)$, let C_{ij} denote the entry of C in the i^{th} row and the j^{th} column. For $k, l \in \{1, 2, ..., n\}$, let $Q_n^{kl}(R)$ be the subset of $M_n(R)$ consisting of all matrices $C \in M_n(R)$ such that

$$C_{ij} = 0$$
 if $i \neq k$ or $j \neq l$.

Then for $k, l \in \{1, 2, ..., n\},\$

 l^{th}

 $M_n(R)Q_n^{kl}(R) = \left\{ \begin{bmatrix} 0 & \dots & 0 & x_1 & 0 & \dots & 0 \\ 0 & \dots & 0 & x_2 & 0 & \dots & 0 \\ \vdots & & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & x_n & 0 & \dots & 0 \end{bmatrix} \mid x_1, x_2, \dots, x_n \in R \right\}$

and

$$Q_n^{kl}(R)M_n(R) = \begin{cases} 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \\ x_1 & x_2 & \dots & x_n \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{bmatrix} \quad x_1, x_2, \dots, x_n \in R \end{cases}$$

which implies that $M_n(R)Q_n^{kl}(R) \cap Q_n^{kl}(R)M_n(R) = Q_n^{kl}(R)$, so $Q_n^{kl}(R)$ is a quasiideal of $M_n(R)$. Moreover, if n > 1, then for all $k, l \in \{1, 2, ..., n\}$, $Q_n^{kl}(R)$ is neither a left ideal nor a right ideal of $M_n(R)$.

(2) Let R be a division ring, $n \in \mathbb{N}, n \geq 4$ and $SU_n(R)$ the semigroup of all strictly upper triangular matrices over R under the usual multiplication of matrices. Let

$$B = \left\{ \begin{bmatrix} 0 & \dots & 0 & x & 0 \\ 0 & \dots & 0 & 0 & y \\ 0 & \dots & 0 & 0 & 0 \\ \vdots & & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & 0 & 0 \end{bmatrix} \middle| \begin{array}{c} x, \ y \ \in R \\ \end{array} \right\}.$$

Then $B^2 = \{0\}$, so B is a subsemigroup of $SU_n(R)$. Moreover, $BSU_n(R)B = \{0\} \subseteq B$. But

$$\begin{bmatrix} 0 & \dots & 0 & 1 \\ 0 & \dots & 0 & 0 \\ 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 \end{bmatrix} \begin{bmatrix} 0 & \dots & 0 & 0 & 1 \\ 0 & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & 0 & 1 \\ 0 & \dots & 0 & 0 & 1 \\ 0 & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & 0 & 0 \end{bmatrix}$$
$$\begin{bmatrix} 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & 0 \\ 0 & \dots & 0 & 0 \end{bmatrix}$$
$$\in (SU_n(R)B \cap BSU_n(R)) \smallsetminus B,$$

so B is a bi-ideal but not a quasi-ideal of $SU_n(R)$.

Example 1.2(1) shows that quasi-ideals of semigroups are a generalization of onesided ideals. It is shown in Example 1.2(2) that bi-ideals of semigroups generalize quasi-ideals.

We know that the intersection of a set of subsemigroups of a semigroup S is a subsemigroup of S if it is nonempty. It is known that the intersection of a set of quasi-ideals of a semigroup S is either \emptyset or a quasi-ideal of S and this is also true for bi-ideals of S ([15], page 10 and 12). For a nonempty subset X of a semigroup S, let $(X)_q$ and $(X)_b$ denote the intersection of all quasi-ideals of S containing Xand the intersection of all bi-ideals of S containing X, respectively. Then $(X)_q$ $[(X)_b]$ is the smallest quasi-ideal [bi-ideal] of S containing X and $(X)_q$ $[(X)_b]$ is called the quasi-ideal [bi-ideal] of S generated by X. For $x_1, x_2, \ldots, x_n \in S$, let $(x_1, x_2, \ldots, x_n)_q$ and $(x_1, x_2, \ldots, x_n)_b$ denote respectively $(\{x_1, x_2, \ldots, x_n\})_q$ and $(\{x_1, x_2, \ldots, x_n\})_b$. Since every quasi-ideal of S is a bi-ideal of S, we have **Proposition 1.3.** For every nonempty subset X of a semigroup S, $(X)_b \subseteq (X)_q$.

The following facts are well-known.

Proposition 1.4. ([2], page 84–85). For any nonempty subset X of a semigroup S,

$$(X)_q = S^1 X \cap XS^1 = (SX \cap XS) \cup X$$

and

$$(X)_b = XS^1 X \cup X = XSX \cup X \cup X^2$$

Let BQ denote the class of all semigroups whose bi-ideals and quasi-ideals coincide. Then a semigroup S is in BQ if and only if every bi-ideal of S is a quasi-ideal. One call a semigroup in BQ a BQ-semigroup. The following two propositions give some significant subclasses of BQ.

Proposition 1.5. ([11]). Every regular semigroup is in BQ.

Proposition 1.6. ([7]). Every left [right] simple semigroup and every left [right] 0-simple semigroup belongs to **BQ**.

Not only these kinds of semigroups belong to BQ. Zero semigroups containing more than one element are obvious examples. Some other significant examples can be seen in this research. However, J. Calais [1] has characterized the semigroups in BQ as follows:

Proposition 1.7. ([1]). A semigroup S is in **BQ** if and only if $(x, y)_q = (x, y)_b$ for all $x, y \in S$. If S is a BQ-semigroup, then for a nonempty subset X of S, $(X)_b$ is a quasiideal of S containing X which implies that $(X)_q \subseteq (X)_b$. We thus deduce from Proposition 1.3 that

Proposition 1.8. If $S \in BQ$, then $(X)_b = (X)_q$ for every nonempty subset X of S. Hence if S is a semigroup such that $(x)_b \neq (x)_q$ $((x)_b \subsetneq (x)_q)$ for some $x \in S$, then $S \notin BQ$.

Next, let X be a set. A partial transformation of X is a map from a subset of X into X. By a transformation of X is a map from X into X. The empty transformation is the partial transformation 0 with empty domain. Let P_X be the set of all partial transformations of X. For $\alpha \in P_X$, let Dom α and Im α denote respectively the domain and the image (range) of α . Then P_X is a semigroup under the composition of maps, that is, for $\alpha, \beta \in P_X$

$$Dom \alpha\beta = \{ x \in Dom \alpha \mid x\alpha \in Dom \beta \},\$$
$$x(\alpha\beta) = (x\alpha)\beta \text{ for all } x \in Dom \alpha\beta.$$

This implies that for $\alpha, \beta \in P_X$, $\operatorname{Dom} \alpha \beta \subseteq \operatorname{Dom} \alpha$ and $\operatorname{Im} \alpha \beta \subseteq \operatorname{Im} \beta$. Let

$$T_X = \{ \alpha \in P_X \mid \text{Dom } \alpha = X \},$$

$$I_X = \{ \alpha \in P_X \mid \alpha \text{ is one-to-one } \},$$

$$M_X = \{ \alpha \in T_X \mid \alpha \text{ is one-to-one } \},$$

$$E_X = \{ \alpha \in T_X \mid \text{Im } \alpha = X \},$$

$$G_X = \{ \alpha \in T_X \mid \alpha \text{ is one-to-one and Im } \alpha = X \}.$$

Then all T_X, I_X, M_X and E_X are subsemigroups of $P_X, G_X = M_X \cap E_X, G_X \subseteq E_X \subseteq T_X \subseteq P_X$ and $G_X \subseteq M_X \subseteq I_X \subseteq P_X$. In particular, G_X is a subgroup of P_X , that is, G_X is a subsemigroup of P_X which also forms a group. We call P_X, T_X , I_X and G_X , the partial transformation semigroup on X, the full transformation

semigroup on X, the one-to-one partial transformation semigroup on X and the symmetric group on X, respectively. It is well-known that P_X , T_X and I_X are regular semigroups ([5], page 4). By Proposition 1.5, P_X , T_X , I_X and G_X are BQ-semigroups for any set X. Due to the fact that for an infinite set X, for every $a \in X$, $|X| = |X \setminus \{a\}|$, we have that $|X| < \infty$ if and only if $M_X = E_X = G_X$, hence M_X and E_X are in **BQ** if $|X| < \infty$. The semigroups M_X and E_X have the following special properties which can be proved easily.

Proposition 1.9. Let X be a set.

- (i) For α , β , $\gamma \in T_X$, if $\beta \alpha = \gamma \alpha \ [\alpha \beta = \alpha \gamma]$ and $\alpha \in M_X \ [E_X]$, then $\beta = \gamma$. Hence $M_X \ [E_X]$ is right [left] cancellative.
- (ii) For $\alpha \in M_X$ $[E_X]$, α is regular in M_X $[E_X]$ if and only if $\alpha \in G_X$. Hence M_X $[E_X]$ is regular if and only if $|X| < \infty$.
- (iii) If X is infinite, then M_X \ G_X [E_X \ G_X] is a unique maximal proper ideal of M_X [E_X]. Hence M_X [E_X] is left simple if and only if |X| < ∞ and M_X [E_X] is right simple if and only if |X| < ∞.

From Proposition 1.9(ii) and (iii), it follow that M_X and E_X are neither regular nor left [right] simple if X is infinite. However, we cannot conclude from Proposition 1.5 or Proposition 1.6 that M_X and E_X do not belong to $\boldsymbol{B}\boldsymbol{Q}$ when X is infinite. It was proved in [8] that M_X [E_X] belongs to $\boldsymbol{B}\boldsymbol{Q}$ if and only if $|X| < \infty$.

Proposition 1.10. ([8]). For a set X,

- (i) $M_X \in \mathbf{BQ}$ if and only if $|X| < \infty$,
- (ii) $E_X \in \mathbf{BQ}$ if and only if $|X| < \infty$.

For an infinite set X, let

$$OE_X = \{ \alpha \in T_X \mid X \smallsetminus \operatorname{Im} \alpha \text{ is infinite} \}.$$

Let $A \subseteq X$ be such that $|X \smallsetminus A| = |A| = |X|$ and let $\lambda : X \to X \smallsetminus A$ be a bijection. Then $\lambda \in OE_X$. Since $\operatorname{Im} \alpha\beta \subseteq \operatorname{Im} \beta$ for all $\alpha, \beta \in T_X$, it follows that OE_X is a subsemigroup of T_X . It was shown by Y. Kemprasit [9] that OE_X is a BQ-semigroup for every infinite set X but OE_X is neither regular nor left [right] simple. We can consider OE_X as the "opposite semigroup" of E_X . It was proved by P. M. Higgins [4] that OE_X is dense in T_X in the following sense:

for any semigroup S and any homomorphisms $\varphi, \psi: T_X \to S$,

$$\varphi|_{OE_X} = \psi|_{OE_X}$$
 implies that $\varphi = \psi$.

Next, let X be an infinite set and

$$BL_X = \{ \alpha \in T_X \mid \alpha \text{ is one-to-one and } X \setminus \operatorname{Im} \alpha \text{ is infinite } \}.$$

Then $BL_X = M_X \cap OE_X$. Clearly, λ defined above is in BL_X . We then deduce that BL_X is a subsemigroup of T_X . By Proposition 1.9(i), BL_X is right cancellative. This implies that $E(BL_X) = \emptyset$ since $1_X \notin BL_X$. If X is countably infinite, BL_X is called the *Baer-Levi semigroup* on X ([5], page 14). The Baer-Levi semigroup on a countably infinite set is known to be right simple ([5], page 14), so it is in **BQ** by Proposition 1.6. It was proved by Y. Kemprasit in [9] that countable infiniteness of X is also necessary for BL_X to be in **BQ**.

Proposition 1.11. ([9]). For an infinite set X, $BL_X \in BQ$ if and only if X is countably infinite.

K. D. Magill ([12] and [13]) generalized the notion of transformation semigroups as follows: Let X and Y be sets and let T(X, Y) denote the set of all transformations $\alpha : X \to Y$. Then for a fixed $\theta \in T(Y, X)$, define an operation "*" on T(X, Y) by

$$\alpha * \beta = \alpha \theta \beta$$
 for all $\alpha, \beta \in T(X, Y)$.

Under this operation, T(X, Y) becomes a semigroup which is denoted by $(T(X, Y), \theta)$. Moreover, the semigroup $((T(X, Y), \theta)$ need not be regular.

R. P. Sullivan ([17]) generalized one step further by considering the set P(X, Y)of all partial transformations from X into Y, that is, $P(X, Y) = \{\alpha : A \to Y \mid A \subseteq X\}$, and generalizing the above semigroup as follows: For a nonempty subset S of P(X, Y) and $\theta \in P(Y, X)$, if $\alpha\theta\beta \in S$ for all $\alpha, \beta \in S$, let (S, θ) denote the semigroup (S, *) with * defined as above. In the same way, we define I(X, Y), M(X, Y), E(X, Y) and define the corresponding semigroups $(I(X, Y), \theta)$ where $\theta \in I(Y, X), (M(X, Y), \theta)$ where $\theta \in M(Y, X)$ and $(E(X, Y), \theta)$ where $\theta \in E(Y, X)$, respectively. We remark here that

$$(P(X, X), 1_X) = P_X, (T(X, X), 1_X) = T_X, (I(X, X), 1_X) = I_X$$
$$(M(X, X), 1_X) = M_X, (E(X, X), 1_X) = E_X.$$

In Chapter II, we prove that $(S(X,Y),\theta)$ always belongs to BQ if S(X,Y)is any of P(X,Y), T(X,Y) and I(X,Y) where $\theta \in S(Y,X)$. In particular, we also show that these three semigroups need not be regular. Moreover, by the help of Proposition 1.10, we shall prove that the condition that $|X| = |Y| < \infty$ is necessary and sufficient for $(M(X,Y),\theta)$ and $(E(X,Y),\theta)$ to be in BQ.

Let V be a vector space over a division ring. For $A \subseteq V$, we let $\langle A \rangle$ denote the subspace of V spanned by A. To introduce various linear transformation semigroups for Chapter III, we first give some basic properties of vector spaces. **Proposition 1.12.** Let V and W be vector spaces over a division ring.

(i) If $\alpha: V \to W$ is a linear transformation, then

$$\dim V = \dim \operatorname{Ker} \alpha + \dim \operatorname{Im} \alpha.$$

(ii) If U is a subspace of V, then

$$\dim V = \dim U + \dim (V/U).$$

(iii) If U and Z are subspaces of V such that $Z \subseteq U$, then

$$\dim (V/U) = \dim (V/Z/U/Z) \le \dim (V/Z).$$

(iv) If B is a basis of V and $A \subseteq B$, then $\{v + \langle A \rangle \mid v \in B \smallsetminus A\}$ is a basis of $V/\langle A \rangle$ and $v + \langle A \rangle \neq v' + \langle A \rangle$ for distinct $v, v' \in B \smallsetminus A$. Hence

$$\dim\left(V/\langle A\rangle\right) = |B \smallsetminus A|.$$

- (v) Let $\alpha : V \to W$ be a linear transformation. If $w_1, w_2, \dots, w_n \in W$ are linearly independent and $v_1, v_2, \dots, v_n \in V$ are such that $v_i \alpha = w_i$ for all $i \in \{1, 2, \dots, n\}$, then v_1, v_2, \dots, v_n are linearly independent.
- (vi) Let α : V → W be a linear transformation, B₁ is a basis of Kerα and B₂
 is a basis of Imα. If for each v ∈ B₂, u_v ∈ V is such that u_vα = v, then
 B₁ ∪ {u_v | v ∈ B₂} is a basis of V.
- (vii) Let $\alpha : V \to W$ be a linear transformation and B a basis of V. If $B\alpha$ is a linearly independent subset of W and $\alpha|_B$ is one-to-one, then α is one-to-one, that is, Ker $\alpha = \{0\}$.
- (viii) Let $\alpha : V \to W$ be a linear transformation, B a basis of V and $A \subseteq B$. If $A\alpha$ is a linearly independent subset of W, $\alpha|_A$ is one-to-one and $(B \setminus A)\alpha = \{0\}$, then $Ker \alpha = \langle B \setminus A \rangle$.

