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APPENDICES

4

APPENDIX A

Publication of the research

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Publication

International Research Paper

1. P. Numpud, T. Charinpanitkul and W. Tanthapanichakoon, "Photoinduced hydrophilic property of zinc oxide thin films prepared by sol-gel dip coating method," Journal of ceramic society of Japan, vol. 116, 2008, pp. 414-417.

International Proceeding

 P. Numpud, T. Charinpanitkul and W. Tanthapanichakoon, "Photoinduced hydrophilic property of zinc oxide thin films prepared by sol-gel dip coating method," <u>Proceedings of International Conference on 7th Pacific Rim Conference on Ceramic and Glass Technology (PAC RIM 7).</u> November 11-14, 2007, Shanghai, China, p.64.

7TH PACIFIC RIM CONFERENCE ON CERAMIC AND GLASS TECHNOLOGY

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PacRim 7 Abstract Book

Shanghai, China Shanghai International Convention Center Session Chair: Shu Yin, Tohoku University, Japan

S1-35-O, Nov 14, 15:20-15:40 Improvement Photoinduced Hydrophilic Property of Glass by Zinc Oxide Thin Film Prepared by Sol-Gel Method P Numpod." W: Tambaganichakoon and T. Charinganittoi 'Chulalongkon University, Thailand: 'National Nanotechnology Center (NANOTEC). Thailand

ZnO thin film could be fabricated on soda lime glass substrates by sol-gel dip coating method. The ZnO thin films which are synthesized by using the concentration of zinc acetate ranged 0.02 to 0.75 nol/L, withdrawal speed ranged 0.16 to 2.00 nm/s and heated at 773 K have been experimentally investigated. The transparent and hydrophilic properties of ZnO thin film have been characterized by UV-VIS spectrophotometer and contact angle measurement, respectively. As a preliminary result, the decreasing withdrawal speed could result in a decrease in film thickness and photoinduced hydrophilicity.

S1-36-O, Nov. 14, 15:40-16:00 Synthesis and Photochemical Properties of La-doped HCa₂Nb₃O₁₀ Y. F. Huzng, J. H. Wu, Y. M. Xie, J. M. Lin, M. L. Huzng and

Y. L. Wei Huaniao University, China

The photocatalysts series HCa2Nb3O10 doped with La were synthesized by conventional solid state method followed by an ion-exchange reaction. The samples were characterized by power X-ray diffraction, UV-vis diffusive reflectance and scan electron microscope. The influence of substitution of La³⁺ for Ca²⁺ in HCa2Nb3O10 had been studied on the photocatalytic decomposition of water under UV light irradiation (more than 290 nm) with methanol as electron donor and Pt as promoter catalyst. Both the optical property and photocatalytic activity of H1-xCa2.xNb3LaxO10 are varied by the substitution of La for Ca. As La content increased the band gap and light response range are changed, which have a positive effect on the photocatalytic activity in the water splitting reaction The results show that the doping of La improves the photocatalytic activity of HCa2Nb3O10, the difference of photocatalytic activity among them is also discussed

S1-37-O, Nov. 14, 16:00-16:20 Crystal Structure and Raman Spectroscopy Analysis of Sm and Ce Double-Atom Filled Skutterudites T. X. Liu* and X. F. Tang Wuhan University of Technology, China

Polycrystalline double-atom filled skutterudites

SmaCerFerCo4-Sb12 have been characterized by the Rietveld refinement and Raman spectra. The results of Rietveld refinement demonstrated that the obtained SmaCerFerCo4-rSb12 compounds possess a filled skutterudite structure. The thermal parameter (B) of Sm/Ce is larger than that of Sb, Fe and Co, indicating that Sm and Ce "rattles" in Sb-icosahedron voids. In comparison with the Sm or Ce filling, the Sm and Ce double filling can enlarge the thermal parameter (B). Raman spectroscopy investigation shows that the filling atoms Sm and Ce in voids interrelate with some of the lattice vibrations in the skutterudite structure and lead to a shift and broadening of the Sb4 ring breathing modes. In comparison with the Sm or Ce filling, the Sm and Ce double filling can increase the extent of move and widening of the observed lines.