For a vector space V over a division ring, let L(V) be the semigroup under composition of all linear transformations $\alpha \colon V \to V$. It is known that L(V) is regular ([6], page 443). Let

$$G(V) = \{ \alpha \in L(V) \mid \alpha \text{ is an isomorphism} \}.$$

Then G(V) is a subgroup of L(V). By Proposition 1.5, both L(V) and G(V) are in **BQ**. The following subsets of L(V) are considered:

$$M(V) = \{ \alpha \in L(V) \mid \alpha \text{ is one-to-one } \} \text{ and}$$
$$E(V) = \{ \alpha \in L(V) \mid \text{Im } \alpha = V \}.$$

Both M(V) and E(V) are clearly subsemigroups of L(V) containing G(V) and M(V) [E(V)] = G(V) if and only if dim $V < \infty$. Next, we define the "opposite semigroups" of M(V) and E(V) to be respectively by

$$OM(V) = \{ \alpha \in L(V) \mid \dim \operatorname{Ker} \alpha \text{ is infinite} \} \text{ and}$$
$$OE(V) = \{ \alpha \in L(V) \mid \dim (V/\operatorname{Im} \alpha) \text{ is infinite} \}$$

where dim V is infinite. To show that OM(V) and OE(V) are indeed subsemigroups of L(V), suppose that dim V is infinite. Then we have that $0 \in OM(V)$ and $0 \in OE(V)$ where 0 is the zero map on V. Since for all $\alpha, \beta \in L(V)$, Ker $\alpha\beta \supseteq$ Ker α and Im $\alpha\beta \subseteq$ Im β , it follows that OM(V) and OE(V) are subsemigroups of L(V), respectively (see Proposition 1.12(iii)). The following subset of L(V) is also considered:

 $OME(V) = \{ \alpha \in L(V) \mid \dim \operatorname{Ker} \alpha \text{ and } \dim (V/\operatorname{Im} \alpha) \text{ are infinite} \}$

where dim V is infinite. Since $0 \in OM(V) \cap OE(V) = OME(V)$ and both OM(V)and OE(V) are subsemigroups of L(V), we have that OME(V) is a subsemigroup of L(V) containing 0. The semigroup BL_X where X is infinite motivates us to define BL(V) where dim V is infinite as follows:

$$BL(V) = \{ \alpha \in L(V) \mid \alpha \text{ is one-to-one and } \dim (V/\operatorname{Im} \alpha) \text{ is infinite } \}.$$

Then $BL(V) = M(V) \cap OE(V)$. To show that BL(V) is a subsemigroup of L(V), assume that dim V is infinite. Let B be a basis of V. Then B is infinite, so there exists $A \subseteq B$ such that $|A| = |B \setminus A| = |B|$. Thus there exists a bijection $\varphi : B \to A$. Define $\alpha \in L(V)$ by $v\alpha = v\varphi$ for all $v \in B$. We thus deduce from Proposition 1.12(vii) that $\alpha \in M(V)$. By Proposition 1.12(iv), we have

$$\dim \left(V/\operatorname{Im} \alpha \right) = \dim \left(V/\langle A \rangle \right) = |B \smallsetminus A|.$$

This implies that $\alpha \in OE(V)$. Then $\alpha \in M(V) \cap OE(V)$, so BL(V) is a subsemigroup of L(V), as required. Similarly, we consider the "opposite semigroup" of BL(V) which is defined to be

$$OBL(V) = \{ \alpha \in L(V) \mid \operatorname{Im} \alpha = V \text{ and } \dim \operatorname{Ker} \alpha \text{ is infinite} \}$$

where dim V is infinite. By the definition, we have $OBL(V) = E(V) \cap OM(V)$. To show that OBL(V) is indeed a subsemigroup of L(V), it suffices to show that $OBL(V) \neq \emptyset$. Let B be a basis of V and let A be a subset of B such that $|A| = |B \setminus A| = |B|$. Then there exists a bijection $\varphi : A \to B$. Define $\alpha \in L(V)$ by

$$v\alpha = \begin{cases} v\varphi & \text{if } v \in A, \\ 0 & \text{if } v \in B \smallsetminus A. \end{cases}$$

Then Im $\alpha = \langle A\varphi \rangle = \langle B \rangle = V$. By Proposition 1.12(viii), Ker $\alpha = \langle B \setminus A \rangle$, so dim Ker $\alpha = |B \setminus A| = |B|$. Hence $\alpha \in OBL(V)$. Then OBL(V) is a subsemigroup of L(V) which is considered as the "opposite semigroup" of BL(V). Note that $0 \notin OBL(V)$. Moreover, $E(BL(V)) = \emptyset = E(OBL(V))$ by Proposition 1.9(i).

Next, the following subsets of L(V) are considered:

 $AM(V) = \{ \alpha \in L(V) \mid \dim \operatorname{Ker} \alpha \text{ is finite} \} \text{ and }$

 $AE(V) = \{ \alpha \in L(V) \mid \dim \left(V/\operatorname{Im} \alpha \right) \text{ is finite } \}.$

Then $M(V) \subseteq AM(V)$ and $E(V) \subseteq AE(V)$. To show that AM(V) and AE(V)are subsemigroups of L(V), let $\alpha, \beta \in L(V)$. We claim that $\alpha|_{\operatorname{Ker} \alpha\beta}$ is a linear transformation from $\operatorname{Ker} \alpha\beta$ onto $\operatorname{Ker} \beta \cap \operatorname{Im} \alpha$ with $\operatorname{Ker} (\alpha|_{\operatorname{Ker} \alpha\beta}) = \operatorname{Ker} \alpha$. Since

$$(\operatorname{Ker} \alpha\beta)\alpha|_{\operatorname{Ker} \alpha\beta} = (\operatorname{Ker} \alpha\beta)\alpha \subseteq \operatorname{Im} \alpha \quad \text{and}$$
$$((\operatorname{Ker} \alpha\beta)\alpha|_{\operatorname{Ker} \alpha\beta})\beta = (\operatorname{Ker} \alpha\beta)\alpha\beta = \{0\},$$

it follows that $\operatorname{Im} (\alpha|_{\operatorname{Ker} \alpha\beta}) \subseteq \operatorname{Ker} \beta \cap \operatorname{Im} \alpha$. Let $v \in \operatorname{Ker} \beta \cap \operatorname{Im} \alpha$. Then $u\alpha = v$ for some $u \in V$ and $v\beta = 0$. This implies that $u\alpha\beta = v\beta = 0$. Thus $u \in \operatorname{Ker} \alpha\beta$ and so $v = u\alpha = u(\alpha|_{\operatorname{Ker} \alpha\beta}) \in \operatorname{Im} (\alpha|_{\operatorname{Ker} \alpha\beta})$. Hence we have $\operatorname{Im} (\alpha|_{\operatorname{Ker} \alpha\beta}) = \operatorname{Ker} \beta \cap \operatorname{Im} \alpha$. Since

$$\operatorname{Ker} \left(\alpha |_{\operatorname{Ker} \alpha \beta} \right) = \left\{ \begin{array}{l} v \in \operatorname{Ker} \alpha \beta \mid v \alpha = 0 \right\} \\ \subseteq \left\{ \begin{array}{l} v \in V \mid v \alpha = 0 \right\} & (\operatorname{since} \operatorname{Ker} \alpha \beta \subseteq V) \\ = \operatorname{Ker} \alpha \\ = \left\{ v \in V \mid v \alpha = 0 \right\} \\ = \left\{ v \in V \mid v \alpha \beta = 0 \right\} \cap \left\{ v \in V \mid v \alpha = 0 \right\} & (\operatorname{since} 0\beta = 0) \\ = \left\{ v \in \operatorname{Ker} \alpha \beta \mid v \alpha = 0 \right\} \\ = \operatorname{Ker} \left(\alpha |_{\operatorname{Ker} \alpha \beta} \right), \end{array}$$

we have $\operatorname{Ker}(\alpha|_{\operatorname{Ker}\alpha\beta}) = \operatorname{Ker}\alpha$. Hence we have the claim. It then follows from Proposition 1.12(i) that

$$\dim \operatorname{Ker} \alpha \beta = \dim \operatorname{Ker} \alpha + \dim \left(\operatorname{Ker} \beta \cap \operatorname{Im} \alpha \right)$$
$$\leq \dim \operatorname{Ker} \alpha + \dim \operatorname{Ker} \beta. \tag{1}$$

Define $\beta^* : V/\operatorname{Im} \alpha \to \operatorname{Im} \beta/\operatorname{Im} \alpha\beta$ by

$$(v + \operatorname{Im} \alpha)\beta^* = v\beta + \operatorname{Im} \alpha\beta$$
 for all $v \in V$.

Clearly, β^* is well-defined and onto. Since β is linear, β^* is linear. Hence β^* is a linear transformation of $V/\operatorname{Im} \alpha$ onto $\operatorname{Im} \beta/\operatorname{Im} \alpha\beta$. Then we have that $\dim (V/\operatorname{Im} \alpha) \geq \dim (\operatorname{Im} \beta/\operatorname{Im} \alpha\beta)$. Since $\operatorname{Im} \alpha\beta \subseteq \operatorname{Im} \beta$, we have by Proposition 1.12(iii) and (ii) that

$$\dim \left(V/\operatorname{Im} \beta \right) = \dim \left((V/\operatorname{Im} \alpha \beta)/(\operatorname{Im} \beta/\operatorname{Im} \alpha \beta) \right) \quad \text{and}$$

$$\dim \left(V/\operatorname{Im} \alpha\beta \right) = \dim \left(\operatorname{Im} \beta/\operatorname{Im} \alpha\beta \right) + \dim \left((V/\operatorname{Im} \alpha\beta)/(\operatorname{Im} \beta/\operatorname{Im} \alpha\beta) \right),$$

respectively. These facts imply that

$$\dim \left(V/\operatorname{Im} \alpha\beta \right) \le \dim \left(V/\operatorname{Im} \alpha \right) + \dim \left(V/\operatorname{Im} \beta \right).$$
(2)

We have respectively from (1) and (2) that AM(V) and AE(V) are subsemigroups of L(V), as required. The semigroups AM(V) and AE(V) can be referred to respectively as the semigroup of all "almost one-to-one linear transformations" of V and the semigroup of all "almost onto linear transformations" of V. Observe that if dim V is finite, then AM(V) = AE(V) = L(V).

Finally, we consider the following subsets of L(V):

 $MAE(V) = \{ \alpha \in L(V) \mid \alpha \text{ is one-to-one and } \dim(V/\operatorname{Im} \alpha) \text{ is finite} \},$ $EAM(V) = \{ \alpha \in L(V) \mid \operatorname{Im} \alpha = V \text{ and } \dim\operatorname{Ker} \alpha \text{ is finite} \} \text{ and}$ $AME(V) = \{ \alpha \in L(V) \mid \dim\operatorname{Ker} \alpha \text{ and } \dim(V/\operatorname{Im} \alpha) \text{ are finite} \}.$

Then we have that

$$G(V) \subseteq M(V) \cap AE(V) = MAE(V),$$

$$G(V) \subseteq E(V) \cap AM(V) = EAM(V) \text{ and}$$

$$G(V) \subseteq AM(V) \cap AE(V) = AME(V),$$

so the above three subsets of L(V) are subsemigroups of L(V). Note that if dim V is finite, then MAE(V) = EAM(V) = G(V) and AME(V) = L(V).

The aim of Chapter III is to characterize in terms of dimensions of V when the subsemigroups of L(V) mentioned above belong to **BQ**.

Next, let (X, \leq) be a partially ordered set. For $\alpha \in T_X$, α is said to be orderpreserving if for all $x, y \in X, x \leq y$ implies that $x\alpha \leq y\alpha$. For partially ordered sets (X, \leq) and (Y, \leq') , we say that (X, \leq) and (Y, \leq') are order-isomorphic if there is a bijection $\varphi : X \to Y$ such that for $x_1, x_2 \in X, x_1 \leq x_2$ if and only if $x_1\varphi \leq' x_2\varphi$. The opposite partial order \leq_{opp} on X of \leq is defined by

$$x \leq_{opp} y$$
 if and only if $y \leq x$ for all $x, y \in X$.

Clearly, \leq_{opp} is really a partial order on X. It is clear that for a nonempty interval I of \mathbb{R} , (I, \leq) and $(-I, \leq_{opp})$ are order-isomorphic by $x \mapsto -x$ where \leq is the usual order of real numbers and $-I = \{-x \mid x \in I\}$. Hence we have

Proposition 1.13. Let \leq be the usual partial order on \mathbb{R} .

- (i) For $a \in \mathbb{R}$, $((-\infty, a), \leq)$ is order-isomorphic to $((-a, \infty), \leq_{opp})$.
- (*ii*) For $a \in \mathbb{R}$, $((-\infty, a], \leq)$ is order-isomorphic to $([-a, \infty), \leq_{opp})$.
- (iii) For $a, b \in \mathbb{R}$ and a < b, $((a, b], \leq)$ is order-isomorphic to $([-b, -a), \leq_{opp})$.

Let $T_{OP}(X)$ denote the set of all order-preserving transformations of X. Then $T_{OP}(X)$ is a subsemigroup of T_X . In [14], $T_{OP}(X)$ is said to be the *full order preserving transformation semigroup* on X. Y. Kemprasit and T. Changphas [10] characterized when $T_{OP}(I)$ is regular where I is a nonempty interval of \mathbb{R} , as follows: **Proposition 1.14.** ([10]). For a nonempty interval I of \mathbb{R} , $T_{OP}(I)$ is regular if and only if I is closed and bounded.

Then we can conclude from Proposition 1.5 and Proposition 1.14 that if I is closed and bounded, then $T_{OP}(I)$ is a BQ-semigroup. By making use of Proposition 1.8 and Proposition 1.13, we show in the last chapter that the converse of this statement holds. Hence we obtain the fact that for a nonempty interval I of \mathbb{R} , $T_{OP}(I) \in BQ$ if and only if I is closed and bounded.



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CHAPTER II

GENERALIZED TRANSFORMATION SEMIGROUPS

The purpose of this chapter is to characterize when the generalized transformation semigroups mentioned in Chapter I belong to BQ.

Let us recall the notations which are used throughout this chapter. Let X and Y be sets and

 P_X = the partial transformation semigroup on X,

 T_X = the full transformation semigroup on X,

 I_X = the one-to-one partial transformation semigroup on X,

 M_X = the semigroup of one-to-one transformations of X,

 E_X = the semigroup of onto transformations of X,

 G_X = the symmetric group on X,

$$P(X,Y) = \{ \alpha : A \to Y \mid A \subseteq X \},$$

$$T(X,Y) = \{ \alpha \in P(X,Y) \mid \text{Dom } \alpha = X \},$$

$$I(X,Y) = \{ \alpha \in P(X,Y) \mid \alpha \text{ is one-to-one } \},$$

$$M(X,Y) = \{ \alpha \in T(X,Y) \mid \alpha \text{ is one-to-one } \},$$

$$E(X,Y) = \{ \alpha \in T(X,Y) \mid \text{Im } \alpha = Y \}.$$

As was mentioned in Chapter I, P_X , T_X and I_X are regular. In fact, if |X| > 1, T_X is not left [right] simple and P_X and I_X are not left [right] 0-simple. That is because the set

$$\{\alpha \in S_X \mid |\operatorname{Im} \alpha| \le 1\}$$

is clearly a proper ideal of S_X where S_X is P_X , T_X or I_X . Then $(T(X,Y),\theta)$ need not be left [right] simple and $(P(X,Y),\theta)$, $(I(X,Y),\theta)$ need not be left [right] 0-simple. Moreover, these three semigroups need not be regular. To see this, let $X = Y = \mathbb{N}$ and define $\theta : Y \to X$ by $x\theta = 2x$ for all $x \in Y$. Then $\theta \in S(Y,X) = S(\mathbb{N},\mathbb{N})$. Since for every $\alpha \in S(\mathbb{N},\mathbb{N})$, $1_{\mathbb{N}}\theta\alpha\theta 1_{\mathbb{N}} = \theta\alpha\theta$ which implies that $\operatorname{Im}(1_{\mathbb{N}}\theta\alpha\theta 1_{\mathbb{N}}) = \operatorname{Im}(\theta\alpha\theta) \subseteq \operatorname{Im}\theta = 2\mathbb{N} \neq \operatorname{Im} 1_{\mathbb{N}}$. Hence $1_{\mathbb{N}} \in S(\mathbb{N},\mathbb{N})$ which is not regular in $(S(\mathbb{N},\mathbb{N}),\theta)$.

The first theorem requires the facts that P_Y , T_Y , and I_Y are regular and every regular semigroup is a BQ-semigroup.

Theorem 2.1. If S(X,Y) is any one of T(X,Y), P(X,Y) and I(X,Y) and $\theta \in S(Y,X)$, then $(S(X,Y),\theta) \in BQ$.

Proof. We know that $(A)_b \subseteq (A)_q$ for any nonempty subset A of S(X, Y) (Proposition 1.3). To prove that $(S(X, Y), \theta) \in \mathbf{BQ}$, by Proposition 1.4 and Proposition 1.7, it suffices to show that for any nonempty subset A of S(X, Y),

$$S(X,Y)\theta A \cap A\theta S(X,Y) \subseteq A\theta S(X,Y)\theta A.$$

For this purpose, let A be a nonempty subset of S(X,Y) and $\alpha \in S(X,Y)\theta A \cap A\theta S(X,Y)$. Then we have

$$\alpha = \beta \theta \lambda = \gamma \theta \mu \tag{1}$$

for some $\beta, \mu \in S(X, Y)$ and $\lambda, \gamma \in A$. But $\theta \lambda \in S(Y, Y)$ and T_Y, P_Y and I_Y are all regular, so there exists $\eta \in S(Y, Y)$ such that

$$\theta \lambda = \theta \lambda \eta \theta \lambda. \tag{2}$$

It thus follows from (1) and (2) that

$$\alpha = \beta \theta \lambda \eta \theta \lambda = \gamma \theta \mu \eta \theta \lambda = \gamma \theta (\mu \eta) \theta \lambda. \tag{3}$$

Since $\mu \in S(X, Y)$, $\eta \in S(Y, Y)$ and $\lambda, \gamma \in A$, we have from (3) that $\alpha \in A\theta S(X, Y)\theta A$. This completes the proof.

The next two theorems are the second main results of this chapter. We first prove three lemmas which will be used to determine when the semigroups $(M(X,Y),\theta)$ where $\theta \in M(Y,X)$ and $(E(X,Y),\theta)$ where $\theta \in E(Y,X)$ are in the class **BQ**.

For convenience, we denote the semigroup $(M(X,Y),\theta)$ where $\theta \in M(Y,X)$ by (M_X,θ) if X = Y. The notion (E_X,θ) is defined similarly. Also, the notation (G_X,θ) where $\theta \in G_X$ is used for the semigroup G_X with the operation * defined by $\alpha * \beta = \alpha \theta \beta$ for all $\alpha, \beta \in G_X$. Clearly, (G_X,θ) is a group having θ^{-1} as its identity.

Lemma 2.2. If $\theta \in G_X$, then $(G_X, \theta) \cong G_X$, $(M_X, \theta) \cong M_X$ and $(E_X, \theta) \cong E_X$.

Proof. Define $\varphi : T_X \to T_X$ by $\alpha \varphi = \alpha \theta$ for all $\alpha \in T_X$. Then for $\alpha, \beta \in T_X$, $(\alpha \theta \beta) \varphi = \alpha \theta \beta \theta = (\alpha \varphi)(\beta \varphi), \ \alpha \theta^{-1} \in T_X, \ (\alpha \theta^{-1}) \varphi = \alpha \text{ and } \alpha \theta = \beta \theta \text{ implies that}$ $\alpha = \alpha \theta \theta^{-1} = \beta \theta \theta^{-1} = \beta$. Hence φ is an isomorphism from (T_X, θ) onto T_X . Since $\theta \in G_X, \ G_X \theta = G_X, \ M_X = M_X \theta^{-1} \theta \subseteq M_X \theta \subseteq M_X \text{ and } E_X = E_X \theta^{-1} \theta \subseteq E_X \theta \subseteq$ E_X . It then follows that $\varphi|_{G_X}, \ \varphi|_{M_X}$ and $\varphi|_{E_X}$ are respectively isomorphisms of $(G_X, \theta), \ (M_X, \theta) \text{ and } (E_X, \theta) \text{ onto } G_X, \ M_X \text{ and } E_X$. \Box

Lemma 2.3. The following statements hold.

- (i) $M(X,Y) \neq \emptyset$ and $M(Y,X) \neq \emptyset$ if and only if |X| = |Y|.
- (ii) $E(X,Y) \neq \emptyset$ and $E(Y,X) \neq \emptyset$ if and only if |X| = |Y|.

Proof. If $\alpha \in M(X, Y)$ and $\beta \in M(Y, X)$, then

 $|X| = |\operatorname{Im} \alpha| \le |Y| \quad \text{and} \quad |Y| = |\operatorname{Im} \beta| \le |X|,$

so |X| = |Y|. If $\gamma \in E(X, Y)$ and $\lambda \in E(Y, X)$, then

 $|X| \ge |\mathrm{Im}\,\gamma| = |Y| \quad \text{and} \quad |Y| \ge |\mathrm{Im}\,\lambda| = |X|,$

which implies that |X| = |Y|.

If |X| = |Y|, then there is a bijection μ of X onto Y, then $\mu \in M(X,Y) \cap E(X,Y)$ and $\mu^{-1} \in M(Y,X) \cap E(Y,X)$.

Hence (i) and (ii) are proved.

Lemma 2.4. Assume that |X| = |Y|. If φ is a bijection of X onto Y, then

(i) $(M(X,Y),\theta) \cong (M_X,\varphi\theta)$ where $\theta \in M(Y,X)$ and

(*ii*)
$$(E(X,Y),\theta) \cong (E_X,\varphi\theta)$$
 where $\theta \in E(Y,X)$.

Proof. Define $\psi_1 : M(X, Y) \to M_X$ and $\psi_2 : E(X, Y) \to E_X$ by

$$\alpha \psi_1 = \alpha \varphi^{-1}$$
 for all $\alpha \in M(X, Y)$ and $\beta \psi_2 = \beta \varphi^{-1}$ for all $\beta \in E(X, Y)$.

Let $\theta \in M(Y, X)$. Then $\varphi \theta \in M_X$ and for $\alpha, \beta \in M(X, Y)$,

$$(\alpha\theta\beta)\psi_1 = (\alpha\theta\beta)\varphi^{-1} = (\alpha\varphi^{-1})(\varphi\theta)(\beta\varphi^{-1}) = (\alpha\psi_1)(\varphi\theta)(\beta\psi_1)$$

and if $\alpha \psi_1 = \beta \psi_1$, then $\alpha = (\alpha \varphi^{-1})\varphi = (\alpha \psi_1)\varphi = (\beta \psi_1)\varphi = (\beta \varphi^{-1})\varphi = \beta$. If $\alpha \in M_X$, then $\alpha \varphi \in M(X, Y)$ and $(\alpha \varphi)\psi_1 = (\alpha \varphi)\varphi^{-1} = \alpha$. This proves that ψ_1 is an isomorphism of $(M(X, Y), \theta)$ onto $(M_X, \varphi \theta)$. We can show similarly that ψ_2 is an isomorphism of $(E(X, Y), \theta)$ onto $(E_X, \varphi \theta)$ where $\theta \in E(Y, X)$. Hence (i) and (ii) are proved, as desired.

Theorem 2.5. For $\theta \in M(Y,X)$, the semigroup $(M(X,Y),\theta)$ belongs to **BQ** if and only if $|X| = |Y| < \infty$.

Proof. Assume that $|X| = |Y| < \infty$. Then $\theta : Y \to X$ is a bijection, hence $\theta^{-1} : X \to Y$ is also a bijection. By Lemma 2.4(i), $(M(X,Y),\theta) \cong (M_X,\theta^{-1}\theta) =$ $(M_X, 1_X) = M_X$. Since $|X| < \infty$, $M_X = G_X$, so $M_X \in BQ$ by Proposition 1.5. Hence $(M(X,Y),\theta) \in BQ$.

Conversely, assume that $(M(X,Y),\theta) \in BQ$. By Lemma 2.3(i), |X| = |Y|. Let $\varphi : X \to Y$ be a bijection. Then $\varphi \theta \in M_X$. To show that $|X| < \infty$, suppose that X is infinite. Therefore $G_X \subsetneq M_X$.

Case 1: $\varphi \theta \in G_X$. Then $(M_X, \varphi \theta) \cong M_X$ by Lemma 2.2. But $M_X \notin BQ$ by Proposition 1.10(i), so we have $(M_X, \varphi \theta) \notin BQ$.

Case 2: $\varphi \theta \in M_X \smallsetminus G_X$. Then by Proposition 1.9(iii), $(\varphi \theta)^n \in M_X \smallsetminus G_X$ for every $n \in \mathbb{N}$. It thus follows from Proposition 1.9(i) that $(\varphi \theta)^n \neq (\varphi \theta)^m$ for all distinct $n, m \in \mathbb{N}$. In particular,

$$(\varphi\theta)^2 \neq \varphi\theta$$
 and $(\varphi\theta)^2 \neq (\varphi\theta)^3$. (1)

We have from Proposition 1.4 that in $(M_X, \varphi \theta)$,

$$(\varphi\theta)_q = \left(M_X(\varphi\theta)^2 \cap (\varphi\theta)^2 M_X\right) \cup \{\varphi\theta\},\tag{2}$$

$$(\varphi\theta)_b = (\varphi\theta)^2 M_X(\varphi\theta)^2 \cup \{\varphi\theta, (\varphi\theta)^3\}.$$
(3)

By (2), $(\varphi \theta)^2 \in (\varphi \theta)_q$ in $(M_X, \varphi \theta)$. Since $(\varphi \theta)^2 \notin G_X$, by Proposition 1.9(ii), $(\varphi \theta)^2$ is not regular in M_X . Thus

$$(\varphi\theta)^2 \notin (\varphi\theta)^2 M_X(\varphi\theta)^2.$$
 (4)

From (1), (3) and (4), we conclude that $(\varphi \theta)^2 \notin (\varphi \theta)_b$ in $(M_X, \varphi \theta)$. It then follows from Proposition 1.8 that $(M_X, \varphi \theta) \notin BQ$. Now we have $(M_X, \varphi \theta) \notin \mathbf{BQ}$ from Case 1 and Case 2. But since $(M(X, Y), \theta) \cong$ $(M_X, \varphi \theta)$ by Lemma 2.4(i), we deduce that $(M(X, Y), \theta) \notin \mathbf{BQ}$, a contradiction. It then follows that $|X| = |Y| < \infty$.

Hence the theorem is proved, as required.

Theorem 2.6. For $\theta \in E(Y,X)$, the semigroup $(E(X,Y),\theta)$ belongs to **BQ** if and only if $|X| = |Y| < \infty$.

Proof. Assume that $|X| = |Y| < \infty$. Then we have that $\theta : Y \to X$ is a bijection, so $\theta^{-1} : X \to Y$ is a bijection. By Lemma 2.4(ii), $(E(X,Y),\theta) \cong (E_X,\theta^{-1}\theta) =$ $(E_X,1_X) = E_X$. But $E_X = G_X$ because $|X| < \infty$, so $E_X \in BQ$ by Proposition 1.5. Consequently, $(E(X,Y),\theta) \in BQ$.

For the converse, assume that $(E(X,Y),\theta) \in BQ$. By Lemma 2.3(ii), |X| = |Y|. Let $\varphi : X \to Y$ be a bijection. Then $\varphi \theta \in E_X$. To show that $|X| < \infty$, suppose on the contrary that X is infinite. Thus $G_X \subsetneq E_X$.

Case 1: $\varphi \theta \in G_X$. From Lemma 2.2, $(E_X, \varphi \theta) \cong E_X$. By Proposition 1.10(ii), $E_X \notin BQ$. Thus $(E_X, \varphi \theta) \notin BQ$.

Case 2: $\varphi \theta \in E_X \smallsetminus G_X$. Then by Proposition 1.9(iii), $(\varphi \theta)^n \in E_X \smallsetminus G_X$ for every $n \in \mathbb{N}$. From Proposition 1.9(i), we have that $(\varphi \theta)^n \neq (\varphi \theta)^m$ for all distinct $n, m \in \mathbb{N}$. In particular,

$$(\varphi\theta)^2 \neq \varphi\theta$$
 and $(\varphi\theta)^2 \neq (\varphi\theta)^3$. (1)

We can see from Proposition 1.4 that in $(E_X, \varphi \theta)$,

$$(\varphi\theta)_q = \left(E_X(\varphi\theta)^2 \cap (\varphi\theta)^2 E_X \right) \cup \{ \varphi\theta \}, \tag{2}$$

$$(\varphi\theta)_b = (\varphi\theta)^2 E_X(\varphi\theta)^2 \cup \{\varphi\theta, (\varphi\theta)^3\}.$$
(3)

We then have from (2) that $(\varphi \theta)^2 \in (\varphi \theta)_q$ in $(E_X, \varphi \theta)$. From Proposition 1.9(ii), $(\varphi \theta)^2$ is not regular in E_X , that is,

$$(\varphi\theta)^2 \notin (\varphi\theta)^2 E_X(\varphi\theta)^2.$$
 (4)

It then follows from (1), (3) and (4) that $(\varphi \theta)^2 \notin (\varphi \theta)_b$ in $(E_X, \varphi \theta)$. Hence $(E_X, \varphi \theta) \notin BQ$ by Proposition 1.8.

From the above two cases, we have $(E_X, \varphi \theta) \notin BQ$. But $(E(X, Y), \theta) \cong (E_X, \varphi \theta)$ by Lemma 2.4(ii), we deduce that $(E(X, Y), \theta) \notin BQ$ which is a contradiction. Therefore we have that $|X| = |Y| < \infty$.

Hence the proof is complete.

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CHAPTER III

LINEAR TRANSFORMATION SEMIGROUPS

In this chapter, we give necessary and sufficient conditions for dimensions of a vector space V over a division ring in order that various linear transformation semigroups on V belong to BQ.

We first recall the following subsemigroups of L(V) previously mentioned in Chapter I:

 $G(V) = \{ \alpha \in L(V) \mid \alpha \text{ is an isomorphism } \},$ $M(V) = \{ \alpha \in L(V) \mid \alpha \text{ is one-to-one } \},$ $E(V) = \{ \alpha \in L(V) \mid \text{Im } \alpha = V \},$ $OM(V) = \{ \alpha \in L(V) \mid \text{dim Ker } \alpha \text{ is infinite } \}$ where dim V is infinite, $OE(V) = \{ \alpha \in L(V) \mid \text{dim } (V/\text{Im } \alpha) \text{ is infinite } \}$ where dim V is infinite,

 $OME(V) = \{ \alpha \in L(V) \mid \dim \operatorname{Ker} \alpha \text{ and } \dim (V/\operatorname{Im} \alpha) \text{ are infinite} \}$ where dim V is infinite,

- $BL(V) = \{ \alpha \in L(V) \mid \alpha \text{ is one-to-one and } \dim (V/\operatorname{Im} \alpha) \text{ is infinite} \}$ where dim V is infinite,
- $OBL(V) = \{ \alpha \in L(V) \mid \text{Im} \ \alpha = V \text{ and } \dim \text{Ker} \ \alpha \text{ is infinite} \}$ where dim V is infinite,

$$AM(V) = \{ \alpha \in L(V) \mid \dim \operatorname{Ker} \alpha \text{ is finite } \},$$

$$AE(V) = \{ \alpha \in L(V) \mid \dim (V/\operatorname{Im} \alpha) \text{ is finite } \},$$

$$MAE(V) = \{ \alpha \in L(V) \mid \alpha \text{ is one-to-one and } \dim (V/\operatorname{Im} \alpha) \text{ is finite } \},$$

$$EAM(V) = \{ \alpha \in L(V) \mid \operatorname{Im} \alpha = V \text{ and } \dim \operatorname{Ker} \alpha \text{ is finite } \} \text{ and}$$

$$AME(V) = \{ \alpha \in L(V) \mid \dim \operatorname{Ker} \alpha \text{ and } \dim (V/\operatorname{Im} \alpha) \text{ are finite } \}.$$

In the remainder, let V be a vector space over a division ring R.

We first introduce the following lemmas which will be used.

Lemma 3.1. If B is a basis of V, $A \subseteq B$ and $\alpha \in L(V)$ is one-to-one, then

$$\dim\left(\operatorname{Im}\alpha/\langle A\alpha\rangle\right) = |B \smallsetminus A|.$$

Proof. Assume that α is one-to-one. Then we have that $\alpha: V \to \operatorname{Im} \alpha$ is an isomorphism. Define $\overline{\alpha}: V/\langle A \rangle \to \operatorname{Im} \alpha/\langle A \rangle \alpha$ by

$$(v + \langle A \rangle)\bar{\alpha} = v\alpha + \langle A \rangle \alpha$$
 for all $v \in V$.

Clearly, $\bar{\alpha}$ is well-defined and onto. Since α is linear, it follows that $\bar{\alpha}$ is linear. Also $\bar{\alpha}$ is one-to-one since α is one-to-one. Hence $\bar{\alpha}$ is an isomorphism from $V/\langle A \rangle$ onto $\operatorname{Im} \alpha/\langle A \rangle \alpha$. Thus $\operatorname{Im} \alpha/\langle A \rangle \alpha \cong V/\langle A \rangle$. But $\dim (V/\langle A \rangle) = |B \smallsetminus A|$ by Proposition 1.12(iv), so $\dim (\operatorname{Im} \alpha/\langle A \alpha \rangle) = |B \smallsetminus A|$.