S1-38-O, Nov. 14, 16:20-16:40 Fabrication of Mg₂Si Thermoelectric Generator by Spark Plasma Sintering L. M. Zhang, M. J. Yang, L. Q. Han, Q. Shen and C. B. Wang Wuhan University of Technology, China

Elemental Mg and Si powders were used to fabricate Mg₂Si bulk thermoelectric generator by Spark Plasma Sintering (SPS). Mg2Si bulk was synthesized and sintered simultaneously at a low temperature (550°C) and a high pressure (>100MPa). However, the product could not densified with some micro-cracks on the surface, and the reaction between Mg and Si was not complete. Then Mg and Si reacted at 550°C to synthesis Mg,Si powders, and the produced powers were further densified by SPS under 750°C -20MPa. The sample had a high relative density (nearly 100%) containing pure Mg2Si phase, and the Mg2Si grains were fine and homogeneous. The power factor of the bulk thermoelectric Mg-Si generator was 9.57×10⁻⁴W/mK² at 700°C.

Poster Session

Nov. 13, 13:30-17:30

S1-39-P Fabrication of ZnO Nanotubes by

Template-Wetting Process U. A. Shaislanov, ⁵¹ G. Komilkhon, ⁵ S. L. Kim, ¹ S. W. Kim, ¹ J. M. Yang, ¹ S. K. Hong¹ and B. Yang¹ ¹Kunoh National Institute of Technology, Kores, ⁵National Nanofab Center, Korea, ¹Hynix Semiconductor Inc., Korea

Recently, considerable research efforts have been focused on fabrication and characterization of one-dimensional (1D) nanomaterials because of their fundamental importance in physics and potential applications for realizing nano-devices. ZnO is recognized as a promising material for short-wavelength optoelectronic applications because 62

Photoinduced hydrophilic property of zinc oxide thin films prepared by sol-gel dip coating method

P. NUMPUD, T. CHARINPANITKUL[†] and W. TANTHAPANICHAKOON^{*}

Center of Excellence in Particle Technology, Department of Chemical Engineering, Faculty of Engineering, Chulalongkorn University, Bangkok 10330, Thailand

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ZnO thin films could be fabricated on soda lime glass substrates by sol-gel dip coating method. The thin films fabrication conditions were zinc acetate concentration of $0.10[0.50 \times 10^3 \text{ mol/m}^3$, withdrawal speed of $0.5[1.5 \times 10^{-3} \text{ m/s}$ and calcination temperature of 500°C. The film characteristics (roughness, transparency and photoinduced hydrophilicity) were characterized by atomic force microscopy, UV-vis spectrophotoscopy and contact angle analyzer, respectively. It was found that the decreasing withdrawal speed of the coated film using $0.10 \times 10^3 \text{ mol/m}^3$ zinc precursor could result in a decrease in the film thickness and the film roughness, consequently leading to highly photoinduced hydrophilicity. ©2008 The Ceramic Society of Japan. All rights reserved.

Key-words : Hydrophilic, Zinc oxide, Sol-gel, Dip coating

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1. Introduction

The hydrophilicity of substrate surface refers to a physical property that can transiently bond with water through hydrogen bonding, leading to spreading of water across the surface rather than remaining as droplets. Normally hydrophilicity could be achieved after UV irradiation to substrate surface coated with some specific semiconductor. This property could physically be indicated by water contact angle. A surface with water contact angle lower than 10° is classified as highly hydrophilic surface while a highly hydrophobic surface will show water contact angle higher than 150°.