Lemma 3.2. Assume that B is a linearly independent subset of V. If $v_1, v_2, \ldots, v_n \in B$ are distinct and $u_1, u_2, \ldots, u_n \in \langle B \setminus \{v_1, v_2, \ldots, v_n\}\rangle$, then $v_1 - u_1, v_2 - u_2, \ldots, v_n - u_n$ are linearly independent over R.

Proof. It is clear that for any subset A of B, $\langle A \rangle \cap \langle B \setminus A \rangle = \{0\}$. Let $r_1, r_2, \ldots, r_n \in R$ be such that

Then $r_1v_1 + r_2v_2 + \ldots + r_nv_n = r_1u_1 + r_2u_2 + \ldots + r_nu_n \in \langle \{v_1, v_2, \ldots, v_n\} \rangle \cap$ $\langle B \setminus \{v_1, v_2, \ldots, v_n\} \rangle = \{0\}$. Since v_1, v_2, \ldots, v_n are linearly independent over R, we have that $r_i = 0$ for every $i \in \{1, 2, \ldots, n\}$. This proves that $v_1 - u_1, v_2 - u_2, \ldots, v_n - u_n$ are linearly independent over R.

We know from Proposition 1.10 that for any set $X, M_X \in BQ$ if and only if $|X| < \infty$ and this is also true for E_X . Following the technique of the given proofs for these facts, we obtain the same results for M(V) and E(V) by replacing |X| by dim V. However, our proofs are more complicated.

Theorem 3.3. The semigroup M(V) is in **BQ** if and only if $\dim V < \infty$.

Proof. If dim $V < \infty$, then M(V) = G(V) which implies by Proposition 1.5 that $M(V) \in \mathbf{BQ}$.

For the converse, assume that dim V is infinite. Let B be a basis of V. Then B is infinite. Let $A = \{u_n \mid n \in \mathbb{N}\}$ be a subset of B where for any distinct $i, j \in \mathbb{N}, u_i \neq u_j$. Let $\alpha, \beta, \gamma \in L(V)$ be defined by

$$v\alpha = \begin{cases} u_{2n} & \text{if } v = u_n \text{ for some } n \in \mathbb{N}, \\ v & \text{if } v \in B \smallsetminus A, \end{cases}$$
$$v\beta = \begin{cases} u_{n+1} & \text{if } v = u_n \text{ for some } n \in \mathbb{N}, \\ v & \text{if } v \in B \smallsetminus A \end{cases}$$

and

$$v\gamma = \begin{cases} u_{n+2} & \text{if } v = u_n \text{ for some } n \in \mathbb{N}, \\ v & \text{if } v \in B \smallsetminus A. \end{cases}$$

By Proposition 1.12(vii), $\alpha, \beta, \gamma \in M(V)$. From the definitions of α, β and γ , we have that

$$u_n \beta \alpha = u_{2n+2} = u_n \alpha \gamma$$
 for all $n \in \mathbb{N}$

and

$$v\beta\alpha = v = v\alpha\gamma$$
 for all $v \in B \smallsetminus A$.

This implies that $\alpha \neq \beta \alpha = \alpha \gamma$, so $\beta \alpha \in M(V) \alpha \cap \alpha M(V) = (\alpha)_q$ by Proposition 1.4. Suppose that $\beta \alpha \in (\alpha)_b$. Since $\alpha \neq \beta \alpha$, by Proposition 1.4, $\beta \alpha \in \alpha M(V) \alpha$. Let $\lambda \in M(V)$ be such that $\beta \alpha = \alpha \lambda \alpha$. From Proposition 1.9(i), $\beta = \alpha \lambda$. It then follows that

$$B \smallsetminus \{ u_1 \} = B\beta = B\alpha\lambda = (B \smallsetminus \{ u_{2n-1} \mid n \in \mathbb{N} \})\lambda.$$
(1)

We have by Lemma 3.1 that

$$\dim \left(\operatorname{Im} \lambda / \langle (B \setminus \{ u_{2n-1} \mid n \in \mathbb{N} \}) \lambda \rangle \right) = |\{ u_{2n-1} \mid n \in \mathbb{N} \}|.$$

$$(2)$$

Thus from (1) and (2) yield that

$$\dim\left(\operatorname{Im}\lambda/\langle B\smallsetminus\{u_1\}\rangle\right) = |\{u_{2n-1} \mid n\in\mathbb{N}\}|.$$
(3)

But dim $(V/\langle B \setminus \{u_1\}\rangle) = |\{u_1\}| = 1$ by Proposition 1.12(iv), so

$$\dim \left(\operatorname{Im} \lambda / \langle B \smallsetminus \{ u_1 \} \rangle \right) \le \dim \left(V / \langle B \smallsetminus \{ u_1 \} \rangle \right) = 1.$$
(4)

We have a contradiction because of (3) and (4). Then $\beta \alpha \notin (\alpha)_b$, so by Proposition 1.8, $M(V) \notin BQ$.

Hence the theorem is completely proved.

Theorem 3.4. The semigroup E(V) is in **BQ** if and only if $\dim V < \infty$.

Proof. If dim $V < \infty$, then E(V) = G(V), so $E(V) \in \mathbf{BQ}$ by Proposition 1.5.

Conversely, assume that dim V is infinite. Let B be an infinite basis of V and $A = \{ u_n \mid n \in \mathbb{N} \} \subseteq B$ where $u_i \neq u_j$ if $i \neq j$. Define $\alpha, \beta, \gamma \in L(V)$ by

$$v\alpha = \begin{cases} 0 & \text{if } v = u_n \text{ for some odd } n \in \mathbb{N}, \\ u_{\frac{n}{2}} & \text{if } v = u_n \text{ for some even } n \in \mathbb{N}, \\ v & \text{if } v \in B \smallsetminus A, \end{cases}$$
$$v\beta = \begin{cases} 0 & \text{if } v = u_1 \text{ or } u_2, \\ u_{n-2} & \text{if } v = u_n \text{ for some } n \in \mathbb{N} \smallsetminus \{1, 2\}, \\ v & \text{if } v \in B \smallsetminus A \end{cases}$$

and

$$v\gamma = \begin{cases} 0 & \text{if } v = u_1, \\ u_{n-1} & \text{if } v = u_n \text{ for some } n \in \mathbb{N} \smallsetminus \{1\}, \\ v & \text{if } v \in B \smallsetminus A. \end{cases}$$

Then Im $\alpha = \text{Im } \beta = \text{Im } \gamma = \langle B \cup \{ 0 \} \rangle = V$, so $\alpha, \beta, \gamma \in E(V)$. Moreover,

$$\begin{split} u_n\beta\alpha &= 0 = u_n\alpha\gamma & \text{ if } n = 2 \text{ or } n \text{ is odd }, \\ u_n\beta\alpha &= u_{\frac{n-2}{2}} = u_n\alpha\gamma & \text{ if } n > 2 \text{ and } n \text{ is even } and \\ v\beta\alpha &= v = v\alpha\gamma & \text{ for all } v \in B \smallsetminus A. \end{split}$$

Consequently, $\alpha \neq \beta \alpha = \alpha \gamma \in E(V) \alpha \cap \alpha E(V)$. By Proposition 1.4, $\alpha \gamma \in (\alpha)_q$. Suppose that $\alpha \gamma \in (\alpha)_b$. By Proposition 1.4, $\alpha \gamma = \alpha \lambda \alpha$ for some $\lambda \in E(V)$. By Proposition 1.9(i), we have $\gamma = \lambda \alpha$. By the definition of γ , we have from Proposition 1.12(viii) that Since $\operatorname{Im} \lambda = V$, for each odd $n \in \mathbb{N}$, $u'_n \lambda = u_n$ for some $u'_n \in V$. Then from Proposition 1.12(v),

$$\{u'_{2n-1} \mid n \in \mathbb{N}\}$$
 is a linearly independent subset of V and

for every
$$n \in \mathbb{N}$$
, $u'_{2n-1}\gamma = u'_{2n-1}\lambda\alpha = u_{2n-1}\alpha = 0.$ (2)

Hence (1) and (2) yield a contradiction. Consequently, $\alpha \gamma \notin (\alpha)_b$. Therefore $E(V) \notin BQ$ by Proposition 1.8.

Therefore the theorem is proved.

For the study of OM(V), OE(V), OME(V), BL(V) and OBL(V), we always assume that dim V is infinite. We will show that the semigroups OM(V) and OE(V) are not regular and neither left 0-simple nor right 0-simple but they are always in **BQ**.

Proposition 3.5. The semigroup OM(V) is not regular.

Proof. Let B be a basis of V and $A \subseteq B$ such that $|A| = |B \setminus A| = |B|$. Then there exists a bijection $\varphi : B \setminus A \to B$. Define $\alpha \in L(V)$ by

$$v\alpha = \begin{cases} v\varphi & \text{if } v \in B \smallsetminus A, \\ 0 & \text{if } v \in A. \end{cases}$$

Then Ker $\alpha = \langle A \rangle$ by Proposition 1.12(viii) and Im $\alpha = \langle \text{Im } \varphi \rangle = \langle B \rangle = V$. Therefore $\alpha \in OM(V)$. Suppose that $\alpha = \alpha\beta\alpha$ for some $\beta \in L(V)$. Since $\alpha \in E(V)$ by Proposition 1.9(i), $1_V = \beta\alpha$ where 1_V is the identity map on V. This implies that β is one-to-one, so $\beta \notin OM(V)$. This proves that α is not regular in OM(V). Hence OM(V) is not a regular semigroup.

Proposition 3.6. The semigroup OM(V) is neither left 0-simple nor right 0-simple.

Proof. For each $k \in \mathbb{N}$, let

$$A_k = \{ \alpha \in L(V) \mid \dim \operatorname{Im} \alpha \le k \}.$$

Clearly, $0 \in A_k \neq \{0\}$ for all $k \in \mathbb{N}$. Since dim $V = \dim \operatorname{Ker} \alpha + \dim \operatorname{Im} \alpha$ for all $\alpha \in L(V)$ (Proposition 1.12(i)) and dim V is infinite, it follows that dim $\operatorname{Ker} \alpha$ is infinite for all $\alpha \in A_k$ and for all $k \in \mathbb{N}$. Then $A_k \subseteq OM(V)$. Since for $\alpha, \beta \in L(V)$, rank $(\alpha\beta) \leq \min\{\operatorname{rank} \alpha, \operatorname{rank} \beta\}$, it follows that A_k is an ideal of L(V). Hence A_k is a nonzero ideal of OM(V).

We can see that $\alpha \in OM(V)$ defined in the proof of Proposition 3.5 is not an element of A_k for all $k \in \mathbb{N}$. Hence A_k is a nonzero proper ideal of OM(V) for every $k \in \mathbb{N}$.

Therefore OM(V) is neither left 0-simple nor right 0-simple.

As an immediate consequence of the fact that $\operatorname{Ker} \alpha \beta \supseteq \operatorname{Ker} \alpha$ for all $\alpha, \beta \in L(V)$, we have

Lemma 3.7. The semigroup OM(V) is a right ideal of L(V).

Theorem 3.8. The semigroup OM(V) always belongs to BQ.

Proof. To show that $OM(V) \in BQ$ from Proposition 1.3, Proposition 1.4 and Proposition 1.7, it suffices to show that for every nonempty subset X of OM(V), $OM(V)X \cap XOM(V) \subseteq XOM(V)X$.

Let X be a nonempty subset of OM(V) and let $\alpha \in OM(V)X \cap XOM(V)$. Then

$$\alpha = \beta \gamma = \lambda \eta \text{ for some } \beta, \eta \in OM(V) \text{ and } \gamma, \lambda \in X.$$
(1)

But L(V) is regular, so $\gamma = \gamma \mu \gamma$ for some $\mu \in L(V)$. It then follows from (1) that

$$\alpha = \beta \gamma \mu \gamma = \lambda \eta \mu \gamma = \lambda (\eta \mu) \gamma.$$
⁽²⁾

By Lemma 3.7, $\eta \mu \in OM(V)$, so from (2), we have $\alpha \in XOM(V)X$. This proves that $OM(V)X \cap XOM(V) \subseteq XOM(V)X$. Hence $OM(V) \in BQ$, as required.

Proposition 3.9. The semigroup OE(V) is not regular.

Proof. Let B be an infinite basis of V and $A \subseteq B$ such that $|A| = |B \setminus A| = |B|$. Then there exists a bijection $\varphi : B \to A$. Define $\alpha \in L(V)$ by $v\alpha = v\varphi$ for all $v \in B$. Then $\operatorname{Im} \alpha = \langle A \rangle$ and by Proposition 1.12(vii), α is one-to-one. By Proposition 1.12(iv), dim $(V/\operatorname{Im} \alpha) = \dim (V/\langle A \rangle) = |B \setminus A|$. Thus, we have $\alpha \in OE(V)$. If $\alpha = \alpha\beta\alpha$ for some $\beta \in L(V)$, then $\alpha\beta = 1_V$ since α is oneto-one which implies that $\operatorname{Im} \beta = V$, so $\beta \notin OE(V)$. Hence, we deduce that α is not regular in OE(V). We therefore conclude that OE(V) is not a regular semigroup.

Proposition 3.10. The semigroup OE(V) is neither left 0-simple nor right 0-simple.

Proof. For each $k \in \mathbb{N}$, let

$$A_k = \{ \alpha \in L(V) \mid \dim \operatorname{Im} \alpha \le k \}.$$

As in the proof of Proposition 3.6. We have that A_k is a nonzero ideal of L(V)for all $k \in \mathbb{N}$. Since dim $V = \dim \operatorname{Im} \alpha + \dim (V/\operatorname{Im} \alpha)$ (Proposition 1.12(ii)) and dim V is infinite, it follows that dim $(V/\operatorname{Im} \alpha)$ is infinite for all $\alpha \in A_k$ and for all $k \in \mathbb{N}$. Thus $A_k \subseteq OE(V)$ for every $k \in \mathbb{N}$. Let $\alpha \in OE(V)$ be defined as in the proof of Proposition 3.9. Since A is an infinite subset of B and $\operatorname{Im} \alpha = \langle A \rangle$, it follows that $\alpha \notin A_k$ for every $k \in \mathbb{N}$. Therefore each A_k is a nonzero proper ideal of OE(V). Hence OE(V) is neither left 0-simple nor right 0-simple.

Lemma 3.11. The semigroup OE(V) is a left ideal of L(V).

Proof. This is clear because of Proposition 1.12(iii) and the fact that $\operatorname{Im} \alpha \beta \subseteq \operatorname{Im} \beta$ for all $\alpha, \beta \in L(V)$.

Theorem 3.12. The semigroup OE(V) is always in BQ.

Proof. To prove the theorem, by Proposition 1.3, Proposition 1.4 and Proposition 1.7, it suffices to show that $OE(V)X \cap XOE(V) \subseteq XOE(V)X$ for any nonempty subset X of OE(V).

Let X be a nonempty subset of OE(V) and let $\alpha \in OE(V)X \cap XOE(V)$. Then

$$\alpha = \beta \gamma = \lambda \eta$$
 for some $\beta, \eta \in OE(V)$ and $\gamma, \lambda \in X$. (1)

Since L(V) is regular, $\lambda = \lambda \mu \lambda$ for some $\mu \in L(V)$. Then from (1),

$$\alpha = \lambda \mu \lambda \eta = \lambda \mu \beta \gamma = \lambda (\mu \beta) \gamma.$$
⁽²⁾

By Lemma 3.11, $\mu\beta \in OE(V)$. It then follows from (2) that $\alpha \in XOE(V)X$.

Therefore the theorem is proved.

We will show that OME(V) is always regular and hence it is in BQ by Proposition 1.5.

Lemma 3.13. The semigroup OME(V) is a regular semigroup.

Proof. To show that OME(V) is regular, let $\alpha \in OME(V)$. Let B_1 and B_2 be respectively bases of Ker α and Im α . Then B_1 is infinite. Let B be a basis of Vcontaining B_2 . By Proposition 1.12(iv), dim $(V/\text{Im }\alpha) = \dim (V/\langle B_2 \rangle) = |B \setminus B_2|$. But $\alpha \in OE(V)$, so $B \setminus B_2$ is infinite. For each $v \in B_2$, there exists an element $u_v \in V$ such that $u_v \alpha = v$. It then follows that $|\{u_v \mid v \in B_2\}| = |B_2|$. Moreover, $B_1 \cup \{u_v \mid v \in B_2\}$ is a basis of V by Proposition 1.12(vi). Define $\beta \in L(V)$ by

$$v\beta = \begin{cases} u_v & \text{if } v \in B_2, \\ 0 & \text{if } v \in B \smallsetminus B_2 \end{cases}$$

Then Ker $\beta = \langle B \setminus B_2 \rangle$ by Proposition 1.12(viii) and Im $\beta = \langle \{ u_v \mid v \in B_2 \} \rangle$. We therefore have dim Ker $\beta = |B \setminus B_2|$. Since $B_1 \cup \{ u_v \mid v \in B_2 \}$ is a basis of V by Proposition 1.12(vi), we have by Proposition 1.12(iv) that

$$\dim (V/\operatorname{Im} \beta) = |(B_1 \cup \{ u_v \mid v \in B_2 \}) \setminus \{ u_v \mid v \in B_2 \}| = |B_1|.$$

It then follows that $\beta \in OME(V)$. Since $B_1 \cup \{u_v \mid v \in B_2\}$ is a basis of V and

$$v\alpha\beta\alpha = (v\alpha)\beta\alpha = 0\beta\alpha = 0 = v\alpha$$
 for all $v \in B_1$
 $u_v\alpha\beta\alpha = (u_v\alpha)\beta\alpha = v\beta\alpha = (v\beta)\alpha = u_v\alpha$ for all $v \in B_2$,

we deduce that $\alpha\beta\alpha = \alpha$. This proves that OME(V) is regular, as required. \Box

The following theorem is obtained directly from Lemma 3.13 and Proposition 1.5.

Theorem 3.14. The semigroup OME(V) always belongs to BQ.

Observe that OM(V) and OE(V) are not regular but $OME(V)(=OM(V) \cap OE(V))$ is. However, OM(V) and OE(V) are neither left 0-simple nor right 0-simple and neither is OME(V) as shown in the following proposition.

Proposition 3.15. The semigroup OME(V) is neither left 0-simple nor right 0-simple.

Proof. For each $k \in \mathbb{N}$, define

$$A_k = \{ \alpha \in L(V) \mid \dim \operatorname{Im} \alpha \le k \}$$
(1)

as in the proof of Proposition 3.6. By the proof of Proposition 3.6 and Proposition 3.10, each A_k is a nonzero ideal of OM(V) and OE(V), respectively. Then A_k is a nonzero ideal of $OME(V) (= OM(V) \cap OE(V))$. From (1), we have

$$A_1 \subseteq A_2 \subseteq A_3 \subseteq \dots$$

Let B be a basis of V and let u_1, u_2, u_3, \ldots be distinct elements of B. For each positive integer k, define $\alpha_k \in L(V)$ by

$$v\alpha_{k} = \begin{cases} v & \text{if } v \in \{u_{1}, u_{2}, \dots u_{k}\}, \\ 0 & \text{if } v \in B \smallsetminus \{u_{1}, u_{2}, \dots u_{k}\}. \end{cases}$$

Then for every $k \in \mathbb{N}$, $\operatorname{Im} \alpha_k = \langle u_1, u_2, \dots, u_k \rangle$ and hence dim $\operatorname{Im} \alpha_k = k$. Thus for every k > 1, $\alpha_k \in A_k \smallsetminus A_{k-1}$. Consequently,

$$A_1 \subsetneq A_2 \subsetneq A_3 \subsetneq \dots$$

Therefore each A_k is a nonzero proper ideal of OME(V).

Hence OME(V) is neither left 0-simple nor right 0-simple.

As was mentioned in Chapter I, BL_X is right simple if X is a countably infinite set. This is also true that BL(V) is right simple if dim $V = \aleph_0$. We give this fact as a lemma in order to prove the next theorem, analogous to Proposition 1.11. That is, to prove that $BL(V) \in BQ$ if and only if dim $V = \aleph_0$. The technique of the proof Proposition 1.11 is helpful for the proof of this theorem.

Lemma 3.16. If $\dim V = \aleph_0$, then BL(V) is right simple.

Proof. By assumption, we have that for every $\alpha \in BL(V)$, dim $(V/\operatorname{Im} \alpha) = \aleph_0$. We will show that BL(V) is right simple by Proposition 1.1. This is equivalent to show that for all $\alpha, \beta \in BL(V)$, there exists $\gamma \in BL(V)$ such that $\alpha \gamma = \beta$. Let α , $\beta \in BL(V)$ be arbitrary fixed. Since α and β are one-to-one linear transformations of V, we have that $\alpha^{-1}\beta \colon \operatorname{Im} \alpha \to \operatorname{Im} \beta$ is an isomorphism.

Let B_1 be a basis of Im α and $B_2 = B_1 \alpha^{-1} \beta$. Then B_2 is a basis of Im β . Let *B* and *B'* be bases of *V* such that $B_1 \subseteq B$ and $B_2 \subseteq B'$. It then follows from Proposition 1.12(iv), that

$$\dim (V/\operatorname{Im} \alpha) = |B \setminus B_1|$$
 and $\dim (V/\operatorname{Im} \beta) = |B' \setminus B_2|$.

Consequently, $|B \setminus B_1| = |B| = |B' \setminus B_2| = \aleph_0$. Let $A \subseteq B' \setminus B_2$ be such that $|A| = |B' \setminus B_2| = |(B' \setminus B_2) \setminus A|$. Thus

$$|(B' \smallsetminus B_2) \smallsetminus A| = \aleph_0. \tag{1}$$

Then there exists a bijection $\varphi \colon B \setminus B_1 \to A$. Define $\gamma \in L(V)$ by

$$v\gamma = \begin{cases} v\alpha^{-1}\beta & \text{if } v \in B_1, \\ v\varphi & \text{if } v \in B \smallsetminus B_1. \end{cases}$$
(2)

Since $B_1 \alpha^{-1} \beta \cap (B \setminus B_1) \varphi = B_2 \cap A = \emptyset$, it follows that $\gamma|_B : B \to B_2 \cup A \subseteq B'$ is a bijection. Hence γ is one-to-one by Proposition 1.12(vii), so $\gamma \in M(V)$. Also, we have from Proposition 1.12(iv) that

$$\dim \left(V/\operatorname{Im} \gamma \right) = \dim \left(V/\langle B_2 \cup A \rangle \right) = |B' \smallsetminus (B_2 \cup A)| = |(B' \smallsetminus B_2) \smallsetminus A|.$$
(3)

Then $\gamma \in OE(V)$ by (1) and (3). But since $BL(V) = M(V) \cap OE(V), \gamma \in BL(V)$. Because Im $\alpha = \langle B_1 \rangle$, we deduce from (2) that $\gamma|_{\operatorname{Im} \alpha} = \alpha^{-1}\beta$. This implies that $\alpha \gamma = \beta$.

Hence BL(V) is right simple, as desired.

Theorem 3.17. The semigroup BL(V) is in **BQ** if and only if $\dim V = \aleph_0$.

Proof. If dim $V = \aleph_0$, then $BL(V) \in \mathbf{BQ}$ by Lemma 3.16 and Proposition 1.6.

For the converse, assume that $\dim V \neq \aleph_0$. Since $\dim V$ is infinite, $\dim V > \aleph_0$. Let *B* be a basis of *V*. Then *B* is uncountable. Let *A* and *C* be subsets of *B* such that

$$A \subseteq C, |B \setminus C| = |C| = |B| \text{ and } |C \setminus A| = |A| = |C|.$$

$$(1)$$

Let D be a countably infinite subset of B. Since B is uncountable,

$$|B \setminus D| = |B|.$$

Then there are $\alpha, \beta \in L(V)$ such that $\alpha|_B \colon B \to B \smallsetminus C$ and $\beta|_B \colon B \to B \smallsetminus D$ are bijections. By Proposition 1.12(vii), we have that $\alpha, \beta \in M(V)$. By Proposition 1.12(iv),

$$\dim (V/\operatorname{Im} \alpha) = \dim (V/\langle B \smallsetminus C \rangle) = |C| \text{ and}$$
$$\dim (V/\operatorname{Im} \beta) = \dim (V/\langle B \smallsetminus D \rangle) = |D|, \tag{2}$$

so we have that $\alpha, \beta \in OE(V)$. Thus $\alpha, \beta \in BL(V)$. Also we have

$$(B \smallsetminus C)\alpha^{-1}\beta\alpha = (B \smallsetminus D)\alpha \subseteq B \smallsetminus C.$$
(3)

Since |C| = |A|, there is a bijection $\varphi \colon C \to A$. By (1), $C\varphi \subseteq C$. Define $\gamma \in L(V)$ by

$$v\gamma = \begin{cases} v\alpha^{-1}\beta\alpha & \text{if } v \in B \smallsetminus C, \\ v\varphi & \text{if } v \in C. \end{cases}$$
(4)

Because of (3) and $C\varphi \subseteq A$, we have $(B \smallsetminus C)\alpha^{-1}\beta\alpha \cap C\varphi \subseteq (B \smallsetminus C) \cap C = \emptyset$. Then $\gamma|_B : B \to (B \smallsetminus C) \cup A \subseteq B$ is one-to-one. Hence $\gamma \in M(V)$ by Proposition 1.12(vii) and $\operatorname{Im} \gamma \subseteq \langle (B \smallsetminus C) \cup A \rangle$. Since

$$\dim (V/\operatorname{Im} \gamma) \ge \dim (V/\langle (B \smallsetminus C) \cup A \rangle) \quad \text{from Proposition 1.12(iii)}$$
$$= |B \smallsetminus ((B \smallsetminus C) \cup A)| \quad \text{from Proposition 1.12(iv)}$$
$$= |C \smallsetminus A|$$
$$= |B| \quad \text{from (1),}$$

we have $\gamma \in OE(V)$. Hence $\gamma \in BL(V)$. We have by (4) that $\gamma|_{\operatorname{Im}\alpha} = \alpha^{-1}\beta\alpha$. Consequently, $\beta\alpha = \alpha\gamma \in BL(V)\alpha \cap \alpha BL(V)$. By Proposition 1.4, $\beta\alpha \in (\alpha)_q$. To show that $\beta\alpha \notin (\alpha)_b$, suppose on the contrary that $\beta\alpha \in (\alpha)_b$. By Proposition 1.4, $\beta\alpha = \alpha$, $\beta\alpha = \alpha^2$ or $\beta\alpha = \alpha\lambda\alpha$ for some $\lambda \in BL(V)$. By Proposition 1.9(i), $\beta = 1_V$, $\beta = \alpha$ or $\beta = \alpha\lambda$. Since C is uncountable, D is countable, $B\alpha = B \smallsetminus C$ and $B\beta = B \smallsetminus D$, we deduce that $\beta \neq 1_V$ and $\beta \neq \alpha$. Then $\beta = \alpha\lambda$. Hence

$$\operatorname{Im} \beta = \operatorname{Im} (\alpha \lambda) = (\operatorname{Im} \alpha) \lambda = \langle B \smallsetminus C \rangle \lambda = \langle (B \smallsetminus C) \lambda \rangle.$$
(5)

Consequently,

$$|D| = \dim (V/\operatorname{Im} \beta) \qquad \text{from (2)}$$
$$= \dim (V/\langle B \smallsetminus C \rangle \lambda) \qquad \text{from (5)}$$
$$\geq \dim (\operatorname{Im} \lambda/\langle B \smallsetminus C \rangle \lambda)$$
$$= |B \smallsetminus (B \smallsetminus C)| \qquad \text{from Lemma 3.1}$$
$$= |C|.$$

This contradicts the facts that D is countable but C is uncountable. Therefore $\beta \alpha \notin (\alpha)_b$. By Proposition 1.8, $BL(V) \notin BQ$.

Hence the theorem is completely proved.

If BL(V) is right simple, by Proposition 1.6, $BL(V) \in \mathbf{BQ}$ which implies by Theorem 3.17 that dim $V = \aleph_0$. That is, the converse of Lemma 3.16 holds. **Corollary 3.18.** dim $V = \aleph_0$ if and only if BL(V) is a right simple semigroup.

Next, to show that dim $V = \aleph_0$ is also necessary and sufficient for the semigroup OBL(V) belongs to BQ, we first show as a lemma that if dim $V = \aleph_0$, then OBL(V) is left simple. Recall that $OBL(V) = OM(V) \cap E(V)$.

Lemma 3.19. If $\dim V = \aleph_0$, then OBL(V) is left simple.

Proof. To show that OBL(V) is left simple by Proposition 1.1 which is equivalent to show that for all $\alpha, \beta \in OBL(V), \gamma \alpha = \beta$ for some $\gamma \in OBL(V)$.

Let $\alpha, \beta \in OBL(V)$ and let *B* be a basis of *V*. Then *B* is countably infinite. Then for every infinite subset *A* of *B*, |A| = |B|. Since $\operatorname{Im} \alpha = \operatorname{Im} \beta = V$, for every $v \in B$, there exist $u_v, w_v \in V$ such that

$$u_v \alpha = w_v \beta = v. \tag{1}$$

Then for distinct $v_1, v_2 \in B$, $u_{v_1} \neq u_{v_2}$ and $w_{v_1} \neq w_{v_2}$. This implies that

$$|\{u_v \mid v \in B\}| = |B| = |\{w_v \mid v \in B\}|.$$

Let B_1 and B_2 be respectively bases of Ker α and Ker β . Then B_1 and B_2 are countably infinite. By Proposition 1.12(vi), we have that $B_1 \cup \{u_v \mid v \in B\}$ and $B_2 \cup \{w_v \mid v \in B\}$ are both bases of V. Next, let C be a subset of B_2 such that $|C| = |B_2| = |B_2 \smallsetminus C|$. Then there is a bijection $\varphi : C \to B_1$. Note that $C \cup (B_2 \smallsetminus C) \cup \{w_v \mid v \in B\}$ is a disjoint union and it is a basis of V. Define $\gamma \in L(V)$ by

for every
$$v \in C, v\gamma = v\varphi$$
,
for every $v \in B_2 \smallsetminus C, v\gamma = 0$, (2)
for every $v \in B, w_v\gamma = u_v$.

Since $B_1 \cup \{ u_v \mid v \in B \}$ is a basis of V,

$$\operatorname{Im} \gamma = \langle C\varphi \cup \{ u_v \mid v \in B \} \rangle = \langle B_1 \cup \{ u_v \mid v \in B \} \rangle = V.$$

Also, Ker $\gamma = \langle B_2 \smallsetminus C \rangle$ by Proposition 1.12(viii) and hence dim Ker $\gamma = |B_2 \smallsetminus C| = |B_2| = \dim \operatorname{Ker} \beta$. Thus $\gamma \in OBL(V)$. To show that $\gamma \alpha = \beta$, let $v \in B$. Then $v \in C, v \in B_2 \smallsetminus C$ or $v = w_z$ for some $z \in B$.

Case 1: $v \in C$. Since $C \subseteq B_2 \subseteq \text{Ker } \beta$, $v\beta = 0$. From (2), $v\gamma = v\varphi \in B_1 \subseteq \text{Ker } \alpha$. This implies that $v\gamma\alpha = 0$. Hence $v\gamma\alpha = v\beta$.

Case 2: $v \in B_2 \setminus C$. Since $B_2 \setminus C \subseteq B_2 \subseteq \text{Ker } \beta$, $v\beta = 0$. By (2), $v\gamma = 0$. Thus $v\gamma\alpha = 0 = v\beta$.

Case 3: $v = w_z$ for some $z \in B$. Then by (1), $u_z \alpha = z = w_z \beta$. From (2), $v\gamma = w_z \gamma = u_z$ and thus $v\gamma \alpha = u_z \alpha = z = w_z \beta = v\beta$.

Hence $\gamma \alpha = \beta$.

This proves that OBL(V) is left simple, as required.

Theorem 3.20. The semigroup OBL(V) is in **BQ** if and only if dim $V = \aleph_0$.

Proof. First, assume that $\dim V \neq \aleph_0$. Then $\dim V > \aleph_0$ since $\dim V$ is infinite. Let B be a basis of V and let $C \subseteq B$ be such that $|B \smallsetminus C| = |C| = |B|$. Let D_1 and D_2 be countably infinite subsets of C and $B \smallsetminus C$, respectively. Since C and $B \smallsetminus C$ are uncountable, we have

 $|(B \smallsetminus C) \smallsetminus D_2| = |B \smallsetminus C| = |B \smallsetminus D_1| = |B| \text{ and } |C \smallsetminus D_1| = |C| = |B|.$

Then there are bijections $\varphi_1 : D_2 \to D_1, \varphi_2 : (B \smallsetminus C) \smallsetminus D_2 \to B \smallsetminus D_1, \varphi_3 :$ $C \smallsetminus D_1 \to C \text{ and } \varphi_4 : (B \smallsetminus C) \smallsetminus D_2 \to B \smallsetminus C.$ By the choices of C, D_1 and $D_2,$ we have

$$B = ((B \smallsetminus C) \lor D_2) \cup D_2 \cup C = (C \lor D_1) \cup ((B \smallsetminus C) \lor D_2) \cup D_1 \cup D_2$$

which are disjoint unions . (1)

which are disjoint unions .