Zinc Oxide (ZnO) is of great interest due to its unique optical, electrical and photo-catalyst properties.¹⁾⁻⁵⁾ ZnO thin film can be coated on glass or plastic substrate with excellent transparency, which will not obstruct the substrate optical visibility, and can provide photoinduced hydrophilic function. Sol-gel method is widely employed for preparing ZnO because of its simplicity, safety and low cost. Moreover, incorporation of dip coating method could simply provide a smooth thin film of ZnO on substrate with large area. Sun et al.²⁾ reported that UV irradiation can generate electron-hole pairs on substrate surface coated with ZnO and leads to hydrophilic property due to some of the holes reacting with lattice oxygen to produce surface oxygen vacancies. However, there is a remaining issue that preferential adsorption of water on the coated surface cannot last long and reversibly turns to its initial condition after storage in the dark.⁶⁾⁻⁹⁾

The main purpose of the present work was to investigate the photoinduced hydrophilic property of glass substrate coated with ZnO thin film which was prepared by sol-gel process incorporated with dip coating technique. The hydrophilic surface was characterized by AFM, contact angle measurement and UV-vis spectroscopy. The effect of withdrawal speed and precursor concentration on the transmit-

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tance of the ZnO thin film was consequently investigated for finding systematic means to improve preparation of highly

2. Experimental

hydrophilic coated glass substrate.

Starting precursor was prepared using zinc acetate (95% purity, M = 219.49, $Zn(CH_3COO)_2.2H_2O$, Ajax Chemfine Australia), absolute ethanol (99.99% purity, M = 46.07,CH₃CH₂OH, Merck), acetic acid (99.9% purity, M = 46.07, CH₃COOH, Merck) and deionized water. The zinc acetate was dissolved in 0.05×10^{-3} m³ of absolute ethanol at room temperature. Deionized water and acetic acid were respectively added to the mixture solution with molar ratio of 2:1 and 0.01:1 with respect to the zinc acetate molar concentration. Then the solution was subject to heating at 60°C with magnetic stirring for 3600 s until a transparent and homogeneous solution was obtained. The concentration of zinc acetate was varied from 0.10 to 0.50×10^3 mol/m³. Then thin film was deposited on cleaned glass substrate (0.25 mm × $0.30 \text{ mm} \times 0.01 \text{ mm}$) by the dip coating technique, in which the glass was immersed into the precursor solution for a certain period of 30 s and then withdrawn from the solution with a different withdrawal speed (0.5, 1.0 or 1.5×10^{-3} m/ s) under ambient condition by a step motor. The coated film was calcined in air at a heating rate of 0.05°C/s and held for 3600 s at 500°C. A UV-A lamp (SAPHIT A.J.L. Supplies, Thailand) with emission wavelength in a range of 300 460 nm and output of 20 W is employed to irradiate each coated glass specimen in priori to water contact angle investigation. Optical transmission of the ZnO thin films were characterized by comparison with uncoated substrate using a Perkin-Elmer Lambda 650 UV-Vis spectrophotometer. The particle size, roughness and uniformity of ZnO constituting in the films were characterized by an atomic force microscopy (AFM). The hydrophilicity of the thin films was then examined by measuring the contact angle of water droplets by a contact angle meter. Water droplets with consistent volume of 0.012×10^{-6} m³ were placed at five difference positions on

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Fig. 1. AFM images of surface of ZnO coating films prepared from 0.10×10^3 mol/m³ zinc acetate with different withdrawal speeds of (a) 0.5×10^{-3} m/s, (b) 1.0×10^{-3} m/s and (c) 1.5×10^{-3} m/s.

each coated substrate sample for measuring the contact angle.