We also have $\varphi_2^{-1}\varphi_4: B \smallsetminus D_1 \to B \searrow C$ is a bijection. It then follows that

$$(B \smallsetminus D_1)\varphi_2^{-1}\varphi_4 = ((B \smallsetminus C) \smallsetminus D_2) \cup D_2$$

which is a disjoint union . (2)

Next, define $\alpha, \beta, \gamma \in L(V)$ by

$$v\alpha = \begin{cases} v\varphi_2 & \text{if } v \in (B \smallsetminus C) \smallsetminus D_2, \\ v\varphi_1 & \text{if } v \in D_2, \\ 0 & \text{if } v \in C, \end{cases}$$
$$v\beta = \begin{cases} v\varphi_3 & \text{if } v \in C \smallsetminus D_1, \\ v\varphi_4 & \text{if } v \in (B \smallsetminus C) \smallsetminus D_2, \\ 0 & \text{if } v \in D_1 \cup D_2 \end{cases}$$

and

$$v\gamma = \begin{cases} v\varphi_2^{-1}\varphi_4\varphi_1 & \text{if } v \in B \smallsetminus D_1 \text{ and } v\varphi_2^{-1}\varphi_4 \in D_2, \\ v\varphi_2^{-1}\varphi_4\varphi_2 & \text{if } v \in B \smallsetminus D_1 \text{ and } v\varphi_2^{-1}\varphi_4 \in (B \smallsetminus C) \smallsetminus D_2, \\ 0 & \text{if } v \in D_1. \end{cases}$$

We have that α and β are well-defined by (1) and γ is well-defined by (2). From Proposition 1.12(viii) and the definitions of α and β , we get

$$\operatorname{Im} \alpha = \langle ((B \smallsetminus C) \smallsetminus D_2)\varphi_2 \cup D_2\varphi_1 \rangle = \langle (B \smallsetminus D_1) \cup D_1 \rangle \rangle = \langle B \rangle = V,$$

$$\operatorname{dim} \operatorname{Ker} \alpha = \operatorname{dim} \langle C \rangle = |C|, \qquad (3)$$

$$\operatorname{Im} \beta = \langle (C \smallsetminus D_1)\varphi_3 \cup ((B \smallsetminus C) \smallsetminus D_2)\varphi_4 \rangle = \langle C \cup (B \smallsetminus C) \rangle = \langle B \rangle = V,$$
$$\operatorname{dim} \operatorname{Ker} \beta = \operatorname{dim} \langle D_1 \cup D_2 \rangle = |D_1 \cup D_2|.$$

By (2), Proposition 1.12(viii) and the definitions of φ_1, φ_2 and γ , we have

$$\operatorname{Im} \gamma = \langle D_2 \varphi_1 \cup ((B \smallsetminus C) \smallsetminus D_2)) \varphi_2 \rangle = \langle D_1 \cup (B \smallsetminus D_1) \rangle = \langle B \rangle = V,$$
$$\operatorname{dim} \operatorname{Ker} \gamma = \operatorname{dim} \langle D_1 \rangle = |D_1|. \tag{4}$$

Consequently, $\alpha, \beta, \gamma \in OBL(V)$. We claim that $\beta \alpha = \alpha \gamma$. Let $v \in B$. Then v belongs to one of the following subsets of $B: D_1, D_2, C \smallsetminus D_1$ and $(B \backsim C) \backsim D_2$.

Case 1: $v \in D_1$. Then $v\beta\alpha = 0\alpha = 0$. Since $D_1 \subseteq C$, $v\alpha\gamma = 0\gamma = 0$.

Case 2: $v \in D_2$. Then $v\beta\alpha = 0\alpha = 0$. Since $v\alpha = v\varphi_1 \in D_1$, $v\alpha\gamma = 0$.

Case 3: $v \in C \setminus D_1$. Then $v\alpha\gamma = 0\gamma = 0$. But $v\beta = v\varphi_3 \in C$, so $v\beta\alpha = 0$.

Case 4: $v \in (B \setminus C) \setminus D_2$. Then $v\beta = v\varphi_4 \in B \setminus C$, so

$$v\beta\alpha = \begin{cases} v\varphi_4\varphi_1 & \text{if } v\varphi_4 \in D_2, \\ \\ v\varphi_4\varphi_2 & \text{if } v\varphi_4 \in (B \smallsetminus C) \smallsetminus D_2. \end{cases}$$

Since $v\alpha = v\varphi_2 \in B \setminus D_1$, we have

$$v\alpha\gamma = \begin{cases} v\alpha\varphi_2^{-1}\varphi_4\varphi_1 & \text{if } v\alpha\varphi_2^{-1}\varphi_4 \in D_2, \\ \\ v\alpha\varphi_2^{-1}\varphi_4\varphi_2 & \text{if } v\alpha\varphi_2^{-1}\varphi_4 \in (B \smallsetminus C) \smallsetminus D_2 \end{cases}$$

But $v\alpha\varphi_2^{-1}\varphi_4 = v\varphi_2\varphi_2^{-1}\varphi_4 = v\varphi_4$, so

$$v\alpha\varphi_2^{-1}\varphi_4\varphi_1 = v\varphi_4\varphi_1 \text{ if } v\varphi_4 \in D_2,$$

 $v\alpha\varphi_2^{-1}\varphi_4\varphi_2 = v\varphi_4\varphi_2 \text{ if } v\varphi_4 \in (B \smallsetminus C) \smallsetminus D_2.$

We then conclude that

$$v\alpha\gamma = \begin{cases} v\varphi_4\varphi_1 & \text{if } v\varphi_4 \in D_2, \\ \\ v\varphi_4\varphi_2 & \text{if } v\varphi_4 \in (B \smallsetminus C) \smallsetminus D_2 \end{cases}$$

This proves that $v\beta\alpha = v\alpha\gamma$ for every $v \in B$. Hence $\beta\alpha = \alpha\gamma \in OBL(V)\alpha \cap$

 $\alpha OBL(V)$. By Proposition 1.4, $\alpha \gamma \in (\alpha)_q$. Suppose that $\alpha \gamma \in (\alpha)_b$. By Proposition 1.4, $\alpha \gamma = \alpha$, $\alpha \gamma = \alpha^2$ or $\alpha \gamma = \alpha \lambda \alpha$ for some $\lambda \in OBL(V)$. By Proposition

1.9(i), $\gamma = 1_V$, $\gamma = \alpha$ or $\gamma = \lambda \alpha$. By the definition of γ , we have that $\gamma \neq 1_V$. Since D_1 is countable and C is uncountable, from (3) and (4), $\gamma \neq \alpha$. Then $\gamma = \lambda \alpha$. Since $\operatorname{Im} \lambda = V$, for each $v \in C$, there exists an element $u_v \in V$ such that $u_v \lambda = v$. Then $|\{u_v \mid v \in C\}| = |C|$, so $\{u_v \mid v \in C\}$ is uncountable. Since C is a linearly independent subset of V over R, by Proposition 1.12(v), $\{u_v \mid v \in C\}$ is linearly independent over R. But since $\operatorname{Ker} \alpha = \langle C \rangle$, so for every $v \in C$, $u_v \lambda \alpha = v\alpha = 0$. It then follows that $\{u_v \mid v \in C\} \subseteq \operatorname{Ker} \lambda \alpha$. Hence dim $\operatorname{Ker} \lambda \alpha$ is uncountable. Then by (4), that $\gamma = \lambda \alpha$ is impossible. Therefore $\alpha \gamma \notin (\alpha)_b$. Thus $(\alpha)_b \neq (\alpha)_q$. By Proposition 1.8, we have that OBL(V) is not in BQ. This proves that if OBL(V) belongs to BQ, then dim $V = \aleph_0$.

The converse of the theorem follows directly from Lemma 3.19 and Proposition \square

Corollary 3.21. dim $V = \aleph_0$ if and only if OBL(V) is a left simple semigroup.

Proof. Assume that OBL(V) is left simple. Then $OBL(V) \in BQ$ by Proposition 1.6. Therefore we have by Theorem 3.20 that dim $V = \aleph_0$.

The converse is Lemma 3.19.

Next, assume that V is a vector space over a division ring R of any dimension. Recall from Chapter I, page 14, that if dim $V < \infty$, then AM(V) = AE(V) = L(V). Since L(V) is a regular semigroup, it follows from Proposition 1.5 that

(1) if dim $V < \infty$, then $AM(V) \in BQ$ and

(2) if dim $V < \infty$, then $AE(V) \in \mathbf{BQ}$.

The next two theorems show that the converses of (1) and (2) are also true.

Theorem 3.22. The semigroup AM(V) is in **BQ** if and only if $\dim V < \infty$.

Proof. If dim $V < \infty$, then $AM(V) \in \mathbf{BQ}$, as was mentioned above.

For the converse, assume that dim V is infinite. Let B be a basis of V and $A = \{ u_n \mid n \in \mathbb{N} \} \subseteq B$ where $u_i \neq u_j$ if $i \neq j$. Define $\alpha, \beta, \gamma \in L(V)$ by

$$v\alpha = \begin{cases} u_{2n} & \text{if } v = u_n \text{ for some } n \in \mathbb{N}, \\ v & \text{if } v \in B \smallsetminus A, \end{cases}$$
$$v\beta = \begin{cases} u_{n+1} & \text{if } v = u_n \text{ for some } n \in \mathbb{N}, \\ v & \text{if } v \in B \smallsetminus A, \end{cases}$$

and

$$v\gamma = \begin{cases} u_{n+2} & \text{if } v = u_n \text{ for some } n \in \mathbb{N}, \\ v & \text{if } v \in B \smallsetminus A. \end{cases}$$

Then $\alpha, \beta, \gamma \in M(V)$ by Proposition 1.12(vii), so $\alpha, \beta, \gamma \in AM(V)$. Since

for every
$$n \in \mathbb{N}$$
, $u_n \beta \alpha = u_{2n+2} = u_n \alpha \gamma$ and
for every $v \in B \smallsetminus A$, $v \beta \alpha = v = v \alpha \gamma$,

we deduce that $\alpha \neq \beta \alpha = \alpha \gamma$. Thus $\beta \alpha \in AM(V)\alpha \cap \alpha AM(V) = (\alpha)_q$ by Proposition 1.4. Suppose that $\beta \alpha \in (\alpha)_b$. By Proposition 1.4, $\beta \alpha = \alpha \lambda \alpha$ for some $\lambda \in AM(V)$. Since α is one-to-one, we conclude that $\beta = \alpha \lambda$. This implies by the definitions of α and β that

$$B \smallsetminus \{ u_1 \} = B\beta = B\alpha\lambda = (B\alpha)\lambda = (B \smallsetminus \{ u_1, u_3, u_5, \dots \})\lambda.$$

For convenience, for each $n \in \mathbb{N}$, let $w_n = u_{2n-1}$. Thus

$$\langle B \setminus \{ w_n \mid n \in \mathbb{N} \} \rangle \lambda = \langle (B \setminus \{ w_n \mid n \in \mathbb{N} \}) \lambda \rangle = \langle B \setminus \{ u_1 \} \rangle.$$
(1)

But $V = \langle B \setminus \{u_1\} \rangle + \langle u_1 \rangle$, so by (1), we have

$$V = \langle B \smallsetminus \{ w_n \mid n \in \mathbb{N} \} \rangle \lambda + Ru_1 \tag{2}$$

We then have by (2) that for each $n \in \mathbb{N}$, there exist $v_n \in \langle B \setminus \{ w_n \mid n \in \mathbb{N} \} \rangle$ and $a_n \in R$ such that $w_n \lambda = v_n \lambda + a_n u_1$. Therefore

for every
$$n \in \mathbb{N}, (w_n - v_n)\lambda \in \langle u_1 \rangle.$$
 (3)

It follows from Lemma 3.2 that the set $\{w_n - v_n \mid n \in \mathbb{N}\}$ is linearly independent over R and $w_i - v_i \neq w_j - v_j$ if $i \neq j$. Set $W = \langle \{w_n - v_n \mid n \in \mathbb{N}\} \rangle$. Then dim W is infinite. From (3), $\lambda|_W : W \to \langle u_1 \rangle$ and hence dim Im $(\lambda|_W) \leq 1$. By Proposition 1.12(i),

$$\dim W = \dim \operatorname{Ker} \left(\lambda |_{W} \right) + \dim \operatorname{Im} \left(\lambda |_{W} \right).$$

We thus conclude that dim Ker $(\lambda|_W)$ is infinite. But Ker $\lambda \supseteq$ Ker $(\lambda|_W)$, so dim Ker λ is infinite. It is a contradiction since $\lambda \in AM(V)$. This proves that $(\alpha)_q \neq (\alpha)_b$. Therefore $AM(V) \notin BQ$ by Proposition 1.8.

Hence the proof of the theorem is complete.

Theorem 3.23. The semigroup AE(V) is in **BQ** if and only if $dim V < \infty$.

Proof. If dim $V < \infty$, then $AE(V) \in BQ$, as mentioned previously.

On the other hand, assume that dim V is infinite. Let B be a basis of V and $A = \{ u_n \mid n \in \mathbb{N} \} \subseteq B \text{ where } u_i \neq u_j \text{ if } i \neq j. \text{ Define } \alpha, \beta, \gamma \in L(V) \text{ by}$ $v\alpha = \begin{cases} 0 & \text{if } v = u_n \text{ for some odd } n \in \mathbb{N}, \\ u_{\frac{n}{2}} & \text{if } v = u_n \text{ for some even } n \in \mathbb{N}, \\ v & \text{if } v \in B \smallsetminus A, \end{cases}$ $v\beta = \begin{cases} 0 & \text{if } v = u_1 \text{ or } u_2, \\ u_{n-2} & \text{if } v = u_n \text{ for some } n > 2, \\ v & \text{if } v \in B \smallsetminus A \end{cases}$

and

$$v\gamma = \begin{cases} 0 & \text{if } v = u_1, \\ u_{n-1} & \text{if } v = u_n \text{ for some } n > 1, \\ v & \text{if } v \in B \smallsetminus A. \end{cases}$$

Then $\operatorname{Im} \alpha = \operatorname{Im} \beta = \operatorname{Im} \gamma = \langle B \cup \{0\} \rangle = B$. Thus $\alpha, \beta, \gamma \in E(V) \subseteq AE(V)$ and

$$\begin{split} u_n \beta \alpha &= 0 = u_n \alpha \gamma & \text{if } n = 2 \text{ or } n \text{ is odd,} \\ u_n \beta \alpha &= u_{\frac{n-2}{2}} = u_n \alpha \gamma & \text{if } n > 2 \text{ and } n \text{ is even} & \text{and} \\ v \beta \alpha &= v = v \alpha \gamma & \text{for all } v \in B \smallsetminus A. \end{split}$$

Thus $\alpha \neq \beta \alpha = \alpha \gamma$. Consequently, $\alpha \gamma \in AE(V)\alpha \cap \alpha AE(V) = (\alpha)_q$ by Proposition 1.4. Suppose that $\alpha \gamma \in (\alpha)_b$. By Proposition 1.4, $\alpha \gamma = \alpha \lambda \alpha$ for some $\lambda \in AE(V)$. Since $\alpha \in E(V)$, by Proposition 1.9(i), $\gamma = \lambda \alpha$. Then $u_1\lambda\alpha = u_1\gamma = 0$, so $u_1\lambda \in \text{Ker }\alpha$. By the definition of α and Proposition 1.12(viii),

$$\operatorname{Ker} \alpha = \langle \{ u_n \mid n \in \mathbb{N} \text{ and } n \text{ is odd } \} \rangle.$$

For convenience, let $w_n = u_{2n-1}$ for every $n \in \mathbb{N}$. Thus

$$\operatorname{Ker} \alpha = \langle \{ w_n \mid n \in \mathbb{N} \} \rangle.$$
(1)

Since $u_1 \lambda \in \text{Ker } \alpha$, there are $k \in \mathbb{N}$ and $a_1, a_2, \ldots, a_k \in R$ such that

$$u_1 \lambda = \sum_{n=1}^k a_n w_n. \tag{2}$$

We claim that $\{ w_{k+n} + \operatorname{Im} \lambda \mid n \in \mathbb{N} \}$ is a linearly independent infinite subset of $V/\operatorname{Im} \lambda$. To prove this, let $l \in \mathbb{N}$ and $b_1, b_2, \ldots, b_l \in R$ be such that

$$\sum_{n=1}^{l} b_n(w_{k+n} + \operatorname{Im} \lambda) = \operatorname{Im} \lambda.$$

It then follows that $\sum_{n=1}^{l} b_n w_{k+n} \in \text{Im } \lambda$, so there exists $z \in V$ such that

$$z\lambda = \sum_{n=1}^{l} b_n w_{k+n} \tag{3}$$

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Since $V = \langle B \rangle = \langle A \rangle + \langle B \smallsetminus A \rangle$, there are $m \in \mathbb{N}, c_1, c_2, \dots, c_m \in R$ and $u' \in \langle B \smallsetminus A \rangle$ such that

$$z = \sum_{n=1}^{m} c_n u_n + u'.$$
 (4)

We may choose m > 1. From (3) and (4), we have

$$\sum_{n=1}^{l} b_n w_{k+n} = \sum_{n=1}^{m} c_n(u_n \lambda) + u' \lambda.$$
(5)

By (1) and (5), we get

$$0 = \left(\sum_{n=1}^{l} b_n w_{k+n}\right) \alpha = \sum_{n=1}^{m} c_n(u_n \lambda \alpha) + u' \lambda \alpha.$$

Since $\lambda \alpha = \gamma$ and $u_1 \gamma = 0$, we have

$$\sum_{n=2}^{m} c_n(u_n\gamma) = -u'\gamma.$$
(6)

From the definition of γ , we have

$$\sum_{n=2}^{m} c_n(u_n\gamma) = \sum_{n=2}^{m} c_n u_{n-1} \in \langle A \rangle \quad \text{and} \quad u'\gamma = u' \in \langle B \smallsetminus A \rangle.$$
(7)

But $\langle A \rangle \cap \langle B \setminus A \rangle = \{0\}$, so (6) and (7) yield u' = 0 and $c_2 = c_3 = \ldots = c_m = 0$.