3. Results and discussion

Figures 1a, b and c represent typical AFM images of the morphology of ZnO thin films prepared from 0.10×10^3 mol/m³ zinc acetate with three different withdrawal speeds of 0.5, 1.0 and 1.5×10^{-3} m/s, respectively. It could be clearly observed that the grain size depends on the withdrawal speed. The grain sizes of ZnO in the coated films prepared from the same zinc acetate concentration are 18.0, 19.5, 23.0

Zinc acetate concentration	withdrawal speed	R _{emo}	average grain size
(×10 ³ mol/m ³)	(×10 ⁻³ m/s)	(ന്ന)	(nm)
0.10	0.5	2.1	18.0
	1.0	2.4	19.5
	1.5	2.2	23.0
0.25	0.5	3.7	19.0
	1.0	3.2	25.5
	1.5	9.2	29.0
0.50	0.5	4.3	22.5
	1.0	4.6	20.5
	1.5	5.5	26.0

Table 1. Surface Roughness and Average Grain Size of ZnO thin

Films with Different zinc Acetate Concentrations and Withdrawal

nm as shown in Fig. 1a, b, and c, respectively. The surface roughness and average grain size of the ZnO thin films with different zinc acetate concentration of 0.10, 0.25, 0.50×10^3 mol/m³ and different withdrawal speed were also summarized in **Table 1**. It was found that with the highest concentration of 0.50×10^3 mol/m³ the surface roughness mean square (rms) of the coated films increased from 4.3 to 5.5 nm when the glass substrates were withdrawal from the solution with the speed of 0.5 to 1.5×10^{-3} m/s, respectively. The increase in film thickness which is subject to the withdrawal speed is attributed to competitive effects of gravitational and frictional viscous forces acting on the liquid coating film and evaporation of solvent.¹⁰⁾⁻¹²⁾

Figure 2 reveals the optical transmittance spectra of the ZnO films coated on glass substrates using zinc acetate concentration of 0.10 and $0.50 \times 10^3 \text{ mol/m}^3$ with different withdrawal speed of 0.5, 1.0 and 1.5×10^{-3} m/s. All of the fabricated films exhibited transmittance of above 90% with respect to the range of visible light (400] 700 nm), pointing out the evidence of their superior transparency. Meanwhile, it could also be seen that all coated substrates exhibited remarkable ultraviolet absorption at around 380 nm, which is attributed to the intrinsic band gap of ZnO. Lee et al confirmed that ZnO fine particles synthesized by sol-gel method contain ionized oxygen vacancy which could emit photons with specific wavelength of \sim 500 nm depending on their crystal structure.13) In our investigation change of withdrawal speed provides an appreciable effect on the transmittance of the coating film because there was difference in the grain size of ZnO film on each substrate. With $0.10 \times 10^3 \text{ mol/m}^3$ zinc acetate, substrates with thicker coating layer could somehow absorb ultraviolet spectra with higher intensity. This result is in good agreement with that of Berber et al even though they used spin coating technique to prepare the ZnO thin film.⁵⁾ However, it is interesting that with 0.50×10^3 mol/m³ zinc acetate, the different trend could be observed. Significantly low transmittance and transparency compared with those of $0.10 \times 10^3 \text{ mol/m}^3$ zinc acetate would be attributed to the increasing crystallinity due to the higher concentration.

To examine the hydrophilicity of the coated glass substrates, average water contact angle is plotted against the UV irradiation time as shown in Fig. 3. When 0.10×10^3 mol/m³



Fig. 2. Optical transmittance spectra of ZnO films prepared by dip coating. Above: in 0.10×10^3 mol/m³ of zinc acetate with withdrawal speed of (a) 0.5×10^{-3} m/s, (b) 1.0×10^{-3} m/s and (c) 1.5×10^{-3} m/s, Below: in 0.50×10^3 mol/m³ of zinc acetate with withdrawal speed of (d) 0.5×10^{-3} m/s, (e) 1.0×10^{-3} m/s and (f) 1.5×10^{-3} m/s.