It then follows from (5) that

$$\sum_{n=1}^{l} b_n w_{k+n} = c_1(u_1\lambda).$$

From this equality and (2), we obtain the following equality.

$$\sum_{n=1}^{l} b_n w_{k+n} = c_1 \sum_{n=1}^{k} a_n w_n.$$

This implies that $c_1a_1w_1 + c_1a_2w_2 + \ldots + c_1a_kw_k - b_1w_{k+1} - b_2w_{k+2} - \ldots - b_lw_{k+l} = 0$. But since $w_1, w_2, \ldots, w_k, \ldots, w_{k+l}$ are linearly independent over R, so $b_n = 0$ for all $n \in \{1, 2, \ldots, l\}$. Hence we have the claim. This contradicts that dim $(V/\text{Im }\lambda)$ is finite. This proves that $(\alpha)_q \neq (\alpha)_b$. By Proposition 1.8, $AE(V) \notin BQ$.

Hence the theorem is completely proved.

Finally, we show that the finiteness of dim V is also necessary and sufficient for each of the linear transformation semigroups MAE(V) and EAM(V) to belong to **BQ**.

Theorem 3.24. The semigroup MAE(V) is in **BQ** if and only if $\dim V < \infty$.

Proof. Assume that dim V is infinite. Let B be a basis of V and $A = \{u_n \mid n \in \mathbb{N}\} \subseteq B$ where $u_i \neq u_j$ if $i \neq j$. Define $\alpha, \beta, \gamma \in L(V)$ by

$$v\alpha = \begin{cases} u_{n+2} & \text{if } v = u_n \text{ for some } n \in \mathbb{N}, \\ v & \text{if } v \in B \smallsetminus A, \end{cases}$$
$$v\beta = \begin{cases} u_{n+1} & \text{if } v = u_n \text{ for some } n \in \mathbb{N} \smallsetminus \{1\} \\ v & \text{if } v \in (B \smallsetminus A) \cup \{u_1\} \end{cases}$$

and

$$v\gamma = \begin{cases} u_{n+1} & \text{if } v = u_n \text{ for some } n \in \mathbb{N} \smallsetminus \{1, 2, 3\}, \\ v & \text{if } v \in (B \smallsetminus A) \cup \{u_1, u_2, u_3\}. \end{cases}$$

Then α, β and γ are one-to-one by Proposition 1.12(vii), $\operatorname{Im} \alpha = \langle B \smallsetminus \{ u_1, u_2 \} \rangle$, $\operatorname{Im} \beta = \langle B \smallsetminus \{ u_2 \} \rangle$ and $\operatorname{Im} \gamma = \langle B \smallsetminus \{ u_4 \} \rangle$. Thus from Proposition 1.12(iv), $\dim (V/\operatorname{Im} \alpha) = 2$, $\dim (V/\operatorname{Im} \beta) = 1$ and $\dim (V/\operatorname{Im} \gamma) = 1$. Hence $\alpha, \beta, \gamma \in MAE(V)$. By the definitions of α, β and γ , we have that

$$u_{1}\beta\alpha = u_{1}\alpha = u_{3} = u_{3}\gamma = u_{1}\alpha\gamma,$$
$$u_{n}\beta\alpha = u_{n+1}\alpha = u_{n+3} = u_{n+2}\gamma = u_{n}\alpha\gamma \quad \text{for any } n > 1,$$
$$v\beta\alpha = v = v\alpha\gamma \quad \text{for any } v \in B \smallsetminus A.$$

It then follows that $\beta \alpha = \alpha \gamma$, so $\beta \alpha \in MAE(V)\alpha \cap \alpha MAE(V)$. By Proposition 1.4, $\beta \alpha \in (\alpha)_q$. Suppose that $\beta \alpha \in (\alpha)_b$. Since $u_2\beta\alpha = u_5 \neq u_4 = u_2\alpha$, $\beta \alpha \neq \alpha$. Then by Proposition 1.4, $\beta \alpha = \alpha \lambda \alpha$ for some $\lambda \in MAE(V)$. By Proposition 1.9(i), we have $\beta = \alpha \lambda$. Then

$$B \setminus \{u_2\} = B\beta = B\alpha\lambda = (B \setminus \{u_1, u_2\})\lambda.$$
(1)

Since λ is one-to-one, $\lambda : V \to V\lambda$ is an isomorphism. Consequently, $V/W \cong V\lambda/W\lambda$ for every subspace W of V (see the proof of Lemma 3.1). Hence

$$2 = \dim (V/\langle B \setminus \{ u_1, u_2 \} \rangle)$$
from Proposition 1.12(iv)
$$= \dim (V\lambda/\langle B \setminus \{ u_1, u_2 \} \rangle \lambda)$$
$$\leq \dim (V/\langle B \setminus \{ u_1, u_2 \} \rangle \lambda)$$
$$= \dim (V/\langle (B \setminus \{ u_1, u_2 \}) \lambda \rangle)$$
$$= \dim (V/\langle B \setminus \{ u_2 \} \rangle) = 1$$
from (1) and Proposition 1.12(iv)

which is a contradiction. Thus $\beta \alpha \notin (\alpha)_b$, so $(\alpha)_q \neq (\alpha)_b$. By Proposition 1.8, MAE(V) does not belong to **BQ**. This proves that if MAE(V) is in **BQ**, then $\dim V < \infty$.

As was mentioned in Chapter I, page 15, MAE(V) = G(V) if dim $V < \infty$, so $MAE(V) \in \mathbf{BQ}$ if dim $V < \infty$.

Hence the proof is complete.

Theorem 3.25. The semigroup EAM(V) is in **BQ** if and only if $\dim V < \infty$.

Proof. Assume that dim V is infinite. Let B be a basis of V and $A = \{u_n \mid n \in$

 $\mathbb{N} \subseteq B$ where $u_i \neq u_j$ for all distinct $i \neq j$. Define $\alpha, \beta, \gamma \in L(V)$ by

$$v\alpha = \begin{cases} 0 & \text{if } v \in \{u_1, u_2\}, \\ u_{n-2} & \text{if } v = u_n \text{ for some } n \in \mathbb{N} \smallsetminus \{1, 2\}, \\ v & \text{if } v \in B \smallsetminus A, \end{cases}$$
$$v\beta = \begin{cases} 0 & \text{if } v = u_1, \\ u_{n-1} & \text{if } v = u_n \text{ for some } n \in \mathbb{N} \smallsetminus \{1\}, \\ v & \text{if } v \in B \smallsetminus A \end{cases}$$

and

$$v\gamma = \begin{cases} 0 & \text{if } v = u_1, \\ u_{n-1} & \text{if } v = u_n \text{ for some } n \in \mathbb{N} \smallsetminus \{1\}, \\ v & \text{if } v \in B \smallsetminus A. \end{cases}$$

Then $\operatorname{Im} \alpha = \operatorname{Im} \beta = \operatorname{Im} \gamma = \langle B \cup \{0\} \rangle = V$ and by Proposition 1.12(viii), Ker $\alpha = \langle u_1, u_2 \rangle$, Ker $\beta = \langle u_1 \rangle$ and Ker $\gamma = \langle u_1 \rangle$, so we have that $\alpha, \beta, \gamma \in EAM(V)$. From the definitions of α, β and γ , we have the following equalities.

$$u_{1}\beta\alpha = 0\alpha = 0 = u_{1}\alpha = u_{1}\alpha\gamma,$$

$$u_{2}\beta\alpha = u_{1}\alpha = 0 = 0\gamma = u_{2}\alpha\gamma,$$

$$u_{3}\beta\alpha = u_{2}\alpha = 0 = u_{1}\gamma = u_{3}\alpha\gamma,$$

$$u_{n}\beta\alpha = u_{n-1}\alpha = u_{n-3} = u_{n-2}\gamma = u_{n}\alpha\gamma \quad \text{if } n > 3 \text{ and}$$

$$v\beta\alpha = v = v\alpha\gamma$$
 for all $v \in B \smallsetminus A$.

It then follows that $\beta \alpha = \alpha \gamma \in EAM(V) \alpha \cap \alpha EAM(V)$. By Proposition 1.4, $\alpha \gamma \in (\alpha)_q$. Suppose that $\alpha \gamma \in (\alpha)_b$. Since $u_3 \alpha \gamma = 0 \neq u_1 = u_3 \alpha$. By Proposition 1.4, $\alpha \gamma = \alpha \lambda \alpha$ for some $\lambda \in EAM(V)$. By Proposition 1.9(i), $\gamma = \lambda \alpha$. From the definition of γ , Since Im $\lambda = V$, there are $u'_1, u'_2 \in V$ such that $u'_1 \lambda = u_1$ and $u'_2 \lambda = u_2$. Since u_1 and u_2 are linearly independent, we have from Proposition 1.12(v) that

$$u'_1$$
 and u'_2 are linearly independent. (2)

We also have

$$\{u'_1, u'_2\}\gamma = \{u'_1, u'_2\}\lambda\alpha = \{u_1, u_2\}\alpha = \{0\}.$$
(3)

Therefore (1), (2) and (3) yield a contradiction. Consequently, $\alpha \gamma \notin (\alpha)_b$. By Proposition 1.8, $EAM(V) \notin BQ$. This proves that if $EAM(V) \in BQ$, then $\dim V < \infty$.

As was mentioned in Chapter I, page 15, EAM(V) = G(V) if dim V is finite, hence the converse holds.

Finally, we shall show that AME(V) is also regular which implies that it is a BQ-semigroup.

Proposition 3.26. The semigroup AME(V) is a regular semigroup.

Proof. Let $\alpha \in AME(V)$. Then dim Ker α and dim $(V/\text{Im }\alpha)$ are finite. Let B_1 and B_2 be bases of Ker α and and Im α , respectively. Let B be a basis of Vcontaining B_2 . For each $v \in B_2$, let $u_v \in V$ be such that $u_v \alpha = v$. It then follows from Proposition 1.12(vi) that $B_1 \cup \{u_v \mid v \in B_2\}$ is a basis of V. By Proposition 1.12(iv),

$$\dim \left(V/\operatorname{Im} \alpha \right) = \dim \left(V/\langle B_2 \rangle \right) = |B \smallsetminus B_2|,$$

so $|B \setminus B_2| < \infty$. Define $\beta \in L(V)$ by

$$v\beta = \begin{cases} u_v & \text{if } v \in B_2, \\ 0 & \text{if } v \in B \smallsetminus B_2 \end{cases}$$

Then Ker $\beta = \langle B \setminus B_2 \rangle$ by Proposition 1.12(viii) and Im $\beta = \langle \{ u_v \mid v \in B_1 \} \rangle$. Thus dim Ker $\beta = |B \setminus B_2| < \infty$ and we also have by Proposition 1.12(iv) that

$$\dim (V/\operatorname{Im} \beta) = \dim (\langle B_1 \cup \{ u_v \mid v \in B_2 \} \rangle / \langle \{ u_v \mid v \in B_2 \} \rangle)$$
$$= |B_1| = \dim \operatorname{Ker} \alpha < \infty.$$

Hence $\beta \in AME(V)$. Since $B_1 \cup \{ u_v \mid v \in B_2 \}$ is a basis of V,

 $v\alpha\beta\alpha = 0 = v\alpha$ for all $v \in B_1$ and $u_v\alpha\beta\alpha = v\beta\alpha = u_v\alpha$ for all $v \in B_2$,

we have $\alpha\beta\alpha = \alpha$. This proves that AME(V) is regular, as required.

Therefore by Proposition 3.26 and Proposition 1.5, we have

Theorem 3.27. The semigroup AME(V) always belongs to BQ.

Observe that $0 \in AME(V)$ if and only if dim $V < \infty$. Because AME(V) is always a **BQ**-semigroup, it is natural to ask whether AME(V) is left 0-simple and/or right 0-simple if dim $V < \infty$ and whether it is left simple and/or right simple if dim V is infinite (see Proposition 1.6). The following proposition is the answer.

Proposition 3.28. The following statements hold.

- (i) If $\dim V < \infty$, then AME(V) is left [right] 0-simple if and only if $\dim V = 1$.
- (ii) If $\dim V$ is infinite, then AME(V) is neither left simple nor right simple.

Proof. Since AME(V) = L(V) if dim $V < \infty$, we have that

$$AME(V) \begin{cases} = \{0\} & \text{if } \dim V = 0, \\ \cong R & \text{if } \dim V = 1. \end{cases}$$

Since R is a division ring, it follows that if dim V = 1, then AME(V) is both left 0-simple and right 0-simple. Next, we assume that dim V > 1 and let

$$C = \{ \alpha \in AME(V) \mid \dim \operatorname{Ker} \alpha > 1 \},$$
$$D = \{ \alpha \in AME(V) \mid \dim (V/\operatorname{Im} \alpha) > 1 \}.$$

Let B be a basis of V and $u, w \in B$ such that $u \neq w$. Define $\beta, \gamma \in L(V)$ by

$$v\beta = \begin{cases} v & \text{if } v \in B \smallsetminus \{u, w\}, \\ 0 & \text{if } v = u \text{ or } v = w \end{cases}$$

and

$$v\gamma = \begin{cases} v & \text{if } v \in B \smallsetminus \{u\}, \\ 0 & \text{if } v = u. \end{cases}$$

Then by Proposition 1.12(viii), Ker $\beta = \langle u, w \rangle$ and Ker $\gamma = \langle u \rangle$. Moreover, Im $\beta = \langle B \setminus \{u, w\} \rangle$ and Im $\gamma = \langle B \setminus \{u\} \rangle$. Therefore we have that dim Ker $\beta = 2$, dim $(V/\text{Im }\beta) = 2$, dim Ker $\gamma = 1$ and dim $(V/\text{Im }\gamma) = 1$. It thus follows that $\beta \in C, \beta \in D, \gamma \in AME(V) \setminus C$ and $\gamma \in AME(V) \setminus D$. This shows that C and D are nonempty proper subsets of AME(V). Since Ker $\alpha\beta \supseteq$ Ker α and Im $\beta\alpha \subseteq$ Im α for all $\alpha, \beta \in AME(V)$, we deduce that C is a proper right ideal and D is a proper left ideal of AME(V). This proves that (i) and (ii) hold. \Box

Remark 3.29. The known result in Proposition 1.10 about M_X and E_X motivates us to study M(V) and E(V). Also, our study on BL(V) in Theorem 3.17 is motivated by the known result in Proposition 1.11. After that, many other linear transformation semigroups are considered. As can be seen in this chapter, many linear transformation semigroups are characterized when to be BQ-semigroups. Our technique of proofs use some knowledge of cardinalities of sets and linear algebra. Especially, suitable constructions of linear transformations to achieve our

goals are really important. It is quite clearly seen that if we define the transformation semigroups on a sets which were not defined in [9] in the similar way as in this chapter, the expected results will be obtained by replacing dim V with |X|. Moreover, the proofs will be about the same or easier.



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CHAPTER IV

ORDER-PRESERVING TRANSFORMATION SEMIGROUPS

In this chapter, we are concerned with any order-preserving transformation semigroups $T_{OP}(I)$ on a nonempty interval I of real numbers under usual ordering. The aim is to characterize when $T_{OP}(I)$ is in **BQ** in terms of I. Proposition 1.8, Proposition 1.13 and suitable constructions of mappings are important tools.