Fig. 3. Dependence of water contact angle of ZnO films on UV irradiation time (\triangle ; withdrawal speed = 0.5×10^{-3} m/s, **(a)**; withdrawal speed = 1.0×10^{-3} m/s and \blacklozenge ; withdrawal speed = 1.5×10^{-3} m/s).

zinc acetate was prepared to coat on the glass substrate with different withdrawal speed of 0.5, 1.0, 1.5×10^{-3} m/s, it



Fig. 4. Effect of precursor concentration on water contact angle of the coated film after UV irradiation for 1,800 s (\Box ; zinc acetate concentration = 0.10 × 10³ mol/m³, \Box ; zinc acetate concentration = 0.25 × 10³ mol/m³ and \Box ; zinc acetate concentration = 0.50 × 10³ mol/m³).

could be observed that highly hydrophilic substrates with the water contact angle lower than 5° could be obtained with UV irradiation for only 1800 s. As the whole tendency, the longer the UV irradiation time was spent, the lower the water contact angle was achieved. Therefore, with the lowest withdrawal speed of 0.1×10^{-3} m/s the average water contact angle became significantly smaller. This would be attributable to the formation of thinner coating film containing smaller crystal which could adsorb more UV, resulting in higher hydrophilicity.

The effect of concentration of zinc acetate precursor on the water contact angle of the coating films is depicted in Fig. 4. As suggested by the experimental results shown in Fig. 3, all the substrates were prepared using different concentration of zinc acetate and different withdrawal speed with a constant UV irradiation time of 1,800 s. However, the whole experimental results suggested the complicated dependence of the water contact angle on the film preparing parameters. When the 0.10×10^3 mol/m³ zinc acetate precursor was employed the increasing withdrawal speed gave rise to worse hydrophilicity which was indicated by the higher water contact angle. This might be attributable to the increasing grain size and surface roughness, which could also be confirmed in Fig. 1. However, with the highest precursor concentration of 0.50×10^3 mol/m³, opposite trend of the contact angle decreasing with the increasing withdrawal speed was observed. As already mentioned, the coated surface roughness increased with the increasing withdrawal speed. The hydrophilicity of the coated film would be controlled by both physical and chemical factors, which include change of surface roughness due to physical grain growth and change in surface polarity due to chemical adsorption.14) The remaining issues would be how the hydrophilicity could be improved and kept longer after UV irradiation. Functional groups containing stronger hydrogen bonding would have to be taken into account to explain how dissociative adsorption of water molecules on the defective sites of UV-induced surface contributes to the formation of superior hydrophilic ZnO coated surface.

4. Conclusion

With sol-gel dip coating method, zinc acetate could be used for preparing transparent ZnO film coated on glass substrates. All ZnO films coating on glass substrates could exhibit the excellent transparency with 90% visible light transmittances. It was also found that the ZnO grain size, surface roughness and hydrophilicity of the coating films were crucially affected by the precursor concentration and withdrawal speed. With UV irradiation for 1,800 s, the highly hydrophilic ZnO thin films could be prepared. With the zinc acetate concentration of 0.10×10^3 mol/m³, the lower withdrawal speed would lead to the thicker and rougher coating film with the water contact angle of 5°, which exhibited better hydroplicity.

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APPENDIX B

Experimental results

а.



Part A: Effect of solvents and preparation condition on thin film properties

Figure B1 Optical transmittance spectra of ZnO films prepared with zinc acetate concentration of 0.75 M and calcined temperatures at 300°, 400°, 500°C and coated at withdrawal speeds of (a) 1.0 cm/min, (b) 3.0 cm/min and (c) 6.0 cm/min

Appendix B1 The elemental of ZnO film coated on glass substrates (zinc acetate concentration of 0.75 M, coated at different withdrawal speeds, calcined at 500°C) and glass substrate using as standard

Element	WS=1.0cm/min		WS=3.0 cm/min		WS=6.0cm/min		standard	
	wt%	atomic%	wt%	atomic%	wt%	atomic%	wt%	atomic%
C	8.06	14.17	6.52	11.79	6.75	11.87	6.97	6.97
0	35.00	46.19	32.98	44.78	34.18	45.12	69.54	69.54
Na	7.57	6.96	8.62	8.15	8.59	7.89	7.97	7.97
Mg	2.01	1.75	2.12	1.90	2.49	2.17	1.91	1.91
Al	0.85	0.66	1.08	0.87	0.92	0.72	1.52	1.52
Si	33.11	24.89	34.26	26.50	37.22	27.98	12.09	12.09
Ca	5.12	2.70	5.90	3.20	5.26	2.77	-	-
Zn	8.28	2.68	8.51	2.83	4.59	1.48	-	-