It is obvious that there are 9 types of nonempty intervals of \mathbb{R} as follows where $a, b \in \mathbb{R}$.

(1)	$\mathbb{R},$		
(2)	$(a,\infty),$	(3)	$[a,\infty),$
(4)	$(-\infty, a),$	(5)	$(-\infty, a],$
(6)	(a,b) where $a < b$,	(7)	(a, b] where $a < b$,
(8)	[a, b) where $a < b$,	(9)	$[a,b]$ where $a \leq b$.

To provide the main result, a series of following lemmas are required.

Lemma 4.1. $T_{OP}(\mathbb{R})$ is not in **BQ**.

Proof. Define $\alpha, \beta, \gamma : \mathbb{R} \to \mathbb{R}$ by

 $x\alpha = 2^x$, $x\beta = 3x$ and $x\gamma = x^3$ for all $x \in \mathbb{R}$.

Then all of α, β and γ are one-to-one and increasing on \mathbb{R} , so $\alpha, \beta, \gamma \in T_{OP}(\mathbb{R})$.

Moreover, $\operatorname{Im} \alpha = (0, \infty)$ and $\operatorname{Im} \beta = \operatorname{Im} \gamma = \mathbb{R}$. Since for every $x \in \mathbb{R}$,

$$x\beta\alpha = (x\beta)\alpha = (3x)\alpha = 2^{3x},$$
$$x\alpha\gamma = (x\alpha)\gamma = (2^x)\gamma = (2^x)^3 = 2^{3x},$$

it follows that $\alpha \neq \beta \alpha = \alpha \gamma \in T_{OP}(\mathbb{R}) \alpha \cap \alpha T_{OP}(\mathbb{R})$. By Proposition 1.4, $\beta \alpha \in (\alpha)_q$. To show that $\beta \alpha \notin (\alpha)_b$, suppose that $\beta \alpha \in (\alpha)_b$. From Proposition 1.4, $\beta \alpha = \alpha \lambda \alpha$ for some $\lambda \in T_{OP}(\mathbb{R})$. Since α is one-to-one, $\beta = \alpha \lambda$ (Proposition 1.9(i)). It then follows that

$$\lambda|_{\operatorname{Im}\alpha} = \lambda|_{(0,\infty)} = \alpha^{-1}\beta,\tag{1}$$

$$\mathbb{R} = \mathbb{R}\beta = \mathbb{R}\alpha\lambda = (\mathbb{R}\alpha)\lambda = (0,\infty)\lambda.$$
(2)

From (1), we have that $\lambda|_{(0,\infty)}$ is one-to-one. Because $0\lambda \in \mathbb{R}$, by (2), there exists $d \in (0,\infty)$ such that $0\lambda = d\lambda$. But since λ is order-preserving, it follows that $(0,d]\lambda = \{0\lambda\}$. This is a contradiction because $\lambda|_{(0,\infty)}$ is one-to-one. Hence $\beta\alpha \notin (\alpha)_b$. By Proposition 1.8, we have $T_{OP}(\mathbb{R}) \notin BQ$.

Lemma 4.2. If $a \in \mathbb{R}$ and $I = (a, \infty)$ or $[a, \infty)$, then $T_{OP}(I)$ is not in **BQ**.

Proof. Define $\alpha, \beta, \gamma : [a, \infty) \to \mathbb{R}$ by

$$x\alpha = \frac{x-a}{x-a+1} + a,$$

$$x\beta = 2x - a,$$

$$x\gamma = \frac{2x - 2a}{x-a+1} + a$$
for all $x \in [a, \infty).$

$$(1)$$

Then we have

$$x\alpha' = \frac{(x-a+1) - (x-a)}{(x-a+1)^2} = \frac{1}{(x-a+1)^2} > 0,$$

$$x\beta' = 2 > 0,$$

$$x\gamma' = \frac{(x-a+1)(2) - (2x-2a)}{(x-a+1)^2} = \frac{2}{(x-a+1)^2} > 0$$
(3)

for all $x \in [a, \infty)$. It then follows from (3) that α, β, γ are strictly increasing on $[a, \infty)$. From (1), we have that

$$a\alpha = a\beta = a\gamma = a. \tag{4}$$

Consequently, α , β , $\gamma \in T_{OP}(I)$ and all of them are one-to-one. Let

$$\alpha_1 = \alpha|_I, \quad \beta_1 = \beta|_I \text{ and } \gamma_1 = \gamma|_I.$$

Then $\alpha_1, \beta_1, \gamma_1 \in T_{OP}(I)$ from (3) and (4). Observe that if $a \in I$, then $\alpha_1 = \alpha$, $\beta_1 = \beta$ and $\gamma_1 = \gamma$. We claim that $\beta_1 \alpha_1 = \alpha_1 \gamma_1$. To show this, let $x \in I$. Then

$$x\beta_{1}\alpha_{1} = (x\beta_{1})\alpha_{1} = (2x - a)\alpha_{1}$$

$$= \frac{(2x - a) - a}{(2x - a) - a + 1} + a$$

$$= \frac{2x - 2a}{2x - 2a + 1} + a,$$

$$x\alpha_{1}\gamma_{1} = (x\alpha_{1})\gamma_{1} = (\frac{x - a}{x - a + 1} + a)\gamma$$

$$= \frac{2(\frac{x - a}{x - a + 1} + a) - 2a}{(\frac{x - a}{x - a + 1} + a) - a + 1} + a$$

$$= \frac{2(\frac{x - a}{x - a + 1} + a) - a + 1}{\frac{x - a}{x - a + 1} + 1} + a$$

$$= \frac{2x - 2a}{2x - 2a + 1} + a.$$

Thus $\beta_1 \alpha_1 = \alpha_1 \gamma_1 \in T_{OP}(I) \alpha_1 \cap \alpha_1 T_{OP}(I)$. By Proposition 1.4, $\beta_1 \alpha_1 \in (\alpha_1)_q$. Since $a + 1 \in I$, $(a + 1)\alpha_1 = \frac{a + 1 - a}{a + 1 - a + 1} + a = \frac{1}{2} + a$ and

$$(a+1)\beta_1\alpha_1 = (2a+2-a)\alpha_1 = (a+2)\alpha_1 = \frac{a+2-a}{a+2-a+1} + a = \frac{2}{3} + a,$$

we have that $\beta_1 \alpha_1 \neq \alpha_1$. Suppose that $\beta_1 \alpha_1 \in (\alpha_1)_b$. From Proposition 1.4, $\beta_1 \alpha_1 = \alpha_1 \lambda \alpha_1$ for some $\lambda \in T_{OP}(I)$. But since α_1 is one-to-one, $\beta_1 = \alpha_1 \lambda$. From (1), (3) and (4), we have $\text{Im } \beta = [a, \infty)$. Since

$$\lim_{x \to \infty} (x\alpha) = \lim_{x \to \infty} \left(\frac{1 - \frac{a}{x}}{1 - \frac{a}{x} + \frac{1}{x}} + a \right) = a + 1,$$

we have from (2)-(4) that $\operatorname{Im} \alpha = [a, a+1)$. Hence we have

Im
$$\beta_1 = I$$
 and Im $\alpha_1 = I \cap [a, a+1)$. (5)

Since $\beta_1 = \alpha_1 \lambda$ and both α_1 and β_1 are one-to-one, from (5) we conclude that

$$\lambda|_{I \cap [a,a+1)} = \alpha_1^{-1} \beta_1 \text{ which is one-to-one}$$
(6)

Moreover, from (5),

$$I = I\beta_1 = I\alpha_1\lambda = (I\alpha_1)\lambda = (I \cap [a, a+1))\lambda.$$
(7)

Since $a+1 \in I$, $(a+1)\lambda \in I$, so by (7) $(a+1)\lambda = d\lambda$ for some $d \in I \cap [a, a+1)$. Then d < a+1 and $d\lambda = (a+1)\lambda$. But λ is order-preserving, thus $[d, a+1)\lambda = \{(a+1)\lambda\}$. Now, we have

$$d < a+1, \ [d, a+1) \subseteq I \cap [a, a+1) \text{ and } \ [d, a+1)\lambda = \{(a+1)\lambda\}.$$
 (8)

Then (6) and (8) yield a contradiction. Hence $\beta_1 \alpha_1 \notin (\alpha_1)_b$. We therefore have from Proposition 1.8 that $T_{OP}(I) \notin BQ$.

The following lemma is directly obtained from Lemma 4.2 and Proposition 1.13(i) and (ii).

Lemma 4.3. If
$$a \in \mathbb{R}$$
 and $I = (-\infty, a)$ or $(-\infty, a]$, then $T_{OP}(I) \notin BQ$.

Lemma 4.4. Let $a, b \in \mathbb{R}$ be such that a < b and let I be (a, b) or (a, b]. Then $T_{OP}(I) \notin BQ$.

Proof. Define $\alpha,\beta,\gamma:[a,b]\to\mathbb{R}$ by

$$x\alpha = \frac{x}{2} + \frac{b}{2} \text{ for all } x \in [a, b]$$

$$(1)$$

$$(1)$$

$$x\beta = \begin{cases} \frac{-3}{3} + \frac{-3}{3} & \text{if } a \le x < \frac{-2}{2}, \\ \frac{4x}{3} - \frac{b}{3} & \text{if } \frac{a+b}{2} \le x \le b, \end{cases}$$
(2)

$$x\gamma = \begin{cases} \frac{2x}{3} + \frac{a+b}{6} & \text{if } a \le x < \frac{a+3b}{4}, \\ \frac{4x}{3} - \frac{b}{3} & \text{if } \frac{a+3b}{4} \le x \le b. \end{cases}$$
(3)

We then have respectively from (1), (2) and (3) that

$$a\alpha = \frac{a+b}{2} \in (a,b), \quad b\alpha = b \quad \text{and} \quad x\alpha' = \frac{1}{2} \text{ for all } x \in [a,b],$$
 (4)

$$\begin{aligned} a\beta &= a, \quad b\beta = b, \\ x\beta' &= \begin{cases} \frac{2}{3} & \text{if } a \le x < \frac{a+b}{2}, \\ \frac{4}{3} & \text{if } \frac{a+b}{2} < x \le b, \end{cases} \tag{5} \\ \lim_{x \to (\frac{a+b}{2})^{-}} (x\beta) &= \frac{2}{3}(\frac{a+b}{2}) + \frac{a}{3} = \frac{2a+b}{3} \text{ and} \\ (\frac{a+b}{2})\beta &= \frac{4}{3}(\frac{a+b}{2}) - \frac{b}{3} = \frac{2a+b}{3}, \end{cases} \tag{6} \\ x\gamma' &= \begin{cases} \frac{2}{3} & \text{if } a \le x < \frac{a+3b}{4}, \\ \frac{4}{3} & \text{if } \frac{a+3b}{4} < x \le b, \\ \frac{4}{3} & \text{if } \frac{a+3b}{4} < x \le b, \end{cases} \tag{6} \\ \lim_{x \to (\frac{a+3b}{4})^{-}} (x\gamma) &= \frac{2}{3}(\frac{a+3b}{4}) + \frac{a+b}{6} = \frac{a+2b}{3} \text{ and} \\ (\frac{a+3b}{4})\gamma &= \frac{4}{3}(\frac{a+3b}{4}) - \frac{b}{3} = \frac{a+2b}{3}. \end{aligned}$$

From (4), (5) and (6), we have that α , β and γ are continuous, order-preserving and one-to-one and α , β , $\gamma : [a, b] \to [a, b]$. This implies that α_1 , β_1 , $\gamma_1 \in T_{OP}(I)$ where $\alpha_1 = \alpha|_I$, $\beta_1 = \beta|_I$ and $\gamma_1 = \gamma|_I$. To show that $\beta_1\alpha_1 = \alpha_1\gamma_1$. Let $x \in I$. **Case 1:** $x < \frac{a+b}{2}$. Then by (1)-(3),

$$x\beta_1\alpha_1 = (x\beta_1)\alpha_1 = (\frac{2x+a}{3})\alpha_1 = \frac{1}{2}(\frac{2x+a}{3}) + \frac{b}{2} = \frac{2x+a+3b}{6},$$
$$x\alpha_1\gamma_1 = (x\alpha_1)\gamma_1 = (\frac{x+b}{2})\gamma_1 = \frac{2}{3}(\frac{x+b}{2}) + \frac{a+b}{6} = \frac{2x+a+3b}{6}$$

since $x < \frac{a+b}{2} \Rightarrow \frac{x+b}{2} < \frac{\frac{a+b}{2}+b}{2} = \frac{a+3b}{4}$.

Case 2: $x \ge \frac{a+b}{2}$. Then $\frac{x+b}{2} \ge \frac{\frac{a+b}{2}+b}{2} = \frac{a+3b}{4}$, so we have from (1)-(3) that

$$x\beta_1\alpha_1 = (x\beta_1)\alpha_1 = (\frac{4x-b}{3})\alpha_1 = \frac{1}{2}(\frac{4x-b}{3}) + \frac{b}{2} = \frac{2x+b}{3}$$
$$x\alpha_1\gamma_1 = (x\alpha_1)\gamma_1 = (\frac{x+b}{2})\gamma_1 = \frac{4}{3}(\frac{x+b}{2}) - \frac{b}{3} = \frac{2x+b}{3}$$

Hence $\beta_1 \alpha_1 = \alpha_1 \gamma_1 \in T_{OP}(I) \alpha_1 \cap \alpha_1 T_{OP}(I) = (\alpha_1)_q$. Suppose that $\beta_1 \alpha_1 \in (\alpha_1)_b$. Since α_1 is one-to-one and $\beta_1 \neq 1_X$, by Proposition 1.9(i), $\beta_1 \alpha_1 \neq \alpha_1$. By Proposition 1.4, $\beta_1 \alpha_1 = \alpha_1 \lambda \alpha_1$ for some $\lambda \in T_{OP}(I)$. Then $\beta_1 = \alpha_1 \lambda$ since α_1 is one-to-one. Since $a \notin I$, from (4) and (5) and the continuity of α and β , we have

$$\operatorname{Im} \alpha_1 = I \cap \left(\frac{a+b}{2}, b\right] \quad \text{and} \quad \operatorname{Im} \beta_1 = I \tag{7}$$

respectively. Since $\beta_1 = \alpha_1 \lambda$, we have from (7) that

$$I = I\beta_1 = I\alpha_1\lambda = (I\alpha_1)\lambda = \left(I \cap \left(\frac{a+b}{2}, b\right]\right)\lambda.$$
(8)

We also have

$$\lambda|_{\operatorname{Im}\alpha_1} = \alpha_1^{-1}\beta_1 \text{ which is one-to-one}$$
(9)

since α_1 and β_1 are one-to-one. For any case of I, $I \cap (a, \frac{a+b}{2}) \neq \emptyset$. Let $c \in I \cap (a, \frac{a+b}{2})$. By (8), $c\lambda = d\lambda$ for some $d \in I \cap (\frac{a+b}{2}, b]$. Then

$$c < \frac{a+b}{2} < d \begin{cases} < b \text{ if } I = (a,b), \\ \le b \text{ if } I = (a,b]. \end{cases}$$
(10)

Since λ is order-preserving, we have $[c, d]\lambda = \{c\lambda\}$ which implies that $(\frac{a+b}{2}, d]\lambda = \{d\lambda\}$. By (7) and (10), $(\frac{a+b}{2}, d] \subseteq \text{Im } \alpha_1$. Now, we have

$$\frac{a+b}{2} < d, \ \left(\frac{a+b}{2}, d\right] \subseteq \operatorname{Im} \alpha_1 \quad \text{and} \quad \left(\frac{a+b}{2}, d\right] \lambda = \{d\lambda\}.$$
(11)

From (9) and (11), we have a contradiction. Therefore, $\beta_1 \alpha_1 \notin (\alpha_1)_b$. By Proposition 1.8, we have $T_{OP}(I) \notin BQ$, as desired.

We also have the following lemma from Lemma 4.4 and Proposition 1.13(iii).

Lemma 4.5. If $a, b \in \mathbb{R}$ are such that a < b, then $T_{OP}([a, b)) \notin BQ$.

Now we are ready to give the main result of this chapter.

Theorem 4.6. For a nonempty interval I of \mathbb{R} , $T_{OP}(I) \in BQ$ if and only if I is closed and bounded.

Proof. If I is closed and bounded, by Proposition 1.14 and Proposition 1.5, $T_{OP}(I) \in \mathbf{BQ}.$

On the other hand, assume that I is neither closed nor bounded. Then I is one of the types (1)–(8) mentioned at the beginning of this chapter. Hence by Lemma 4.1–Lemma 4.5, we conclude that $T_{OP}(I) \notin BQ$.

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