WS = withdrawal speed



Figure B2 Optical transmittance spectra of ZnO films prepared withdrawal speed of 6.0cm/min, calcined temperature at 500°C and difference precursor concentration



Figure B3 Optical transmittance spectra of ZnO films prepared with different solvents and precursor concentrations and withdrawal speed of 3.0 cm/min and calcined temperature at 500°C



Figure B4 Optical transmittance spectra of ZnO films prepared zinc acetate concentration of 0.10 M coated at different withdrawal speeds and calcined temperature at 500°C



Figure B5 Optical transmittance spectra of ZnO films prepared zinc acetate concentration of 0.50 M coated at different withdrawal speeds and calcined temperature at 500°C

Solvent	Calcined at temperature (°C)	Average transmittance (%) (400- 700nm) (ref.=air)	Average transmittance (%) (400- 700nm) %T=%(T _s /T _g) (ref.=air)	Average transmittance (%) (400- 700nm) (ref.=glass)
	300	85.5	93.4	95.5
2-methoxyethanol	400	86.3	94.3	96.1
	500	87.2	95.3	98.0
ethanol	500	90.8	99.2	99.5

Appendix B2 Comparison the transmittance of ZnO films using air and glass substrate as reference

%T of glass substrate = 91.5% (ref.=air)



Figure B6 The effect of spindle speed on the viscosity of the precursor with different concentrations



Figure B7 AFM images of surface of ZnO coating films prepared from zinc acetate concentration of 0.10 M with different withdrawal speeds of (a) 3.0 cm/min, (b) 6.0 cm/min and (c) 9.0 cm/min



(c)

Figure B8 AFM images of surface of ZnO coating films prepared from zinc acetate concentration of 0.25 M with different withdrawal speeds of (a) 3.0 cm/min, (b) 6.0 cm/min and (c) 9.0 cm/min



Figure B9 AFM images of surface of ZnO coating films prepared from zinc acetate concentration of 0.50 M with different withdrawal speeds of (a) 3.0 cm/min, (b) 6.0 cm/min and (c) 9.0 cm/min



Figure B10 AFM images of surface of ZnO coating films prepared from zinc acetate concentration of 0.10 M with withdrawal speeds of 3.0 cm/min and different number of coating cycles (a) 1 layer, (b) 2 layers and (c) 3 layers

	UV irradiation time (min)							
	0 min	10 min	20 min	30 min	60 min			
UV				4				
irra-								
dia-	-	-	-		To Station			
tion								
θ(°)	34.4±2.4°	21.9±5.8°	17.1±6.1°	9.8±2.9°	10.4±3.7°			
In dark								
θ(°)	48.5±2.2°	42.3±7.2°	36.3±8.5°	13.7±3.2°	15.7±2.3°			

Appendix B2 Durability of ZnO films under the alternation of UV irradiation and dark storage for 7 days

 θ = water contact angle

Number	UV irradiation time (min)							
of coating cycles	0 min	10 min	20 min	30 min	60 min			
1	-	-	-					
θ(°)	29.9±3.7°	6.8±1.5°	5.4±1.2°	6.2±1.3°	6.1±1.4°			
2								
θ(°)	20.9±4.8°	2.2±1.1°	2.3±0.9°	3.0±0.9°	3.6±0.8°			
3								
θ(°)	25.8±3.0°	3.7±1.0°	4.1±1.1°	4.7±1.0°	5.0±2.4°			

Appendix B3 Number of coating cycles on water contact angle of ZnO films

 θ = water contact angle

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VITA

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